DOES LOW DOSE $^{13}$C-UREA BREATH TEST MAINTAIN A SATISFACTORY ACCURACY IN DIAGNOSING *Helicobacter pylori* INFECTION?

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**ABSTRACT** – Context - The standard doses of $^{13}$C-urea in $^{13}$C-urea breath test is 75 mg. Objective - To assess the diagnostic accuracy of $^{13}$C-urea breath test containing 25 mg of $^{13}$C-urea comparing with the standard doses of 75 mg in the diagnosis of *Helicobacter pylori* infection. Methods - Two hundred seventy adult patients (96 males, 174 females, median age 41 years) performed the standard $^{13}$C-urea breath test (75 mg $^{13}$C-urea) and repeated the $^{13}$C-urea breath test using only 25 mg of $^{13}$C-urea within a 2 week interval. The test was performed using an infrared isotope analyzer. Patients were considered positive if delta over baseline was >4.0‰ at the gold standard test. Results - One hundred sixty-one (59.6%) patients were *H. pylori* negative and 109 (40.4%) were positive by the gold standard test. Using receiver operating characteristic analysis we established a cut-off value of 3.4% as the best value of 25 mg $^{13}$C-urea breath test to discriminate positive and negative patients, considering the *H. pylori* prevalence (95% CI: 23.9-37.3) at our setting. Therefore, we obtained to 25 mg $^{13}$C-urea breath test a diagnostic accuracy of 92.9% (95% CI: 88.1–97.9), sensitivity 83.5% (95% CI: 96.4–99.3), specificity 99.4% (95% CI: 96.6–99.9), positive predictive value 98.3% (95% CI: 92.4-99.4), and negative predictive value 93.0% (95% CI: 88.6-96.1). Conclusions - Low-dose $^{13}$C-urea breath test (25 mg $^{13}$C-urea) does not reach accuracy sufficient to be recommended in clinical setting where a 30% prevalence of *H. pylori* infection is observed. Further studies should be done to determine the diagnostic accuracy of low doses of $^{13}$C-urea in the urea breath test.

**HEADINGS** - Helicobacter infections. Breath tests.

**INTRODUCTION**

The diagnosis of the gastric infection by *H. pylori* is regularly performed by endoscopic examination with the collection of gastric mucosa fragments for histological examination, microbiological tests or even colorimetric methods like the urease test. The diagnosis can also be performed through non-endoscopic techniques like $^{13}$C-urea or $^{14}$C-urea breath tests. Such tests are based on the organism’s property to produce high quantities of the urea enzyme. The principle of the test is based on the ability of *H. pylori* (if present in the gastric setting) to break down orally absorbed $^{13}$C or $^{14}$C labeled urea. $^{13}$CO₂ or $^{14}$CO₂ diffuses into the blood and is excreted via the lung and can therefore be easily measured in the expired air using a mass or an infrared spectrometer[15, 16].

The $^{13}$C-urea breath test (UBT) is considered the gold standard in the identification of *H. pylori* as it is a non-invasive, non-radioactive method ($^{13}$C is a natural isotope), which is reproducible and secure (able to be performed many times on a single patient, including pregnant women and children), and has a sensitivity and specificity greater than 90% in adults[2, 6, 9, 11]. There is no uniform standardization and the great majority of the protocols use a 75 mg dose of urea and two breath samples, one collected before and another collected 10–30 min after urea ingestion. Although the cut-off point must be adapted to different factors, in most studies it is located within the range between 2% and 5%/15.

Despite its high level of accuracy, UBT is still fairly unavailable on a global scale, mainly due to its high cost. One recent study suggests the UBT performed with doses that were 2 to 3 times less than that routinely recommended, and therefore of a more accessible cost, was still capable of maintaining high levels of sensitivity and specificity[5].

The aim of the present study was to compare the efficacy of the $^{13}$C-urea breath test performed with a...
25 mg dose of labelled urea (25-UBT) with that performed using a standard 75 mg dose (75-UBT), considered the gold standard, in the detection of *H. pylori* infection.

**METHODS**

The study was conducted at the Breath Tests Laboratory in the Gastroenterology Unit from University Hospital of the Federal University of Minas Gerais, Belo Horizonte, MG, Brazil. This laboratory performs UBT to adult population of Belo Horizonte city (around 2.5 million inhabitants) to initial diagnosis of *H. pylori* infection and/or post-treatment control.

**Patients**

Initially, aimed at determining the *H. pylori* prevalence at our setting we analyzed 179 adult patients who were, consecutively, referred to our lababoratory for UBT from February to April 2007. This sample included patients with and without previous treatment to *H. pylori* infection.

Next, from May 2007 to April 2008 we studied 270 patients who were referred to our unit. Patients were excluded from the study if they were taking proton pump inhibitors or *H₂* receptor antagonists within the last week, or antibiotics and/or bismuth compounds within the 4 weeks preceding the initial visit. All participants included in both cohorts underwent a clinical exam and answered a standardized questionnaire to log clinical and demographic data as well as information about previous treatments for the eradication of *H. pylori*.

**¹³C-urea breath test**

All participants took a 75-UBT and then, within a 2 week interval, took a 25-UBT. No kind of treatment was allowed during this interval. The following methodology was used for the breath tests: after an overnight fast, a sample of exhaled CO₂, air was taken, corresponding to time 0 (control), through the inflation of a breath bag. Then, patients ingested 75 mg (or 25 mg) of ¹³C-urea in 200 mL of pure orange juice, without the addition of water or sugar. Another breath sample was taken 30 min after the administration of the substrate. Samples were analyzed using an infrared analyzer (IRIS, Wagner Analysen-Technik, Bremen, Germany) and the results reported as delta over baseline per thousand (DOB‰), which indicates the change in the ¹³CO₂/¹²CO₂ ratio brought about by the metabolic activity induced by the administration of the labelled urea. A positive 75-UBT was previously validated by our group and were those with DOB values above 4‰(²).

**Statistics**

The sample size (number of positive and negative patients needed) was calculated based on the findings from Gatta et al.(³) regarding the estimate of sensitivity, specificity, and amplitudes of the respective confidence intervals. In this light, a sample of 270 patients was set up, including 161 *H. pylori*-negative and 109 *H. pylori*-positive patients. Chi-square, *t* Student, and Mann-Whitney tests were used, as appropriate, in the comparison of the two groups (*H. pylori*-positive and *H. pylori*-negative). Proportions and 95% confidence intervals (CI) were calculated using the method recommended by Newcombe et al.⁴. Sensitivity, specificity, positive predictive value (PV + ve), negative predictive value (PV - ve), and their 95% CI were calculated as compared to the defined gold standard, using methods recommended by Altman¹. The receiver operating characteristic (ROC) analysis was performed using non-parametric methods to define the accuracy of 25-UBT as well as in choosing the best cutoff point, bearing in mind the *H. pylori* prevalence in our setting. Statistical analysis and graphs were constructed using Minitab 13, Excel, and the confidence interval analysis (CIA).

**Ethics**

The present study has been approved by the Ethics Committees of University Federal de Minas Gerais and Faculdade de Medicina de Barbacena, MG, Brazil and written informed consent was obtained from all patients.

**RESULTS**

The present study involved 492 patients, with 179 recruited to determine the prevalence of *H. pylori* infection in our setting. Likewise, 313 patients were recruited to perform both 25- and 75-UBT: 43 patients were excluded as they either did not show up for the second breath test (35 patients) or presented the need for the use of proton pump inhibitor or antibiotics in the interval between exams (8 patients). Thus, 270 patients were included in the cohort aimed at comparing the 75-UBT to the 25-UBT.

**H. pylori prevalence in our setting**

This cohort included 179 patients: 122 women (68.2%), 57 men (31.8%), at a median age of 48.3 years, SD 15.25. One hundred and five patients presented 75-UBT negative (76 patients had previously undergone anti-*H. pylori* treatment, while 26 patients had never undergone anti-*H. pylori* treatment), whereas 54 patients presented 75-UBT positive (27 patients had never undergone anti-*H. pylori* treatment, while 27 patients had undergone previous anti-*H. pylori* treatment). The prevalence of *H. pylori* infection found was 30.2% (95% CI: 23.9-37.3).

**Comparison between 25-UBT and 75-UBT**

In this cohort, 270 patients underwent 75-UBT and 25-UBT within a median interval of 8 days, interquartile range of 7-15 days. One hundred and sixty one patients (59.6%) presented 75-UBT negative (83 patients had previously undergone anti-*H. pylori* treatment, while 78 patients had never undergone anti-*H. pylori* treatment), whereas 109 patients (40.4%) presented 75-UBT positive (76 patients had never undergone anti-*H. pylori* treatment, while 33 had previously undergone anti-*H. pylori* treatment). Table 1 shows the demographic characteristics of the studied population.
TABLE 1. Demographic characteristics of the 270 patients included in the study

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Total (n = 270)</th>
<th>75-UBT negative (n = 161)</th>
<th>75-UBT positive (n = 109)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male/female</td>
<td>96/174</td>
<td>58/103</td>
<td>38/71</td>
</tr>
<tr>
<td>P-value = 0.845 *</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Median age</td>
<td>41.0</td>
<td>36.0</td>
<td>46.0</td>
</tr>
<tr>
<td>(IQR)</td>
<td>(23.0 – 57.0)</td>
<td>(22.0 – 54.5)</td>
<td>(25.5 – 61.0)</td>
</tr>
<tr>
<td>P-value = 0.009 **</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Median BMI</td>
<td>24.3</td>
<td>24.3</td>
<td>24.2</td>
</tr>
<tr>
<td>(IQR)</td>
<td>(22.0 – 27.8)</td>
<td>(22.1 – 28.3)</td>
<td>(21.9 – 27.5)</td>
</tr>
<tr>
<td>P-value = 0.773 **</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

UBT = 13C-urea breath test; IQR = interquartile range; BMI = body mass index; * = chi-squared test; ** = Mann-Whitney test

No significant differences between gender and body mass index (BMI) among the H. pylori negative and H. pylori positive patients could be observed in the cohort. The H. pylori negative patients presented median ages which were significantly lower than the H. pylori positive patients (P = 0.009).

Table 2 shows the values of descriptive statistics from 25-UBT for 75-UBT positive and negative patients. A statistically significant difference was observed among the means obtained in the 25-UBT in H. pylori negative and H. pylori positive patients (P = 0.000).

TABLE 2. Values of descriptive statistics from 25-UBT for 75-UBT positive and negative patients

<table>
<thead>
<tr>
<th>25-UBT values</th>
<th>Total (n = 270)</th>
<th>75-UBT negative (n = 161)</th>
<th>75-UBT positive (n = 109)</th>
<th>95% CI for the difference in populations means</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean</td>
<td>3.1</td>
<td>-0.4</td>
<td>8.3</td>
<td>(-9.8 to -7.5)</td>
</tr>
<tr>
<td>Median</td>
<td>0.6</td>
<td>-0.5</td>
<td>7.6</td>
<td></td>
</tr>
<tr>
<td>Standard deviation</td>
<td>5.8</td>
<td>1.5</td>
<td>5.9</td>
<td></td>
</tr>
<tr>
<td>IQR</td>
<td>-0.9 – 5.9</td>
<td>-1.3 – 0.4</td>
<td>4.1 – 12.1</td>
<td></td>
</tr>
<tr>
<td>P-value = 0.000 #</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

IQR = Interquartile range; # = t Student test; UBT = 13C-urea breath test

ROC curve

The ROC curve (Figure 1) provided a 92.99% (95% CI: 88.07-97.92) diagnostic accuracy.

Choice of best cut-off point of 25-UBT for the 270 patients studied

The sensitivity and specificity of the test were calculated for each possible cut-off point. The best cut-off point for this study, 3.4‰, was chosen considering the prevalence of H. pylori infection in our setting as 30.2% (95% CI: 23.9–37.3) and the upper positive predictive value (PV+) (or lower false-positive results). Although the sample size of the population studied was not so big, all prevalence values in the 95% CI prevalence (23.9% to 37.3%) confirmed 3.4‰ as the best cut-off of the study.

Table 3 shows the values of sensitivity and specificity for some cut-off points, PV+ ve, PV- ve, and the corresponding false-results (positive and negatives) for the prevalence of 30.2%.

The results demonstrate that the best cut-off point was 3.4, with lower probabilities of false-positive values, bearing in mind the previous prevalence set for H. pylori infection.

Considering the sample of 270 patients and the cut-off point equal to 3.4‰, the present study determined the following values: diagnostic accuracy of 25 mg UBT: 92.9% (95% CI: 88.1–97.9); sensitivity 83.5% (95% CI: 75.4–89.3); specificity 99.4% (95% CI: 96.6–99.9); PV+ ve 98.3% (95% CI: 92.4–99.4), and PV- ve 93.0% (95% CI: 88.6-96.1).

DISCUSSION

UBT is currently considered the most accurate non-invasive test in detecting H. pylori infection, especially in the confirmation of its eradication after antimicrobial treatment.
Nevertheless, its use is still not largely employed worldwide because $^{13}$C-urea used to carry out the tests is still costly and makes the widespread use of this test difficult. In this light, different studies have been carried out aimed at reducing the need for serial tests and reducing the dosage of the substrate used in the exams. Although expert groups and regulatory agencies still advocate two UBT after treatment for the confirmation of eradication\(^6\), one study recently demonstrated that a single UBT performed 4 weeks after treatment is as effective as two serial breath tests in confirming \textit{H. pylori} eradication. Nevertheless, the second breath test doubles the cost with no additional clinical benefit\(^7\).

Although the dose $^{13}$C-urea described in the pioneer study carried out by Graham et al.\(^7\), in 1987, was of 5 mg/kg (approximately 300 mg), later studies showed that lower doses, between 100 mg and 125 mg, were capable of achieving a sensitivity and specificity of up to 100%\(^8,11\). More recently, the dose of 75 mg has been recommended and adopted in clinical studies and commercial kits\(^6,8,13\).

In an attempt to reduce the dose of the substrate used even further, some studies, which employed 50 mg of $^{13}$C-urea released as a liquid solution or in capsules for gastric disintegration, have shown sensitivity and specificity indices greater than 95%\(^6\). In 2006, Gatta et al.\(^6\), using UBT with doses of 10 mg, 15 mg and 25 mg of $^{13}$C-urea, suggested that doses of up to 15 mg were accurate in diagnosing \textit{H. pylori} infection in patients that had not undergone treatment or after the eradication of the bacteria. One limitation of this study was not determining the prevalence of the $^{13}$C-urea infection in the studied population when determining the sensitivity, specificity, and predictive value in the studied tests.

The present study first demonstrated, in a prospective manner, that, in our Unit, 70% of the patients that perform UBT take it to control the eradication of the \textit{H. pylori} infection, while 30% have never undergone previous treatment for \textit{H. pylori}. The prevalence of \textit{H. pylori} infection was therefore 30.2% (95% CI: 23.9-37.3). Having defined the prevalence and its CI, it was determined that the best cut-off point for 25-UBT, with lower possibilities of false-positive values, is that which presents a DOB of equal to 3.4‰. With this cut-off point, the test achieved a sensitivity of 83.49% (95% CI: 75.4-89.3), a specificity of 99.38% (95% CI: 96.6-99.9), and a diagnostic accuracy of 92.99% (IC 95%: 88.07-97.92). Such results, although reasonable, hinder the recommendation of the 25-UBT in daily practice due to the relatively high probability of obtaining false-negative results for the CI of the considered prevalence.

Some limitations of our study must be addressed: could the female predominance or the significantly ($P = 0.009$) lower ages in \textit{H. pylori} negative patients have some influence in our results? Although one study suggests that untreated infected females may have higher UBT values compared to untreated infected males\(^11\), these findings are not considered sufficient to change the cut-off value among sexes\(^6\). Concerning the lower age observed in our \textit{H. pylori} negative group compared to \textit{H. pylori} positive group we attributed this finding to an overall decline on \textit{H. pylori} prevalence observed in the last 2 decades in children and young population in Brazil\(^9\) and, also, to the increasing tendency to perform UBT to screen dyspeptic young people. The similar BMI values in \textit{H. pylori}-positive and \textit{H. pylori}-negative subjects of our study give consistency to our sample selection and reduce the possibility that young people, as observed in children under 6 years of age\(^10\), could produce smaller amounts of endogenous $^{12}$CO\(_2\), changing the ratio $^{13}$CO/$^{12}$CO\(_2\) with increase in DOB values and consequent false-positive results. And finally, some concern might be raised by the reference test used in the study, consisting of only one UBT performed with orange juice instead of citric acid. Just one UBT is now considered sufficient for initial diagnosis and for the determination of the outcome of \textit{H. pylori} eradication therapy for most guidelines and studies\(^17\).

Although the addition of a test meal, especially citric acid solution incorporated to $^{13}$C-urea may improve diagnostic performance of UBT\(^10\), our test confirmed previous studies showing a very good discrimination between infected and non-infected patients when performed in fasting patients, with no test meal and using DOB values lower than 5%\(^6,8,18\).

In conclusion, the results of this study demonstrate that UBT that use low doses (25 mg) of $^{13}$C-urea were not accurate enough to be recommended in clinical setting where a 30% prevalence of \textit{H. pylori} infection is observed. Further studies should be done to determine the diagnostic accuracy of low doses of $^{13}$C-urea in the urea breath test.

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RESUMO – Contexto – A dose convencional de 13C-ureia para a realização do teste respiratório com 13C-ureia é 75 mg. Objetivo – Determinar a precisão diagnóstica do teste respiratório contendo 25 mg de 13C-ureia comparada com a dose convencional de 75 mg para o diagnóstico de infecção por H. pylori. Métodos – Duzentos e setenta pacientes adultos (96 homens, 174 mulheres, idade mediana de 41 anos) realizaram o teste respiratório convencional (75 mg 13C-ureia) e repetiram o teste respiratório usando apenas 25 mg de 13C-ureia dentro de 2 semanas de intervalo. O teste respiratório foi realizado empregando-se analisador de isotopos por infravermelho. Os pacientes foram considerados positivos quando apresentavam valor delta acima da linha de base >4.0 no teste respiratório convencional (padrão-ouro). Resultados – Cento e sessenta e um pacientes (59,6%) eram H. pylori positivos e 109 (40,4%) eram positivos aos testes respiratórios convencionais. Para definição do melhor ponto de corte discriminatório entre positivos e negativos pelo teste respiratório com 25 mg, foi utilizado a curva ROC, obtendo-se o valor de 3,4%, considerando-se a prevalência de 30,2% (IC 95%: 23,9-37,3) da infecção por H. pylori no laboratório, onde se realizou este estudo. Desta forma, para o teste respiratório com 25 mg foi obtida uma precisão diagnóstica de 92,9% (IC 95%: 88,1-97,9), sensibilidade de 83,5% (IC 95%: 75,4-89,3) e especificidade de 99,4% (IC 95%: 96,6-99,9). Conclusões – O teste respiratório com dose baixa (25 mg) de 13C-ureia não proporciona precisão suficiente para ser recomendado em ambientes clínicos, onde a prevalência de H. pylori está situada em torno de 30%.

DESCRITORES - Infecções por helicobacter. Testes respiratórios.

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