# Ability of corneal biomechanical metrics and anterior segment data in the differentiation of keratoconus and healthy corneas

Estudo da performance diagnóstica de parâmetros biomecânicos e dados anatômicos da câmara anterior na diferenciação de córneas saudáveis e com ceratocone

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#### **ABSTRACT**

Purpose: To evaluate the sensitivity, specificity, and test accuracy of corneal biomechanical metrics and anterior segment data in differentiating keratoconus from healthy corneas.

Methods: Comparative case series. Patients with and without keratoconus (gender and age-matched) were submitted for complete eye examinations including corneal hysteresis (CH) and corneal resistance factor (CRF) as measured by the Ocular Response Analyzer and anterior segment data as gathered through Pentacam assessments. The anterior segment data measurement included average central keratometric readings (K-Ave), corneal astigmatism (CA), central corneal thickness (CCT), anterior chamber depth (AC depth) and corneal volume (CV). All parameters were assessed, compared and analyzed. A receiver operating characteristic (ROC) curve was used to identify the best cutoff point by which to maximize the sensitivity and specificity of discriminating keratoconus from normal corneas for each data category.

Results: Seventy seven eyes from forty three patients (24 male, 19 female) with keratoconus and eighty six eyes from forty three (24 male, 19 female) healthy controls were enrolled. ROC curve analysis showed poor overall predictive accuracy for all studied parameters in differentiating keratoconus from normal corneas. The highest sensitivity (79.2%) was obtained for both AC depth and CH (cutoff points 3.22 mm and 9.39 mmHg respectively). The best specificity (89.5%) and test accuracy (80.34%) were obtained for CA (cutoff

**Conclusion:** When considered together, studied parameters showed statistical differences between groups. However, when considered independently they presented low sensitivity, specificity and test accuracy in differentiating keratoconus from healthy corneas.

Keywords: Cornea; Corneal diseases; Corneal topography; Biomechanics; Keratoconus.

### **RESUMO**

Objetivo: Avaliar a sensibilidade, especificidade e acurácia de parâmetros biomecânicos e anatômicos do segmento anterior isolados na diferenciação de córneas saudáveis e com ceratocone.

Métodos: Estudo tipo série de casos comparativa. Pacientes com ceratocone e controles saudáveis foram pareados (idade e sexo) e submetidos a exame oftalmológico completo, incluindo avaliação biomecânica (ORA) e tomográfica (Pentacam). Ceratometria central média, astigmatismo corneano, espessura corneana central, profundidade da câmara anterior, volume corneano, CH e CRF foram estabelecidos, avaliados e comparados. Curvas ROC (Receiver operating characteristic) foram utilizadas para identificar o melhor valor de corte que apresentasse a maior sensibilidade e especificidade na discriminação entre ceratocone e córneas saudáveis para cada dado estudado.

Resultados: Setenta e sete olhos de 43 pacientes com ceratocone (24 homens e 19 mulheres) e 86 olhos de pacientes saudáveis (24 homens e 19 mulheres) foram incluídos no estudo. Curvas ROC mostraram baixa acurácia na predição do diagnóstico de ceratocone em todos os parâmetros isolados estudados. Maior sensibilidade encontrada foi 79,2% para profundidade da câmara anterior e CH (ponto de corte 3,22mm e 9,39mmHg respectivamente); maior especificidade e acurácia foram encontradas na análise do astigmatismo corneano (ponto de corte 2,2 D; 89,5% e 80,34% respectivamente).

Conclusão: Todos os parâmetros estudados mostraram diferença estatisticamente significativa entre os grupos. No entanto, quando considerados isoladamente apresentaram baixas sensibilidade, especificidade e acurácia na diferenciação entre ceratocone e córneas saudáveis.

Descritores: Córnea; Doenças da córnea; Topografia da córnea; Biomecânica; Ceratocone

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# INTRODUCTION

eratoconus is an ectatic disease of the cornea, with progressive noninflammatory thinning and anterior protrusion that leads to an irregular conical shape<sup>(1-4)</sup>. It is usually a bilateral and asymmetric condition that manifests at puberty. Clinical (as corneal stromal thinning, conical protrusion, Vogt striae and Fleischer ring) and topographic (as irregular astigmatism, inferior steepening and inferior-superior asymmetry) findings are habitually combined for diagnosing and staging the disease (5-6).

Recently, new technology in eye imaging such as the Pentacam (Oculus Inc, Wetzlar, Germany) has revealed valuable information regarding corneal and anterior segment anatomy. These developments can be credited, primarily, to progress in refractive surgery and the need for better preoperative screening. Diagnosis of keratoconus has been improved by curvature (elevation) maps, corneal pachymetric distribution, corneal volume and anterior segment data, which have all been

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provided by a variety of currently available equipment<sup>(5-14)</sup>. However, accurate differentiation of keratoconus from healthy corneas is not yet sufficient, as there is a need to detect corneas with a higher susceptibility to becoming ectatic after laser photoablative surgery<sup>(15-16)</sup>.

In vivo corneal biomechanical evaluation was first described by Luce<sup>(17)</sup> in 2005, with the development of the Ocular Response Analyzer (ORA, Reichert Ophthalmic Instruments, Depew, New York, USA). A number of researchers published diverse and exciting new data regarding corneal hysteresis (CH) and corneal resistance factor (CRF) in healthy and pathological conditions<sup>(18-22)</sup>. If ORA proves that "fragile" corneas are more susceptible than "strong" corneas to developing ectasia in the future, then the best use for such data in refractive surgery would be in preoperative screening.

The present study compared the findings of biomechanical and anterior segment parameters in differentiating keratoconus from healthy corneas, and evaluated the ability of each individual parameter to differentiate them.

# **METHODS**

This was a comparative case series. The research followed the tenets of the Declaration of Helsinki and was approved by the ethics committee of the Federal University of São Paulo (protocol 0123/06). All subjects were informed about the purpose of the study and gave informed consent before inclusion. Patients were sequentially evaluated from October 2005 to December 2008. Demographic and clinical data were obtained, including date of birth, gender and self-reported race or ethnicity.

The keratoconus group consisted of 77 eyes from 43 patients (24 male, 19 female) with a mean age of 34.95  $\pm$  11.95 years (ranging from 18 to 73 years). The control group consisted of 86 eyes from 43 (24 male, 19 female) gender- and agematched healthy patients, with a mean age of 35.02  $\pm$  12.19 years (ranging from 18 to 72 years-old) (p=1).

Each subject underwent a comprehensive ophthalmologic examination including review of medical history, best-corrected visual acuity, slit lamp biomicroscopy, fundoscopic examination, Placido disc topography (Humphrey ATLAS, Carl Zeiss Meditec Inc. Dublin, USA), Pentacam tomographic evaluation and ORA measurements.

Diagnosis of keratoconus was made by clinical (corneal stromal thinning, Vogt's striae, Fleischer ring, scissoring of the red reflex or oil droplet sign identified by retinoscopy) and topographic (an increased area of corneal power surrounded by concentric areas of decreasing power, inferior-superior power asymmetry, and skewing of the steepest radial axes above and below the horizontal meridian<sup>(2,5-6,10,23)</sup> evaluation.

Cases were gender- and age-matched with controls for data comparison<sup>21</sup>. Exclusion criteria were: less than 18 years-old, any previous corneal or ocular surgery, any eye disease that could possibly interfere with the readings/results (e.g., glaucoma, uveitis, corneal ectatic disease, Fuch's dystrophy, diabetic retinopathy, etc.,) chronic and/or continuous use of topical medications, corneal scars and/or opacities, irregular astigmatism, systemic collagen diseases and refusal to sign an informed consent agreement. Contact lenses were required to be removed at least 72 h before examination.

Patients underwent testing with the ORA and Pentacam during the same visit. All measurements were taken between 8:00 AM and 6:00 PM. Two consecutive ORA measurements were performed on both eyes and the results were averaged. Only high-quality readings (defined by the manufacturer as both the force-in and force-out applanation signal peaks on the ORA waveform being fairly symmetrical in height) were

stored. The Pentacam assessed central keratometry (K-Ave), corneal astigmatism (CA), central corneal thickness (CCT), anterior chamber depth (AC depth) and corneal volume (CV).

The Pentacam system was connected to a personal computer, with automated software. The manufacturer performed calibration of the device. The system uses a rotating Scheimpflug camera and a monochromatic slit light source (a blue lightemitting diode at 475 nm) that rotate together. After proper alignment of the patient's face, a fixation target is shown, which guides the patient's gaze. A real-time image of the patient's eye is shown to the examiner on the computer screen, and the image is manually focused and centered. The rotating camera is set to take 25 slit images of the anterior eye segment in approximately 2 seconds with 500 true elevation points incorporated in each slit image. Minute eye movements are captured by a second camera and corrected simultaneously. Single point pachymetric measurements of the entire cornea are calculated from the anterior and posterior corneal surfaces.

The ORA determines corneal biomechanical properties using an applied force-displacement relationship. Details have been extensively described in previous studies<sup>17</sup>. Briefly, a precisely-metered air pulse is delivered to the eye, causing the cornea to move inward, past a first applanation, and into a slight concavity. Milliseconds after the first applanation, the air pump is shut down and the pressure applied to the eye decreases in an inverse-time, symmetrical fashion. As the pressure decreases, the cornea passes through a second applanated state while returning from concavity to its normal convex curvature. Energy absorption during rapid corneal deformation delays the occurrence of the inward and outward applanation signal peaks, resulting in a difference between the applanation pressures. The difference between these inward and outward motion applanation pressures is called corneal hysteresis (CH). Corneal hysteresis is an indication of viscous damping and elastic resistance, reflecting the capacity of corneal tissue to absorb and dissipate energy. Corneal resistance factor (CRF) was empirically derived to maximize correlation to CCT, and it can be considered to be weighted by elastic resistance since it has a stronger correlation to CCT than CH. Though CH and CRF are related, in some instances they can be significantly different, each providing distinct information about the cornea.

The Kolmogorov-Smirnov test was used to check for a normal distribution of quantitative data, which are provided as the mean and standard deviation (SD). Differences between data were evaluated using the Welch modified Student's two-sample *t*-test and Wilcoxon rank-sum test. The level of significance for each parameter was set at p<0.05. A receiver operating characteristic (ROC) curve was used to identify the cutoff point of studied parameters to maximize sensitivity and specificity in discriminating keratoconus from normal corneas. This curve is obtained by plotting sensitivity against 1- specificity, calculated for each value observed. An ideal area of 100% implies that the test perfectly discriminates between groups. Logistic regression was used to support the cutoff point identified with the ROC curve analysis.

## **RESULTS**

K-Ave was 47.03  $\pm$  5.22 diopters (D) (range 40.4 to 74.15 D) in keratoconus and 43.31  $\pm$  1.53 D (range 39.9 to 46.75 D) in the control group (p=0). CA was 3.46  $\pm$  2.20 D (range 0.7 to 10.9 D) in keratoconus and 1.08  $\pm$  0.81 D (range 0 to 4.9 D) in the control group (p=0). CCT was 493.17  $\pm$  42.84  $\mu$ m (range 349 to 568  $\mu$ m) in keratoconus and 543.90  $\pm$  34.87  $\mu$ m (range from 457 to 627  $\mu$ m) in the control group (p=0) (Figure 1). AC depth was 3.25  $\pm$  0.38 mm (range 2.41 to 5.21 mm) in keratoconus and 3.07  $\pm$  0.42 mm (range 2.08 to 3.80 mm) in the

control group (p=0.012). CV was  $57.01 \pm 3.53$  mm<sup>3</sup> (range 49.5 to 66.9 mm<sup>3</sup>) in keratoconus and  $60.19 \pm 3.40$  mm<sup>3</sup> (range 53.7 to 68.5 mm<sup>3</sup>) in the control group (p=0).

CH was 8.23  $\pm$  1.51 mmHg (range 4.60 to 11.80 mmHg) in keratoconus and 10.13  $\pm$  1.75 mmHg (range 5.95 to 14.58 mmHg) in the control group (p=0) (Figure 2). CRF was 7.46  $\pm$  1.76 mmHg (range 2.80 to 11.20 mmHg) in keratoconus and 10.06  $\pm$  1.97 mmHg (range 5.45 to 15.10 mmHg) in the control group (p=0) (Figure 3). The results are summarized in table 1.

ROC curve analyses showed poor overall predictive accuracy for all studied parameters in differentiating keratoconus from normal corneas. The results are summarized in table 2.

Higher sensitivity in differentiating keratoconus from healthy corneas was 79.2% for AC depth and CH (cutoff point 3.22 mm and 9.39 mmHg respectively); the best specificity and test accuracy for CA (cutoff point 2.2 D; 89.5% and 80.34% respectively). Lowest sensitivity was 62% for CV, with a specificity of 44.2% for AC depth and 69.93% test accuracy for K-Ave.

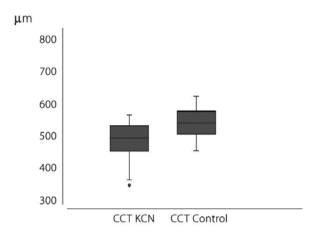
The cutoff point for K-Ave was 44.35 D with sensitivity of 74%, specificity of 66.3% and test accuracy of 69.93%. For CA, the cutoff point was 2.2 D with sensitivity of 70.1%, specificity of 89.5% and test accuracy of 80.34%. The cutoff point for CCT was 521 µm, with sensitivity of 77.9%, specificity of 80.2% and test accuracy of 79.11%. The cutoff point for AC depth was 3.22 mm, with sensitivity of 79.2%, specificity of 44.2% and test accuracy of 60.72%. The cutoff point for CV was 57.8 mm³, with sensitivity of 62%, specificity of 77.9% and test accuracy of 70.71%. The cutoff point was 9.39 mmHg for CH, with sensitivity of 79.2%, specificity of 70.9% and test accuracy of 74.82% (ROC curve for CH is seen in Figure 4). The cutoff point was 8.68 mmHg for CRF, with sensitivity of 77.9%, specificity of 75.6% and test accuracy of 76.69%.

# **DISCUSSION**

Biomechanical study of the cornea is crucial for refractive surgery progress not only for better preoperative screening, but also for prediction of individual outcomes. As Ethier et al.<sup>(24)</sup> stated, material properties of the cornea are heterogeneous, highly anisotropic, nonlinear, and viscoelastic. In a broad review, Torres et al.<sup>(25)</sup> described CCT and corneal collagen fiber density as the most important intrinsic factors determining corneal biomechanics. We would include corneal hydration (and its control by the endothelium), corneal thickness regional variation, collagen fibril orientation and distribution.

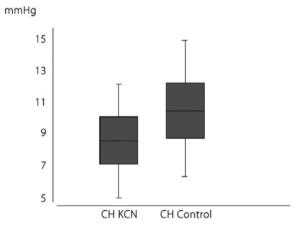
Kida et al. (26), and Laiguzzaman et al. (27) found that CH remained almost constant throughout the day, whereas CCT and intraocular pressure showed statistically significant variations (higher values during the nocturnal period) in young adults. The small number of patients in both studies might restrict their findings to these specific populations. Previous studies, including ours<sup>(21)</sup>, indicate a through relation between CRF and CH with CCT and an inverse relation with age. The present data, in agreement with previous research (28-30), show that biomechanical metrics are statistically lower in keratoconus then in normal corneas. However, the big overlap of the results of both groups involves the issue of accuracy in discriminating normal from abnormal corneas. New data presented recently by David Luce (ASCRS 2009 meeting, San Francisco - CA) regarding waveform parameters provided from the ORA signal may turn out to be more sensitive than CH and CRF in discriminating abnormal corneas

Anterior segment tomography has been the subject of several papers<sup>(5,7-8,31-32)</sup>, and has shown its accuracy in corneal and anterior segment mapping. New parameters, such as corneal volume, pachymetric progression and elevation maps are of



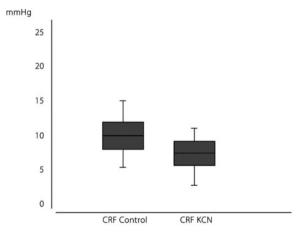
KCN= keratoconus

Figure 1. Central corneal thickness (CCT) distribution.



KCN= keratoconus

Figure 2. Corneal hysteresis (CH) distribution.



KCN= keratoconus

Figure 3. Corneal resistance factor (CRF) distribution.

Table 1. Summary of the anterior segment parameters and biomechanical metrics results of studied population

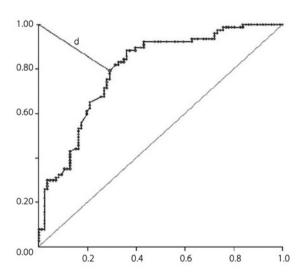
	K-Ave (D)	CA (D)	CCT (µm)	AC depth (mm)	CV (mm³)	CH (mmHg)	CRF (mmHg)
Keratoconus							
$(mean \pm SD)$	$47.03 \pm 5.22$	$3.46 \pm 2.20$	493.17 ± 42.84	$3.25 \pm 0.38$	$57.01 \pm 3.53$	8.23 ± 1.51	$7.46 \pm 1.76$
(min - max)	40.40 - 74.15	0.70 - 10.90	349.00 - 568.00	2.41 - 5.21	49.50 - 66.90	4.60 - 11.80	2.80 - 11.20
Controls							
$(mean \pm SD)$	43.31 ± 1.53	$1.08 \pm 0.81$	543.90 ± 34.87	$3.07 \pm 0.42$	60.19 ± 3.40	10.13 ± 1.75	10.06 ± 1.97
(min - max)	39.90 - 46.75	0 - 4.90	457.00 - 627.00	2.08 - 3.80	53.70 - 68.50	5.95 - 14.58	5.45 - 15.10
Statistical							
analysis	Wilcoxonrank- sum test P=0	Wilcoxon rank- sum test P=0	Welch modified two-sample t-test P=0 (95% CI) 38.55 - 62.90	Wilcoxon rank- sum test P=0.012	Welch modified two-sample t-test P=0 (95% CI) 1.77 - 4.05	Welch modified two-sample t-test P=0 (95% CI) 1.39 - 2.40	Welch modified two-sample t-test P=0 (95% CI) 2.03 - 3.18

 $SD= standard\ deviation; min=minimum; max=maximum; D=diopters; \mu m=micrometers; mm=millimeters; mmHg=millimeters\ of\ mercury; K-Ave=central\ keratometry; CA=corneal\ astigmatism; CCT=central\ corneal\ thickness; AC\ depth=anterior\ chamber\ depth; CV=corneal\ volume; CH=corneal\ hysteresis; CRF=corneal\ resistance\ factor$ 

Table 2. Receiver operating characteristic (ROC) identified the best cutoff point of studied parameters to maximize sensitivity and specificity in differentiating keratoconus and healthy corneas

	Cutoff point	Sensitivity (%)	Specificity (%)	Test accuracy
K-Ave	44.35 D	74.0	66.3	69.93
CA	2.2 D	70.1	89.5	80.34
CCT	521 μm	77.9	80.2	79.11
AC depth	3.22 mm	79.2	44.2	60.72
CV	57.8 mm³	62.0	77.9	70.71
CH	9.39 mmHg	79.2	70.9	74.82
CRF	8.68 mmHg	77.9	75.6	76.69

K-Ave= central keratometry; CA= corneal astigmatism; CCT= central corneal thickness; AC depth= anterior chamber depth; CV= corneal volume; CH= corneal hysteresis: CRF= corneal resistance factor



d= distance from cutoff point to the upper left corner or coordinate (0,1) of the ROC space (the best possible prediction point that represents 100% of sensitivity and specificity, also called a perfect classification).

**Figure 4.** Receiver operating characteristic (ROC) curve (graphical plot of the sensitivity vs. 1 - specificity) for corneal hysteresis (CH) data. Cutoff point was 9.39 mmHg, with sensitivity of 79.2%, specificity of 70.9% and test accuracy of 74.87%

great utility in clinical practice<sup>(8-10,13-14,33-36)</sup>. In the present study, we were able to detect statistical difference in all anterior segment parameters given by the Pentacam rotating Scheimpflug camera. But, as in CH and CRF, a big overlap was found. The corneal color maps given by the Pentacam, as well as automated software for keratoconus screening and new indices such as the Belin/Ambrosio enhanced ectasia screening did not constitute a subject of our study. We studied only the isolated data given by the machine during anterior segment screening.

In conclusion, although all studied parameters showed statistical differences between the two groups, when considered individually they showed low sensitivity, specificity and test accuracy for keratoconus and healthy cornea differentiation. Corneal maps and automated software given by the Pentacam were not the subject of our study. New studies are warranted to expand the knowledge of corneal biomechanical metrics and anterior segment tomography.

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