Primary and secondary esophageal contractions in patients with gastroesophageal reflux disease

C.G. Aben-Athar and R.O. Dantas
Departamento de Clínica Médica, Faculdade de Medicina de Ribeirão Preto, Universidade de São Paulo, Ribeirão Preto, SP, Brasil

Abstract
We studied the primary and secondary esophageal peristalsis in 36 patients with heartburn and acid regurgitation and in 14 asymptomatic volunteers. Primary peristalsis was elicited by ten swallows of a 5-mL bolus of water and secondary peristalsis was elicited by intra-esophageal infusion of 5, 10, and 15 mL water, 0.1 N hydrochloric acid and air. Esophageal contractions were measured by an 8-lumen manometric catheter assembly incorporating a 6-cm sleeve device. Contractions were registered at 3, 9, and 15 cm from the upper margin of the sleeve and the infusion was done through a side hole located at 12 cm. Twenty patients had normal endoscopic esophageal examination, 10 with normal (group I) and 10 with abnormal pH-metric examination (group II), and 16 had esophagitis (group III). The amplitude of contractions after swallows was lower (97.8 ± 10.0 mmHg) in the distal esophagus of group III patients than in controls (142.3 ± 14.0 mmHg). Patients of group III had fewer secondary contractions (water: 25% of infusion) than patients of the other groups and controls (67% of infusion). Patients of group III also had a lower amplitude of secondary peristalsis in the distal esophagus (water: 70.1 ± 9.6 mmHg) than controls (129.2 ± 18.2 mmHg). We conclude that patients with esophagitis have an impairment of primary and secondary peristalsis in the distal esophagus.

Introduction
Esophageal contractions are the best way to clear the esophagus of refluxed material from the stomach. The esophageal body responds to reflux by an increase in primary peristalsis through stimulation of swallowing and by secondary peristalsis through esophageal distension (1). However, esophageal peristalsis is affected by reflux (2-4) and inadequate peristalsis contributes significantly to the development of esophageal injury and esophagitis (5,6). It is possible that the impairment of esophageal contractions affects both primary and secondary peristalsis. Postprandial upright gastroesophageal reflux is mainly cleared by primary swallow-induced peristalsis, whereas secondary distention-induced peristalsis seems to play a more relevant role after supine reflux (1).

The objective of the present study was to evaluate the primary and secondary peristalsis elicited by intraesophageal infusion of
different volumes of air, water and 0.1 N hydrochloric acid (HCl) in patients with gastroesophageal reflux symptoms.

**Material and Methods**

We studied 36 patients with heartburn and acid regurgitation and 14 asymptomatic volunteers. The patients with heartburn were 13 men and 23 women aged 16 to 64 years (median: 40 years). Twenty patients had normal esophageal endoscopic examination, 10 with a normal score, <14.72 (7,8) in 24-h pH-metric examination (group I), and 10 with an abnormal score, >14.72 (group II).

Sixteen patients had esophagitis detected by endoscopic examination (group III), 7 of them with grade I, 5 with grade II and 4 with grade III of the Savary-Miller classification (9). The proportion of patients with symptoms occurring more than once a week was 80, 78, and 81% in groups I, II and III, respectively. The symptoms were classified as severe in 50% of group I patients, 44% of group II patients and 56% of group III patients.

The control group included 14 asymptomatic volunteers (2 men) without symptoms or treatment for esophageal diseases, aged 20 to 54 years (median: 38 years). The study was approved by the Human Research Committee of the University Hospital of Ribeirão Preto and all subjects gave written informed consent to participate in the study.

Esophageal manometry was performed using an 8-lumen manometric catheter assembly incorporating a 6-cm sleeve device at its distal end (10). Side-hole recording orifices were cut at the distal and proximal margins of the sleeve. Five additional side-hole recording orifices were cut at 3-cm intervals along the assembly, starting 3 cm proximal to the sleeve (Arndorfer Specialties Inc., Greendale, WI, USA). The catheter assembly was connected to external pressure transducers (pvb Medizintechnik, Munich, Germany), which in turn were connected to a PC Polygraph HR (Synectics Medical, Stockholm, Sweden). The manometric signals were stored in a computer. During the manometric recordings, a minimally compliant pneumohydraulic pump (JS Biomedicals Inc., Ventura, CA, USA) perfused distilled water at 0.5 mL/min through the sleeve and the side holes.

Each subject was studied after an overnight fast. The catheter assembly was passed through the nose and positioned so that the 6-cm long sleeve straddled the lower esophageal sphincter. The contractions in the esophageal body were recorded by the side holes located at 3, 9, and 15 cm from the upper margin of the sleeve, about 5, 11, and 17 cm from the upper margin of the lower esophageal sphincter. All volunteers and patients were studied in the supine position.

In the study of primary peristalsis subjects performed 10 swallows of a 5-mL bolus of water at room temperature with an interval of at least 30 s between successive swallows. For secondary peristalsis we injected within 6 s in duplicate through the side hole located 12 cm from the upper margin of the sleeve 5, 10, and 15 mL water, 5, 10, and 15 mL 0.1 N HCl, pH 1.8, and 5, 10, and 15 mL air in this sequence, with a minimum interval of at least 20 s between infusions. If the patient or volunteer performed a spontaneous swallow before 20 s after infusion the response was not measured. Secondary contractions were observed when there was an esophageal contraction within 20 s after water, HCl, or air infusion. The interval of 20 s after each infusion was permitted for any response to occur.

Using the computer Polygram Upper GI software version 6.4 (Gastrosoft Inc., Stockholm, Sweeden) we measured the amplitude, duration, area under the curve, and velocity of peristaltic contractions.

Peristalsis was observed when the wave migrated aborally with a time delay between the contraction phase at each successive level of recording in the esophageal body (8).
Simultaneous contraction occurred when there was no time delay between the contraction phases at each level of recording. Failure occurred when there was an absence of contraction after the intraesophageal infusion, and non-conducted contraction occurred when there was an interrupted propagation in the upper/mid-esophagus.

For statistical analysis we used one-way analysis of variance, the Tukey-Kramer test for multiple comparisons when the Kolmogorov-Smirnov test indicated that the results followed a Gaussian distribution, the Kruskal-Wallis and the Dunn tests for multiple comparisons when the results did not show Gaussian distribution, and the Fisher test. The results are reported as mean ± SEM, and percentage.

**Results**

In 38% of esophagitis patients of group III less than 80% of swallows were followed by peristaltic contractions, in contrast to the control group, in which all subjects had more than 80% of peristaltic contractions after swallows (P < 0.05).

The amplitude of contractions after wet swallows was lower in the distal esophagus of patients with esophagitis (group III) (97.8 ± 10.0 mmHg) than in controls (142.3 ± 14.0 mmHg, P < 0.05). There was no difference between groups in the duration of contraction, area under the curve or peristaltic velocity (P > 0.05).

The infusion of a 5-mL volume of water, HCl and air caused a small number of secondary contractions. Since there was no difference between the volumes of 10 and 15 mL, we analyzed the two volumes together.

The percentage of secondary contractions caused by intraesophageal infusion of water, was 67% in the control group and 25% in the group III patients. Patients with esophagitis (group III) had less secondary peristaltic contractions than patients of groups I and II and controls (Figure 1; P < 0.05).

Figure 1. Percentage of secondary esophageal peristaltic (time delay between the contraction phase at each successive level of recording), failed (absence of contraction), simultaneous (no time delay between the contraction phase), or non-conducted (interrupted propagation) contractions elicited by intraesophageal infusion of water, HCl and air. *P < 0.05 compared to controls and groups I and II. +P < 0.05 compared to controls and group I (Fisher test).
There were no significant differences between groups in terms of the consequences of infusion of water, HCl or air.

The patients of group III had a lower amplitude (water: 70.1 ± 9.6 mmHg, HCl: 43.3 ± 7.8 mmHg) of secondary contractions at 3 cm from the lower esophageal sphincter than controls (water: 129.2 ± 18.2 mmHg, HCl: 123.8 ± 34.2 mmHg, P < 0.05).

Discussion

We observed that patients with esophagitis have a lower amplitude of primary and secondary contractions in the distal esophageal body and a lower proportion of secondary peristalsis than control subjects.

The esophageal contractions are an important factor in the clearing of reflux material from the esophagus (11,12). The major acid clearance mechanism is primary peristalsis (13), while secondary peristalsis has a less important role (1).

Low contraction amplitude and an increased number of failed contractions are seen in patients with gastroesophageal reflux disease (GERD) (5). These alterations in the distal esophagus do not delay the arrival of saliva to the distal esophageal body but prolong the clearance to the stomach in the supine position (14). In this situation the subjects may have more frequent and intense esophageal lesions caused by gastroesophageal reflux.

Olsen and Schlegel (3) described the relationship between esophageal motility alterations and esophagitis, with an ineffective peristalsis seen in 32% of the patients studied, as later confirmed by others (5,15). Distal esophageal contraction amplitude is lower than normal in patients with GERD (5) and in animals with experimentally induced esophagitis (16).

We do not know whether gastroesophageal reflux leads to the development of distal low contraction amplitude by repeated distal esophageal acid exposure or whether the presence of preexisting poor esophageal contractions leads to ineffective esophageal clearance mechanisms, causing esophagitis (5,6). This esophageal motility impairment appears to be irreversible by clinical or surgical treatment (17), suggesting that it is a cause and not a consequence of prolonged acid exposure. However, a small increase in esophageal contraction amplitude may be seen in the esophagus after the healing of esophagitis (2).

The lower frequency of secondary peristalsis after gastroesophageal reflux in patients with esophagitis suggests that there may be a defect of the triggering of secondary peristalsis in these patients. Previous studies have suggested that patients with GERD lose the ability to lower the threshold for triggering secondary peristalsis in response to an acid stimulus (18). Rapid and brief esophageal distentions with air and water have revealed a defect in the triggering of secondary peristalsis in these patients (19). The secondary peristaltic response rates were lower in patients with GERD than in controls, and most patients exhibited no response (16). Our results confirm these observations. However, in contrast to a previous study (1), we found a low contraction amplitude in the distal esophageal body during secondary peristalsis in patients with esophagitis, a result similar to that observed in primary peristalsis. Esophageal acidification itself has little or no effect on esophageal motility (1), representing further evidence that the motility impairment precedes the gastroesophageal reflux.

The volume of 5 mL was not sufficient to trigger secondary peristalsis. The volumes of 10 and 15 mL elicited similar responses in terms of frequency of triggering secondary contractions and amplitude. Water seems to be the best stimulus of esophageal distention triggering secondary contractions.

Spontaneous reflux episodes causing secondary peristalsis occurred less frequently after reflux in patients with esophagitis than
in normal subjects (20). The defect may lie in the esophageal motor nerves or muscles, esophageal sensation, the central integrative mechanism, or a combination of these (19). It has been suggested that the defect in secondary peristalsis is due to an abnormality of esophageal sensation or in the integration of sensory information with the motor component of the reflex (19).

Secondary peristalsis can effectively clear almost all of an injected acid bolus from the esophagus, leaving a small residual volume (11). It occurs in almost half of the reflux episodes in normal subjects (20). The clearance of acid volume from the distal esophagus may be compromised by the low contraction amplitude but the acid neutralization by saliva, that occurs with primary peristalsis, may be normal (14).

Patients of group I had functional heartburn and their results were similar to those of the control subjects. Functional heartburn has different pathophysiological characteristics from those of non-erosive reflux disease (group II). Group II had results similar to those of patients with esophagitis (group III). Patients with functional heartburn do not have reflux disease, a fact explaining the reportedly low efficacy of proton pump inhibitors in these patients (21).

In conclusion, the results showed that patients with esophagitis have an impairment of primary and secondary peristalsis in the distal esophagus.

References