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# Calorimetric techniques applied to the thermodynamic study of interactions between proteins and polysaccharides

Técnicas calorimétricas aplicadas ao estudo termodinâmico das iterações entre proteínas e polissacarídeos

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## - REVIEW -

#### **ABSTRACT**

The interactions between biological macromolecules have been important for biotechnology, but further understanding is needed to maximize the utility of these interactions. Calorimetric techniques provide information regarding these interactions through the thermal energy that is produced or consumed during interactions. Notable techniques include differential scanning calorimetry, which generates a thermodynamic profile from temperature scanning, and isothermal titration calorimetry that provide the thermodynamic parameters directly related to the interaction. This review described how calorimetric techniques can be used to study interactions between proteins and polysaccharides, and provided valuable insight into the thermodynamics of their interaction.

**Key words**: isothermal titration calorimetry, differential scanning calorimetry, calorimetric techniques, biomolecules, proteins.

## RESUMO

As interações entre macromoléculas biológicas têm tido importante aplicação na biotecnologia, mas, para sua devida utilização, estudos mais detalhados são necessários. As técnicas calorimétricas permitem estudá-las ao serem capazes de fornecer informações referentes a essas interações através da energia térmica que é gerada ou absorvida durante o processo de interação. Dentre as técnicas que mais se destacam estão a Calorimetria Exploratória Diferencial, que é capaz de fornecer um perfil termodinâmico a partir de uma varredura de temperatura, e a Calorimetria de Titulação Isotérmica, que fornece parâmetros termodinâmicos diretamente relacionados ao processo de interação. Nesta revisão, descrevemos como essas técnicas calorimétricas podem ser efetivamente aplicadas no estudo das interações entre proteínas e polissacarídeos, com o propósito de obter informações valiosas sobre a termodinâmica da interação.

Palavras-chave: calorimetria de titulação isotérmica, calorimetria exploratória diferencial, técnicas calorimétricas, biomoléculas, proteínas.

### INTRODUCTION

Characterizing the interactions between macromolecules greatly enhances understanding of biological systems and is useful for various applications in biotechnology. Macromolecular interactions can include bonds between substrates and enzymes, antigens and antibodies and smaller molecules like drugs and hormones linked to carrier proteins or receptor (ARMSTRONG et al., 2013; NADEMI et al., 2013; CAO et al., 2013; RÅFOLS et al., 2014). In addition, proteins and polysaccharides are increasingly used in technological applications to form new products (DIARRASSOUBA et al., 2015) and understanding how they interact will be critical to future technologies.

Almost all physical, chemical, or biological processes result in the produce or consume of thermal energy. Calorimetry, which means measuring heat, is the general term that describes all experiments in which thermal energy is measured in function of time or temperature. (WADSÖ, 1986; IUPAC, 1994; BROWN, 1998; GAISFORD & BRUCKTON, 2001; RUSSEL, et al., 2009). Currently, the term microcalorimetry defines heat measurements in a

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Santos et al.

microwatt range (RUSSEL et al., 2009; IUPAC, 2014). Measuring the heat flow in the calorimeter gives insight into the thermodynamic, chemical, and structural properties of a molecule (BROWN, 1998).

Calorimetric techniques are advantageous because they do not depend on the physical nature of the sample, rarely require any prior treatment, and are completely non-invasive. Furthermore, obtaining continuous and real-time data is an appealing aspect of these techniques. However, the high sensitivity and the non-specificity have both benefits and drawbacks because an improper sample preparations can cause incorrect interpretations of the results (WADSÖ, 1986; GAISFORD & BRUCKTON, 2001; RUSSEL, et al., 2009). techniques Calorimetric are well suited for the study of macromolecular interactions include isothermal titration calorimetry and differential scanning (ITC) calorimetry (DSC). ITC measures heat flow as a function of time and DSC measures heat flow as a function of temperature (GAISFORD & BRUCKTON, 2001). Table 1 shows different applications that use ITC and/or DSC to study interactions between proteins and polysaccharides. This review article describes each calorimetric technique and its application to understanding of interactions between proteins and polysaccharides in food systems.

Differential scanning calorimetry (DSC)

The International Union of Pure and Applied Chemistry (IUPAC, 2014) defines thermal

analysis as the study of the relationship between a property of the sample and its temperature when it is heated or cooled in a controlled manner. DSC is more than a calorimetric technique; it is also considered a thermal analysis, where the physical property being studied is heat (IUPAC, 1994). DSC studies transitions or processes that gain or lose heat as a function of temperature in other words, when a substance is subjected to a temperature change, endothermic (heat absorption) or exothermic (heat generation) processes may occur. As most biological molecules of interest undergo transformations when subjected to temperature variations, it is possible to use DSC to determine the energy involved in such processes (JOHNSON, 2013).

In a DSC analysis, the sample and reference are heated in a controlled way. As a result, the instrument measures the difference of heat capacity at constant pressure ( $C_p$ ) (WADSÖ, 1986; HEERKLOTZ, 2004). The  $C_p$  is defined as the ability of the sample to absorb or release energy without changing temperature.  $C_p$  is a fundamental property derived from other thermodynamic parameters such as enthalpy change ( $\Delta H$ ) and entropy change ( $\Delta S$ ), defined as follows (equations 1 by invoking the Kirchhoff equation) (PIRES et al., 2009; PRIVALOV, 2015):

$$\frac{\partial \Delta H}{\partial T} = \Delta C_{p} \tag{1}$$

The thermodynamic properties may be evaluated in accordance with the following standard relations (equations 2 to 4) (PRIVALOV, 2015):

$$\Delta H(T) = \Delta H(T_t) - \Delta C_p(T_t - T)$$
 (2)

Table 1 - Application of calorimetric techniques in interactions between polysaccharides and proteins	Table 1	<ul> <li>Application</li> </ul>	of calorimetric	techniques	in interactions l	between polysacchari	des and proteins.
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Biomolecular interaction	Calorimetric technique	Thermodynamic parameters	Reference
β-lactoglobulin and Chitosan	ITC	$n$ and $\Delta H$	GUSEY & MCCLEMENTS, 2006
β-lactoglobulin-sodium alginate	ITC	$\Delta H$	HARNSILAWAT et al., 2006
BSA and Dextran Sulfate	DSC	$T_m$ and $\Delta H$	ANTONOV & WOLF, 2005
β- lactoglobulin and Dextran Sulfate	DSC	$T_m$ and $\Delta H_m$	VARDHANABHUTI et al., 2009
WPI and Chitosan	ITC	$\Delta H$	BASTOS et al., 2010
Casein and Dextran	DSC	Tg	HERNANDEZ et al., 2011
β- lactoglobulin and Gum Arabic	ITC	$K$ , $\Delta H$ , $n$ , $\Delta S$ , $\Delta G$ $e$ $\Delta Cp$	ABERKANE et al., 2012
β- lactoglobulin and Carrageenan	ITC	$n, K, \Delta H \Delta S$ e $\Delta G$	HOSSEINI et al., 2013.
Soy protein and Chitosan	DSC	$T_m$ and $\Delta H_{ m m}$	YUAN et al., 2014.
Pectin and WPI	DSC	$T_{ m m}$	MAO et al., 2014.
Hyaluronic Acid and Lysozyme	DSC e ITC	$T_{m_i}K$ , $\Delta H$ , $\Delta S$ , $\Delta G$	WATER et al., 2014.
Soy protein and Gum Arabic	ITC	$n$ and $\Delta H$	DONG et al., 2015.
β- lactoglobulin and Lactoferrin	ITC	$K$ , $\Delta H$ , $n$ , $\Delta S$ , $\Delta G$	TAVARES et al., 2015.
Polysaccharides (carrageenan and CMC) and soy proteins	DSC	$T_m$ and $\Delta H_{\mathrm{m}}$	SPADA et al., 2015

n: Stoichiometry;  $\Delta H$ : Enthalpy change;  $T_m$ : Melting temperature;  $\Delta H_{m}$ : Melting enthalpy change; K: Equilibrium binding constant;  $\Delta S$ : Entropy change;  $\Delta G$ : Gibbs free energy change;  $\Delta C_p$ : heat capacity change;  $T_g$ : Glass transition.

$$\Delta S(T) = \Delta H(T_t) / T_t - \Delta C_p ln(T_t | T)$$

$$\Delta G(T) = \Delta H(T) - T \Delta S(T)$$
(3)

Considering the equations above, when  $\Delta H$  is negative and  $\Delta S$  is positive, the free energy  $(\Delta G)$  is negative and the interaction is spontaneous (O'BRIEN et al., 2001). DSC can provide a complete thermodynamic characterization of interactions induced by temperature. Regarding proteins, the literature has shown its application in determinating the melting enthalpy change  $(\Delta H_{m})$  and melting temperature (T<sub>...</sub>) (CAO et al., 2008; DAMODARAN & AGYARE 2013; TABILO-MUNIZAGA et al., 2014) and regarding polysaccharide measurements, DSC has been used to identify the glass transition (Tg) (LIU et al., 2007; HOMER et al., 2014). For biomolecular interactions, DSC can identify an increase or decrease in the denaturation temperature and glass transition after an interaction compared to isolated molecules (VARDHANABHUTI et al., 2009; HERNÁNDEZ et al., 2011; HOMER et al., 2014; MAO et al., 2014)

Most recent equipment used in DSC are highly sensitive and also highly stable. They also have large dynamic measurement ranges (below 0°C to over 100°C, under pressure) (PRIVALOV & DRAGAN, 2007). In general, calorimeters control minimum temperature variation between the reference cell containing the buffer, and the sample cell containing the molecule of interest diluted with the buffer, both subjected to the same temperature program. As the changes temperature processes that generate or absorb energy (heat) occur in the sample cell and produce a temperature difference between the two cells. Heaters around the cells, to keep the difference between cells equal to zero, respond increasing the temperature in the reference cell when the process is exothermic and increasing the temperature of the sample cell when the process is endothermic. The amount of energy necessary to maintain thermal balance within the system is proportional to the energy change occurring in the sample (PIRES et al., 2009; JOHNSON, 2013).

There is also a heat flux DSC instrument that has a single heating system. For this instrument, the temperature difference between the sample and the reference cell is logged as the direct measure of the difference between heat flow rates (BROWN, 1998). However, this method overall is less accurate because the temperature measurement is less accurate than the energy input measurements (PIRES et al., 2009). To perform this calorimetric technique, all parameters such as heating rate, sample concentration, pH, presence of solutes, kind of container and instrumentation must be well defined for results to be interpreted (MA & HARWALKAR, 1996; HOMER et al., 2014).

Interactions between polysaccharides and their effect on the thermal stability of compounds have been the focus of many studies. However, results have shown variations according to the macromolecules used. Two studies evaluated the influence of Dextran in thermal stability of Bovine Serum Albumin (BSA) (ANTONOV & WOLF, 2005) and β-Lactoglobulin (β-Lg) (VARDHANABHUTI et al., 2009) and concluded that in both cases the polysaccharide was able to reduce the thermal stability of the protein in low pH and in high concentrations of Dextran. Another study evaluated the effect of Dextran on Casein and reported that the glass transition value (Tg) of Dextran decreased while crystallization temperature increased in the presence of casein (HERNÁNDEZ et al., 2011). These phase transitions must be considered in food processing and storage.

When interactions between soy protein fractions (7S and 11S) and chitosan (CS) were studied during temperature increases from 30 to 120°C at the rate of 5°C/min, the measurements revealed endothermic processes indicating the denaturation point (YUAN et al., 2014). According to the authors, the interaction with formation of coacervates substantially increased  $\Delta H$ and  $T_{m}$  compared to the protein alone. This suggested that the coacervation between soy protein fractions and chitosan increased the thermal stability of the protein. In contrast, a different study on the thermal behavior of whey protein isolates (WPI) in the absence or presence of pectin reported that molecular interactions reduced the stability of WPI (MAO et al., 2014).

## Isothermal titration calorimetry (ITC)

ITC measures the energy released during molecular interactions and is used for the qualitative and quantitative characterization of them (HAPPI EMAGA, et al., 2012; OGNJENOVIĆ et al., 2014). A typical interaction system involves the vacant binding site, the free ligand, and the complex at some equilibrium in solution. Understanding the interaction requires knowing the equilibrium constant for the binding process (K) and the binding stoichiometry (n). Equations 5 to 8 illustrate the relevant thermodynamic relationships (FREREY & LEWIS, 2008).

relationships (FRERE 1 & LEWIS, 2008).
$$K_{eq} = K = \left\{ \frac{|complex|}{|receptor|} \cdot [ligand] \right\}_{equilibrium} \tag{5}$$

$$\Delta G^{\circ} = -RT \ln K \tag{6}$$

$$\Delta G^{\circ} = -RT \ln K_{eq}$$

$$\Delta G = \Delta G^{\circ} + RT \ln \left\{ \frac{[complex]}{[receptor]} x [ligand] \right\}_{actual}$$
(7)

$$\Delta G = \Delta H - T \Delta S \tag{8}$$

Where  $\Delta G^{\circ}$  is the standard Gibbs free energy change, R is the gas constant, and T is the temperature in Kelvin.

Santos et al.

Among the techniques that evaluate thermodynamic interactions, only ITC provides a several thermodynamic parameters (K, n,  $\Delta G$ ,  $\Delta H$ , and  $\Delta S$ ) in a single titration. It requires only small amounts of sample and does not need molecular marker which may generate interference (FREYER & LEWIS, 2008; RAJARATHNAM & RÖSGEN, 2014).  $\Delta H$  is related to the energy involved in molecular interactions and reflects the contribution of hydrogen bonding, electrostatic interactions, and Van der Waals forces.  $\Delta S$  reflects a change in the degree of order of the system and is related to hydrophobic interactions and is the thermodynamic property that describes the way the molecules are distributed in a system (PIRES et al., 2009; BOU-ABDALLAH & TERPSTRA, 2012).

Figure 1 is a graphical representation of energy (µcal) as a function of time (s) after 18 titrations by ITC. At the beginning of the titration, the energy absorbed is greater due to interactions. Over time, the rate of energy decreases until complete saturation of binding sites is achieved. From each peak obtained in the titration, a function chart of molar ratio can also be constructed, which allows the variation of free energy ( $\Delta G$ ), equilibrium binding constant (K), and the stoichiometry (n) of reaction to be calculated. Greater slopes of the curve represent higher binding affinities (K) (PIRES et al., 2009; CERVANTES et al., 2011).

Instrument consists of two identical cells. One is a reference, which contains only buffer solution, and the other contains the macromolecules

solutions. Cells are made of material that conduct heat exceptionally well and are thermally stable, with a constant temperature variation of approximately 10<sup>-4</sup> K (PIRES et al., 2009). Small aliquots of the titrant are injected through a syringe into the sample cell. When the reaction occurs, there is release (exothermic reaction) or absorption (endothermic reaction) of energy that the calorimeter detects (HEERKLOTZ & SEELIG, 2000). Number, volume, and time of injections, as well as the concentration of the samples, the cell temperature, and rotation speed must be properly adjusted. Furthermore, it is important that a control experiment is carried containing only buffer solution to correct undesired thermal effects that are related to the energy changes during dilution and mixing (PIRES et al., 2009; BOU-ABDALLAH & TERPSTRA, 2012).

Most ITC calorimeters use the power compensation method that reduces temperature in the sample cell if the reactions are exothermic, and increases temperature if they are endothermic. Energy absorbed or released during the titration will be directly proportional to the interactions (BOU-ABDALLAH & TERPSTRA, 2012). The most modern equipment are called "nanocalorimeters" and can precisely measure very small energy changes (<0.2mJ) and maintain a baseline of  $\pm$  0.1mW with a temperature stability of  $\pm$ 0.0001°C (GAISFORD & BRUCKTON, 2001; BOU-ABDALLAH & TERPSTRA, 2012).

Several studies have demonstrated that ITC used in the study of macromolecular

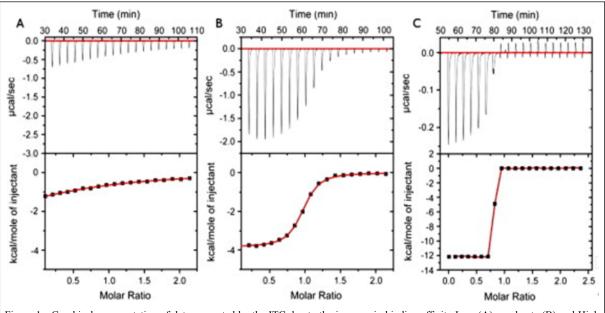


Figure 1 - Graphical representation of data generated by the ITC due to the increase in binding affinity Low (A), moderate (B) and High affinity (C). Source: CERVANTES et al. (2011).

interactions is both noninvasive and generates a set of thermodynamic parameters. GUZEY & MCCLEMENTS (2006), showed an exothermic interaction between chitosan and  $\beta$ -LG in the pH range in where the polymers have opposite charge. The interaction was more exothermic at pH 6.0, with a molar ratio of about one  $\beta$ -LG molecule to six chitosan molecules.

HARNSILAWAT et al. (2006) characterized of  $\beta$ -LG-sodium alginate interactions and verify that the enthalpy change was highly dependent on solution pH. Similarly, the interactions between Chitosan and WPI by electrostatic bonds were dependent not only on the pH but also the ionic strength, and molar ratio (BASTOS et al., 2010).

In another study, the interaction between β-LG and gum arabic in the presence of an antioxidant were identified. The study reported a two-step interaction, an exothermic step that was mostly controlled by favorable enthalpy due to electrostatic interactions, and a second endothermic step that was driven by entropy, likely due to the release of linked water molecules. In addition, this study evaluated the influence of temperature and concluded that the contribution of enthalpy or entropy were highly dependent on temperature (ABERKANE et al., 2012).

ITC has been used to study the thermodynamic of complex of coacervates used in developing new products and encapsulation of bioactive compounds, such as omega-3 fatty acids and vitamin D, (WATER et al., 2014; DONG et al., 2015; DIARRASSOUBA et al., 2015; ERATTE et al., 2015). HOSSEINI et al. (2013) submitted the  $\kappa$ -carrageenan biopolymer (KC) and  $\beta$ -LG on ultrasound, and reported that KC-BLG interactions were exothermic with negative and favorable enthalpy and negative and unfavorable entropy. A significant reduction in the affinity constant of the formation of complex coacervates suggested a conformational change. Interactions between lactoferrin and β-LG isoforms were also identified. In this case, ITC revealed an exothermic interaction with contributions from both enthalpy and entropy contributions. The study also reported that the interaction involved at least two steps requiring two independent binding sites (TAVARES et al., 2015).

The simultaneous use of the two calorimetric techniques was performed by WATER et al. (2014). The authors evaluated the formation of complex coacervates between hyaluronic acid and lysozyme. They reported using ITC, that the interaction was driven entropically, characterized by a slightly favorable binding enthalpy and a highly

favorable entropic contribution. Nonetheless, DSC showed a reduction of  $T_m$  of about 1°C after the complex was formed. Thus, formation of the complex did not affect the secondary structure of the protein and did not negatively impact its thermal stability.

### CONCLUSION

Proteins polysaccharides and part of most food matrices. Understanding their thermodynamic behavior and interactions with other macromolecules is essential for food applications. Calorimetric techniques provided information regarding these interactions through the thermal energy that is produced or consumed during interactions. We have presented examples of the efficiency and applicability of calorimetry in studies of the interactions between macromolecules, and highlighted the importance of thermodynamic parameters in the interpretation of the results obtained. Simultaneous use of DSC and ITC are important tools that can provide a better understanding of the macromolecular interactions that occur during the processing and storage of food.

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Santos et al.

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