INTRODUCTION

Following the vertical axis from above to below, there are three anatomically less resistant areas in the laryngopharyngeal segment, where the diverticular formations may be found. The uppermost area is bilaterally less resistant, where the foramen allowing passage of the internal branch of the inferior laryngeal nerve is located. The next area is located on the posterior surface of the laryngopharyngeal wall, between the oblique and transverse fascicle of the cricopharyngeal muscle. This area, first described by Killian, is the site where the posterior laryngopharyngeal diverticula can be found (Zenker’s diverticula). The last is located on the anterior insertion of the cricopharyngeal muscle over the cricoid cartilage, there is a lateral depression on each side, where the rarer Killian-Jamiesen laryngopharyngeal diverticula may occur (10, 12, 13, 19, 29).

The characteristics, consequences and ease of imaging of Zenker’s diverticula leads us to believe that they are the commonest type of pharyngeal diverticula (17). They are considered to be 4 times more frequent than Killian-Jamiesen diverticula (19). However, the lateral laryngopharyngeal protrusions are at least 9 times more frequent than the Zenker type (6).

Lateral laryngopharyngeal diverticula are small pathological sacs that appear as “ears”, projecting at the level of the vallecula, and are usually bilateral. These diverticula are more frequent in older people, and show no difference in prevalence with respect to the sex of the person, the side of the pharynx, or in volume. The protrusions are found in the anatomically less resistant area in the upper portion of the pyriform recess, and are usually produced by pharyngeal overpressure related to difficulty in swallowing. This less resistant area is the region of the thyrohyoid membrane, where the internal branch of the superior laryngeal nerve passes to the laryngopharyngeal submucous membrane (6).
Lateral laryngopharyngeal diverticula have been variously considered to be rare or common; as a pathological entity; and also as a variation of the normal morphology. They have been described as a pouch or bulge with a narrow or wide neck; and also as true and as false diverticula. They have been termed a well-known entity, or also as a subject that remains open to new research.

A lateral laryngopharyngeal diverticulum is formed by the pharyngeal internal layers passing through a hole developed in the thyrohyoid membrane, via a mechanism similar to that observed in the protrusions that occur in the lower alimentary tract.

The anatomically less resistant laryngopharyngeal areas are adequate to sustain the physiological pressure of swallowing and phonation. Nevertheless, when subjected to continuous and atypical overpressure regimens, they can be a site of out-pouching. Physiologically, during swallowing, the pressure in the pharynx will find the pharyngoesophageal transition open, allowing passage for food under pressure to the esophagus, and protecting the less resistant areas on the laryngopharynx wall. In a group of human subjects with 33 diagnosed lateral laryngopharyngeal diverticula, only 4 subjects showed no degree of dysphagia to impede the pharyngeal clearance.

Usually a lateral laryngopharyngeal diverticulum is a small protrusion. Larger lateral diverticula are normally described as case reports, especially in players of wind instruments. The constant overpressure on the pharynx is considered to be the main factor responsible for the development of these diverticula.

The aims of this study were to identify, in a group of long-time wind instrumentalists, who are considered healthy individuals, possible morphological injury to the pharyngeal wall, related to the continuous use of their instruments.

**METHODS**

This study was carried out in full agreement with the ethical guidelines proposed by the World Medical Association (WMA Helsinki Declaration, Finland, 1995, supplemented by the 52nd WMA General Assembly, Edinburgh, United Kingdom, 2000, with amendments in Washington, 2002 and Tokyo, 2004). The protocol was previously approved by the Ethics Committee for Scientific Investigation of the Federal University of Rio de Janeiro, RJ, Brazil (CEP - No. 240/07) in agreement with Resolution 196/96 of the Brazilian Ministry of Health. All volunteers gave their informed consent to participate.

The pharynxes of 22 healthy male volunteers (who presented no spontaneous complaints), professional wind instrumentalists, with ages between 27 and 56 years (mean 35 years) were evaluated by a videofluoroscopic method, on the left lateral and antero-posterior planes.

Before the videofluoroscopic examination, all the volunteers were questioned about their general health and specifically about hoarseness, raucousness, dysphonia, phonatory weariness, cervical pain, difficulty in swallowing (dysphagia), choking, or pain in association with deglutition (odinophagia). All of them were requested to report any other complaints.

The musicians were seated on a special chair, to enable them to change their position with respect to the x-ray emission tube, thus varying the radiological incidence without having to physically move their bodies. A calibration system allowed the quantification of dimensional variations in the object, by means of the metric scale attached to the chair. The calibration grid pattern (with known measurements) is registered in an analogous frontal plane to the plane occupied by the study object. All the measurements were made from frontal images (antero-posterior incidence).

All the musicians were submitted to a videofluoroscopic study for morphological and functional analysis of the pharyngeal dynamics during swallowing of 20 mL of contrast medium (barium sulfate solution diluted 50% with distilled water). Each volunteer was observed with at least three distinct gulps in each swallow test. After the contrast-medium series, the musicians were instructed to blow against two fingers, seeking to produce an equal or larger resistance to that necessary to use their own instruments. The blowing against resistance was also performed in each videofluoroscopic incidence, with intermittent effort and rest.

The videofluoroscopic examinations were carried out with a Philips BV 22 C-arm (Philips; The Netherlands) with a 100 kV, 20 mA, Philips LR24424 intensifier (Philips; The Netherlands). The television system is black and white, based on the NTSC standard, comprising a black and white 20" monitor, and are recorded simultaneously on an analog VHS Panasonic NV-MV 40 video recorder (Panasonic; Brazil) and on a digital Philips DVD recorder model DVDR 3455H (Philips; USA), with the image control being displayed on the Panasonic CT-1383VY 13" color monitor screen (Panasonic; Mexico).

All protrusions identified were measured in the antero-posterior plane, using institutional software able to measure areas based on a previous calibration (Videomed Version 1-16.9.2002-alpha). Each area was measured at least twice. Each result is the mean of the measured areas. The statistical analysis used the Mann-Whitney test with a significance level of P<0.05 (GraphPad Prism version 5.00, Graphpad software, 2003, La Jolla, California, USA).

**RESULTS**

All volunteers were observed under left lateral and antero-posterior incidences. The left lateral profile does not contribute to the diverticula analyses, but shows the large pharyngeal distension against the closed pharyngoesophageal transition. All the diverticula characteristics and measurements were examined by frontal (antero-posterior) incidence (Table 1).
All 22 musicians are professionals, who have played their wind instruments for a mean period of 19 years (from 3 to 30 years). They reported playing their instruments from 2 to 6 h per day, for a mean of 4.2 h. Trumpet (6), saxophone (5), and clarinet (5) were the most frequent instruments played by the volunteers. Other, less frequently played instruments were the flute, oboe, saxhorn, bassoon, and trombone. All the musicians blew against two closed fingers apposed on the lips, which allowed us to identify, in all of them (100%), a unilateral (3 volunteers) or bilateral (19 volunteers) air protrusion; these protrusions varied in size from 0.7 to 6 cm².

The dimensions of the diverticula observed with air as the contrast medium varied with respect to the size and side, and showed no relationship to the length of time that the musician had used the instrument. Eight diverticula showed areas from 0.7 to 0.9 cm² (5 on the left side and 3 on the right); 12 showed areas between 1 and 1.9 cm² (6 on the right side and 6 on the left); and of 15 musicians with bilateral diverticula, 21 ranged from 2 cm² to 6 cm² (6 on both sides, 6 on the right, and 3 on the left). There was no correlation between the length of time that the musician had played the instrument and the area of the diverticula. Also, neither side showed a statistically significant prevalence (Figure 1).

**FIGURE 1.** Correlation between dimensions of diverticula and length of use of wind instruments in the 22 musician subjects. Dimensions of diverticula on the right and left laryngopharyngeal sides, with the length of time that the 22 musicians had played their instruments. There was no correlation between the length of playing time and the dimensions of the right and left diverticula. Based on the values distribution; the anatomically less resistant areas on the right and left sides of the laryngopharynx showed the same degree ($P = 0.4956$) of weakness under the overload pressure.
The trumpet and clarinet players showed the largest mean areas on the right and left sides (trumpet right side 3.7 cm² and left side 2.25 cm²; clarinet right side 3.04 cm² and left side 1.8 cm²).

The three groups of trumpet, clarinet, and saxophone players were large enough to allow statistical analysis. The trumpet and clarinet produced the same level of injury on the left \((P = 0.4633)\) and on the right side \((P = 0.4642)\). The trumpet and clarinet produced more overload than the saxophone, with statistical significance on the right side of the pharyngeal wall (trumpet x saxophone \(P = 0.0043\), clarinet x saxophone \(P = 0.0317\)), and without statistical significance on the left side (trumpet x saxophone \(P = 0.2222\), clarinet x saxophone \(P = 0.6723\)). There were no statistical differences in the lateral laryngopharyngeal right and left side resistance to overpressure imposed by the trumpet \((P = 0.0996)\), clarinet \((P = 0.2492)\) or saxophone \((P = 0.4620)\).

In the barium-swallow observation, five volunteers did not show their laryngopharyngeal diverticula (four of them had been identified with the air test as bilateral). In seven other volunteers, unilateral protrusions were identified (five of them showed bilateral diverticula with the air test). Bilateral diverticula were identified in 10 of the 19 subjects identified with air distension. All the 27 protrusions identified with barium were under-scaled. Twenty of them with dimensions less than 0.5 cm² were only identified after air identification in frame-by-frame analyses. Six, also under-scaled, ranged from 0.6 cm² to 1.4 cm². One volunteer, a clarinet player, showed a unilateral right-side diverticulum with the barium solution (3.4 cm²). However, with air distension this right diverticulum measured 6.00 cm² and the left side showed a diverticulum with a diameter of 3.5 cm². The other 14 air-identified diverticula were not seen by the barium study (Figures 2, 3 and 4).

The volunteers did not present any spontaneous complaints. Specific questions about hoarseness, raucousness, dysphonia, phonatory weariness, cervical pain, difficulty in swallowing (dysphagia), choking, or pain in association with deglutition (odynophagia) received negative responses, and also none of these symptoms were observed. Nevertheless, cervical lateral pressure, during instrument use, was admitted.

FIGURE 2. (A) Frame from a barium solution swallow, showing a large unilateral diverticulum (arrow) and (B) the same volunteer, a clarinet player, blows against resistance, showing large bilateral laryngopharyngeal diverticula (arrow).

FIGURE 3. From a barium solution swallow: frame (A) shows the lateral contour of the laryngopharynx with a discrete irregularity, probably not appreciated because there is no residual volume of contrast after the swallow. (B, C and D) show a sequence, obtained by blowing against resistance, by the same trumpet player shown in (A). These images show large diverticula on both sides, where the left diverticulum (1) is smaller than the right (2). In frames (C and D), for didactical interest, we artificially accentuated the lateral and inferior contour of the laryngopharynx to show the total air pharyngeal distension accentuating the pyriform recess (indicated by arrows in C). In (D) we estimated with a circle (arrow) the projection of the limit between the diverticula on both sides and the laryngopharynx.

FIGURE 4. (A), a small left unilateral diverticulum (arrows) from a saxophone player, evidenced by blowing against resistance. (B) with a barium-solution swallow, a discrete mark on the left lateral side of the pharynx, corresponding to the location of the diverticulum, which was only identified by the previous air distension. (C) the same frame as (A) with the lateral and inferior contours accentuated artificially; the arrows indicate the lateral laryngopharyngeal diverticulum.
as “normal” discrete discomfort. This was admitted especially by the three volunteers in whom intermittent protrusions were seen at the cervical external surface in association with blowing against resistance (Figure 5).

No other protrusions could be found in this group of wind instrumentalists despite the existence of the other, less resistant areas on the laryngopharyngeal wall (Figures 6 and 7).

**DISCUSSION**

In a group of 22 wind instrumentalists examined by means of a videofluoroscopic study, we could observe 41 protrusions on the less resistant lateral laryngopharyngeal area by air distension, and these protrusions ranged in size from 0.7 to 6 cm². In the same group, under a videofluoroscopic barium-swallow study, the lateral diverticula that were previously observed in the air-distension study did not appear, or appeared as a small protrusion. With air distension, the 41 diverticula were clearly identified, and with barium as the contrast medium, only 7 protrusions larger than 0.6 cm were clearly identified. Nineteen others were identified from frame-by-frame analyses, and showed under-scaled areas less than 0.5 cm² and only after their identification with air distension.

Two different mechanisms can cause lateral laryngopharyngeal diverticula. They can be produced by pharyngeal intra-luminal overpressure without abnormal resistance to flux passage from the pharynx to the esophagus (in wind instrumentalists), or by overpressure produced by abnormally high resistance to flux passage from the pharynx to the esophagus (in dysphagia). These two mechanisms ex-
plain the differences between the definition that consider the diverticular formations as small protrusions that appear as full sacs in a barium-swallow test\(^6, 10, 13\) and our results for the musician subjects examined in this study, in which the protrusions did not appear as full sacs in a barium-swallow test, despite their larger dimensions.

Physiologically, during swallowing, the high pressure into the pharynx finds the pharyngoesophageal transition open, giving food under pressure free passage to the esophagus and indirectly protecting the less resistant areas on the upper sides of the lateral laryngopharyngeal wall.

Pharyngeal protrusions are frequently associated with dysphagia. In a previous study\(^6\) that examined 33 lateral laryngopharyngeal diverticula, dysphagia was not identified in only four cases. The dysphagic process usually causes pharyngeal overpressure secondary to a high resistance to flux passage from the pharynx to the esophagus, because of the lower efficiency of the mechanism responsible for opening the pharyngoesophageal transition.

The anatomically less resistant area located above the narrow region, which configures the pharyngeal esophageal transition, is a floor where the lateral laryngopharyngeal protrusions emerge\(^4, 6, 8\). These anatomically less resistant areas provide sufficient elasticity to sustain a physiological and certainly, some discrete overpressure.

Nevertheless, a continuous overpressure regimen, secondary to a flux resistance produced by dysphagia, can generate a small retractile lateral protrusion that can be seen in a barium-swallow study, as a transient sac with a large neck or without a neck. This sac, which has some residual elasticity, is best defined as a pouch. The presence of somewhat larger protrusions leads to the definition of diverticula as protrusions that appear as full barium sacs, variable in size, connected to the persistent sac by a proportionately short neck\(^6, 10, 13\).

In wind instrumentalists, the protrusions are produced by intra-luminal pharyngeal overpressure, and do not involve difficulty in swallowing. The persistent and continuous pharyngeal overpressure induced by the resistance of the instrument’s mouthpiece will strongly distend the anatomically less resistant areas of the pharynx, producing a large protrusion that is best defined as an acquired diverticulum. Nevertheless, these diverticula either did not appear, or appeared as a discrete protrusion when studied with the barium solution, because there is no abnormal resistance to flux passage from the pharynx to the esophagus during the swallowing process.

Acquired laryngopharyngeal diverticula are a consequence of overpressure. Under continuous overpressure, a permanent protrusion, with or without a neck, can be produced. This permanent large sac, with or without contrast retention, must be considered as a diverticulum. Therefore, to analyze the pharyngeal wall it is necessary to complement the barium-swallow study with a test of pharyngeal distension against resistance.

Despite the existence of the other, less resistant areas on the laryngopharyngeal segment, no other protrusions could be found in this group of wind instrumentalists. The video-fluoroscopic lateral profile study showed a large pharyngeal distension against the closed pharyngoesophageal transition, when the musicians blew against resistance, allowing us to presume that the other, less resistant areas were exempt from the overpressure effect produced by wind instruments. We believe that this exemption from overpressure results from the inferior position of the two other, less resistant areas.

The anatomically weaker area in the lateral laryngopharyngeal wall has characteristics of individual weakness, which is accentuated with aging\(^6\). All the wind instrumentalists analyzed showed acquired diverticula, and the dimensions had no relationship to the age of the subject or the period of time of instrument use, indicating some degree of individuality in the weakness characteristics.

Blowing against resistance, as is necessary to play a wind instrument, clearly produces overload and damage in the anatomically weaker area in the laryngopharynx. Nevertheless, the kind of instrument seems to be more important than the length of time that it has been played. There were several examples in the group as a whole and also within the groups of users of the same instruments, where larger diverticula were found in musicians who had played their instruments for shorter periods. In the groups of trumpet, saxophone and clarinet players where the number of musicians allowed a comparison, the length of time that the instrument had been played and the size of the acquired diverticula showed no correlation.

There was no statistically significant difference in the lateral laryngopharyngeal right and left side resistance to overpressure imposed by the trumpet, clarinet or saxophone. The trumpet and clarinet players showed similar degrees of injury on the left and right sides. However, trumpet and clarinet overload produced more injury than the saxophone on the right and left sides, with statistically significant damage on the right side. These results lead us to believe that the overload is the factor responsible for the lateral pharyngolaryngeal injury, and that there is no real difference in the resistance of the two sides.

Without a clear dimension reference, absence of symptoms was previously considered to be common in cases of pharyngeal lateral diverticula\(^6, 9, 10\). In agreement with these previous observations, no spontaneous complaints were expressed by the 22 subjects all of them wind instrumentalists with large diverticula. Nevertheless, there are descriptions of complaints associated with lateral laryngopharyngeal diverticula, such as dysphagia\(^10\), cervical aching and odynophagia\(^13\), dysphagia and hoarseness\(^11\), dysphagia, suffocation, and cervical discomfort\(^9\), aspiration after swallowing\(^16, 28\), laryngeal superior nerve neuralgia\(^3\), cervical mass\(^24\), halitosis, and the sensation of a foreign body in the throat\(^18\). For this reason, all these complaints were directly mentioned to each volunteer, and all of them denied any such complaints.

Dysphagia, when present, probably is a cause of lateral laryngopharyngeal diverticula rather than a symptom. It is possible that all the other above-listed complaints attributed to diverticula are part of the same disease that is also responsible for the presence of the diverticula. If diverticula...
were the cause, we certainly would have found at least one of these complaints in this group of wind instrumentalists, not their complete absence.

In three volunteers, we observed at the cervical external surface, an intermittent protrusion associated with blowing against resistance. These external cervical projections, which are associated with large diverticula, must be the cervical mass that had been mentioned previously\(^{(24)}\). These persons also mentioned the perception of cervical pressure, described as discomfort, associated with instrument use, as observed previously\(^{(69)}\).

Acquired lateral laryngopharyngeal diverticula were identified in 100% of the musicians studied. Therefore, these diverticula should be considered as an “occupational overuse syndrome” (OOS) or, as previously described for other lesions that are produced by repetitive actions, as a “cumulative trauma disorder” (CTD) or “repetitive strain injury” (RSI). Under these several designations, this syndrome is responsible for causing injury by repetitive tasks, as observed here in the wind instrumentalists. The absence of clear discomfort or other evident symptoms does not negate the possibility that the repetitive overload produced by a wind instrument is responsible for this condition\(^{(14, 21, 30)}\).

**CONCLUSION**

Acquired lateral laryngopharyngeal diverticula are a consequence of pharyngeal overpressure. The absence of full barium sacs upon lateral laryngopharyngeal barium-swallow examination of the diverticula of the musicians indicates a lack of difficulty in the flux passage from the pharynx to the esophagus. The acquired diverticula produced by use of a wind instrument must be considered as an OOS. The lack of symptoms does not exclude the possibility that the repetitive tasks are the main factor responsible for the development of these diverticula.
REFERENCES