Effect of Oscillatory Breathing on the Variability of the RR Intervals and its Prognostic Importance in Individuals with Left Ventricular Global Systolic Dysfunction

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Objective – To assess the effect of the oscillatory breathing on the variability of RR intervals (V-RR) and on prognostic significance after one year follow-up in subjects with left ventricular global systolic dysfunction.

Methods – We studied 76 subjects, whose age ranged from 40 to 80 years, paired for age and gender, divided into two groups: group I - 34 healthy subjects; group II - 42 subjects with left ventricular global systolic dysfunction (ejection fraction ≤ 0.40). The ECG signals were acquired during 600s in supine position, and analyzed the variation of the thoracic amplitude and the V-RR. Clinical and V-RR variables were applied into a logistic multivariate model to foretell survival after one year follow-up.

Results – Oscillatory breathing was detected in 35.7% of subjects in vigil state of group II, with a concentration of the spectral power in the very low frequency band, and was independent of the presence of diabetes, functional class, ejection fraction, cause of ventricular dysfunction and survival after one year follow-up. In the logistic regression model, ejection fraction was the only independent variable to predict survival.

Conclusion - 1) Oscillatory breathing pattern is frequent during wakefulness in the left ventricular global systolic dysfunction and concentrates spectral power in the very low band of V-RR; 2) it does not relate to severity and cause of left ventricular dysfunction; 3) ejection fraction is the only independent predictive variable for survival in this group of subjects.

Keywords: oscillatory breathing, heart failure, variability in the RR intervals

In healthy individuals, the duration of RR intervals in the surface electrocardiogram varies cyclically from beat to beat. This variation is more significant in youngsters, is modulated by breathing movements, and is called respiratory sinus arrhythmia.

The characteristics of the breathing movements can alter the cyclic variations observed between successive electrocardiographic R waves (RR intervals). These alterations result both from direct influences on the modulation of the parasympathetic nervous system and the indirect influences of chemoreceptor mechanisms.

In approximately 50% of the individuals with left ventricular global systolic dysfunction, the presence of abnormal patterns of breathing can be identified. In these individuals, oscillatory patterns of breathing (oscillatory breathing) can be observed both during sleep and during wakefulness, and are characterized by successive periods of tachy/hyperpnea followed by brady/hypopnea, and, eventually, apnea.

Of the types of oscillatory breathing of clinical significance, Cheyne-Stokes respiration and periodic breathing stand out. Cheyne-Stokes respiration is the breathing pattern in which periods of tachy/hyperpnea are followed by regular periods of apnea. In periodic breathing, however, the periods of tachy/hyperpnea are cyclically followed by periods of brady/hypopnea with no apnea.

Although some authors have reported that oscillatory breathing, especially when observed during wakefulness, may be associated with more severe clinical findings and a more reserved prognosis, the subject remains controversial.

This study aimed at analyzing the effects of oscillatory breathing on the behavior of the cyclic variations of the normal electrocardiographic RR intervals (V-RR) during wakefulness in individuals with left ventricular global systolic dysfunction and in sinus rhythm, and at assessing the influence of oscillatory breathing on clinical and laboratory findings, and on the mortality rate of the individuals studied.
Methods

The study protocol was designed according to the principles of the Declaration of Helsinki and pertinent Brazilian legislation and was submitted to and approved by the Committee on Medical Ethics of the Instituto Nacional de Cardiologia Laranjeiras.

In the present study, 76 individuals of a consecutive cohort were included. They were referred for assessment in the sector of noninvasive electrocardiology of the Division of Arterial Hypertension of the Instituto Nacional de Cardiologia Laranjeiras from January 1998 to January 2001. These individuals belonged to the database of signal-averaged electrocardiographic signals, which was compiled in the same sector of the Instituto Nacional de Cardiologia Laranjeiras from September 1997 onwards. They were divided into two groups, adjusted by age, sex, and body mass index. Thirty-four individuals were healthy (group I) and 42 had left ventricular global systolic dysfunction (group II). All group II individuals were regularly taking diuretics, angiotensin-converting enzyme inhibitors, and digitalis, prescribed based on criteria of primary clinical evaluation. They were in sinus rhythm and their NYHA functional classes ranged from II to IV.

The individuals in each group were divided according to two age brackets as follows: subgroup a - from 40 to 59 years; subgroup b - from 60 to 80 years. Group I comprised 21 individuals between 40 and 59 years (group Ia – mean ± standard deviation (SD) [median] – 48.7±5.2 [48.0] years, 10 males), and 13 individuals between 60 and 80 years (group Ib – 71.3±5.9 [71.0] years, 8 males). The clinical history, physical examination, laboratory tests, radiological examination, and electrocardiography at rest were normal in all group I individuals.

Group II comprised 18 individuals between 40 and 59 years (group IIa – 48.7±5.2 [48.0] years, 14 males) and 24 individuals between 60 and 80 years (group IIb – 69.3±5.7 [69.0] years, 8 males).

All group II individuals had left ventricular ejection fraction ≤ 40% assessed on one-dimensional echocardiography. Twenty-two individuals had dilated heart disease of undetermined origin (cine coronary angiography with normal coronary arteries), 12 individuals had ischemic heart disease (previous history of myocardial infarction; presence of segmentary contractility changes on two-dimensional echocardiography; electrocardiographic changes compatible with necrosis in at least two leads exploring the same region and in accordance with the echocardiographic changes), and 8 individuals had hypertensive heart disease [myocardial mass index (MMI) > 130 g m⁻², and MMI > 150 g m⁻² for females assessed by the Devereux formula divided by the body surface area]. Six individuals had non-insulin-dependent diabetes mellitus (DM2) and kept glycemia under control with diet or oral antidiabetic drugs, or both. Smoking, alcoholic ingestion, and the degree of sedentary lifestyle were evaluated in the groups and showed no differences in their distribution.

All individuals underwent directed anamnesis, physical examination, and complementary tests (glycemia, cholesterolemia, renal and hepatic functions, electrocardiography at rest, chest radiography, and echocardiography). The following parameters were assessed: heart rate, respiratory rate and amplitude, body weight, and height. Blood pressure was measured in both arms with a mercury-column sphygmomanometer. The echocardiographic measurements were performed according to the protocols of the echocardiography department of the Instituto Nacional de Cardiologia Laranjeiras. The electrocardiographic signals were acquired for analyzing V-RR as described below. All consultations and examinations were performed from 10 AM to 6 PM. In group II, 20 individuals were in functional class III-IV.

The electrocardiographic signals were taken during 600 s, at a sampling rate of 1 kHz, with the A/D CAD-1232 converter of 14 bits coupled to the AECG03 amplifier (both Lynx Tecnologia Ltda., São Paulo, Brazil) and analyzed using the ECGAR® system. The signals were acquired with the individuals lying in the dorsal decubitus position and breathing at their natural rate in an isolated environment at a constant temperature of 25°C, during the period from 10 AM to 6 PM. The examinations were performed at intervals of at least 4 hours after the last meal, and in the smokers, at least 30 minutes after the last tobacco load.

The analysis of the breathing movements was performed with the individuals lying in the dorsal decubitus position on a tilt table and breathing spontaneously, and, after a 5-minute rest, capture of the signals was initiated. For the group II individuals who could not tolerate the dorsal decubitus position at zero degree, the table was tilted between 30 and 45 degrees, for the examination to be comfortably performed.

The respiratory rate and amplitude of the breathing movements were analyzed by using the protocol reported by Moody et al, and the behavior of oxygen (O₂) saturation was analyzed by using external oximetry. Breathing was considered normal when the respiratory rate was between 12 and 24 breaths per minute, and the amplitude of breathing movements and O₂ saturation varied little. The presence of oscillatory breathing was identified when the amplitude of breathing, the respiratory rate, and the values of O₂ saturation varied cyclically, characterizing Cheyne-Stokes respiration and periodic breathing. The oscillatory pattern was confirmed with the graphic recording of the breathing movements.

All group I and II individuals had good quality electrocardiographic signals, which allowed an adequate evaluation of the breathing movements.

The electrocardiographic signals were analyzed in the frequency domain. The series of normal consecutive RR intervals detected on the surface electrocardiogram was linearly interpolated, and the power spectrum was calculated by using the rapid Fourier transform analysis according to the previously described technique. Areas of the power spectrum were assessed according to very low frequency regions, defined between 0.003 Hz and 0.05 Hz, low frequen-
The variation in thoracic amplitude was analyzed through synchronous comparison with the cardiac beats, applying the function of quadratic spectral coherence (r²) between both variables, and analysis of the values in the very low, low, and high frequency bands. The confidence intervals of r² were calculated by using a modified method reported by Miranda de Sá et al. In practice, r² values > 0.6 were considered significant.

The variables of the frequency domain were presented in absolute values (very low frequency, low frequency, and high frequency) and percentages relative to the total power of modulation (pVLF, pLF, and pHF, respectively), and expressed as means±SD. The absolute values were transformed into their natural logarithms (LnT) for normalization before the analysis, because of the strong asymmetry in its function of density of probability.

The comparisons of the frequency domain variables, both in percentage and absolute values between groups I and II were performed by using the 2-tailed Student t-test for the same age brackets in each group. The study of the V-RR intervals of power spectrum in the frequency bands was performed by using the χ² adherence test against the hypothesis of uniform distribution of power. The variables expressing counting values were analyzed through the χ² test with the Yates correction or the Fisher comparison for small samples.

For analyzing diagnostic significance in group II, the variables pVLF, pLF, and pHF were dichotomized between individuals with normal and oscillatory breathing according to their age bracket. For this, the method that analyzes the function defined by the product of the functions of probability distribution in each group was used. The values of specificity and sensitivity were identified at the points that maximize this function. Odds ratio and the respective 95% confidence intervals (95% CI) were calculated for each variable alone, and the statistical significances were evaluated through the χ² test applied to the comparison of proportions.

The results were used for the construction of a multivariate logistic regression model aiming at analyzing the presence of variables with independent predictive values for survival after 1 year of evolution. The exploratory variables pHF, pLF, pVLF, DM2, left ventricular ejection fraction, functional class, and Cheyne-Stokes respiration were adjusted to the model to foretell survival and were selected by the stepwise process. Adjustment to the regression model was evaluated through the χ² adherence test with 3 degrees of freedom, and the adjusted predictive variables were analyzed by using the χ² test of maximal likelihood ratio. The odds ratio values for each variable were calculated after the best adjustment to the model with the respective 95% confidence intervals.

Data were analyzed with the statistical module Statgraphics 5.1 Plus (Statistical Graphics Corporation, USA) and MS Excel 2000 (Microsoft, USA). The alpha significance level was fixed in 0.05 for all tests.

## Results

The values and distribution of the several frequency bands of the power spectrum in the groups studied are shown in table I. The absolute values of HF LnT, LF LnT, and VLF LnT in group II were significantly lower (p<0.001) than those observed in group I.

In regard to intragroup analysis, the values of HF LnT, LF LnT, and VLF LnT were significantly different between subgroups Ia and Ib (p<0.001), but not between subgroups IIa and IIb.

### Table I – Demographic values and parameters assessed

<table>
<thead>
<tr>
<th>G-Ia</th>
<th>G-IIa</th>
<th>p*</th>
<th>G-Ib</th>
<th>G-IIb</th>
<th>p*</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>21</td>
<td>18</td>
<td></td>
<td>13</td>
<td>24</td>
</tr>
<tr>
<td>Age (years)**</td>
<td>48.7 ± 5.2 [48]</td>
<td>48.7 ± 6.2 [48]</td>
<td>NS</td>
<td>71.3 ± 5.9 [71]</td>
<td>69.3 ± 5.7 [69]</td>
</tr>
<tr>
<td>Sex (M/F)</td>
<td>11/10</td>
<td>4/14</td>
<td>NS</td>
<td>5/8</td>
<td>16/8</td>
</tr>
<tr>
<td>SBP (mm Hg)</td>
<td>125.0 ± 10.0</td>
<td>128.0 ± 12.0</td>
<td>NS</td>
<td>138.0 ± 10.6</td>
<td>130.0 ± 13.0</td>
</tr>
<tr>
<td>DBP (mm Hg)</td>
<td>83.0 ± 5.1</td>
<td>78.0 ± 6.0</td>
<td>NS</td>
<td>80.0 ± 5.4</td>
<td>75.0 ± 8.0</td>
</tr>
<tr>
<td>Glycemia&gt;120 mg/dL</td>
<td>0</td>
<td>2</td>
<td>-</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>BMI&gt;25 Kg/m²</td>
<td>0</td>
<td>0</td>
<td>18</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MHR (bpm)</td>
<td>69.2 ± 8.6</td>
<td>84.5 ± 14.0</td>
<td>NS</td>
<td>71 ± 111.4</td>
<td>86.5 ± 15</td>
</tr>
<tr>
<td>HF LnT (LN ms²)</td>
<td>5.8 ± 1.0</td>
<td>2.9 ± 1.6</td>
<td>&lt;0.001</td>
<td>4.4 ± 1.0</td>
<td>2.9 ± 1.0</td>
</tr>
<tr>
<td>LF LnT (LN ms²)</td>
<td>5.8 ± 1.1</td>
<td>3.3 ± 1.7</td>
<td>&lt;0.001</td>
<td>4.7 ± 1.7</td>
<td>3.1 ± 1.1</td>
</tr>
<tr>
<td>VLF LnT (LN ms²)</td>
<td>5.9 ± 0.7</td>
<td>3.5 ± 1.4</td>
<td>&lt;0.001</td>
<td>5.2 ± 1.0</td>
<td>4.4 ± 1.8</td>
</tr>
<tr>
<td>EF&lt;40%</td>
<td>0</td>
<td>0</td>
<td>18</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MIR (bpm)</td>
<td>69.2 ± 8.6</td>
<td>84.5 ± 14.0</td>
<td>NS</td>
<td>71 ± 111.4</td>
<td>86.5 ± 15</td>
</tr>
<tr>
<td>EF ≤35%</td>
<td>0.001</td>
<td>0.001</td>
<td>0.001</td>
<td>0.001</td>
<td>0.001</td>
</tr>
<tr>
<td>pVLF (%)</td>
<td>28.1 ± 14.2</td>
<td>9.0 ± 10.1</td>
<td>&lt;0.001</td>
<td>21.0 ± 13.7</td>
<td>13.9 ± 12.1</td>
</tr>
<tr>
<td>pLF (%)</td>
<td>34.1 ± 18.7</td>
<td>14.6 ± 9.8</td>
<td>0.05</td>
<td>28.8 ± 12.8</td>
<td>19.6 ± 13.6</td>
</tr>
<tr>
<td>pHF (%)</td>
<td>37.8 ± 14.2</td>
<td>76.3 ± 15.1</td>
<td>&lt;0.001</td>
<td>50.3 ± 17.3</td>
<td>66.3 ± 18.7</td>
</tr>
</tbody>
</table>

* - level of statistical significance; ** - values of age expressed as median; the remaining values are expressed as mean±SD; HF - high frequency; LF - low frequency; VLF - very low frequency; LnT - logarithmic transformation (refer to text for details); pHF - percentage of high frequency; pLF - percentage of low frequency; pVLF - percentage of very low frequency; MIR - mean heart rate; NB - normal breathing pattern; OB - oscillatory breathing pattern; EF - left ventricular ejection fraction; BMI - body mass index; SBP - systolic blood pressure; DBP - diastolic blood pressure.
The behavior of the variables pVLF, pLF, and pHF in group II depended on the breathing pattern. Therefore, in the 27 individuals with normal breathing, the distribution of power in the different bands was similar to that found in control individuals. For the 15 individuals with oscillatory breathing, Cheyne-Stokes respiration or periodic breathing, the power was concentrated in the very low frequency band (fig. 1).

In group II, considering individuals with and without DM2, the means of the following variables showed no statistically significant differences: V-RR (respectively, for pHF 10.4±10.2 x 16.4±16.3; for pLF 18.3±11.9 x 9±8; for pVLF 71.1±16.6 x 74.5±23.4%), left ventricular ejection fraction (respectively, 28.8±10.5% x 36.8±9.4%), distribution of the individuals in functional class III-IV (respectively, 66% x 50%), individuals with oscillatory breathing (respectively, 41% x 50%), and survival after 1 year of evolution (respectively, 55% x 76%).

The analysis of r² between V-RR and the variation in thoracic amplitude showed that, both in group I and group II with normal breathing, a significant coherence was observed in all bands [very low frequency (p<0.05) and high frequency (p<0.05)]. In group II, the value of r² was significant only in the individuals with oscillatory breathing, in whom the V-RR power was concentrated in the very low frequency band (p<0.05) (fig. 2).

The analysis of the odds ratio showed that the respiratory types analyzed had no statistically significant association with the functional class or with the cause of the left ventricular global systolic dysfunction. In group II, a left ventricular ejection fraction ≤ 25% was associated with normal breathing with an odds ratio equal to 0.17 (95% CI [0.03 to 0.88]; p=0.03). The values for sensitivity and specificity are shown in table II. The variable pVLF in the cut off > 80% had a predictive accuracy of 100% and identified all individuals with the oscillatory breathing pattern. The comparisons of the clinical variables and V-RR between individuals with normal and oscillatory breathing are shown in table III.

Twelve months after the initial assessment, 42.2% of the group II individuals had died. Of these patients, in the initial assessment, 21.4% had oscillatory breathing and 78.6% had normal breathing. The age and sex of these individuals who died after 12 months of evolution (60.6±15.5 years, 71.4% of males) did not significantly differ from those of the survivors in the same period (59.8±12 years, NS, 68.4% of males, NS). In the frequency domain, using the cut off values of pLF in 20% and of pHF in 15% to dichotomize the individuals who died and who survived, an odds ratio of 0.06 (95% CI [0.01 to 0.48]; p=0.004) was observed for pLF and an odds ratio of 0.38 (95% CI [0.07 to 2.09]; NS) was observed for pHF.

The logistic model to foretell survival after 1 year of evolution was adequately adjusted to the variables selected (χ² = 1.09; NS). The variables DM2, functional class, and pVLF had no statistical significance in the model and were withdrawn during the process of stepwise selection, all with χ² values < 0.01 in the test of maximal likelihood ratio (p ≈ 0.99). The results of the adjusted model are shown in table IV.

**Discussion**

Respiratory disorders of the oscillatory breathing type are frequent in individuals with left ventricular global systolic dysfunction, especially those recorded with the individual awake. Some studies have shown that these disorders may act unfavorably on the prognosis of individuals with left ventricular global systolic dysfunction.

When the electrocardiographic RR intervals are analyzed by using the power spectrum and recorded in
different frequency bands, these intervals are observed to be modulated by respiration in a frequency band ranging from 0.01 Hz to 0.40 Hz (unpublished data). In that band, the effect of the breathing movements on the power spectrum of RR intervals can be predominantly felt by the cyclic variations in the tonus of the autonomic nervous system on the sinus node, mainly mediated by baroreflexes. In analyzing the behavior of the power spectrum of RR intervals and comparing it with that observed in healthy individuals, two distinct patterns are observed in individuals with left ventricular global systolic dysfunction. In 15 (35.7%) individuals with oscillatory breathing, a mean of 89.2% of the total spectral power was concentrated in the very low frequency band, despite having Cheyne-Stokes respiration or periodic breathing, showing that the RR intervals are mainly modulated by low frequency breathing movements (figs. 1 and 2). For the other 27 (64.3%) individuals with no great cyclic variations in the amplitude of breathing movements, the behavior of the power spectrum had a normal distribution (fig. 1).
The importance of the recognition of these breathing patterns and the characteristics of V-RR were independent of the degree of impairment of clinical and laboratory parameters. These facts, especially those related to the development of arrhythmias and the deterioration of ventricular function could have as pathophysiological bases the autonomic imbalance, hypoxia, hypercapnia, and the increase in the sympathetic amines, therefore aggravating neurohormonal disorders, especially in regard to the elevated levels of catecholamines 10,24. In regard to the clinical, laboratory, and evolutional findings of the individuals studied, the breathing patterns and the characteristics of V-RR were independent of functional class, the cause of left ventricular global systolic dysfunction, and the magnitude of the changes in the echocardiographic parameters. However, its arrhythmogenic capacity could not be assessed. Although other researchers reported that oscillatory breathing during wakefulness increases mortality in individuals with left ventricular global systolic dysfunction 4, this fact was not observed in our study. Using a logistic regression model with the stepwise type of selection of the variables adjusted, the multivariate analysis showed that only left ventricular ejection fraction has an independent predictive value to foretell survival after a 1-year evolution (p=0.0005).

In conclusion: 1) oscillatory breathing is a common breathing type in individuals with left ventricular global systolic dysfunction observed in 35.7% of individuals with that disorder during wakefulness and characterized by a typical V-RR pattern; 2) oscillatory breathing has no correlation with the severity and cause of left ventricular global systolic dysfunction, the presence of DM2, and is not an independent predictive marker of mortality after 12 months of evolution; 3) the multivariate analysis reveals that the ejection fraction is the only variable with an independent predictive value for survival after a 1-year evolution in individuals with left ventricular global systolic dysfunction.

### Table IV – Results of the multivariate logistic regression model for predicting survival of group II individuals after 1 year of evolution with 4 exploratory variables

<table>
<thead>
<tr>
<th>Variables</th>
<th>Coefficient β</th>
<th>Standard error</th>
<th>χ² test ***</th>
<th>p</th>
<th>&quot;Odds ratio&quot; **</th>
<th>CI 95% ***</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constant</td>
<td>-0.17</td>
<td>0.02</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>pHF(%)</td>
<td>0.01</td>
<td>0.005</td>
<td>0.04</td>
<td>0.85</td>
<td>1.01</td>
<td>0.92 to 1.11</td>
</tr>
<tr>
<td>pLF(%)</td>
<td>-0.04</td>
<td>0.05</td>
<td>0.63</td>
<td>0.43</td>
<td>0.96</td>
<td>0.88 to 1.06</td>
</tr>
<tr>
<td>EF(%)</td>
<td>0.16</td>
<td>0.05</td>
<td>12.04</td>
<td>0.005</td>
<td>1.17</td>
<td>1.05 to 1.30</td>
</tr>
<tr>
<td>Breathing (=N)</td>
<td>0.79</td>
<td>1.57</td>
<td>0.26</td>
<td>0.61</td>
<td>2.21</td>
<td>0.09 to 55.0</td>
</tr>
</tbody>
</table>

* - represents the weight of each variable in the model and the respective standard error of the estimate; ** - χ² test for the maximal similarity ratio (1 degree of freedom); *** - controlling other variables in the model for each 1 unit increase in a certain variable, the probability of an event occurring increases proportionally to the respective odds ratio value; ** - 95% confidence interval; \( \chi^2 \) - percentage of total power corresponding to the high-frequency region of the power spectrum; pEF - percentage of total power corresponding to the low-frequency region of the power spectrum; EF– left ventricular ejection fraction; breathing (=N) – breathing pattern observed during wakefulness (N = normal pattern).
Referências