ABSTRACT

procedures.



# Robotic thoracic surgery for non-small cell lung cancer: initial experience in Brazil

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Objective: To describe the morbidity, mortality, and rate of complete resection

associated with robotic surgery for the treatment of non-small cell lung cancer in Brazil, as well as to report the rates of overall survival and disease-free survival in patients so

treated. Methods: This was a retrospective study of patients diagnosed with non-small

cell lung carcinoma and undergoing resection by robotic surgery at one of six hospitals in

Brazil between February of 2015 and July of 2018. Data were collected retrospectively

from the electronic medical records. Results: A total of 154 patients were included. The

mean age was 65 ± 9.5 years (range, 30-85 years). The main histological diagnosis was

adenocarcinoma, which was identified in 128 patients (81.5%), followed by epidermoid

carcinoma, identified in 14 (9.0%). Lobectomy was performed in 133 patients (86.3%),

and segmentectomy was performed in 21 (13.7%). The mean operative time was

209 ± 80 min. Postoperative complications occurred in 32 patients (20.4%). The main

complication was air leak, which occurred in 15 patients (9.5%). The median (interquartile

range) values for hospital stay and drainage time were 4 days (3-6 days) and 2 days

(2-4 days), respectively. There was one death in the immediate postoperative period

(30-day mortality rate, 0.5%). The mean follow-up period was 326 ± 274 days (range,

3-1,110 days). Complete resection was achieved in 97.4% of the cases. Overall mortality

was 1.5% (3 deaths), and overall survival was 97.5%. Conclusions: Robotic pulmonary

resection proved to be a safe treatment for lung cancer. Longer follow-up periods are

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# **INTRODUCTION**

In the last two decades, minimally invasive surgery has been established as the gold standard for the treatment of lung cancer.<sup>(1,2)</sup> Several studies have been published and confirmed the role of video-assisted thoracoscopic surgery in complex resections such as lobectomies and pneumonectomies.<sup>(3,4)</sup> Recently, robotic surgery has emerged as an alternative to conventional video-assisted thoracoscopic surgery, because it has the advantage of increasing the amplitude and precision of intracavitary maneuvers and movements, as well as improving visualization through three-dimensional imaging.<sup>(1)</sup> The use of robotics in thoracic surgery has been established in studies that demonstrated its feasibility, especially for mediastinal tumors and pulmonary resections, such as pulmonary lobectomy and segmentectomies.<sup>(5-7)</sup>

Although the use of robotics in thoracic surgery is still in its early stages, it is promising. Large case series have

shown good results regarding postoperative morbidity and mortality, as well as length of hospital stay and the need for readmission.(5-7) Studies have shown the superiority of robotic surgery over traditional thoracotomy. <sup>(5)</sup> However, the concrete benefits of robotic surgery over conventional video-assisted thoracoscopic surgery are still the subject of study.<sup>(6,7)</sup> In addition, the costs related to robotic surgery are still one of the determining factors in choosing the method.<sup>(8)</sup>

The financial issue related to robotic surgery is particularly relevant in low- and middle-income countries, where resources are limited. Therefore, an analysis of the cost-effectiveness of the use of new techniques is essential. In Brazil, the first robotic thoracic surgical procedures were performed in 2010. However, the first case series describing pulmonary resections was published in 2016 and essentially demonstrated the feasibility of the method.(1) Nevertheless, data on the

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required in order to assess long-term survival.

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short- and medium-term results of this technique in our country are lacking. Therefore, the main objective of the present study was to describe the morbidity, mortality, and complete resection associated with robotic surgery for the treatment of non-small cell lung cancer in Brazil. The secondary objective was to report the rates of overall survival and disease-free survival in patients so treated.

# **METHODS**

This was a retrospective study of patients diagnosed with non-small cell lung carcinoma and undergoing resection by robotic surgery between February of 2015 and July of 2018 by a group of thoracic surgeons at the São Paulo State Cancer Institute, Hospital Sírio-Libanês, Hospital Albert Einstein, Hospital Nove de Julho, and Hospital São Luiz, all located in the city of São Paulo, as well as the Hospital Copa Star/Rede D'Or, located in the city of Rio de Janeiro.

#### Selection of patients and data collection

We included all patients who underwent surgical resection of lung cancer by robotic surgery (segmentectomy, lobectomy, or bilobectomy) during the study period. Patients who underwent wedge surgical resection were excluded, as were those for whom the medical records were incomplete. Data were collected retrospectively through review of the electronic medical records available at all the hospitals. Data confidentiality and patient anonymity were preserved.

#### **Definition of outcomes**

Postoperative complications were defined as any complication that led to direct intervention involving treatment (pneumothorax, invasive procedures, etc.) or resulted in biochemical and diagnostic changes (e.g., to renal failure or subcutaneous emphysema). Complications were identified through the analysis of electronic medical records, laboratory tests, and imaging examinations.

Postoperative mortality was defined as death that occurred within the first 30 days after surgery. The survival rate was calculated based on the period from the date of surgery to the date of the last medical contact.

Surgical resection (completeness of the resection) was defined as complete, incomplete, or uncertain according to the criteria established by the International Society for the Study of Lung Cancer.<sup>(9)</sup> In cases of segmentectomy, after removal of the specimen, routine analysis of the surgical margins was performed by frozen section biopsy.

#### Surgical technique

The first author participated in all the procedures, either as the surgeon operating the console or as the proctor. The surgical technique and treatment protocols were standardized and used in all cases. All patients underwent surgery with selective intubation confirmed by bronchoscopy. Although an epidural catheter for postoperative analgesia was used at the beginning of our study,<sup>(1)</sup> it is no longer utilized. Anesthesia was carried out before the incision for the first port and, after the introduction of the camera, the 4th to 11th intercostal spaces were blocked under direct vision. The robotic lobectomy technique we used was originally described by Dylewski et al.<sup>(10)</sup> and modified by our group.<sup>(1)</sup> The patient is placed in the supine position with armpit padding, and the robot is positioned close to the head of the patient. In total there are four ports: three for the robotic arms and one for the assistant surgeon.<sup>(1)</sup> The first incision is performed at the 7th or 8th intercostal space in the posterior axillary line and, with the aid of an endoscope, the locations for the other ports are selected.

During the postoperative period, patients are usually moved to the inpatient unit. Only elderly patients with multiple comorbidities or those who had any intraoperative complications are moved to the ICU. Postoperative analgesia includes oral dipyrone every 6 h, as well as anti-inflammatory drugs and gabapentin. We avoided the use of oral opioids as much as possible.

#### Statistical analysis

Continuous variables are expressed as mean and standard deviation or as median and interquartile range (IQR). The Shapiro-Wilk test was used for normality analysis. Categorical variables are expressed as absolute and relative frequencies. Survival rate analyses were performed by using the Kaplan-Meier method. The type I error threshold was set at 5%. Stata software, version 13 (StataCorp LP, College Station, TX, USA), was used for the statistical analyses.

#### RESULTS

We included 154 patients diagnosed with non-small cell lung cancer who underwent surgical resection by robotic surgery. The characteristics of the patients are described in Table 1. The mean age was 65.0 ± 9.5 years (range: 30-85 years). As can be seen in Table 2, the most common histological type was adenocarcinoma, in 126 patients (82%), followed by epidermoid carcinoma, in 14 (9%), and carcinoid tumors, in 14 (9%). Of the 154 patients in the sample, 109 (71%) presented stage I lung cancer. The most common type of surgery was lobectomy, in 133 patients (86.3%), followed by segmentectomy, in 21 (13.7%), as shown in Table 3. The mean overall operative time was  $209 \pm 80$  min; being  $214 \pm 80$  min for lobectomies and  $167 \pm 51$  min for segmentectomies (p = 0.01). The mean number of resected lymph nodes was 12.0  $\pm$  6.5 (range: 2-38), and the mean number of lymph node stations sampled was  $6 \pm 1$  (range: 2-9). The pathological outcome and final staging are described in Table 4. There was no conversion to video-assisted thoracoscopic surgery or thoracotomy in any case, nor was there significant intraoperative bleeding.

Postoperative complications occurred in 32 patients (20.4%). Prolonged air leak was the most common



Table 1. Characteristics of the sample.<sup>a</sup>

Characteristic	(N = 154)
Gender	
Female	72 (46.5)
Male	82 (53.5)
Age, years	65 ± 9.5 (30-85)
BMI, kg/m <sup>2</sup>	27.1 ± 4.9 (19.6-51.4)
Pulmonary function	
FEV <sub>1</sub> , L/min	2.35 ± 0.74 (1.04-4.29)
FVC, % of predicted	87 ± 18 (40-125)
Comorbidities	
Smoking	91 (62)
Arterial hypertension	77 (54)
COPD	34 (24)
Previous neoplasia at another location	33 (23)
Heart failure	26 (18)
Diabetes mellitus	20 (14)
Kidney failure	6 (4)
Chronic liver disease	6 (4)

BMI: body mass index. <sup>a</sup>Values expressed as n (%) or mean ± SD (range).

### Table 2. Histological types.

Histological type	(N = 154)
Adenocarcinoma in situ	7
Minimally invasive adenocarcinoma	11
Adenocarcinoma - predominantly lepidic	11
Adenocarcinoma - predominantly papillary	10
Adenocarcinoma - predominantly micropapillary	4
Adenocarcinoma - predominantly acinar	22
Adenocarcinoma - invasive mucinous pattern	6
Adenocarcinoma - enteral pattern	3
Adenocarcinoma - solid pattern	2
Invasive adenocarcinoma (subtype not reported)	49
Adenosquamous carcinoma	1
Typical carcinoid tumor	11
Atypical carcinoid tumor	3
Epidermoid carcinoma	14

complication, in 15 patients (9.5%), and 7 patients (4.4%) were discharged with a chest tube in place. In addition, 4 patients (2.5%) required pleural drainage after removal of the chest tube. Chylothorax occurred in 4 patients (2.5%). All were treated with dietary measures; however, 2 underwent reoperation for thoracic duct ligation due to persistent lymphatic fistula. One patient who underwent right upper lobectomy required reoperation due to torsion of the middle lobe, which was resected. Another patient had a severe anaphylactic reaction at the end of a segmentectomy, with subsequent cardiopulmonary arrest, which was promptly reversed, with no motor or neurological sequelae. Yet another patient presented trauma as a result of endotracheal intubation, leading to laryngeal edema and the need for tracheostomy during extubation. On postoperative day 7, she presented intestinal perforation due to Ogilvie's syndrome.

Emergency laparotomy revealed a perforated cecum. A right hemicolectomy and temporary colostomy were performed. The patient showed no further complications in the postoperative period. The colostomy was reversed 2 months after hospital discharge. All of the complications are shown in Table 5.

The median length of hospital stay was 4 days (IQR: 3-6 days). The median pleural drainage time was 2 days (IQR: 2-4 days). Procedure-related death occurred in 1 patient (0.5%), 12 days after a lobectomy. That patient had developed pneumonia, sepsis, and multiple organ failure.

Pulmonary resection was categorized as complete in 97.4% of the cases. In 2.6% of patients, resection was categorized as uncertain due to the presence of at least one mediastinal lymph node affected by a neoplasm. Recurrence of neoplastic disease occurred in 11 patients (7.8%). The median overall survival and



#### Table 3. Types of surgical procedures performed.

Type of surgical procedure	(N = 154)		
Lobectomy	133 (86.3%)		
Upper right lobe	51		
Upper right lobe + bronchoplasty	2		
Middle lobe	5		
Lower right lobe	28		
Upper left lobe	21		
Upper left lobe + bronchoplasty	1		
Lower left lobe	24		
Middle lobe + lower right lobe	1		
Segmentectomy	21 (13.7%)		
Upper right lobe			
Apical segment (S1)	1		
Posterior segment (S2)	2		
Anterior segment (S3)	1		
Lower right lobe			
Upper segment (S6)	4		
Basilar segments (S7 +S8 + S9 + S10)	1		
Segment (S7 + S8)	1		
Upper left lobe			
Apical-posterior segments + anterior (S1 + S2 + S3)	6		
Lingular segment (S4 + S5)	1		
Lingular segment (S4)	1		
Lower left lobe			
Upper segment (S6)	2		
Anterior basal segment (S8)	1		

#### Table 4. Final pathological tumor-node-metastasis staging.

Т	N	М	Stage	n	%
In situ	0	0		7	
1a(mi)	0	0	1A1	10	20.5
1a	0	0		15	
1b	0	0	1A2	41	26.0
1c	0	0	1A3	22	14.0
2a	0	0	IB	17	11.0
2b	0	0	IIA	6	4.0
1b	1	0		5	
1c	1	0	IIB	4	9.5
3	0	0		6	
1a	2	0		2	
1b	2	0	IIIA	4	11.5
2a	2	0		4	
2b	2	0		3	
3	1	0		3	
4	1	0		2	
3	2	0	IIIB	4	2.5
1c	0	1b	11/4	1	1.0
3	Х	1 <sup>a</sup>	IVA	1	1.0

T: tumor; N: node; and M: metastasis.

median recurrence-free survival are shown in Figure 1. Among patients with recurrence of neoplastic disease, 2 (20%) had a confirmed tumor-node-metastasis (TNM) stage of N2. Disease recurrence occurred primarily in the pleura (35%), as well as through metastasis to the central nervous system (15%) and bones (10%). The mean follow-up period was 326  $\pm$  274 days (range: 3-1,110 days). Two patients died due to the

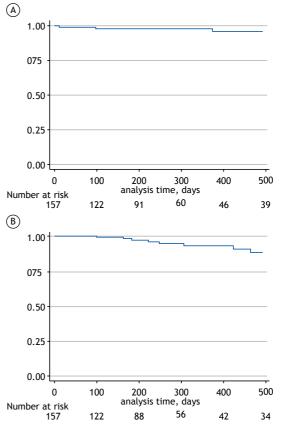


progression of neoplastic disease. The overall mortality rate in the present cohort was 1.5%, corresponding to three deaths.

# DISCUSSION

The present study portrays a consecutive series of 154 patients with primary lung cancer who were treated with pulmonary resection by robotic surgery. Our project started in 2015<sup>(1)</sup> and has seen a large increase in the number of individuals so treated since then. Most of the patients had stage I lung cancer. This predominance of early-stage tumors is in contrast with data collected for Brazil as a whole. According to the registry of malignant neoplasms in the state of São Paulo, only 1,835 (8.8%) of the 20,850 lung cancer patients registered between 2000 and 2010 had stage I disease.<sup>(11,12)</sup> That difference can be explained by the fact that our sample was composed of highly selected patients treated surgically at public and private referral hospitals, where they had greater access to chest CT scans and lung cancer screening programs.

The morbidity and mortality rates in the present study were 20.4% and 0.5%, respectively. These data are in line with those recently reported in the literature and represent the modern reality of thoracic surgery. This is a clear advance over the rates reported in studies previously conducted in Brazil. The analysis performed by Tsukazan et al.,<sup>(3)</sup> using the database of the Sociedade Brasileira de Cirurgia Torácica (SBCT, Brazilian Society of Thoracic Surgery), compared patients submitted to pulmonary resection by thoracotomy and those submitted to pulmonary resection by video-assisted thoracoscopic surgery. The complication rate in the thoracotomy group was 30.1%, compared with 21.8% in the video-assisted thoracoscopic surgery group. Regarding the mortality rate, it was 2.5% in the thoracotomy group and 1.6% in the video-assisted thoracoscopic surgery group. Another study using the SBCT database, which included pulmonary resections by video-assisted thoracoscopic surgery, reported a surgical morbidity rate of 19.1% and a mortality rate of 2.0%.<sup>(2)</sup> In our study, morbidity is comparable to that in patients who underwent video-assisted thoracoscopic surgery. However, there was a significant reduction



**Figure 1.** Kaplan-Meier curves. In A, the overall survival curve. In B, the disease-free survival curve.

Table 5. Complications. <sup>a</sup>	
Complication	n = 32 (20.4)
Prolonged air leak	15 (9.5)
Discharge with pleural drainage	7 (4.4)
Pneumothorax + further pleural drainage	4 (2.5)
Pulmonary embolism	4 (2.5)
Chylothorax	4 (2.5)
Pneumonia	3 (1.8)
Reoperation	3 (1.8)
Cardiac arrhythmia	2 (1.2)
Tracheostomy	2 (1.2)
Urinary tract infection	2 (1.2)
Non-dialysis dependent renal insufficiency	2 (1.2)
Dialysis dependent renal insufficiency	1 (0.6)
Endotracheal intubation trauma: glottic edema	1 (0.6)
Intestinal subocclusion	1 (0.6)
Intestinal perforation + colostomy	1 (0.6)
Hospital readmissions within 90 days	12 (7.5)

<sup>a</sup>Values expressed as n (%) or mean ± SD (variation).

in postoperative mortality (1.6-2.0% vs. 0.5%). This is corroborated by other studies of robotic pulmonary resections, which reported mortality rates of 0.2-1.3% and morbidity rates of 34.6-43.8%.<sup>(5-7)</sup>

Prolonged air leak was the most common complication in our sample. In fact, this finding is similar to that reported by other investigators.<sup>(5-7,13)</sup> Air leak prolongs the length of hospital stay, increases the chance of pleural infection, and often leads to additional procedures such as chest tube insertion. In our study, 4 patients (2.5%) required extended pleural drainage. In addition to the inconveniences associated with prolonged pleural drainage, prolonged hospitalization greatly increases hospital costs and decreases the cost-effectiveness of the robotic procedure.<sup>(14)</sup>

Although overall morbidity was in line with the most recent data, the profile of complications in our sample was different from that reported in other studies. Severe cardiovascular events occurred in only 3 patients. One patient had atrial fibrillation, which was treated with medications; another had myocardial ischemia and was treated with percutaneous coronary stenting; the third developed a severe anaphylactic reaction at the end of a segmentectomy, with subsequent cardiopulmonary arrest. Nasir et al.<sup>(15)</sup> observed arrhythmia in 7% of patients who underwent pulmonary resection, a complication that was not observed in our sample. However, postoperative chylothorax was observed in 4 patients (2.5%). We believe this is related to extensive lymph node dissection in the right paratracheal stations. These findings are confirmed in a study by Bryant et al.,<sup>(16)</sup> who demonstrated that lobectomy (p = 0.011), robotic surgery (p = 0.032) and the N2 pathological stage (p = 0.027) are predictors of chylothorax occurrence. Therefore, we currently recommend the use of clips in this region and initial aspiration of the pleural space at  $-8 \text{ cmH}_2\text{O}$  in the postoperative digital drainage system.

The survival rate curve for patients treated surgically in this study is above 90% at 2 years, which is consistent with data in the literature.<sup>(4-7)</sup> However, because of the short follow-up period, it was not possible to calculate the median survival rate in our sample. With a longer follow-up period, we would be able to analyze the impact of sublobar resections among patients treated surgically, specifically in relation to the local recurrence rate. The rate of complete resection in the present study (97.4%) is also consistent with data in the literature.<sup>(13,17)</sup>

Few studies have drawn direct comparisons between video-assisted thoracoscopic surgery and robotic surgery. However, there is evidence that robotic surgery is superior to traditional video-assisted thoracoscopic surgery.<sup>(5,7,8,14,15)</sup> The former provides reductions in morbidity and especially operative mortality. In addition, the extent of the lymph node disease/dissection, and the resulting mediastinal upstaging, make robotic surgery more attractive in cases of locally advanced disease.<sup>(17)</sup> In the case of occult N2 disease, robotic surgery can also reduce recovery time and the time between surgery and adjunctive treatment, thereby making it more likely that full doses of systemic therapeutic agents will be administered to patients.<sup>(17)</sup>

We conclude that robotic pulmonary resection is safe for the treatment of lung cancer, and the initial experience in Brazil is comparable to that reported in studies conducted elsewhere. Certainly, the method and its indications will be consolidated over time.

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