

## CLINICAL SCIENCE

# Abnormal sensory integration affects balance control in hemiparetic patients within the first year after stroke

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**OBJECTIVE:** Impairments in balance can be a consequence of changes in the motor, sensory, and integrative aspects of motor control. Abnormal sensory reweighting, i.e., the ability to select the most appropriate sensory information to achieve postural stability, may contribute to balance impairment. The Sensory Organization Test is a component of Computerized Dynamic Posturography that evaluates the impact of visual, vestibular, and somatosensory inputs, as well as sensory reweighting, under conditions of sensory conflict. The aim of this study is to compare balance control in hemiparetic patients during the first year post-stroke and in age-matched neurologically normal subjects using the Berg Balance Scale and Computerized Dynamic Posturography.

**METHODS:** We compared the Berg Balance Scale and Sensory Organization Test scores in 21 patients with hemiparesis after first-ever ischemic stroke and in 21 age-matched, neurologically normal subjects. An equilibrium score was defined for each Sensory Organization Test condition.

**RESULTS:** Berg Balance Scale scores were significantly lower in the patients than in the neurologically normal subjects. Equilibrium scores were significantly lower in the patients than in the neurologically normal subjects for those Sensory Organization Test conditions that did not provide appropriate somatosensory information and under conditions of sensory conflict. A history of falls was more frequent in patients with lower equilibrium scores.

**CONCLUSION:** During the first year after a stroke, defective sensory reweighting significantly impacts balance control in hemiparetic patients. These results are important for the planning of effective rehabilitation interventions.

**KEYWORDS:** Posture; Rehabilitation, Cerebrovascular accident; Equilibrium; Posturography.

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## INTRODUCTION

Falls occur in up to 73% of patients within the first six months after a stroke<sup>1</sup> due to balance abnormalities associated with impairments in the motor, sensory, cognitive, or integrative aspects of movement control. Falls can lead to complications, such as hip fractures, that have high individual and social costs.<sup>2-10</sup> Even in high-functioning post-stroke populations, falls are common and are associated with limitations on activity and participation.<sup>11</sup>

Reductions in muscle strength and range of movement, abnormal muscle tone and loss of motor coordination and sensory organization can contribute to balance disturbances to varying degrees.<sup>12</sup> The difficulties in determining the specific causes of balance impairments in hemiparetic patients are related to the diversity of mechanisms involved and the variety of strategies used to adapt to these changes.<sup>13</sup>

Clinical scales or laboratory measurements can be used to evaluate balance control. Laboratory measurements of postural reactions are more sensitive for investigating balance during standing and functional activities<sup>13-15</sup> than clinical scales such as the Berg Balance Scale (BBS).<sup>16</sup> One of the most widely used laboratory tools for evaluating balance is posturography,<sup>12</sup> which is capable of quantifying postural asymmetry, instability<sup>17</sup> and sensory contributions to balance control. Computerized Dynamic Posturography (CDP) is a specific type of posturography that challenges

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postural stability by providing different levels of sensory deprivation and conflict as part of the Sensory Organization Test. In this test, a subject stands on a force platform with sensors that provide kinetic data regarding postural reactions both during normal conditions and after the manipulation of visual, vestibular and somatosensory inputs.<sup>18</sup> This manipulation allows for the quantification of the patient's relative reliance on different sensory inputs that are required to maintain balance, as well as their integration.

Decreased multisensory integration, with excessive reliance on visual information and consequent poor balance control, has been demonstrated during the chronic stages (>1 year) after a stroke.<sup>12</sup> It is known that rehabilitation is more effective when delivered within the first months after a stroke, but the ways in which defective selection and integration of sensory input affect balance at this stage are not well understood. If the factors that influence postural impairment within the first year post-stroke can be determined, then rehabilitation programs can be tailored to target specific components of balance control and, hence, decrease the risk of falls.

To better understand the mechanisms underlying balance disturbances at an earlier stage, within the first year after a stroke, we used an innovative approach that employed not only a clinical tool (the Berg Balance Scale)<sup>16</sup> but also a sophisticated laboratory test, the CDP. In addition, we investigated the relationship between CDP results and a history of falls in post-stroke patients.

## MATERIALS AND METHODS

### Subjects

#### Stroke patients

Patients diagnosed with stroke at Hospital das Clínicas/São Paulo University were consecutively screened between July 2006 and April 2008. The following inclusion criteria were used: hemiparesis after a first-ever ischemic stroke in a cerebral hemisphere one to twelve months previously, confirmed by computed tomography or magnetic resonance imaging; ability to stand unassisted for 30 seconds; no severe systemic disorders; ability to understand and cooperate with testing; normal visual fields; visual acuity of at least 20/60; and availability for evaluations. The exclusion criteria were as follows: other neurological diseases; brain stem or cerebellar stroke; vertigo; vestibular dysfunction in neurootological examination (electrooculography with positional tests and caloric tests with water at 44°C and 30°C); lower limb orthopedic disorders; hemineglect (evaluated using the cancellation test);<sup>19</sup> and pusher syndrome, defined according to the Clinical Assessment Scale for Contraversive Pushing (the subject should present a contralesional tilted posture, a tendency to push toward the paretic side with the nonaffected limbs, and resistance to external correction of the tilted posture, in either a seated or standing position).<sup>20</sup>

The selection of participants was performed in three stages: evaluation of medical charts (I), telephone interviews (II), and personal interviews/evaluations at the hospital (III). Figure 1 illustrates the exclusions at each stage of research. The main reasons for non-inclusion were as follows: recurrent stroke (26.1% - 146 subjects), no hemiparesis (18.2% - 102 subjects), inability to be contacted by phone for screening (8.9% - 50 subjects), and brainstem

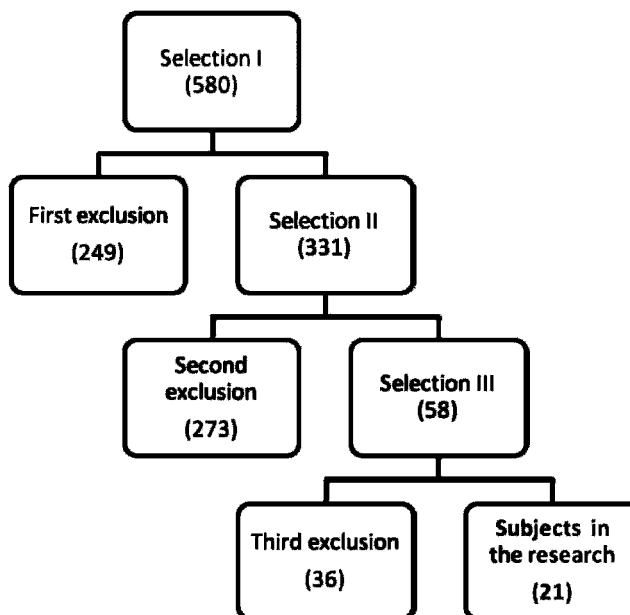


Figure 1 - Selection of participants. The number of patients included at each stage of the research is shown.

or cerebellar stroke (7.5% - 45 subjects). In addition, 19 patients (3.4%) were not able to stand on the platform for at least 30 seconds. In total, 21 patients (3.6%) were included in the study. All patients provided informed consent, and the protocol was approved by the institutional ethics committee.

Table 1 shows the patients' characteristics. Only patients with mild to moderate hemiparesis and neurological impairment were included because severe hemiparesis precludes complete posturographic evaluation. Motor and sensory impairments were characterized using the motor and sensory examination components of the Fugl-Meyer Assessment for the lower limbs.<sup>21</sup> Neurological impairment was evaluated using the National Institute of Health Stroke Scale (NIHSS),<sup>22</sup> and disability was evaluated using the Barthel Index<sup>23</sup> and the Functional Ambulatory Category score.<sup>24</sup> Ankle proprioception (evaluated as part of the Fugl-Meyer Assessment) was abnormal in three patients. Of the patients, seven (33.3%) had a history of at least one fall after stroke, and 19 (90.5%) were right-handed (laterality before the stroke was asked in the interview). Lesions encompassed the following cerebral areas/structures: frontal lobe

Table 1 - Characteristics of the patients.

Age (years)	55.9 ± 13.9
Time from stroke (months)	4.8 ± 2.5
Men/women	13/8
Hemiparesis (R/L)	14/7
Handedness (R/L)	19/2
Barthel Index	95 (80-100)
NIHSS	2 (0-8)
Fugl-Meyer Assessment for the lower limbs	31 (17-33)
Functional Ambulatory Category	4 (2-5)

NOTE: M = men; W = women; R = right; L = left; NIHSS = National Institutes of Health Scale; FAC = Functional Ambulatory Category. Mean age (± standard deviation) and median (range) scores for the impairment and disability scales are given.

(61.9% - 13 subjects), insula (52.4% - 11 subjects), parietal lobe (47.6% - 10 subjects), temporal lobe (19% - 4 subjects), internal capsule (19% - 4 subjects), basal ganglia (14.3% - 3 subjects), and thalamus (4.8% - 1 subject).

### Neurologically normal volunteers

For the control group, 21 age-matched neurologically normal subjects were recruited. The inclusion criteria were the absence of neurological disorders and visual acuity of at least 20/60. The exclusion criteria were a history of vertigo and orthopedic disorders in the lower limbs. In addition, subjects with abnormalities in the vestibular evaluation of the Sensory Organization Test in posturography were excluded because it is known that individuals with peripheral vestibular disorders cannot perform normally on Sensory Organization Test (SOT) conditions 5 and 6.<sup>25</sup>

### Balance evaluation

BBS and posturography were performed in the patients (the mean time after stroke  $\pm$  standard deviation was  $4.8 \pm 2.5$  months) and neurologically normal volunteers. The BBS<sup>16</sup> is a simple test of functional balance composed of 14 items based on activities of daily living. Each item is rated using an ordinal scale, ranging from 0 to 4, and the maximum score is 56.

Posturography was performed with the SOT and the Equitest protocol (NeuroCom International) of the CDP. During testing, the subjects stood barefoot in the upright position, protected by a harness, with their arms alongside their body and their feet on a predesignated site. A dual-force platform, with four transducer outputs, measured vertical forces and shear forces exerted in the anteroposterior direction, separately for each leg.

The SOT assesses the three sensory components of balance under different visual and support-surface conditions. Figure 2 shows the six different conditions that were studied: 1. Eyes open, fixed platform surface and background (in this situation, the subject relies on visual, vestibular and somatosensory inputs); 2. Eyes closed, fixed

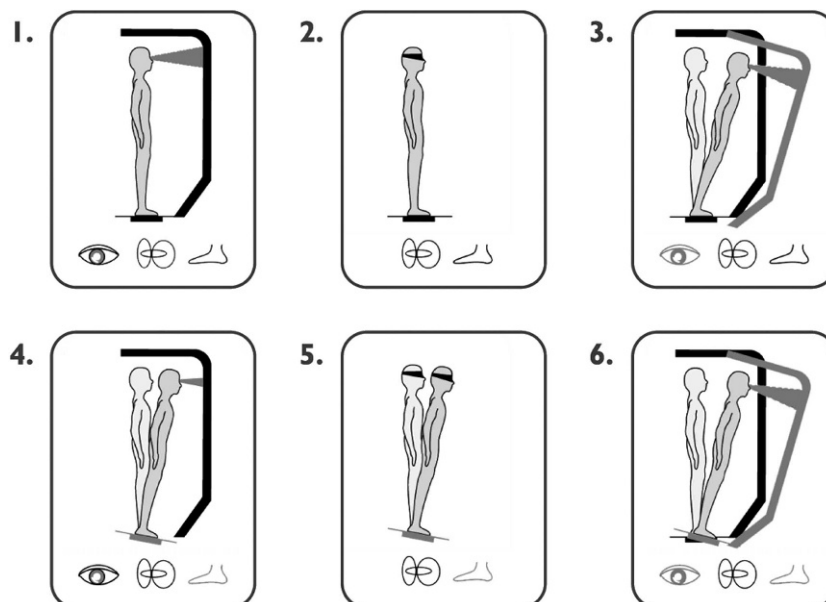
platform surface and background (in this situation, the subject uses mainly vestibular and somatosensory inputs to maintain balance because there is no visual information about his or her position in relation to the environment); 3. Eyes open, fixed platform surface and sway-referenced visual background (in this situation, the subject should rely mainly on vestibular and somatosensory information and not on visual inputs, which are not providing accurate information about his or her position in relation to the environment); 4. Eyes open and sway-referenced surface (in this situation, the subject uses mainly visual and vestibular inputs to maintain balance because the somatosensory information is distorted); 5. Eyes closed and sway-referenced surface (in this situation, the subject uses mainly vestibular inputs to maintain balance because there is no visual information about his or her position in relation to the environment, and the somatosensory information is distorted); and 6. Eyes open, sway-referenced surface and visual background (in this situation, the subject should use mainly vestibular inputs to maintain balance, because the somatosensory and visual information are distorted). Conditions 4, 5, and 6 provide challenging sensory inputs, and Conditions 3 and 6 introduce visual conflicts.

For each subject, three trials of 20 seconds were performed with each condition. Sensory functions were assessed as the ratio between the average of the results of the following conditions and the average of Condition 1:

- Somatosensory function (Condition 2);
- Visual function (Condition 4); and
- Vestibular function (Condition 5).

Visual preference was evaluated by comparing the sum of the equilibrium scores for Conditions 3 and 6 with the sum of the equilibrium scores for Conditions 2 and 5 (Conditions 3 + 6/Conditions 2 + 5). Visual preference reflects the ability to suppress visual information perceived as incorrect.

An equilibrium score (ES) was calculated for each of the six SOT conditions. An ES is a measure of postural stability,



**Figure 2** - The six test conditions of the Sensory Organization Test. Picture reprinted with permission from NeuroCom International™.

based on sway during a 20-second SOT trial. A score of 100 indicates no sway, whereas 0 indicates sway beyond the limits of stability (8.5° anteriorly and 4° posteriorly; 12.5° is the theoretical limit of sway in the sagittal plane for normal stance). The score was calculated using the following formula:  $ES = (12.5^\circ - [\theta_{max} - \theta_{min}]) \times 100 / 12.5^\circ$ , where 12.5° is the normal limit of anteroposterior sway, and  $\theta$  is the angle between a line extending vertically from the center of foot support and a line extending from the center of foot support through the patient's center of gravity.<sup>26</sup>

A composite equilibrium score (ES) was generated for each of the 21 patients. This ES represents the overall evaluation of all six Conditions (maximum score = 100) and was calculated by independently averaging all trial scores from Conditions 1 and 2, adding these two average scores to the individual trial scores from conditions 3 through 6 and then dividing the sum by 14.<sup>26</sup>

### Statistical analysis

For data normally distributed according to the Kolmogorov-Smirnov test, the means and standard deviations are given, and the stroke patients and neurologically normal volunteers were compared using unpaired t-tests. For categorical or non-normally distributed data, the median, minimum, and maximum values are reported. Comparisons between groups were performed using Mann-Whitney tests, which were also used for the *post-hoc* comparison of ES's between patients with normal and impaired ankle proprioception, as evaluated using the Fugl-Meyer Assessment. Univariate logistic regression was performed to examine the relationship between ES (independent variable) and a history of falls (dependent variable). *p*-values  $\leq 0.05$  were considered significant. Minitab 15.0 and SPSS 10.0 were used for the statistical analysis.

## RESULTS

### Subjects

There were no differences in age ( $p = 0.76$ ), sex ( $p = 0.76$ ) or manual preference ( $p = 0.66$ ) between the neurologically normal volunteers and patients with stroke.

### Berg Balance Scores

BBS scores were 53 (42-56) in the patients with stroke and 56 (55-56) in the neurologically normal volunteers. The difference between scores in the two groups was small but statistically significant ( $p = 0.01$ ).

### Computerized Dynamic Posturography

The CDP results are shown in Table 2. There were no significant differences between the groups while standing on a fixed platform with eyes open (Condition 1) or eyes closed (Condition 2).

However, ES's were significantly lower in the patients than in the neurologically normal volunteers while standing on a fixed platform with sway-referenced vision (Condition 3), on a sway-referenced platform with their eyes either open (Condition 4) or closed (Condition 5) and with sway-referenced vision and a sway-referenced platform (Condition 6). *Post-hoc* analysis revealed that patients with impaired ankle proprioception had lower composite ES's than those with normal ankle proprioception ( $p = 0.02$ ).

**Table 2 - Posturography scores and sensory analysis in stroke patients and neurologically normal volunteers.**

Evaluation	Study Group	Control Group	<i>p</i> -value
SOT 1†	94.7 (86.7-97.7)	94.7 (91.7-97.3)	0.63
SOT 2†	92.7 (73.3-97.3)	93 (85.7-96.3)	0.44
SOT 3†	91.3 (72.3-97.7)	94.0 (85.7-96.3)	0.05*
SOT 4‡	74.6 ± 13.4	82.9 ± 6.53	0.02*
SOT 5‡	52.9 ± 20.7	65.3 ± 7.9	0.02*
SOT 6‡	55.2 ± 17.6	64.5 ± 11.5	0.05*
Equilibrium score‡	71.8 ± 9.9	78.7 ± 4.90	0.01*
Somatosensory function†	0.97 ± 0.04	0.98 ± 0.02	0.41
Visual function†	0.79 ± 0.13	0.87 ± 0.06	0.02*
Vestibular function †	0.56 ± 0.22	0.69 ± 0.08	0.02*
Visual preference †	1.02 ± 0.14	1.00 ± 0.07	0.45

NOTE:

†Mann-Whitney test;

‡Student's unpaired t test;

\* $p \leq 0.05$ ; SOT = sensory organization test.

In summary, the patients performed worse in conditions that provided challenging somatosensory information (Conditions 4, 5, and 6) or that introduced visual conflicts (Conditions 3 and 6). Somatosensory information was able to compensate for absent visual information (Condition 2), but it was not completely effective in a more demanding situation of visual and somatosensory conflict (Condition 3).

Composite ES's were significantly lower in the patients than in the neurologically normal subjects (Table 2). Composite ES's were inversely associated with falls (odds ratio = 0.63, confidence interval = 0.41-0.99,  $p = 0.043$ ), i.e., a history of falls was more frequent in patients with lower scores.

## DISCUSSION

The main finding of this study was that patients with mild to moderate hemiparesis performed better on the SOT in the presence of accurate somatosensory input, suggesting greater reliance on this sensorial modality to maintain their balance. Also, postural reactions were not sufficient for maintaining balance when the patients were challenged by the manipulation of somatosensory and visual information. Under these conditions, the patients performed worse than the neurologically normal subjects.

BBS scores were significantly different between the groups, but the magnitude of the difference was small (three points, on average). This finding may be explained by a ceiling effect in the BBS, which does not offer challenges to postural control, unlike the SOT. In the BBS, there is only one condition involving the manipulation of sensory input, i.e., standing with eyes closed. Our results are consistent with the BBS ceiling effect reported in patients with stroke and mild neurological deficits by Garland and colleagues.<sup>27</sup>

In this study, the patients' postural adjustments were only comparable to those of the neurologically normal volunteers under less challenging conditions (Conditions 1 and 2). The patients' reliance mostly on vestibular and visual functions to maintain balance was inefficient, despite the absence of visual or vestibular impairments in clinical or otoneurological evaluations. This result indicates the presence of abnormal vestibular and visual integration or difficulties in "choosing" the more reliable sensorial modality in each condition (sensory reweighting).

Although the patients relied more on somatosensory input than the control subjects to maintain their balance, and although most patients had normal ankle proprioception, somatosensory input was not completely effective, because the patients performed worse than the control subjects under conditions of visuovestibular conflict, characterized by a moving background, even in the presence of appropriate somatosensory input (Condition 3).

The importance of sensory input in balance control in the stroke group was reinforced by the higher ES's measured in patients with normal ankle proprioception. All except one of the published studies<sup>12</sup> have found positive correlations between ankle proprioception and balance control in stroke patients.<sup>15,28</sup> Tyson and colleagues<sup>29</sup> found that changes in proprioception, along with motor impairments, were the best predictors of balance abnormalities.

Sensory reweighting is defined as the ability to select the most appropriate sensory information to achieve postural stability. When multisensory integration is intact, the weight of one type of sensory input is enhanced to compensate for a decrease in or absence of information from another sensory channel. Thus, a neurologically normal subject does not lose balance during visual deprivation because the information provided from vestibular and somatosensory input is integrated to guide postural adjustments. Our results indicate that this ability is impaired in patients after one month, but to a less extent than one year after stroke, similarly to that described at an earlier phase (within one month).<sup>30</sup>

Our patients presented worse visuovestibular integration compared with patients at a more chronic stage after stroke.<sup>12</sup> More than one year after stroke, patients have been reported to perform worse than control subjects when somatosensory input is more critical to guiding postural adjustments (Conditions 5 and 6) but not under less demanding circumstances (Conditions 3 and 4). Differences between our results and those obtained in patients in the chronic stage with comparable neurological impairments are likely to reflect different degrees of compensation or adaptive plastic changes due to injury at different phases after stroke. It is known that recovery from a stroke evolves dynamically.<sup>31</sup> Over time, different neurophysiological mechanisms are likely to be used for sensory reweighting; most studies have shown greater reliance on visual input during more chronic stages.<sup>12,32</sup>

This study has some limitations. First, we included patients at early (one month - six months) and later stages (six months - one year) after stroke. Despite this heterogeneity in the time from lesion onset, we were able to find significant differences between the patient and control groups. Second, the sample size did not allow for an investigation into the specific roles of age or lesion location on sensory reweighting. Larger, multicenter studies will be necessary to further examine the roles of these factors on postural control. Another interesting subject for future studies is whether misperception of verticality, which has been reported to correlate with poor balance after stroke,<sup>33</sup> interacts with abnormal sensory integration in patients at different stages and with various degrees of motor impairment and function after stroke. Third, we found a significant association between SOT score and a history of falls but did not determine the relationship between

postural impairments and the risk of future falls. However, our results provide the basis for prospective studies that measure SOT scores over time, from the acute to chronic phases, to investigate this clinically relevant issue.

The conclusions of the present study cannot be extended to patients with more severe motor impairments who obviously cannot undergo posturography. Our patients had mild neurological impairments and disabilities, as evidenced by low NIHSS scores and high BBS, Fugl-Meyer, Functional Ambulation Category and Barthel Index scores. Nevertheless, their balance was not normal, as evidenced by the posturography results. This finding is in line with previous reports in patients with comparable functional levels at either earlier or later stages after stroke.<sup>12,34</sup> In addition, one-third of the patients had a history of falls at the time they were included in this study, and a history of falls was significantly correlated with composite ES's. Altogether, these findings highlight the need for balance evaluation and, if necessary, rehabilitation in all patients with strokes, even those with mild neurological deficits.

Sensory integration can improve after specific training. Manipulation of the standing surface by instructing patients to walk on soft surfaces and manipulation of visual input by eye closure, for instance, can improve somatosensory integration and have positive effects on postural stability in neurologically intact elderly people<sup>35,36</sup> and in hemiparetic subjects at least six months post-stroke.<sup>37,38</sup> Whether more specific programs based on posturography results can improve balance outcomes and decrease the risk of falls in patients at an earlier stage after stroke remains to be determined. In the first months after stroke, treatment strategies are likely to benefit from efforts to improve multisensory integration and to address the reweighting of sensory information. As a result, preferential reliance on somatosensory information or on visual input in situations of visual and somatosensory conflicts would become less critical for balance control.

Hemiparetic stroke patients are heterogeneous in terms of their lesions, degrees of impairment, disabilities, and recovery potentials. Biomechanical components, sensory inputs, integration and reweighting, as well as motor strategies, cognitive processing and perception of verticality, contribute to balance control to different extents in different patients. Posturography sheds light on the choice and integration of afferent inputs for balance control in patients with mild motor deficits. We showed, for the first time, that sensory reweighting differs between neurologically normal controls and patients during the first year after stroke and that posturography results correlate with a history of falls. These results have important implications for the future design and assessment of balance-rehabilitation interventions in patients with stroke.

## AUTHOR CONTRIBUTIONS

Oliveira CB wrote the project, collected and analyzed data, wrote manuscript. Medeiros IRT, GreTERS MG and Frota NAF were responsible for the project design, data collection and interpretation, and critical revision of the manuscript. Lucato LT was responsible for the data collection, critical revision of the manuscript. Scaff M was responsible for the project design, and critical revision of the manuscript. Conforto AB was responsible for the project design, data interpretation, and critically revision of the manuscript.

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# ERRATA

## CLINICS 2011;66(12):2043-48

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**Replace** Clarissa B. Oliveira,<sup>I,II</sup> Ítalo R. T. Medeiros,<sup>III</sup> Mario G. GreTERS,<sup>III</sup> Norberto A. F. Frota,<sup>IV</sup> Leandro Tavares Lucato,<sup>II</sup> Milberto Scaff,<sup>I</sup> Adriana B. Conforto<sup>I</sup>

**For** Clarissa B. Oliveira,<sup>I,II</sup> Ítalo R. T. Medeiros,<sup>III</sup> Mario G. GreTERS,<sup>III</sup> Norberto A. F. Frota,<sup>IV</sup> Leandro Tavares Lucato,<sup>VI</sup> Milberto Scaff,<sup>II</sup> Adriana B. Conforto<sup>II,V</sup>

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Include

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**Replace** Esteves SC, Miyaoka R, Agarwal A. 2011;66(4):PAGES An update on the clinical assessment of the infertile male. Clinics. 2011;66(4):691-700.

**For** Esteves SC, Miyaoka R, Agarwal A. An update on the clinical assessment of the infertile male. Clinics. 2011;66(4):691-700.