Persistent apical periodontitis (AP) is a situation involving an inflammatory and immune response caused mainly by anaerobic polymicrobial infection of the root canal system and the outcome and follow-up of the root canal treatment has been reported as intimately related to host response. The apical periodontitis repair might be associated with genetic polymorphisms. This study aimed to evaluate the association between HIF1A genetic polymorphisms and AP in Brazilian patients. Subjects with at least 1 year of follow-up after root canal therapy (RCT) were recalled. Sixty-four subjects with signs/symptoms of PAP and 84 subjects with root canal–treated teeth exhibiting healthy periradicular tissues (healed) were included. Genomic DNA was extracted from saliva and used for HIF1A genotyping by real-time PCR. Genotype and allele frequencies were compared by χ² or Fisher’s exact tests and odds ratio was implemented, using Epi Info 3.5.2. All tests were performed with an established alpha of 0.05. There was no association between allele and genotype distribution for HIF1A polymorphisms and PAP (p>0.05). The genetic polymorphisms in HIF1A were not associated with persistent apical periodontitis.

Introduction

Apical periodontitis (AP) is an inflammatory and immune response condition, which the main cause, is the anaerobic polymicrobial infection of the dental pulp and root canals (1–6). This inflammation damages tissues and results in bone destruction around the root apex (1–3,6).

Several evidences demonstrate that inflammatory lesions are characterized by cystic formation, nutrient depletion and ischaemia hypoxia in the central areas of the inflamed AP. The episodes of tissue hypoxia occur as a result of increased metabolism and reduced oxygen supply (2,4,7). The hypoxia and inflammatory environments may induce angiogenic processes (2,8), cell proliferation or cell protection through several mechanisms such as autophagy to aid cells overcome this situation (2). In fact, one of the most important factor that affects acute and chronic inflammation is the oxygen (2). Hypoxia is a key feature of inflammatory tissues due to elevated oxygen consumption by infiltrated inflammatory cells, which must adapt to hypoxic environments and maintain the function of the innate immune system against infectious microorganisms (2,6).

Inside the root canals and surround periapical tissues, hypoxia plays pathogenic roles in the development of AP, which involve hypoxia-inducible factor 1 (HIF–1) (2,6). The HIF–1 is a local regulator especially related to angiogenesis but is also receiving prominence by modulating osteoclastogenesis and osteoclastic activity (9,10). HIF1A gene encodes the alpha subunit of transcription factor HIF–1α, which regulates oxygen dependent gene transcription (4,7). In the hypoxia signaling pathway HIF–1α plays a major role. This factor is O2-sensitive, and in the presence of O2, HIF–1α is hydrolysed and degraded. During hypoxia, HIF1A is stabilized and translocated to the nucleus where it induces transcription of hypoxia-regulated genes, particularly associated with increased angiogenesis (10). However, it has been reported that HIF–1α is also involved in the regulation of the osteoclastogenesis and osteoclastic activation (2,6,9,10).

HIF1A is expressed in innate and adaptive immune cells, including macrophages (11), neutrophils (12), dendritic cells (13), and lymphocytes (14). Recent studies have been demonstrating that HIF–1α plays an important role in the process of AP wound healing (2,6), playing a protective role downregulating of NF-κB, proinflammatory cytokines, M1 macrophages and osteoclastogenesis (2). Although recent studies have suggested that HIF–1α are a protective factor for AP, the connection between the gene HIF1A and AP have...
not been evaluated yet. Thus, based on these evidences, this study proposed to evaluate the association between persistent AP and the genetic polymorphisms rs2301113 and rs2057482 in HIF1A.

Material and Methods
Sample Selection and Patients
After the approval of the Ethics Committees (CAAE: 74708517.7.0000.5419 and 37717414.0.0000.5243), all subjects were informed about the research and a consent term was signed for each one.

Initially, subjects who received endodontic treatment at the School of Dentistry of Ribeirão Preto, University of São Paulo, SP, Brazil and at the Fluminense Federal University, RJ, Brazil were screened. Patients that presented pulp necrosis and apical periodontitis at the time of endodontic treatment (15–18) and at least 1 year follow-up were included.

As an inclusion criterion, only subjects with at least one root canal–treated tooth, no specific reason for root canal treatment failure and good or regular health condition, were included. Patients with unsatisfactory endodontic treatment (obturation more than 2 mm shorter from the radiographic apex or overfilled from the root apex and/or with voids, inadequate density, unfilled canals, or poor condensation), medical conditions, immunologically compromised; vertical root fracture; presence of microleakage and absence of final restoration were excluded.

Phenotype Determination
During follow-up visits, the phenotype was determined based on radiographic and clinical aspects. Immediate postoperative radiographs were compared to radiographs obtained during the follow-up session. All radiographs were taken through the bisector technique to allow the comparison between sessions and evaluated by an experienced and calibrated endodontist. If the examiner was not able to clearly determine the phenotype, a second observer was consulted until an agreement was reached between the examiners. In patients who had endodontic treatment in multirooted teeth, the phenotype was determined from the worst result found in the roots.

The data from this study were classified according to criteria used in a previous study (16) as follow: 1) persistent apical periodontitis (PAP) group, which was defined as a lack of healing with apparently well obturated root canal system(s) as determined by a radiographic examination, the preexisting radiographic lesion remained the same size or increased in size, and the presence of a clinical sign or symptom of periapical disease (ie, sinus tract, pain, and swelling), constituting. 2) Healed group was assigned by patients who had endodontic treatment accomplished with no swelling and pain absence, sinus tract disappearance, no function loss, and no tissue destruction.

DNA Extraction and Genotyping
During the follow-up visit, after the radiographic examination, saliva samples were collected from all included patients. The genotyping analysis was performed from genomic DNA extracted from buccal cells isolated from saliva as previously described (19). A spectrophotometer (NanoDrop 1000, Thermo Scientific, Wilmington, DE, USA) determined the quantity and purity of the DNA. Two genetic polymorphisms in HIF1A genes were selected and are described in the Table 1.

Real-time polymerase chain reaction (PCR) using the TaqMan assay (20) was used to perform genotyping. Water was used as a non-model control (negative control) to ensure the quality control of genotyping reactions.

Statistical Analysis
The Epi Info 3.5.2 software was used to analyze the data obtained. Test-t was performed to calculate the age differences between groups. Fisher’s exact and chi-square tests were used to analyze the demographic difference (age, sex, ethnicity, healthy condition and habits) between groups.

Chi-square or Fisher’s exact tests and odds ratio were used to compare allele and genotype distributions between PAP and healed groups. A logistic regression analysis was also implemented using time of follow-up as co-variate. All tests were performed with an established alpha of 0.05. Hardy-Weinberg equilibrium was evaluated using the chi-square test.

Results
The studied group was composed by 150 patients, in which 109 (72.67%) were females and 41 (27.33%) were males. Eight-two (54.67%) patients were Caucasians and 68 (45.33%)
African-descendants with age ranging from 16 to 83 (Table 2).

Therefore, a total of 42.7% (n=64) of the evaluated patients showed PAP and 57.3% (n=86) were classified as healed, and the follow-up time ranged between 12 and 84 months after the endodontic treatment.

Table 2. Demographic characteristics distribution of studied patients between groups: Persistent Apical Periodontitis (PAP) x healed

| Characteristic | PAP (n=64) | Healed (n=86) | p-value*
|---------------|-----------|--------------|-----*
| Age [Mean (SD)] | 45.6 (13.2) | 47.7 (13.7) | 0.42*
| Sex | | |
| Female (%) | 44 (40.4) | 65 (59.6) | 0.35**
| Male (%) | 20 (48.8) | 21 (51.2) | |
| Ethnicity | | |
| Caucasians (%) | 34 (53.1) | 48 (55.8) | 0.91**
| African-descendants (%) | 30 (44.1) | 38 (55.9) | |
| Healthy condition | | |
| Any (%) | 26 (47.3) | 29 (52.7) | 0.38**
| None (%) | 38 (40.0) | 57 (60.0) | |
| Habits | | |
| Smoker (%) | 13 (56.5) | 10 (43.5) | 0.10**
| Nonsmoker (%) | 49 (38.6) | 78 (61.4) | |

*p-t-test; **Chi-square test, bold forms indicated statistical significance.

Table 3 demonstrated genotype and allele distributions between PAP and healed groups. The genetic polymorphisms in HIF1A were not associated with PAP (p>0.05).

Table 4 shows the results of the multivariate analysis adjusting by the follow-up time, which was not associated with the persistent PAP (p>0.05).

### Discussion

In the past decades, the understanding of the genetic contributions to the risk of developing AP and the risk to present PAP was explored in some studies (1,18,21–26). In a recent review, Aminoshariae and Kulild (27) showed that polymorphisms could be biological modifiers of some individual susceptibility. In fact, many of these previous studies proposed that some genetic polymorphisms could be a genetic marker for PAP (1,28,18,21–25,27,29–31).

Huang et al. (6) demonstrated that hypoxia is presented in inflammatory AP by immunoblotting and potentially interacts with the immune and inflammatory responses. In our present study, we did not find an association between genetic polymorphisms in \( HIF1A \) and PAP, although \( HIF1A \) was a promising candidate gene. Hirai et al. (2) demonstrated that the activation of HIF-1\( \alpha \) exhibited an anti-inflammatory effect in

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*p-t-test; **Chi-square test, bold forms indicated statistical significance.

**Table 3. HIF1A Genotypes and Alleles distribution in patient between Persistent Apical Periodontitis (PAP) and healed groups**

<table>
<thead>
<tr>
<th>Gene (Polymorphism)</th>
<th>Groups</th>
<th>Genotype n (%)</th>
<th>Allele n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIF1A (rs2301113)</td>
<td>PAP</td>
<td>24 (37.5) 28 (43.8) 12 (18.8)</td>
<td>76 (59.4) 52 (40.6)</td>
</tr>
<tr>
<td></td>
<td>Healed</td>
<td>24 (27.9) 45 (52.3) 17 (19.8)</td>
<td>93 (54.1) 79 (45.9)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gene (Polymorphism)</th>
<th>Groups</th>
<th>Genotype n (%)</th>
<th>Allele n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIF1A (rs2057482)</td>
<td>PAP</td>
<td>32 (68.1) 11 (23.4) 4 (8.5)</td>
<td>75 (79.8) 19 (20.2)</td>
</tr>
<tr>
<td></td>
<td>Healed</td>
<td>36 (58.1) 21 (33.9) 5 (8.1)</td>
<td>93 (75.0) 31 (25.0)</td>
</tr>
</tbody>
</table>

*Chi-square test, bold forms indicated statistical significance; OR means Odds Ratio; CI means Confidence Interval.

**Table 4. HIF1A multivariation model**

<table>
<thead>
<tr>
<th>Gene (Polymorphism)</th>
<th>Reference</th>
<th>Genotype</th>
<th>p-value*</th>
<th>OR (95%CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIF1A (rs2301113)</td>
<td>AA</td>
<td>AC</td>
<td>0.09</td>
<td>0.51 (0.23-1.11)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CC</td>
<td>0.39</td>
<td>0.66 (0.25-1.71)</td>
</tr>
<tr>
<td>HIF1A (rs2057482)</td>
<td>CC</td>
<td>CT</td>
<td>0.23</td>
<td>0.58 (0.24-1.40)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>TT</td>
<td>0.94</td>
<td>0.95 (0.23-3.89)</td>
</tr>
</tbody>
</table>

*Multivariation model using time of follow-up as a covariant. Bold forms indicated statistical significance; OR means Odds Ratio; CI means Confidence Interval.
AP, and they proposed that HIF-1α might be related to infiltration of myeloid cells in AP inflammation. Their gene expression analysis also indicated a possible mechanism of the less inflammatory state mediated by activation of HIF-1α through downregulation of NF-κB activation and subsequent proinflammatory gene expressions.

The genetic polymorphism rs2301113 in HIF1A was associated with the lung cancer outcome but not for colorectal cancer (3,32). On the other hand, the genetic polymorphism rs2057482 was associated with the efficiency of the chemotherapy in colorectal cancer patients (32) and with the prognosis of perimenopause coronary artery disease (33). In our study, we were not able to identify a polymorphism in HIF1A as a marker to the endodontic treatment outcome prognosis. This could be related with the fact that these polymorphisms are not associated with PAP or with the fact that this gene has a small effect in the prognosis of the endodontic treatment, and this effect could only be detect in a larger sample size. It is also possible that other genetic polymorphisms in this same gene are involved in PAP, since HIF-1α plays an important role in angiogenesis and osteogenesis.

In fact, activation of the HIF-1α pathway accelerates bone regeneration, reduces inflammatory cell infiltration and promotes wound healing (34–36). An association between HIF-1α, RANKL and angiogenesis has also been showed by some studies (37,38). In addition, studies demonstrated that HIF-1α promotes increased vascular endothelial growth factor, which in turn recruit osteoclast to the remodeling area, to stimulate osteoclast differentiation and to promote osteoclast resorption (9,39–42).

Briefly, hypoxia-based strategies in dentistry are emerging in all dental specialties (4). Further research on this topic is necessary to harvest the benefits of these approaches in the future and identify the most applicable screening strategy in the endodontic research. According to the results of the present study, it can be concluded that the genetic polymorphisms rs2301113 and rs2057482 in HIF1A were not associated with persistent apical periodontitis.

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References

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