Percutaneous Transluminal Septal Alcoholization for the Treatment of Refractory Hypertrophic Obstructive Cardiomyopathy. Initial Experience in the Federal District

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**Objective** - To analyze the efficacy of percutaneous transluminal septal alcoholization in the treatment of refractory obstructive hypertrophic cardiomyopathy (HOC).

**Methods** - The patients were referred for alcoholization after Doppler echocardiography. Before and after alcoholization, the intraventricular pressure gradient was recorded. Alcoholization was performed with a 3mL injection of absolute alcohol through a coronary angioplasty balloon catheter. The procedure was concluded after a significant reduction or abolition of the pressure gradient.

**Results** - Of 22 patients, 18 (81.8%) successfully concluded the procedure with a reduction in intraventricular pressure gradient at baseline (from 67.6±24.2 mmHg to 3.8±1.9 mmHg, p<0.005) and after extrasystole (from 110.4±24.2 mmHg to 9.6±2.6 mmHg, p<0.005). A significant reduction in mean interventricular septal thickness (from 2±0.3 mm to 1.7±0.2 mm, p<0.005) and in peak pressure gradient (from 90.7±23.5 mmHg to 6.1±1.4 mmHg, p<0.005) was observed on Doppler echocardiography after 6 months, when all patients were in functional class I. The most frequent acute complication, present in 11% of the patients, was the need for definitive pacing implantation. Relapse of the symptoms and reappearance of the pressure gradient occurred in 16.6% of the patients. One patient (5.5%) died probably due to a diffuse coronary spasm prior to the procedure, and another died suddenly on late follow-up.

**Conclusion** - Percutaneous transluminal septal alcoholization is effective and safe in the treatment of HOC.

Keywords: percutaneous septal alcoholization, percutaneous septal ablation, hypertrophic obstructive cardiomyopathy, ablation
refractory hypertrophic obstructive cardiomyopathy appeared with Sigwart’s original report on the reduction in septal mass after myocardial infarction induced by alcoholization of the septal artery. The target vessels were the first septal branches of the anterior interventricular artery, which, in most cases, is the major branch of the left coronary artery. These first branches are responsible for the irrigation of the abnormally hypertrophic proximal septum that causes obstruction of the left ventricular outflow tract.

Several studies carried out later confirmed the efficacy of the permanent interruption of the septal artery in cardiac catheterization laboratories, seen as a reduction in the intraventricular pressure gradient, in the abnormally hypertrophic septal thickness, and in symptomatology.

Our study aimed at analyzing the efficacy of septal artery alcoholization in the treatment of refractory hypertrophic obstructive cardiomyopathy in patients treated and followed up at the Hospital das Forças Armadas and the Instituto do Coração de Taguatinga, in Brasilia, Federal District.

**Methods**

From August 1998 to February 2001, 54 patients with hypertrophic obstructive cardiomyopathy confirmed on Doppler echocardiography were referred for percutaneous transluminal septal alcoholization, 22 of whom were selected for the production of therapeutic septal infarction. The selection of patients was initially based on refractoriness to thorough clinical treatment defined as persistence of important symptomatology despite the use of propranolol or verapamil at the dosage of 240 mg/day. Other patients were also selected due to symptoms, such as dyspnea, dizziness, and lipothymia even when taking low doses of those drugs, which caused the interruption of the medications. Two patients, who already had implanted pacemakers of the DDD type when referred, remained in NYHA functional class III or IV even with the concomitant use of beta-blockers and diuretics.

The hemodynamic inclusion criterion was the existence of an intraventricular pressure gradient > 60 mmHg at baseline or after extrasystole. This level of pressure gradient (peak gradient) observed on Doppler echocardiography also helped to select the candidates for treatment. As an immediate success criterion, the residual gradient at baseline < 20 mmHg was adopted.

All patients were followed up through monthly telephone contact and an ambulatory visit every 3 months. Doppler echocardiography was performed at the end of 6 months.

In the statistical study, the Student t test was used to analyze the following independent variables: 1) obtained on Doppler echocardiography (before and 6 months after alcoholization): interventricular septal thickness, left ventricular posterior wall thickness, left atrial diameter, septum/posterior wall ratio, and peak pressure gradient; and 2) obtained through manometry in the catheterization room (immediately before and after alcoholization): pressure gradient at baseline and after extrasystole. The significance level (p) of the statistical test was fixed at p<0.05 (confidence interval, CI > 95%).

Age ranged from 21 to 51 (mean of 39) years; 12 (54.5%) patients were males and 10 (45.5%) were females. Most patients alternated between NYHA functional classes III and IV (class III/IV, of chart I) on their ambulatory visits with full doses of medication.

The 22 patients chose the percutaneous procedure and also agreed to participate as research subjects signing the informed written consent, according to resolution 196 of the National Health Board of the Health Ministry, which regulates research involving human beings.

Chart I shows the major characteristics of the patients studied. All patients had previously undergone the following routine laboratory tests: complete hemogram, urea, creatinine, potassium, T3, T4, chest teleradiography, electrocardiography, and Doppler echocardiography. All patients also underwent sedation and analgesia, which were conducted by an anesthesiologist present in the procedure room, who used 1 or more of the following drugs: fentanyl, midazolam, sevoflurane, propofol, diazepam, and meperidine, at individualized dosages. The intravenous medication was administered in the vein of the left elbow fold. The electrocardiogram and digital O₂ saturation were also monitored.

Two arterial and 1 venous access routes were used for the procedure itself, and most cases followed the routine as shown. After local analgesia with 2% lidocaine, the right brachial artery and vein were dissected, and the right femoral artery was punctured. An 8F-diameter plastic and valvulated sheath was positioned at the site of the arterial puncture. Through a retrograde arterial access route in the right arm, a Lehman or 8F (8 French in diameter) Courmand...
catheter with a single orifice at the extremity was advanced and left in the left ventricular tip. Also through the retrograde arterial access route, beginning in the femoral artery, a guiding coronary angioplasty catheter was positioned in the left coronary ostium. This guiding catheter had lateral orifices, a premolded extremity for selective catheterization of the left coronary artery (JL4), and a diameter of 7F or 8F. A 6F-diameter bipolar electrode catheter was advanced through the intravenous access route from the right elbow fold to the right ventricular tip, and connected to a pulse generator, which was left on standby. All procedures were performed in the posteroanterior cranial projection, because it is the one that better visualizes the first septal branches of the anterior interventricular artery.

After administering 5,000U of sodium heparin, contrast medium was selectively injected in the left coronary ostium, and the first major septal branch of the anterior interventricular artery, the one chosen for the ischemia test, was visualized. Then a 0.014", 180-cm-long, extra-support, J-tip, metallic guidewire (Stabilizer PLUS, Stabilizer-XS – Cordis – USA, and BMW-HiTorque - Guidant - USA) was advanced through the anterior interventricular artery. After rotatory and “push-and-pull” maneuvers, the chosen septal branch was entered, and the guidewire was advanced even further to leave its extremity as deep as possible within the vessel. Right afterwards, an over-the-wire balloon (Thor, Charger, Valor and Predator – Cordis – USA, and Ranger – Boston Scientific - USA), with an inflated diameter of 2.0 to 2.5 mm and a length not exceeding 20 mm, was advanced within the septal branch and inflated until its nominal pressure was reached, usually around 6 atm. The balloon was maintained as such for a maximum of 15 minutes.

During this period, the simultaneous LV/AO pressure reading and the behavior of the pressure gradient with ischemia caused by the inflated balloon were observed (figs. 1 and 2).

The significant drop in the pressure gradient with the provoked ischemia signaled the continuation of the procedure. The metallic guidewire was then withdrawn to inside the guiding catheter, leaving only the inflated balloon inside the septal branch. Right afterwards, a small amount of contrast medium was injected with the balloon, aiming at determining the size of the area irrigated by the septal branch and at verifying the existence of reflux to the anterior interventricular artery. The persistence of a significant pressure gradient after 15 minutes determines the choice of another septal branch for alcoholization.

Alcoholization was performed with a 3-mL injection of absolute alcohol through the inflated balloon catheter after deepening of analgesia by the anesthesiologist. Once alcohol infusion was finished, the balloon was maintained inflated for approximately 3 minutes. Then, its interior was washed with saline solution, and the balloon was deflated and withdrawn to inside the guiding catheter. After a pressure recording showing the absence of an intraventricular pressure gradient, new opacification of the left coronary artery was performed with contrast medium to confirm septal branch occlusion (fig. 3).

**Results**

Alcoholization of the first septal branch of the anterior interventricular artery or of the first major branch was successful in 16 (88.8%) patients after occlusion of a single
Two patients required occlusion of a second branch, because a significant pressure gradient persisted after occlusion of the first branch. Therefore, excluding these cases in which 2 branches underwent alcoholization, 3mL of absolute alcohol was always injected during each septal alcoholization. The mean total duration of the procedure was 2±1 hours, with a mean contrast medium consumption of 300±50mL.

Of the 18 patients who concluded alcoholization, complete abolition of the pressure gradient was observed in 10 (55.5%) patients, even after extrasystole. In the 8 remaining patients, a basal residual gradient < 20 mmHg was observed. In 1 patient with no gradient at rest and with a discrete gradient after extrasystole, intravenous dobutamine was used, which caused the severe intraventricular pressure gradient to reappear and be maintained, allowing the protocol to be followed. This patient, on the preceding day, had a peak gradient of 70 mmHg on Doppler echocardiography at baseline.

Two patients with DDD-type pacemakers became refractory, in spite of taking full medication added to a beta-
blocker and diuretics, and were referred for alcoholization. The procedures were successfully performed, and both patients became asymptomatic with a low dose of propranolol. The first patient did not require the pacemaker to be maintained due to the presence of sinus rhythm. This, however, did not occur to the second patient, who maintained the symptomatic total AV block with severe bradycardia (fig. 4).

Immediately before alcoholization, the data obtained with manometry in the catheterization laboratory showed a mean intraventricular pressure gradient of 67.63±24.25 mmHg at baseline, and of 110.44±23.66 mmHg after extrasystole. After alcoholization, the intraventricular pressure gradient decreased to 3.81±1.90 mmHg (t = 2.78; p<0.05) at baseline, and to 9.56±2.63 mmHg (t = 2.78; p<0.05) after extrasystole. Doppler echocardiography performed prior to discharge from the intensive care unit (ICU) reproduced the results obtained in the catheterization laboratory, mainly in regard to peak gradient.

All patients had the most diverse arrhythmias in the catheterization laboratory (chart II). Ten (55.5%) patients had acute complete atrioventricular block and severe bradycardia, requiring a transient pacemaker (fig. 5); 8 of them reverted to sinus rhythm until the second hour, and 2 required implantation of a definitive artificial cardiac pacemaker. One patient had ventricular fibrillation during alcohol injection, which was reverted with electric cardioversion (chart II).

All 18 patients undergoing the procedure and admitted to the ICU had significant elevations of the CK-MB enzymatic fraction (chart III).

Of the 22 patients initially referred for alcoholization of the septal artery and production of therapeutic septal infarction, 4 did not complete the procedure due to the following reasons: 3 patients had extreme tortuosity of the anterior interventricular artery, or thin septal branches, or tortuosity at the origin of the septal branch; the forth patient reported intense pain when entering the catheterization laboratory. The appearance of the left coronary artery on diagnostic coronary angiography suggested a diffuse spasm, which did not revert with local vasodilators, and the patient died after irreversible ventricular fibrillation.

In the ICU, all patients reported pain or a sensation of heaviness with a mean duration of 24±12 hours. In a mean period of 4±1 days, they were discharged asymptomatic, hemodynamically stable, and with normalized cardiac enzymatic levels. All remained on low dosages of beta-blockers.

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Fig. 4 - Pressure recording in a patient with definitive DDD-type pacemaker, before and after ablation with absolute alcohol. During follow-up, the need for maintaining the pacemaker was observed, due to persistence of symptomatic bradycardia.
(propranolol at 40 mg/day) after hospital discharge, which occurred in 10±2 days. On ambulatory follow-up in the 6th month, all patients were in NYHA functional class I.

The comparative analysis of the Doppler echocardiograms performed before alcoholization and on ambulatory follow-up showed a statistically significant reduction in the interventricular septal thickness, which passed from 20.3±0.32 mm to 1.66±0.20 mm (t = 2.78; p<0.05).

Another echo-Doppler variable that showed a statistically significant reduction was the peak pressure gradient, whose mean value passed from 90.69±23.47 mmHg to 6.13±1.42 mmHg (t=2.78; p<0.05). Some patients with residual peak gradient immediately after the procedure had a progressive reduction as time passed. The mean values of posterior wall thickness, left atrial diameter, and septum/posterior wall ratio did not show statistically significant alterations.

The systolic anterior movement of the mitral valve (SAM), observed on Doppler echocardiography in all patients undergoing alcoholization, showed an extremely variable behavior during the echocardiographic assessment.

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**Chart II - Complications**

<table>
<thead>
<tr>
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<th>Value</th>
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<tr>
<td>Failures</td>
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</tr>
<tr>
<td>Arrhythmias</td>
<td></td>
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<tr>
<td>Ventricular extrasystoles</td>
<td>18 (100%)</td>
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<tr>
<td>Supraventricular extrasystoles</td>
<td>8 (44.4%)</td>
</tr>
<tr>
<td>Ventricular tachycardia</td>
<td>12 (66.6%)</td>
</tr>
<tr>
<td>Supraventricular tachycardia</td>
<td>4 (22.2%)</td>
</tr>
<tr>
<td>Sinus bradycardia</td>
<td>8</td>
</tr>
<tr>
<td>Ventricular fibrillation</td>
<td>1 (5.5%)</td>
</tr>
<tr>
<td>Total AV block</td>
<td>10</td>
</tr>
<tr>
<td>Transient</td>
<td>8</td>
</tr>
<tr>
<td>Permanent</td>
<td>2</td>
</tr>
<tr>
<td>Complete right bundle-branch block (CRBBB)</td>
<td>10 (55.5%)</td>
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<tr>
<td>CRBBB + left anterior hemiblock</td>
<td>2 (11.1%)</td>
</tr>
<tr>
<td>Complete left bundle-branch block</td>
<td>1 (5.5%)</td>
</tr>
<tr>
<td>Left anterior hemiblock</td>
<td>1 (5.5%)</td>
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<tr>
<td>Pacemaker implantation</td>
<td></td>
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<tr>
<td>Transient</td>
<td>10</td>
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<tr>
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<td>Pacemaker implantation</td>
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<td>Deaths</td>
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</tr>
<tr>
<td>Early</td>
<td>1</td>
</tr>
<tr>
<td>Late</td>
<td>1</td>
</tr>
</tbody>
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**Fig. 5** - Patient with obstructive hypertrophic cardiomyopathy and a severe pressure gradient after extrasystole, which may be observed in the left upper quadrant. Intraseptal infusion of alcohol immediately induced total AVB followed by ventricular capture by an external pacemaker (right upper quadrant). In the right lower quadrant, equalization of the pressures and sinus rhythm can be observed.
in the 6th month. In 12 patients, SAM showed a reduction in amplitude and duration; in 4, SAM disappeared completely; and in 2, SAM maintained the same pattern prior to the procedure.

From the electrocardiographic point of view, 6 months after the procedure, 12 (66.6%) patients had complete right bundle-branch block, which was associated with antero-superior fascicular block in 2 patients (fig. 6). One patient developed isolated and complete left bundle-branch block (5.5%), and another had isolated antero-superior fascicular block.

In the period of 8±2 months, 14 (77.7%) patients remained in NYHA functional class I and 1 (5.5%) in class II; in 3 (16.6%), the symptoms relapsed and the intraventricular pressure gradient recurred in the ninth month. Two of these patients underwent a successful new alcoholization, returning to functional class I; the third patient, who refused any type of invasive treatment, remained in functional class II taking propranolol (240 mg/day) and diuretics.

**Discussion**

Alcoholization of the septal artery in hypertrophic obstructive cardiomyopathy acutely reduces interventricular septal thickness, with consequent widening of the left ventricular outflow tract and drop in the intraventricular pressure gradient. This occurs due to production of myocardial infarction circumscribed to the area irrigated by the occluded septal branch. Other acute beneficial hemodynamic effects were observed, such as those deriving from changes in the sequence of ventricular activation caused by alterations in its conduction system. An even greater reduction in the intraventricular gradient due to ventricular...
remodeling and an increase, although not significant, in its diastolic diameter were observed as late results of septal infarction. The ventricular posterior wall, many times exhibiting a certain degree of secondary hypertrophy, may also show some degree of involution due to a reduction in cavity pressure according to some reports. The summation of these factors could lead to a reduction in the degree of diastolic heart failure, and, consequently, to a significant improvement in the patients’ functional class.

In many individuals, hypertrophy is initially located in the interventricular septum. From then on, as the obstruction progresses and the intraventricular pressure increases, a compensatory concentric hypertrophy gradually develops. This secondary hypertrophy is almost always associated with fibrosis that may ultimately lead to heart failure, ventricular arrhythmias, and sudden death. Therefore, an early interruption of the process in the course of hypertrophic obstructive cardiomyopathy may prevent or attenuate the development of hypertrophy and fibrosis and their subsequent complications, including sudden death.

A significant advantage of alcoholization as compared with that of septal reduction by conventional surgery is that its therapeutic effect is predictable; the nonreduction in the intraventricular pressure gradient with transient occlusion of the septal branch with the balloon contraindicates its alcoholization. The surgical treatment, even performed in referral centers, has a significant mortality rate and its percentage of failure ranges from 10% to 30%. Another advantage is that alcoholization may be repeated later.

Compared with DDD-type pacemakers, septal alcoholization causes a reduction in septal thickness with all its subsequent beneficial effects, which do not occur with the electric treatment. The symptomatic improvement determined by pacemakers is currently known to be more consistent with a substantial placebo effect. In most of these patients, a mild reduction in left ventricular outflow tract gradient was observed and only a few patients older than 65 years and at a high risk for surgery or septal alcoholization actually benefited from that type of treatment.

Percutaneous transluminal septal alcoholization is indicated in symptomatic patients in functional class III or IV, refractory to thorough medicamentous increase, who have important side effects due to the use of those medications. Asymptomatic or mildly symptomatic patients meet the inclusion criteria as long as they have documented risk factors for sudden death. Patients with hypertrophic obstructive cardiomyopathy, previously myectomized or with DDD-type pacemakers, or both, may also undergo percutaneous transluminal septal alcoholization. The procedure is only contraindicated in patients with concomitant heart disease indicated for surgical treatment.

Up to the present moment, publications about percutaneous transluminal septal alcoholization or ablation are scarce. In 2000, Kuhn et al estimated that only 1,000 patients in the entire world had undergone that treatment. The immediate results originating from several international centers have accounted for the high percentage of success. It was also observed that, in most of these centers, to reach a significant reduction in the pressure gradient, occlusion of several septal branches was required, which differs from the present case series.

The Brazilian experience is still limited with only 3 studies published, of which 2 are case reports and the other comprises a series of 6 cases. However, all reports confirmed the efficacy of the method in the treatment of hypertrophic obstructive cardiomyopathy.

In regard to acute complications of septal alcoholization, a universal consensus exists regarding the care and attention that should be paid to the possible complications of myocardial infarction, even in the one induced at the hospital with all available resources. As observed in this case series, severe arrhythmias, such as ventricular or supraventricular tachyarrhythmias frequently occur (chart II). Likewise, atrioventricular blocks of greater or lesser degree may occur depending on the location and type of supply to the atrioventricular node. Similarly to surgical myectomy, although with no report in the literature, iatrogenic perforation of the interventricular septum (ventricular septal defect - VSD) may occur, because a significant thinning of the infarcted region nourished by the septal branch that underwent alcoholization occurs. Another possible mechanical complication is acute mitral insufficiency due to rupture of the papillary muscle depending on the distribution pattern of the alcohol infused. Finally, cerebral embolism present in a small number of cases of myectomy may also occur in alcoholization.

One of the most feared complications of septal ablation is hospital mortality, which is around 5% in the centers of greater experience. The causes cited are as follows: cerebral and coronary embolism, unexpected trifascicular block of the His bundle, pericardial tamponade due to cardiac perforation by a pacemaker’s thread, pulmonary embolism, and extensive myocardial infarction due to alcohol reflux to the anterior interventricular artery. In the present study, of the 22 patients who underwent septal ablation, 1 (4.5%) hospital death occurred and was attributed to diffuse coronary spasm, which occurred before the beginning of the procedure.

In our case series, the following complications were not observed: cerebral embolism, structural complication of the VSD type, acute mitral insufficiency, and pulmonary embolism.

In regard to the late follow-up of patients undergoing septal ablation, the literature reports up to 5 years of observation. The incidence of late cardiac complications was low, but not insignificant. In regard to sudden death, the incidence reported in the literature is below 5%. In our case series, 1 late death occurred at the end of 9 months (5.5% of the total). It was the sudden death of a patient, who at the 6-month follow-up was in functional class I, exclusively using 40 mg/day of propranolol. However, this fact may suggest the need for using cardioverter-defibrillator in patients, who, although asymptomatic after successful alcoholization, have a documented high risk for that complication.
The incidence of late complications and severe arrhythmias is extremely reduced. In regard to these facts, it is a consensus among authors to recognize that the number of cases investigated and the period of observation have not been sufficient for a definitive judgment. A fact that is very clear, however, is the progressive reduction in symptomatology in the late follow-up, when most patients remain in functional class I and with a good response to exercise testing.

Data in the literature have also shown that, in regard to the intraventricular pressure gradient, the follow-up of large groups of patients has always shown the maintenance of the early results and even a progressive reduction or disappearance of the residual gradients, which may mean a response to late ventricular remodeling, as occurs in many cases of myocardial infarction.

In all case series reported, the late findings on Doppler echocardiography have shown a significant reduction in the thickness of the interventricular septum and of the left ventricular posterior wall, indicating a late beneficial response to the reduction in the resistance to ventricular emptying.

Finally, another interesting point is that, despite success in removing the obstruction of the left ventricular subaortic region, ventricular diastolic dysfunction is 1 of the major components of the primary disease. Therefore, the recommendation is to permanently maintain drug therapy based on low dosages of beta-blockers.

The long-term results of the procedure involving a large case series are still unknown. However, according to the history of medicine, most therapeutic procedures established for late assessment passed by results at the short- and midterm that were as good as those shown for this method.

Septal alcoholization caused a beneficial effect, which may be the summation of the following effects of surgical and electrical treatment: widening of left ventricular outflow tract, ventricular remodeling due to infarction, and altered ventricular activation. Another significant advantage of septal alcoholization is the possibility of being easily repeated at any time, mainly in the recurrence of intraventricular pressure gradient and in myectomized patients with poor surgical results.

The major complication of septal alcoholization is the permanent total atrioventricular block with the indication for definitive pacemaker implantation. However, long-term observations and comparative studies with other invasive therapies involving large case series are required to consolidate percutaneous transluminal septal ablation among the cardiological therapeutic tools.

In conclusion, based on data obtained in the present series, percutaneous transluminal septal alcoholization used to treat patients with refractory hypertrophic obstructive cardiomyopathy has proved efficient due to the 2 following facts: 1) occurrence of an immediate and significant reduction in the intraventricular pressure gradient in baseline conditions and after extrasystole; 2) the technique proved to be reproducible with a minimum of immediate morbidity. The need for definitive pacemaker implantation was the most frequent acute complication and recurrence of the symptoms and reappearance of the pressure gradient occurred in the midterm. The late mortality rate due to sudden death was low, but not insignificant, suggesting the need for implanting a defibrillator-cardioverter in patients at risk for sudden death. Unfavorable coronary anatomy due to tortuosity was the major factor responsible for the unsuccessful cases.

However, percutaneous transluminal septal alcoholization has not yet been considered as the first-choice treatment for this modality of hypertrophic cardiomyopathy. Probably, a broader randomized study comparing percutaneous transluminal septal alcoholization with surgical treatment may provide a better judgment of the actual benefit of the method.

References

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