Risk factors for wheezing in the first year of life

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Abstract

Objective: To assess risk factors for wheezing in infants in southern Brazil.

Methods: Cross-sectional study using a standardized and validated questionnaire (Estudio Internacional de Sibilancias en Lactantes, EISL, or International Study of Wheezing in Infants). Parents of infants aged 12-15 months who attended 35 of 107 health centers between August 2005 and December 2006 for regular immunization were interviewed. The association between wheezing and factors studied was made using a prevalence ratio (PR) and confidence interval of 95% (95%CI) to perform a univariate analysis. Factors associated with wheezing in the bivariate analysis were studied using Poisson regression.

Results: Three thousand and three parents of infants filled out the questionnaire. The risk factors were male gender (PR = 1.14; 95%CI 1.05-1.24), history of asthma in the family [mother (PR = 1.18; 95%CI 1.04-1.33); father (PR = 1.20; 95%CI 1.05-1.39); siblings (PR = 1.23; 95%CI 1.08-1.42)], other pets in the home during pregnancy (PR = 1.28; 95%CI 1.07-1.53), age when child started daycare [0-3 months (PR = 1.15; 95%CI 0.98-1.34); 4-6 months (PR = 1.39; 95%CI 0.98-1.34); 7-12 months (PR = 0.79; 95%CI 0.79-1.39), six or more episodes of cold (PR = 0.79; 95%CI 0.98-1.34), and mold in the home (PR = 0.79; 95%CI 0.98-1.34). Up-to-date immunization (PR = 0.79; 95%CI 0.68-0.98) and bathroom in the home (PR = 0.83; 95%CI 0.68-1.01) were protective factors.

Conclusions: Independent risk factors for wheezing in the first year of life are also known risks for asthma in children and adolescents. These data are useful to predict the diagnosis of asthma and to promote its prevention (when applicable).

J Pediatr (Rio J). 2008;84(6):495-502: Epidemiology, infant, wheezing, asthma, risk factors.

Introduction

Infant wheezing is a determining factor for asthma in children and adolescents. Risk factors for asthma have been identified even before birth. However, little is known about factors associated to wheezing episodes among infants in Brazil.

Maternal conditions and habits during pregnancy are related to the onset of wheezing among infants, just as children born of asthmatic mothers have higher risks of developing asthma. 2 Mothers who smoked during pregnancy gave

birth to children with higher risks of wheezing and asthma. These children had high levels of IgE and IL-13 with low levels of of IL-4 and IFN- γ in cord blood and decreased airway caliber, with lower pulmonary function. ³⁻⁵

During the perinatal period or the first four months of life, exclusive breastfeeding may be a protective factor for wheezing. However, other studies do not support that hypothesis.^{6,7}

Respiratory viruses, such as respiratory syncytial virus (RSV), Rhinovirus, Metapneumovirus, Parainfluenza virus

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type 3 and Influenza virus, are associated to higher risks of wheezing among pre-school children.^{8,9} Among low-income populations, pneumonia has been associated to recurrent wheezing.10

The risk of developing wheezing in early life is higher for males, for infants who attend daycare, those exposed to secondhand smoke, those in contact with high levels of endotoxin and room air allergens, such as those produced by mites, cockroaches and animal epithelium. 11-17

In the KOALA study, immunized infants who followed the local immunization program did not have higher chances of developing wheezing than those who did not follow the recommended immunization schedule. 18

Even though some risk factors for infant wheezing and asthma in children and adolescents are known, little is known about risk factors linked to wheezing in the first 12 months of life. The goal of the present study is to identify risk factors for wheezing among infants in Southern Brazil.

Methods

The present study was performed as part of project EISL (Estudio Internacional de Sibilancias en Lactantes, or International Study of Wheezing in Infants), a multicenter, international, cross-sectional initiative developed to verify the prevalence of recurring wheezing, its clinical features, risk factors and association with respiratory infections among infants in the first 12 months of life in Latin America and some European countries. Like ISAAC (International Study of Asthma and Allergies in Childhood), EISL uses standardized case definitions and methodology, which increases the value of comparing data from centers from different countries and facilitates international collaboration.

The questionnaire was translated into Portuguese, back-translated into Spanish and validated among the local population. 19 The tool showed that parents or caretakers were able to identify wheezing episodes in their infants with high levels of agreement ($\kappa = 0.79$). Figure 1 shows the EISL questionnaire.20

In the 17 months of the study, the City Health Department had 107 health units, 35 of which were randomly selected and pro-rated to the population served by the units in the city. This method allows higher homogeneity in population samples, since the city is triangle-shaped and has an uneven demographic distribution.

The parents or legal representatives for infants aged 12-15months who consecutively attended the health units for routine immunization between August 2005 and December 2006 were approached and had the study explained to them. If they agreed to participate, they filled out the informed consent form and the written questionnaire. The parents were also

given guidance by 16 medical school students, who collaborated in collecting the material and answering 95% of questions in the tool, preventing any form from having blank answers. The interviewers were present at the health units once a month and in different days. The sample was a convenience sample, chosen to reach project goals.

The present study was approved by the Universidade Federal do Paraná (UFPR) Human Research Ethics Committee.

Statistical analysis

Employing the same methodology as ISAAC, considering a prevalence of wheezing of 30% and 25% in two difference centers, the study is powerful within 95% and has a 1% significance level for this sample.²¹

Gross and adjusted prevalence ratio estimates, with a 95% confidence interval (95%CI), were calculated using Poisson regression with robust error variance in bivariate analyses and multivariate analysis, respectively. Covariables which had p < 0.10 were considered using Poisson multiple regression (multivariate analysis). An interactive procedure was determined, initially considering all covariables with p < 0.10 in a multiple regression model. Next, the covariable with the largest value of p was removed from the model and it was recalculated. At each subsequent step, the least statistically significant covariable (largest value of p) was removed until all covariables had a value of p < 0.10, thus determining the final model. All significant probabilities presented (values of p) are bilateral, and values smaller than 0.05 were considered statistically significant. Statistical analysis was done using the SAS 9.1.3 (Statistical Analysis System, Cary, NC, USA) application.

Results

Three thousand and three infants participated in the study, consecutively, with 45.4% of them presenting one or more wheezing crises within the first 12 months of life.²² Table 1 shows the demographic characteristics of the population assessed.

Bivariate analysis did not find any association between wheezing in the first year of life and history of rhinitis and dermatitis in the family (mother, father, siblings), smoking by other family members, daily consumption of industrial food by the infant, cooking with gas, wood or other chemicals, air conditioning in the home, presence of cats in the home during pregnancy, presence of dogs, cats or other pets (such as birds, rabbits, etc.) in the home during the interview, carpeting in the home, kitchen inside the house, having a telephone, low maternal education, living in low-pollution locations, number of siblings, working mothers and ethnic differences.

Table 2 presents the association between wheezing in the first 12 months of life and the variables, as well as the statistical significance used in bivariate analysis.

| | | Ques | tionnaire | about r | espira | llory Syn | ipioms (| auring | iirst y | ear or i | iie | | |
|--|---|------------------------------------|------------------------------|---------------------|--------------------|------------------------------|---------------|------------|-------------------|------------|--------------------------|--------------------------|----------------------------|
| Dear Mom (Dad his/her first yea | | | | | | | | | | | | | |
| Person who will fi Chi l d's name | II out data: |] Mother | ☐ Father | Other | | | | | | | | | |
| AGE: Adress: | (months) | SEX: | Female | ☐ Male | | | | | | | | | |
| Current date | | | | | | | | | | | | | |
| Date of birth: | | | ay-month-yea | | | | | | | | | | |
| Birth weight Current weigh | kilogra kilogra | | | | езкио | grams 100 | grams) | | | | | | |
| Birth height | | | nt height | | cm | | | | | | | | |
| In what country w | as your baby b | orn? | | | | | | | | | | | |
| Please write an X | next to the spa | ce corresp | onding to you | ur answer. | | | | | | | | | |
| Has your baby you answered How many epis None | NO, please go | directly to ng in the cl | question 13 hest (bronchi | 2 itis or whis | tling) die | - | have durin | | | YES [|] NO | | |
| 3. At what age did | | | | | | | | t | month | ns | | | |
| 4. Has your baby (For example: \$ | been treated w | th inha l ed | medications | to relieve | | | nchodilato | rs) via ne | | or inhale | rs (sprays)? | | |
| 5. Has your baby Budesonide®, I | been treated w 3usonid®, Pu l m | | | | | | | | bicort®, I | | , Seretide®, | Clenil®, Beclo | oso l ®, |
| 6. Has your baby | , | , | | | | ☐ YES | _ | _ | DON'T | | | | |
| 7. During the last Never Sometimes | Rarely (less | than once | a month) | | - | e night beca or more nigh | | | - | - | a wheezing o | :hest? | |
| 8. During the last (Hospital, Clinic | | | | g) in your l]NO | baby's c | hest been s | o strong th | at you ha | ve had | to seek ei | nergency se | rvices | |
| 9. During the last (shortness of b | | | ring (whistling] NO | g) in your l | baby's c | hest been s | o intense t | hat you h | ave fe l t | it caused | great difficu l | ty in breathin | g |
| 10. Has your bab | | | | | YES YES | □ NO | | | | | | | |
| 12. Has your bab | | | |]NO |] 123 | □ 140 | | | | | | | |
| 13. Has your bab 14. Does anyone | smoke inside y | our house (| | | | ☐ YES incles)? | □ NO □ YES | □NO |) | | | | |
| 15. Do you smoke 16. Did the mothe | | □ NO moke durii | ng pregnancy | /? | YES | □ NO | | | | | | | |
| 17. Does your bal 18. Does your bal | oy have any fan | ni l y membe | rs with asthn | na? | YES | ☐ mothe | r 🗆 father | | | 7 6 . 10 | □ NO | | |
| 19. Does your bal | by have any fan | ni l y membe | rs with skin a | allergies (a | lle rgic d | | ☐ YES | | | | siblings siblings | □ NO | |
| 20. Was your bab 21. Has your bab | | | |]YES]YES | □ NO | | | | | | | | |
| 22. How old were 23. How often do | your baby whe | n he starte aby any of | d daycare? | Moi products | nths (not hor | ne-made): y Every day | | | os, choc | olate, soc | a, fruit juice | in bott l e or bo | ox, artificia l jar |
| 24. What type of I ☐ None 25. What type of t | gas heater | □ elec | tric heater | □ wood | □ c | oal 🗆 | paraffin | othe | r | | | | |
| Piped gas 26. Do you have a | ☐ LPG cyli | nder [|] e l ectricity | □ coal | □w IO | ood 🗆 | other | | | | | | |
| 27. Did you keep | any pets (dog, | cat, bird, ra | bbit) at home | when you | | was born? | | | | | | | |
| ☐ YES ☐ 0 28. Do you currer | log □cat itly keep any pe | | | |) 🗆 Y | ′ES ∏de | oa ∏ca | at □o | thers | | 0 | | |
| 29. Do you have | carpeting at hor | ne? | YES N | 0 | | | | | | | | | |
| 30. Do you have a 31. Is your kitche | | | | | | | ☐ YES | | | | | | |
| 32. Do you own a | telephone (cell | phone or I | | | | | | | | | | | |
| ☐ Complete p | e your level of e mary education rimary or incon econdary educ | , or none (| ndary educa | tion (nine | to 11 ye | | oling) | | | | | | |
| 34. For how many 35. How many co in the space) | months did yo | u on l y brea sneezing, | stfeed your b | aby (with | out givin | g juices, ba | | | | | months his first year | of life? (write | the number |
| 36. How old was 37. Did your baby | our baby when | he/she go e/she sti ll l | nave any skir | | during h | | | ` | montl spots o | | , allergy to d | iaper, | |
| 38. Do you think t | | you live ha | as atmospher | | | y smoke, ro | ad traffic, e | etc.)? | | | | | |
| 39. Are there mol 40. Is your baby's 41. How many sib | immunization u | ıp-to-date (| correspondir | |] YES rst year) | | ES 🗆 NO | 0 | | | | | |
| 41. How many sit | | | | at your ho | me? | | | | | | | | |
| 43. Do you (the m | | | | , | | | | | | | | | |

Adapted from Dela Bianca et al. 20

Table 1 - Demographic characteristics of population assessed

| | Wheezers | Non-wheezers | | | |
|--|--------------|--------------|--------|--|--|
| Variables | n (%) | n (%) | р | | |
| Gender | | | | | |
| Male | 746 (54.7) | 776 (47.3) | 0.0001 | | |
| Female | 618 (45.3) | 863 (52.7) | | | |
| Birth weight, kg (mean ± SD) | 3.1±0.6 | 3.2±0.5 | 0.007 | | |
| Current weight, kg (mean ± SD) | 10.5±1.6 | 10.5±1.5 | 0.08 | | |
| Birth height, cm (mean ± SD) | 47.9±3.1 | 48±2.8 | 0.0001 | | |
| Current height, cm (mean ± SD) | 75.4±3.3 | 75.7±3.4 | 0.17 | | |
| Age of onset of wheezing in months (mean \pm SD) | 5.5±3.1 | | | | |
| Ethnicity | | | | | |
| White | 1,134 (83.9) | 1,397 (85.5) | 0.21 | | |
| African-Brazilian | 199 (14.7) | 215 (13.2) | 0.22 | | |
| Asian | 8 (0.6) | 10 (0.6) | 1 | | |
| Others | 11 (0.8) | 11 (0.7) | 0.66 | | |
| Parental education | | | | | |
| Primary | 413 (30.7) | 467 (28.8) | 0.26 | | |
| High school | 440 (32.7) | 472 (29.1) | 0.03 | | |
| College | 491 (36.6) | 681 (42.1) | 0.002 | | |

SD = standard deviation.

In multivariate analysis, being male, family history of asthma, attending daycare, presence of other pets in the home during pregnancy, more than 6 episodes of cold, atopic dermatitis and mold stains in the home remained independent risk factors associated to wheezing in the first year of life, while up-to-date immunization was a protective factor. Attending daycare before 3 months of age and having a bathroom inside the home tended to be associated to infant wheezing. The variable "age of first cold" was excluded due to the large number of blank answers (n = 237) (Figure 2).

Discussion

Antenatal and postnatal factors are responsible for wheezing in very young children. Identifying risk factors for wheezing in infants is crucial for preventing asthma during their growth.

In this population, male infants tended to develop wheezing more than female ones, a finding also seen in other studies. However, it is known that that relation becomes inverted as children grow and become adolescents. However, the causal relation still has not been established. 11,23

In a cohort of 849 infants, those whose mothers had been diagnosed as asthmatic had greater chances of presenting wheezing in the first year of life, which was not seen when the fathers were asthmatic.²

In our assessment, those whose parents and siblings, separately, had histories of asthma had greater chances of having wheezing crises in the first 12 months of life than those who had no family history of asthma, pointing towards genetic factors prevalent in the development of wheezing among infants.

Infants which attended daycare or were exposed to a high number of older siblings during the first months of life were protected from asthma or recurring wheezing from 6 to 13 years-old. The same was not seen when infants turned 2 years-old. 12 In our sample, 0-3 months-old infants who attended daycare tended to have more wheezing crises, and those 4-12 months-old who remained in daycare had higher chances of wheezing. This was also seen among children ranging from 6 to 59 months of age in Sao Paulo in a study of a low-income population.²⁴ Checking the number of people who live in the same home, four or more, bivariate analysis showed an association with wheezing, but that number was not an independent risk factor after adjusting for potential factors in multivariate analysis, unlike what was seen in Tucson, AZ, USA.12

The presence of pets during pregnancy and after birth seems to be a protective factor against wheezing in early life. Children exposed to one or more dogs in the home after birth

Table 2 - Factors associated to wheezing in first 12 months of life in bivariate analysis

| Factors | PR | 95%CI | p | |
|-----------------------------------|------|------------|----------|--|
| Male | 1.17 | 1.08-1.27 | < 0.0001 | |
| Pneumonia | 1.87 | 1.74-2.01 | < 0.0001 | |
| Hospital admission for pneumonia | 1.96 | 1.82-2.12 | < 0.0001 | |
| Secondhand smoking | 1.09 | 1.007-1.17 | 0.03 | |
| Maternal smoking during pregnancy | 1.11 | 1.001-1.23 | 0.04 | |
| Asthma in the family | | | | |
| Father | 1.34 | 1.20-1.50 | < 0.0001 | |
| Mother | 1.39 | 1.22-1.59 | < 0.0001 | |
| Siblings | 1.45 | 1.27-1.64 | < 0.0001 | |
| Age when child started daycare | | | | |
| 0-3 months | 1.32 | 1.14-1.53 | 0.0002 | |
| 4-6 months | 1.47 | 1.33-1.63 | < 0.0001 | |
| Industrial food | | | | |
| Weekly | 1.21 | 1.008-1.47 | 0.04 | |
| Monthly | 1.52 | 1.24-1.86 | < 0.0001 | |
| Pets in the home during pregnancy | | | | |
| Dog | 1.07 | 0.98-1.16 | 0.08 | |
| Others | 1.28 | 1.07-1.53 | 0.006 | |
| Bathroom inside the house | 0.79 | 0.65-0.95 | 0.01 | |
| Higher maternal education | 0.89 | 0.80-0.98 | 0.02 | |
| Exclusive breastfeeding | | | | |
| 4-6 months | 0.88 | 0.80-0.95 | 0.003 | |
| 7-12 months | 0.82 | 0.72-0.93 | 0.002 | |
| ≥ 6 episodes of cold | 1.47 | 1.36-1.59 | < 0.0001 | |
| Age of first cold | | | | |
| 4-6 months | 0.84 | 0.77-0.92 | 0.0001 | |
| 7-12 months | 0.57 | 0.51-0.64 | < 0.0001 | |
| Atopic dermatitis | 1.24 | 1.14-1.34 | < 0.0001 | |
| Lives in very polluted location | 1.13 | 1.01-1.26 | 0.02 | |
| Mold/mildew in the home | 1.23 | 1.14-1.33 | < 0.0001 | |
| Up-to-date immunization | 0.81 | 0.64-1.02 | 0.07 | |
| More than four people in the home | 1.11 | 1.03-1.21 | 0.006 | |

95%CI = 95% confidence interval; PR = prevalence ratio.

had less wheezing at early ages. That observation was valid for infants whose parents had no history of asthma, but not for the children of asthmatic parents. 17 The same was seen for cat allergens. Children of asthmatic parents had the same risk of wheezing in the first year of life regardless of whether there was environmental control for cat allergens or not. For children who had low risk of asthma, the chance of wheezing was lower, showing that exposure to that environmental factor was not important. 16

The presence of dogs or other pets in the home during pregnancy (birds, rabbits, etc.) was a risk factor for wheezing in the population, according to the bivariate analysis. However, only the presence of other pets during pregnancy remained an independent variable associated to wheezing in the first twelve months. The presence of pets in the home at the time of the interview was not associated to infant wheezing.

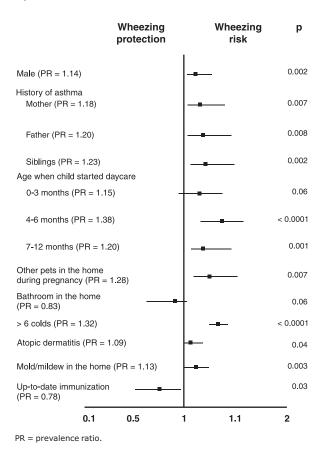


Figure 2 - Result of multivariate analysis (Poisson regression) between factors assessed and wheezing in first 12 months of life

The protection from having pets in the home during pregnancy remains controversial and requires further studies. The questionnaire did not specify exactly what other animals were found in the interviewees' homes, nor did it request that they be specified; it only mentioned, as examples, "birds and rabbits," which might have induced positive answers from parents. In any case, this is a relevant piece of information which requires further study.

Despite the fact that the mechanism is still unknown, children exposed to house fungi antigens (Penicillium, Cladosporium, Aspergillus, and Alternaria) during the first year of life had increased relative risk of croup, pneumonia, bronchitis and bronchiolitis.25

In the population described in the present study, the presence of mold and/or mildew in the home was an important factor for wheezing in the first year of life, probably due to the high relative humidity common in the city.

Having a bathroom inside the home is a factor associated to economic status in Brazil. The rate of wheezing infants in the low-income population was 80%, twice as much as the rate in the sample. 10 The same could be seen in a cross-sectional study of children under 5 years-old from

low-income families in Sao Paulo, where living conditions were associated to higher risks of wheezing, though with a lower prevalence (12.5%) than that found in the city of Curitiba (in the Southern state of Paraná, Brazil).²⁴ In Curitiba, per capita income is higher than the national average, and the unemployment rate is among the lowest in the country. This might have been a determining factor for having a bathroom inside the home become a protective factor with marginal statistic significance. The prevalence of wheezing in developed countries is lower than that found in the present study, showing that economic conditions might be a protective factor. 15

Stein et al. showed a direct correlation between wheezing and RSV. For 66% of wheezing infants, the associated virus was identified by culture or direct immunofluorescence and RSV was the most frequent finding. 9 In the Brazilian population, having 6 or more colds is considered a factor associated to wheezing. In Curitiba, the viral season is well-defined and must have contributed to that association.²⁶

Atopic dermatitis is a predictive factor for infant asthma and the first manifestation of atopy.²⁷ In the present study, infants with atopic dermatitis had higher risk of wheezing in the first 12 months of life.

In a cohort of 2,545 families in the Netherlands, children who had completed the local immunization schedule (77%) were compared to 393 children with incomplete immunization (15%) and 182 children who were never immunized in their first 6 months of life (7%). No difference was seen in the rates of wheezing among infants in the first 12 months of life. 18 In the present study, children with updated immunization had lower risk of wheezing in the first year of life, a data point which contradicts the hygiene hypothesis, which states that immunized children have fewer infections and, consequently, more allergic diseases. 18

These risk factors found for infant wheezing are different than those found for asthma risk in adolescents in Rio de Janeiro, except for atopic dermatitis, also found by written questionnaire.²⁸ Clarifying a possible correlation between risk factors for wheezing and/or asthma between different age groups requires the performance of a longitudinal study to check the temporal relation and association between asthma and wheezing factors.

The questionnaire is an acceptable research tool in epidemiological inquiries. However, the various centers must participate as much as possible so that there can be a broad overview of the objective at hand. It is simple, requires few resources and can be self-applicable. The tool must be applied in such a way as to differentiate the ill from the non-ill, which is done by validating it and calculating sensitivity and specificity coefficients.²⁹

The sensitivity and specificity of this tool were high, ¹⁹ but the material was collected in 16 months, spanning some viral and climate seasons twice, which may have interfered with prevalence and produced some form of bias. The sample of 3,003 infants was also a convenience sample, chosen to reach study goals, which cannot be a determining factor for the rate of participation from the population.

In conclusion, some risk factors (male gender, family history of asthma, attending daycare, number of colds and personal history of dermatitis) are common to other populations from previous assessments. However, there are other factors (presence of other pets during pregnancy and mold/mildew in the home) which should be unique to the sample in the present study. Identifying risk factors for wheezing in the first year of life contributes to the diagnosis of asthma. Some factors are intrinsic, such as gender, family history of asthma and atopic dermatitis, which cannot be avoided. But some are extrinsic, such as attending daycare, mold stains in the home, colds and the presence of other pets (such as birds and rabbits), which can be avoided. Intervening on some of these factors, mainly those which are not intrinsic to the individual, could decrease the number of wheezing infants and, consequently, the number of asthmatic children as well.

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