

## Longitudinal comparison between plasma and seminal HIV-1 viral loads during antiretroviral treatment

### Comparação longitudinal entre cargas virais seminais e plasmáticas do HIV-1 durante terapia anti-retroviral

Lauro Ferreira da Silva Pinto Neto,<sup>1,2</sup> Nilo F.R. Vieira<sup>2</sup>, Moacir Soprani<sup>2</sup>, Carla B. Cunha<sup>1</sup>, Valéria P. Cabral<sup>1</sup>, Reynaldo Dietze<sup>1</sup> and Rodrigo Ribeiro-Rodrigues<sup>1</sup>

**Abstract** This study was designed to investigate the impact of anti-retroviral therapy on both plasma and seminal HIV-1 viral loads and the correlation between viral loads in these compartments after treatment. Viral load, CD4<sup>+</sup> and CD8<sup>+</sup> T-cell counts were evaluated in paired plasma and semen samples from 36 antiretroviral therapy-naïve patients at baseline and on days 45, 90, and 180 of treatment. Slopes for blood and seminal viral loads in all treated patients were similar ( $p = 0.21$ ). Median HIV-1 RNA titers in plasma and semen at baseline were  $4.95 \log_{10}$  and  $4.48 \log_{10}$  copies/ml, respectively. After 180 days of therapy, the median viral load declined to  $3.15 \log_{10}$  copies/ml (plasma) and  $3.2 \log_{10}$  copies/ml (semen). At this timepoint 22 patients presented HIV-1 viral load below 400 copies/ml in either plasma or semen, but only 9 had viral loads below 400 copies/ml in both compartments.

**Key-words:** Viral load. Semen. HIV-1. Antiretroviral therapy. Plasma.

**Resumo** Este estudo foi desenhado para investigar o impacto do tratamento com anti-retrovirais na evolução das cargas virais plasmáticas e seminais do HIV-1. A carga viral do HIV-1 e a contagem de linfócitos T CD4<sup>+</sup> e CD8<sup>+</sup> foi determinada em amostras pareadas de sangue e sêmen de 36 pacientes virgem de tratamento nos dias 0, 45, 90 e 180 após o início da terapia. As curvas de declínio das cargas virais plasmática e seminal foram semelhantes ( $p = 0.21$ ). As medianas da carga viral plasmática e seminal no pré-tratamento (dia 0) foram  $4.95$  e  $4.48 \log_{10}$  cópias/ml, respectivamente. Seis meses após o início da terapia, a mediana da carga viral plasmática era  $3.15 \log_{10}$  cópias/ml e a seminal  $3.2 \log_{10}$  cópias/ml. Neste mesmo período, 22 pacientes apresentavam carga viral abaixo de 400 cópias/ml no plasma e/ou sêmen, enquanto apenas 9 pacientes apresentavam carga viral abaixo do limite de detecção nos dois compartimentos.

**Palavras-chaves:** Carga viral. Sêmen. HIV-. Terapia antiretroviral. Plasma.

Despite the fact that HIV-1 was first recovered from semen samples in 1984, and sexual activity continues to be the most common form of HIV transmission, little is known about the impact of seminal viral load on HIV-1 infection<sup>1-8</sup>. A wide variety of biological and behavioral factors have been associated with the risk of sexual transmission of HIV-1<sup>14</sup>. Recently, a strong association between HIV-1 plasma viral load and the risk of heterosexual transmission was reported<sup>13</sup>. It has been suggested that high levels of HIV-1 replication probably contribute to both rapid disease progression and enhanced sexual transmission<sup>3, 5, 18</sup>. Small short-

term studies have demonstrated that antiretroviral therapy reduces both blood and seminal viral load and may diminish HIV transmission<sup>6, 7, 18</sup>. We have shown that the correlation between plasma and seminal viral loads in therapy-naïve patients decreases in parallel with declining CD4 cell counts<sup>13</sup>.

The current study was conducted to prospectively evaluate the impact of antiretroviral therapy on HIV viral loads in plasma and semen of antiretroviral-naïve HIV-1<sup>+</sup> patients; and, to compare viral loads in both semen and plasma compartments, with peripheral blood T-cell counts at baseline and during treatment.

1. Cellular and Molecular Immunology Laboratory, Núcleo de Doenças Infecciosas, Universidade Federal do Espírito Santo, Vitória, ES, Brazil. 2. Santa Casa de Misericórdia de Vitória, Vitória, ES, Brazil.

Address to: Dr. Rodrigo Ribeiro-Rodrigues. Núcleo de Doenças Infecciosas/CBM/UFES. Av. Marechal Campos 1468, Maruípe 29040-091 Vitória, ES, Brazil.

Tel: 55 27 335-7207, Fax: 55 27 335-7204

e-mail: rodrigrr@ndi.ufes.br

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## MATERIAL AND METHODS

**Institutional Review Board.** Prior to its implementation, this study was submitted and approved by the Biomedical Center Internal Review Board. A signed informed consent was obtained from all enrolled patients before their inclusion in the study.

**Patients.** The study population consisted of 36 consecutive adult anti-retroviral therapy-naïve HIV-1+ men attending the HIV clinic at Santa Casa de Misericórdia, Vitória, ES, Brazil, between March 1998, and July 1999. All patients were free of signs or symptoms of sexually transmitted diseases. Clinical staging was performed according to the CDC classification system<sup>2</sup>. These patients were treated with either two-drug (two nucleoside analogs reverse transcriptase inhibitors) or three-drug (two nucleoside analogs reverse transcriptase inhibitors plus one protease inhibitor or one non-nucleoside reverse transcriptase inhibitor regimens) schemes according to Brazilian Consensus on Antiretroviral Therapy, current at the time of the study<sup>10</sup>. After informed consent, all 36 patients were requested to donate blood and semen samples at baseline and 45, 90, and 180 days after beginning therapy.

**Quantitation of CD4+ lymphocytes in blood samples.** Blood was collected by venipuncture in Vacutainer tubes (Becton Dickinson, Franklin Lakes, New Jersey, USA) using K<sup>3</sup>-EDTA as an anticoagulant and processed within 4 hours of collection. Peripheral blood CD4 and CD8 cell counts per microliter were determined by flow cytometry (FACScount, Becton & Dickinson, Mountain View, CA, USA) using standardized protocol recommended by the manufacturer. Results were expressed as mean  $\pm$  standard deviation.

**Quantitation of HIV-1 RNA in blood and seminal plasma samples.** Plasma was obtained from K<sup>3</sup>-EDTA-treated whole blood samples centrifuged at 600 x g for 7 minutes and stored in aliquots at -70°C within 4 hours of collection. In order to ensure the quality of semen samples, patients were asked to comply with a 72-hour sexual abstinence prior to sample collection. Semen samples were processed within 4h of ejaculation as described by Vernazza et al<sup>18</sup>. Briefly, the total volume of semen was measured and the sample diluted 1:2 with viral transport medium (plain RPMI 1640 + 1000U/ml penicillin + 1000mg/ml streptomycin). Diluted sample was centrifuged at 1,600 x g for 5 min, and the supernatant was

collected. Aliquots were stored at -70°C until used for the quantification of HIV-1 RNA. Semen samples are referred to as seminal plasma. HIV-1 viral load in either blood plasma (PVL) or seminal plasma (SVL) were quantified by the NASBA method (Organon Teknika, Durham, North Carolina, USA)<sup>4, 16</sup>. The detection limit of the assay was 400 HIV-1 RNA copies/ml. Both plasma and seminal viral load results were expressed as medians. In order to evaluate inter-sample variability in PVL and SVL, 2 consecutive paired blood and semen samples were collected and analyzed (interval of 11.2  $\pm$  7.5 weeks between the two collection points) from 17 therapy-naïve patients before the treatment began.

**Treatment.** Antiretroviral regimen for each patient was selected by the physician in charge following the guidelines of the Brazilian Consensus on Antiretroviral Therapy in place at the time of the study. Twelve patients were treated with two nucleoside analog reverse transcriptase inhibitors (9 used AZT + ddI and 3 used AZT + ddC). Whereas, 24 patients were treated with three drugs, 2/24 patients were treated with nucleoside analogs (AZT + 3TC) and a non-nucleoside reverse transcriptase inhibitors (Efavirenz) and the remaining 22 patients were treated with two nucleoside analogs (AZT + 3TC) and a protease inhibitor (10 with Indinavir, 8 with Ritonavir and 4 with Nelfinavir). For further analysis and discussion of results, these patients were divided into those receiving two-drug regimens (12 patients) and those receiving three-drug regimens (24 patients). Patient compliance was encouraged and followed by the clinical team throughout the protocol.

**Statistical analysis.** Considering the non-normal distribution of RNA concentrations, confirmed by Kolmogorov-Smirnov tests, continuous variables were analyzed following log transformation and linear regression analysis by the Wilcoxon's test. Correlations between non-parametric variables (HIV-1 RNA titers on blood and semen, CD4 and CD8 counts) were evaluated using Spearman's rank correlation test. The decline of viral load slopes on blood plasma and semen samples were compared by multivariate analysis. All statistical analyses were carried out using SPSS 8.0 software (SPSS Inc) and by SAS (SAS institute Inc. SAS/STAT guide for personal computers, version 6, Cary, NC, USA).

## RESULTS

The mean age for the 36 enrolled patients was 33.7  $\pm$  9.6 years, with a predominance of Caucasians (58.3%, 21/36 patients). Twenty-three patients were homosexual/bisexual, 9 were heterosexuals and 4 were intravenous-drug users. At baseline 7 patients (19%) had CD4 cell counts higher than 500 cells/ $\mu$ l, 15 (42%) had cell counts

between 499 and 200 CD4 cells/ $\mu$ l and 14 (39%) patients had CD4 counts less than 200 CD4 cells/ $\mu$ l.

Fourteen out of 36 patients were asymptomatic. Symptomatic patients most frequently were found to have weight loss greater than 10%/cachexia (6 patients), pulmonary pneumocystosis (5 patients), herpes zoster

(4 patients), tuberculosis (3 patients), cryptococcal meningitis (2 patients), neurotoxoplasmosis (1 patient), esophageal candidiasis (2 patients), recurrent bacterial pneumonia (1 patient), five patients presented more than one of the opportunistic infections/clinical manifestations described above.

The mean CD4<sup>+</sup> and CD8<sup>+</sup> T cell counts, at baseline, were 313 ± 242 cells/ml (32 - 964 cells/μl) and 1,189 ± 486 cells/ml (266 - 2,292 cells/μl), respectively (Table 1). At 6 months of treatment CD4<sup>+</sup> cell counts improved with antiretroviral therapy, patients with a mean increase of 123 CD4<sup>+</sup> cells/μl (Table 1 and Figure 1A). Improvement in CD4<sup>+</sup> and CD8<sup>+</sup> T cell counts were significantly greater among patients taking 3-drug when compared to those on 2-drug combinations. After therapy began, CD4/CD8 T cell ratios improved at 90 and 180 days after initiation of antiretroviral therapy and were 0.30, and 0.34, respectively, when compared to the ratio at baseline (CD4/CD8 = 0.27).

HIV-1 RNA was detected and quantified at baseline on all blood and semen samples. Inter-sample variability in PVL and SVL was evaluated using 2

consecutive paired blood and semen samples collected from 17 treatment-naïve patients. Albeit PVL and SVL in these 17 patients were not significantly different ( $p = 0.463$  and  $p = 0.906$ , respectively), SVL samples presented a broader variation when compared to their paired PVL samples (data not shown).

Baseline PVL and SVL for all antiretroviral-naïve patients studied were 4.95 log<sub>10</sub> copies/ml (3.51 - 5.92 log<sub>10</sub> copies/ml) and 4.48 log<sub>10</sub> copies/ml (3.04 - 6.11log/ml), respectively. After therapy initiation, a significant reduction in plasma samples was observed ( $p = 0.000$ ): PVL at days 45, 90 and 180 were 3.08, 2.86, and 3.15 log<sub>10</sub> copies/ml, respectively. A similar significant decrease was also observed for SVL at 45, 90, and 180 days of therapy (3.26, 2.47, and 3.2 log<sub>10</sub> copies/ml, respectively) (Table 1 and Figure 1B). Patients receiving 2-drug versus 3-drug regimen presented significant differences only on PVL reduction ( $p = 0.011$ ). However no statistical difference was seen between SVL reduction in patients receiving 2-versus 3-drug regimens (Figure 1B). When all patients were considered, reduction rates in PVL and SVL were similar during treatment, as demonstrated by multivariate analysis ( $F_{3,210} = 1.52$ ;  $p = 0.21$ ) (Table 1 and Figure 2).

Table 1 - Evolution of CD4 & CD8 counts; plasmatic and seminal HIV-1 viral burden with therapy\*.

	Timepoints			
	Baseline	45days	90 days	180 days
CD4 <sup>+</sup> T cells	313 ± 242	415 ± 214	437 ± 227	436 ± 258
CD8 <sup>+</sup> T cells	1189 ± 486	1608 ± 1067	1490 ± 626	1279 ± 484
PVL	4.95	3.08	2.86	3.15
SVL	4.48	3.26	2.47	3.20

\* CD4 & CD8 cell counts are expressed as mean ± standard deviation of cell counts/μl. PVL and SVL are expressed as medians of log<sub>10</sub> copies/ml.

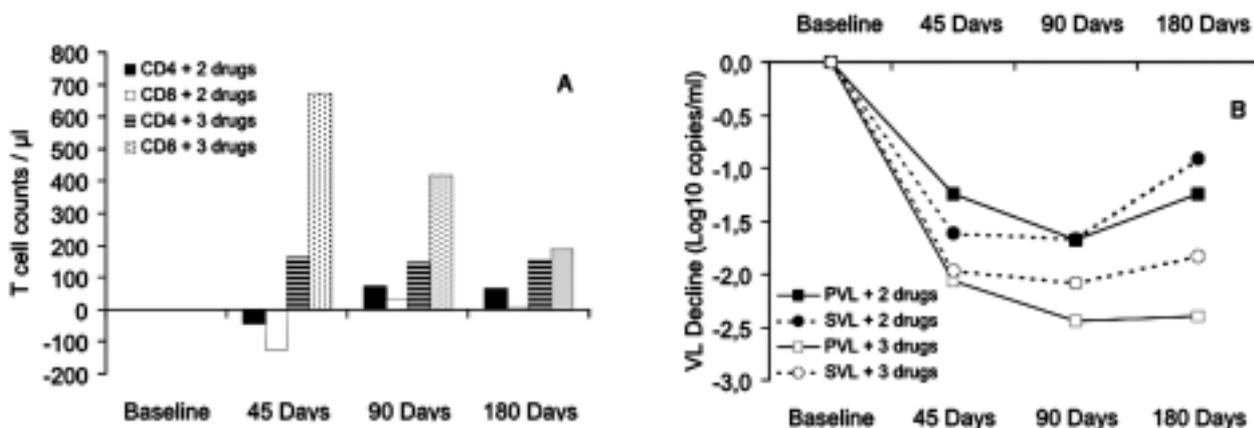


Figure 1 - A) Changes in CD4 and CD8 T cell counts before and during antiretroviral treatment with different drug schemes, and B) Changes in seminal and plasma HIV-1 viral load on patients receiving 2- and 3- drugs combination therapy.

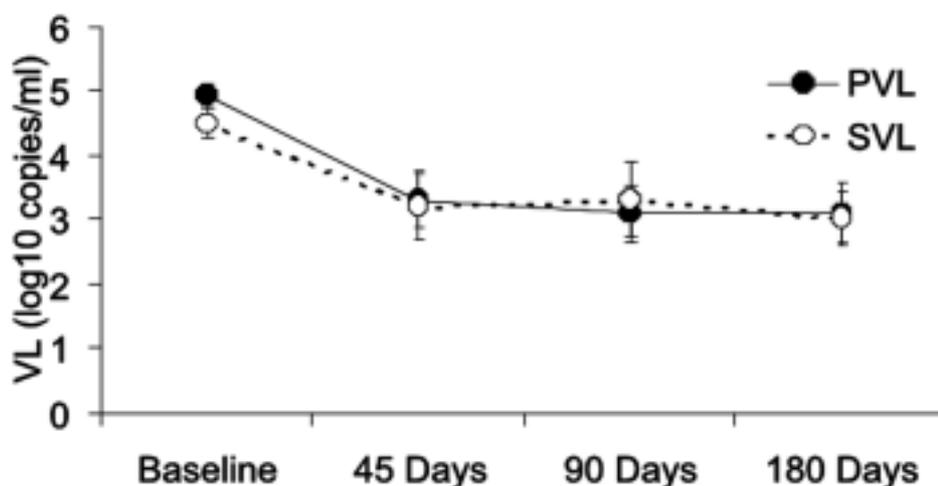


Figure 2 - Median HIV-1 viral load on both plasma and seminal samples from all 36 patients receiving antiretroviral therapy.

PVL = plasma viral load and SVL = seminal viral load. Median $\pm$ SEM (standard of the mean).

Only 9/36 (25%) patients presented both PVL and SVL below the assay's detection limit after 6 months of antiretroviral therapy, 2 patients receiving 2-drug regimens and 7 on 3-drug combinations (Table 2). At 6 months, 13 patients presented discordant HIV-1 viral loads, 6/36 (17%)

had non-detectable PVL but detectable SVL (1 patient receiving 2-drug and 5 on 3-drug regimens). On the other hand, 7/36 (19%) patients presented non-detectable SVL but a detectable PVL (2 patients receiving 2-drug combinations and 5 on 3-drug regimens) (Table 1).

## DISCUSSION

In the present work, we investigated the impact of anti-retroviral therapy on CD4 counts, PVL and SVL in 36 patients with HIV infection during a 6-month period after treatment initiation. In our study, the mean increase in CD4 counts after 6 months of treatment was lower than that previously reported<sup>12-15</sup>. This difference was probably due to the fact that a subset of patients had received a 2-drug regimen during follow-up. In fact, when only patients taking 3-drug (24/36) were considered, an increase of 161 CD4<sup>+</sup> cells/ $\mu$ l was observed, which is similar to the improvement (150 CD4<sup>+</sup> cells/ $\mu$ l) previously reported by Schooley et al<sup>15</sup>. After 6 months of antiretroviral therapy, 25% of patients (9/36) had undetectable PVL and SVL, 2 treated with 2-drug regimens and 7 with a 3-drug combination (Table 2). Some patients presented discordant HIV-1 viral loads, 6/36 (17%) had non-detectable PVL but detectable SVL and 7 (19%) presented non-detectable SVL but a detectable PVL. Fifteen out of 36 treated patients (42%) had PVL below the detection limit after 6 months of therapy. Again, if separated according to their therapeutic schemes, 25% (3/12) of the patients taking 2 drugs and 50% (12/24) of the patients taking 3 drugs had non-detectable PVL. The difference between the two groups was not significant ( $p = 0.27$ ), probably due to the size of our cohort.

At baseline, when patients were grouped according to their CD4 cell counts, correlation between PVL and

SVL was dependent on the CD4 cell counts. No correlation between PVL and SVL was observed among patients with CD4 counts below 200 CD4<sup>+</sup> cells/ $\mu$ l. However, after 6 months of treatment, the correlation between PVL and SVL was no longer dependent on CD4<sup>+</sup> cell counts (data not shown). During treatment, a similar decrease was observed in both PVL and SVL ( $p = 0.21$ ), confirming previously published results indicating an overall correlation between PVL and SVL<sup>4,17</sup>. Although discordant HIV-1 viral load titers were observed in plasma and semen samples from several patient samples after 6 months of therapy, VL was below the detection limit in plasma but detectable in semen samples from 6 patients (patients # 14, 18, 19, 26, 27 and 30) and VL was below the detection limit in semen but detectable in plasma in another 7 patients (patients # 2, 7, 12, 21, 23, 25 and 36). The discordance observed in these 13 patients, corroborates the idea of HIV compartmentalization, which may be characterized by an imperfect correlation between blood and seminal compartments. Blood and seminal compartmentalization of HIV infection, may explain, in part, the differences in sexual transmission rates observed among different populations. Recently, Quinn et al. reported that an important correlation between plasma viral load and heterosexual transmission of HIV was observed among seropositive men in Sub-Saharan Africa<sup>14</sup>.

Table 2 - CD4<sup>+</sup> cell counts and viral load determination at baseline, 45, 90 and 180 days of antiretroviral therapy\*.

Patients	Baseline			45 days			90 days			180 days			Therapy**
	CD4 <sup>+</sup>	PVL	SVL										
1	216	5.04	5.21	712	3.68	3.8	672	3.11	BDL	561	BDL	BDL	2 RTI+PI
2	378	4.38	4.41	529	2.83	3.28	526	BDL	3.9	530	3.45	BDL	2 RTI
3	59	5.63	4.28	115	5.04	BDL	143	4.08	4.18	47	2.86	5.11	2 RTI+PI
4	844	4.79	4.7	763	2.86	BDL	889	2.94	BDL	1192	3.15	3.11	2 RTI
5	168	5.76	5.76	220	4.56	4.86	419	4.4	3.6	336	4.11	3.74	2 RTI
6	400	4.66	4.85	519	3.38	BDL	583	BDL	BDL	522	BDL	BDL	2 RTI+PI
7	200	5.45	5.18	291	4.41	BDL	211	3.27	2.78	268	2.9	BDL	2 RTI+PI
8	811	4.2	5.28	704	2.83	4.28	868	BDL	BDL	703	BDL	BDL	2 RTI
9	191	3.51	3.41	77	4.41	3.83	93	2.67	BDL	68	3.04	3.78	2 RTI+PI
10	48	5.92	5.29	165	2.78	BDL	187	5.04	4.2	98	5.83	4.66	2 RTI+PI
11	361	4.11	3.53	441	3.18	BDL	641	2.99	BDL	535	3.66	3.66	2 RTI
12	94	5.49	3.64	400	2.97	BDL	432	BDL	BDL	281	4.46	BDL	2 RTI+PI
13	348	5.08	3.88	516	4.9	3.76	524	2.95	4.29	628	BDL	BDL	2 RTI+PI
14	514	4.18	4.38	800	BDL	BDL	878	BDL	BDL	953	BDL	3.53	2 RTI
15	479	4.95	4.95	380	5.11	4.98	352	3.83	4.96	338	5.23	3.89	2 RTI
16	189	4.95	5.17	335	3.04	BDL	296	2.67	BDL	310	BDL	BDL	2 RTI+PI
17	603	4.76	4.41	907	BDL	BDL	785	BDL	BDL	737	2.88	3.58	2 RTI+PI
18	421	5.18	5.21	548	BDL	BDL	446	3.23	BDL	724	BDL	2.88	2 RTI+PI
19	36	5.2	5.34	198	3.15	BDL	239	BDL	BDL	203	BDL	3.2	2 RTI+PI
20	139	4.3	5.45	219	BDL	3.26	135	BDL	BDL	189	BDL	BDL	2 RTI+PI
21	152	4.91	3.04	720	4.7	BDL	348	3.71	BDL	169	3.87	BDL	2 RTI+PI
22	119	5.86	4.48	274	BDL	4	421	BDL	BDL	480	BDL	BDL	2 RTI+PI
23	133	5.48	4.51	384	3.38	4.26	368	2.63	4.51	302	BDL	2.97	2 RTI+PI
24	229	5.57	5.26	258	3.08	3.27	205	4.23	4.45	230	3.67	BDL	2 RTI+PI
25	964	4.61	5.06	449	4.45	3.84	729	4.11	4.64	508	4.2	4.69	2 RTI
26	32	4.62	3.68	264	BDL	BDL	209	BDL	BDL	225	2.7	BDL	2 RTI+PI
27	380	5.04	3.76	432	2.75	3.56	448	3.52	4.12	496	BDL	2.72	2 RTI+PI
28	48	4.81	6.11	296	3.04	4.92	248	4.41	4.62	254	4.07	4.38	2 RTI+PI
29	235	5.76	5.56	345	3.23	4.18	372	BDL	3.3	556	BDL	BDL	2 RTI+PI
30	322	5.04	3.76	419	4.56	4.86	512	3.15	3.9	550	BDL	4.69	3 RTI
31	279	5.04	4.18	396	2.86	BDL	380	2.86	3.07	696	3.66	3.41	2 RTI+PI
32	297	4.68	4.08	288	2.9	3.58	310	BDL	2.91	444	3.71	4.11	3 RTI
33	688	4.2	3.6	420	3.07	BDL	546	3.08	BDL	365	4.26	4.4	2 RTI
34	588	4.66	3.92	792	BDL	BDL	800	3.32	4.77	793	BDL	BDL	2 RTI
35	54	4.93	3.92	120	BDL	BDL	183	3.52	4.1	190	3.04	3.34	2 RTI
36	232	3.86	4.11	244	BDL	BDL	336	4.18	4.08	206	3.86	BDL	2 RTI

\*PVL = Blood plasma viral load. SVL = Seminal plasma viral load; CD4 expressed as CD4<sup>+</sup> cells/ $\mu$ l and both PVL and SVL expressed as Log<sub>10</sub> copies/ml. BDL = Below detection limit (400 copies/ml; 2.6 Log<sub>10</sub> copies/ml). \*\* RTI = Reverse Transcriptase Inhibitors. PI = Protease Inhibitor.

Our study confirms the decline in SVL after antiretroviral therapy as described by other authors<sup>16 11 18</sup>. However, the lack of correlation between PVL and SVL in a significant number of patients in our cohort suggests that, in a clinical setting, when inhibition of HIV-1<sup>+</sup> replication in blood plasma is achieved it may

not be the case in another compartment, such as germinal tissues. Clearly, it may amplify the risk of development of drug-resistant mutants as described before<sup>9</sup>. Therefore, more studies are needed to fully investigate the efficacy of antiretroviral therapy on HIV load in semen from HIV-1<sup>+</sup> patients and its impact on viral shedding.

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