Validity of periodontitis screening questions in a Brazilian adult population-based study

Abstract: Population-based studies assessing self-reported periodontal questions in low-income countries are lacking, and therefore we aimed to assess the accuracy of self-reported periodontal items in Brazil. One thousand one hundred and forty adults from Florianópolis, Brazil, had their periodontium clinically examined, and responded to the following self-reported items on periodontal conditions: Question (Q)1, Do you have any wobbly teeth?; Q2, Do your gums usually bleed?; and Q3, Has your dentist ever told you that you have gum disease? Periodontitis was defined as: a. ≥ 6.0 mm periodontal pocket and ≥ 4.0 mm clinical attachment loss in the same tooth, in at least one tooth (PD1); or b. ≥ 6.0 mm periodontal pocket and ≥ 4.0 mm clinical attachment loss, not necessarily in the same tooth (PD2). Sensitivity (SN) and specificity (SP) were calculated, and analyses were stratified by socioeconomic status and time since last dental visit. Scores were generated in order to determine the accuracy of the whole set of items. Receiver operating characteristic (ROC) curves were plotted. Prevalence of clinically diagnosed periodontitis was 2.6% (95%CI = 1.7–4.0%) for PD1 and 3.8% (95%CI = 2.7–5.3%) for PD2. Prevalence of self-reported periodontitis varied between 2.7 (Q2) and 22.0% (Q3). SN and SP ranged between 0.0–60.0% and 73.3–98.6%, respectively; Q1 showed the highest accuracy (140.8%) followed by Q3 (140.0%). The combined score of the three self-reported items did not improve accuracy estimates; the areas under the ROC curves were 0.70 and 0.68 for PD1 and PD2, respectively. The accuracy of self-reported items was low, and further studies are needed in order to develop valid and reliable periodontitis screening questions for population-based studies.

Keywords: Reproducibility of Results; Periodontal Diseases; Epidemiology; Population Surveillance.

Introduction

Data collection for population health surveys may be obtained through various approaches including clinical examinations, face-to-face interviews, self-administered questionnaires or a combination of these techniques1. Usually referred as the gold standard assessment to estimate disease occurrence in populations, clinical examinations present some important disadvantages, such as being time-consuming, implying higher costs, imposing a substantial burden on participants, and being associated with higher refusal rates2,3. Therefore, clinical exams are not commonly used in large
multithematic population surveys. On the other hand, face-to-face interviews and self-reported questionnaires have been increasingly used in population surveys, as their application requires less time and resources, does not demand skilled examiners and, therefore, are more cost-effective. In spite of these advantages, the validity (or accuracy) and reliability of face-to-face interviews and self-reported data have been subjected to extensive discussions in the literature.

Self-reporting is a widely accepted technique to assess the occurrence of many diseases in population surveys, such as juvenile rheumatoid arthritis, cardiovascular disease and cancer. It is also used to assess risk factors for chronic diseases, including hypertension, lack of physical activity, inadequate dietary intake and smoking. The literature concerning self-reported data on oral conditions suggests that for certain items, such as for number of teeth and use of dental prostheses, they are valid. However, for other conditions, such as periodontal diseases, the use of self-reported data have shown inconsistent results.

Two literature reviews assessed the validity of self-reported data for oral diseases. One study concluded that, as validity is context-dependent, self-reported questions for number of teeth, use of prostheses and prevalence of periodontal diseases should not be used in contexts different from those originally investigated, without previous careful consideration. The other study addressed only items on periodontal conditions, e.g. presence and severity of periodontal disease, periodontal disease with bone loss, and gums bleeding and inflammation; the authors concluded that no single question should be used to assess periodontal disease, but valid results could be obtained through a set of self-reported items.

The validity of self-reported items for periodontal disease depends on some aspects, such as: a. the threshold used to define periodontal disease in the clinical examination; b. the participants’ age; c. the severity of the disease; d. the cross-cultural adaptation of the questionnaire items; and e. the access to, and use of dental services. The existence of valid self-reported items on periodontal disease may enable epidemiological studies on a much larger scale than what is currently achievable with the available clinical measures. Therefore, the objectives of this study are: a. to estimate the prevalence of self-reported and clinically diagnosed periodontitis in adults aged 22–61 years from a population-based study in Brazil; and b. to determine the accuracy of self-reported items (individually and as a set) on periodontal signs and symptoms in the total sample, as well as in groups with different levels of education, income and time since the last dental visit. To the authors’ knowledge, there are no population-based studies which have assessed self-reported periodontal questions outside high-income countries.

Methodology

The present study is part of the ongoing EpiFloripa Study, a population-based cohort study, which started in 2009, designed to investigate the health and living conditions of adults aged 20–59 years at baseline, from Florianópolis, Southern Brazil. The following parameters were considered in our sample size estimation: a. unknown outcome prevalence (50%); b. the target population as the 249,530 individuals from the city of Florianópolis, aged 20–59 years; c. a sample error of 3.5 percentage points; and d. a design effect of 2, due to the cluster sampling design. Furthermore, the sample size was increased by 10% to compensate losses and refusals. A final sample of 2,016 individuals was estimated, of which 1,720 participated in the first phase of the study.

A two-stage sample selection was adopted. First, 60 of the 420 census tracts in the urban area of the city were selected, according to the average monthly income of the household head. The selected census tracts were visited by the fieldwork team and all occupied homes were checked and their residents added in order to ascertain the number of eligible residents in the selected clusters. Given that the number of households ranged from 61 to 810, some census tracts were merged to reduce the variability in the number of households in each. Finally, 63 census tracts were included in the study, totaling 16,755 eligible households, of which 1,134 were selected. On average, 32 adults were selected in each census tract. All adults aged 20 to 59 years, living in the selected households were eligible for this study.
In the second phase, three years later, 1,222 subjects were investigated, of whom 1,140 underwent dental examination. Disabled individuals and subjects unable to take part in the interview due to a specific physical or mental condition, were excluded.

A questionnaire was used to collect data on gender, educational attainment, monthly household income and time since the last dental visit. Furthermore, self-reported oral health items were asked regarding tooth mobility [Q1. Algum dos seus dentes está mole?] (No; Yes), gingival bleeding [Q2. Sua gengiva costuma sangrar?] (No; Sometimes when brushing my teeth or flossing; Always when brushing my teeth; Always when flossing; Always), and periodontitis diagnosed by a dentist [Q3. O dentista já disse que o(a) Sr.(a) tem problemas na gengiva?] (No; Yes)15. The question on gingival bleeding was dichotomized as Yes, when the response “Always” was selected and No, whenever any of the remaining categories was selected. Clinical examinations included the assessment of periodontal conditions – gingival bleeding, pocket depth and clinical attachment loss – and were performed in the participant’s home, followed by face-to-face interviews.

Periodontitis was defined according to pocket depth and clinical attachment loss17. Six sites (mesio-buccal, mid-buccal, disto-buccal, mesio-lingual, mid-lingual, disto-lingual) on all teeth in one maxillary and one mandibular randomly selected quadrant18,19 were examined using a periodontal ball probe – World Health Organization (WHO) probe – according to the WHO Oral health surveys recommended guidelines20. Shallow periodontal pocket was defined as a probing depth between 4.0 mm and 5.5 mm; and deep periodontal pocket as, at least, 6.0 mm. Clinical attachment loss was categorized as: a. 0.0 to 3.0 mm; b. 4.0 to 5.0 mm; c. 6.0 to 8.0 mm; d. 9.0 to 11.0 mm; e. 12.0 mm or more, based on the inherent periodontal ball probe intervals of measurement. Periodontitis was clinically defined according to two different criteria: deep periodontal pocket and clinical attachment loss of 4.0 mm or more in the same tooth, in at least one tooth (PD1); or deep periodontal pocket and clinical attachment loss of 4.0 mm or more, not necessarily in the same tooth (PD2). All clinical periodontitis measures were defined previously to statistical analysis.

Eight dentists were subjected to rigorous training and standardization prior to the fieldwork, with 20 non-participant adults, following a protocol described elsewhere22. The questionnaire was pre-tested in the same group of adults. The intra- and inter-examiner reliability were assessed with simple and weighted Kappa statistics, where appropriate.

Data analysis included descriptive statistics of the sample according to socioeconomic, demographic and oral health-related characteristics. Furthermore, the prevalence of periodontitis and its 95% confidence interval (95%CI) were estimated, following the abovementioned diagnostic criteria. Frequencies for the self-reported items were calculated for the total sample and for each of the studied strata.

In order to determine the accuracy of the whole set of items on periodontal conditions, three different scores were generated. The first one was constructed by adding up all the items – given that each item was scored on a 0–1 (no/yes) scale, the final score ranged between 0–3. The second and third scores were derived by means of multiple logistic regression equations. Each of the two clinically defined periodontitis status was predicted from the self-reported items on periodontal conditions, according to the following general equation ‘Y = β0 + β1X1 + β2X2 + β3X3’, where Y is one of the clinically defined periodontal status, β0 is a constant, and β1, β2, and β3 are the “weights” for the self-reported items on periodontal conditions (mobility, bleeding and diagnosis), which are represented by X1, X2, and X3, respectively. Each item’s score was multiplied by its respective weight, and these were then added to achieve a final score to be included in the receiver operating characteristic (ROC) models. In summary, one weighted equation was generated for each of the clinically defined outcomes. However, preliminary analyses showed that the equations did not improve the predictive accuracy of the referred scores. Therefore, only unweighted scores were used in the analysis and in the construction of all the graphs detailed below.

Sensitivity (SN), specificity (SP), and their 95% CI were calculated for each self-reported question and for the abovementioned scores, taking the clinical exam as the reference for the total sample and for the stratified analysis. Stratification was done for
schooling (< 11, or ≥ 12 years of study), income (< R$3,225.00 or ≥ R$3,225.00 – which is the sample median and corresponded to US$1,897.00 at the time of data collection), and time since the last dental visit (less than a year, or one year or more). Non-overlapping 95% CIs were considered indicative of statistically significant differences among SN and SP estimates. Finally, we plotted two ROC curves for unweighted scores of self-reported periodontal items and estimated the areas under each ROC curve. Analyses were carried out using Stata v.13.1, taking into account the complex sampling design (clustering and weighting).

The Ethics Committee in Human Research of the Federal University of Santa Catarina approved the project on February 28th 2011. All participants in the study signed the informed consent form after the procedures had been fully explained.

Results

A total of 1,140 individuals were investigated. The inter- and intra-examiner Kappa values ranged from 0.60 to 0.95 for the combination of periodontal pocket and clinical attachment loss measurements. Sample characteristics are displayed in Table 1. The majority of the interviewees were female (56.3%) and visited a dentist less than a year before the interview (63.9%); most of them had 12 years of schooling or more (44.7%), and half of the sample (50.2%) had a monthly household income up to R$3,225.00 (US$1,897.00).

Table 2 shows the prevalence of periodontal conditions according to the two clinical criteria for periodontitis (PD1 and PD2), and to each self-reported periodontal health item in the total sample, and stratified by schooling, income and time since last dental visit. The highest prevalence of clinically assessed periodontitis was identified when PD2 was used, and the highest prevalence of self-reported periodontitis was found when ‘self-reported diagnosis’ was used, followed by ‘self-reported mobility’. In general, the most educated people, and those with a higher income presented a lower prevalence of periodontitis. Specifically, higher frequencies of adverse periodontal conditions were observed among participants with lower schooling (PD2, ‘self-reported mobility’ and ‘self-reported bleeding’), and lower family income (‘self-reported mobility’), whereas respondents who visited a dentist more than a year before the survey were more likely to show a lower prevalence of ‘self-reported diagnosis’ of periodontal problems.

Tables 3 and 4 exhibit total SN values and values according to stratification. For the total sample, the highest SN values were found for ‘self-reported diagnosis’ and the lowest, for ‘self-reported bleeding’. Strata-specific values according to schooling, income and time since last dental visit were not significantly different when compared to each other. The highest SN values were identified for ‘self-reported diagnosis’ among participants who visited a dentist less than a year before the survey, those with lower levels of education and higher income (60.0%, 59.1% and 53.3%, respectively). Differences between SN values for the different clinical criteria of periodontitis were not statistically significant.

Table 1. Participant’s socioeconomic and demographic characteristics (n = 1,140). EpiFloripa Study, Florianópolis, Southern Brazil, 2012.

<table>
<thead>
<tr>
<th>Variable</th>
<th>n</th>
<th>%</th>
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</thead>
<tbody>
<tr>
<td><strong>Age (years)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22–31</td>
<td>305</td>
<td>28.4</td>
</tr>
<tr>
<td>32–41</td>
<td>263</td>
<td>23.6</td>
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<tr>
<td>42–51</td>
<td>320</td>
<td>26.8</td>
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<tr>
<td>52–61</td>
<td>252</td>
<td>21.2</td>
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<tr>
<td><strong>Sex</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>490</td>
<td>43.7</td>
</tr>
<tr>
<td>Female</td>
<td>650</td>
<td>56.3</td>
</tr>
<tr>
<td><strong>Schooling (years of study)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0–4</td>
<td>100</td>
<td>8.1</td>
</tr>
<tr>
<td>5–8</td>
<td>167</td>
<td>14.4</td>
</tr>
<tr>
<td>9–11</td>
<td>367</td>
<td>32.8</td>
</tr>
<tr>
<td>12+</td>
<td>503</td>
<td>44.7</td>
</tr>
<tr>
<td><strong>Income</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Monthly household income up to R$3,225.00 ***</td>
<td>572</td>
<td>50.2</td>
</tr>
<tr>
<td>Monthly household income above R$3,225.00</td>
<td>546</td>
<td>49.8</td>
</tr>
<tr>
<td><strong>Time since the last dental visit</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less than a year</td>
<td>727</td>
<td>63.9</td>
</tr>
<tr>
<td>One year or more</td>
<td>401</td>
<td>36.1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>100</td>
<td>100.0</td>
</tr>
</tbody>
</table>

*This variable has three missing values; **This variable has twenty-two missing values. ***R$3,225.00 corresponded to approximately US$ 1,897.00 at the time of data collection. ****This variable has twelve missing values.
The SP values are also presented in Tables 3 and 4. For the total sample, the highest SP values were found for ‘self-reported bleeding’ and the lowest for ‘self-reported diagnosis’, for both clinical definition of periodontitis. Except for ‘self-reported diagnosis’, stratified analyses showed, in general, higher SP values for most educated and wealthier people, and for those who visited a dentist less than a year before the survey. Significantly higher SP values were observed for ‘self-reported mobility’ in individuals with more years of formal education, as well as for ‘self-reported diagnosis’ for those who visited the dentist in the previous 12 months. Figures 1 and 2 show the ROC curves for prevalence of PD1 and PD2, respectively, taking the unweighted score into account. For PD1, the area under the ROC curve was 0.70 and for PD2, 0.68.

**Discussion**

The prevalence of clinically diagnosed periodontitis was 2.6% for PD1 and 3.8% for PD2. The prevalence for self-reported periodontitis varied between 27% (using Q2) and 22.0% (for Q3). SN and SP ranged between 0.0–60.0% and 73.3–98.6%, respectively. Q1 showed...
the highest accuracy (140.8%) followed by Q3 (140.0%). The combined use of the three self-reported items did not improve accuracy estimates.

The prevalence of periodontitis based on two self-reported items (‘mobility’ and ‘diagnosis’) was higher than that found clinically (PD1 and PD2), reflecting an inconsistency between results. ‘Self-reported diagnosis’ showed the highest prevalence for the total sample, which was considerably higher than the values found according to clinical criteria. This may have happened because the question about ‘self-reported diagnosis’ of periodontitis is too broad, including all disease levels, from mild to severe periodontal conditions. Therefore, more individuals were identified as having adverse periodontal conditions with this item, rendering higher SN values when compared to the remaining self-reported items. It is possible that if the question had been “Has your dentist ever told you that you have gum disease?”
problem?”, mild cases would have been ignored and SN values would have been lower. Although previous studies have applied similar questions, they have not provided prevalence estimates, making comparisons difficult.

SN values of the self-reported items (‘mobility’, ‘bleeding’ and ‘diagnosis’) varied significantly across socioeconomic strata, in contrast to what was found for the SP values. Furthermore, the combination of the self-reported items into a single score did not significantly improve these values. For the schooling strata, the highest SN values were found for those with lower educational level. The lowest family income level showed slightly lower SN values than those in the lowest educational level; on the other hand, the highest family income level showed higher SN values than those in the highest educational levels. For time since last dental visit, the highest SN value was found for the combination of PD2 and ‘self-reported diagnosis’, in accordance with the family income strata. SP values were similar among the different strata. Since SP and SN values are inversely correlated, the lowest SP values were found for ‘self-reported diagnosis’.

Our findings are not directly comparable to those from other studies, given the distinct methodologies (periodontal examination protocol and definition of periodontitis) and sample characteristics (age, socioeconomic characteristics and access to dental services). It is noteworthy, however, that ‘self-reported mobility’ presented similar results to those reported by Gilbert and Nuttall in a study carried out in the United Kingdom, and lower diagnostic values than those by a study from Japan, by Yamamoto et al. ‘Self-reported bleeding’ presented lower SN and SP values than those found in a study from southeast Brazil; SN and SP values for ‘self-reported diagnosis’ were similar to those from Yamamoto et al. and higher than those described by Gilbert and Nuttall and Dietrich et al. (carried out in Germany). The interviewee’s age in these studies ranged from 19 to 80 years.

A recently published study on the validity of self-reported periodontal questions in a New Zealand cohort had different findings from this paper. A higher prevalence of periodontal disease was found due to the higher prevalence of smoking, among other reasons, when compared to this study. Furthermore, they applied the gold standard clinical examination (full-mouth periodontal examinations, three sites per tooth) instead of partial-mouth examination, which may underestimate the prevalence of periodontal disease.

Generally, in clinical settings the diagnosis of periodontitis is based on a clinical examination with full-mouth periodontal probing and, in some cases, radiographic examination. The complexity of this approach makes it unfeasible for multi-themed population surveys. Thus, in order to overcome this practical issue, different partial-mouth periodontal examination (PMPE) protocols for recording and monitoring periodontitis have been proposed since the late 1950s. An alternative method to the PMPE is the examination of six sites per tooth, of all teeth from one maxillary and one mandibular randomly selected quadrants, called the “diagonal quadrants six-sites protocol”. This was the protocol selected for our study, as it provides an accurate estimate of periodontitis prevalence, severity and extent, and its use reduces costs and examination time.

This study presents some strengths, such as: the adoption of a large and representative sample of all social strata from Florianópolis, southern Brazil; the examiners achieved adequate diagnostic reliabilities and were unaware of the research questions, minimizing observer bias; finally, we analyzed SN and SP values of self-reported items according to schooling and income levels, as well as regarding dental visiting patterns.

On the other hand, this study also has some limitations: (i) the items on periodontal conditions were not previously validated – a Brazilian study investigated the conditions of interest, although it used questions extracted from USA population-based studies, or from studies with a different context than ours; (ii) test-retest reliability of the questions was not performed; and (iii) the present investigation adopted a periodontitis criterion different than other validation studies. However, this was done for operational reasons, including limited time and resources. Nevertheless, it is important to mention that there is no universal or consensual criteria...
to define periodontitis; the WHO probe provides
categorical measures – instead of discrete ones – of
periodontal pocket and clinical attachment loss.
Furthermore, these are the criteria that have been
used in nation-wide oral epidemiological studies
in Brazil.
A self-reported item is considered valid when the
sum of its SN and SP is 160% or more\textsuperscript{28,29}. Since the
highest accuracy value found in our study was 140.8%,
one of the self-reported items can be considered
as valid or accurate. The area under the ROC curve
lower than 0.70 is poor, as values between 0.7 to 0.9
are considered useful and higher than 0.9, excellent\textsuperscript{30}.
It is well documented in the literature that the use of
partial periodontal evaluation protocols may
underestimate the prevalence of periodontal disease\textsuperscript{18},
which might have occurred in this study. However, as
an inherent characteristic of the test\textsuperscript{33}, the prevalence
of an outcome affects the predictive values – diseases
with higher prevalence will yield higher predictive
values – which is important in the clinical setting,
but does not affect the accuracy.

**Conclusions**
The three self-reported questions used in this study
were not found to be accurate. Additionally, the results
presented might not be generalizable to wider populations,
given that relatively young adults, in which the prevalence
of periodontitis is low, took part in the study. Future studies
should be carried out in order to assess the validity of
different self-reported items on signs and symptoms of
periodontitis. Furthermore, other surveys using the same
questions as those employed in this study, and maybe
additional items, should be undertaken in different
populations, with other socioeconomic and cultural
backgrounds, with a purpose to develop a valid and
reliable questionnaire that could be used as a screening
tool for periodontitis in different populations.

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