Distortion-product otoacoustic emissions at ultra-high frequencies in parents of individuals with autosomal recessive hearing loss

Emissões otoacústicas evocadas por produto de distorção em frequências ultra-altas em pais de indivíduos com deficiência auditiva autossômica recessiva

ABSTRACT

Purpose: To evaluate the cochlear function of parents of individuals with autosomal recessive gene Gap Junction Protein Beta-2 hearing loss by ultra-high frequencies distortion-product otoacoustic emissions (DPOAEs), compared with responses of a control group matched for age and gender. Methods: We studied 56 subjects aged from 20 to 58 years, divided into two groups. The study group comprised 28 parents of hearing-impaired patients due to autosomal recessive inheritance, 14 females aged 20.0–55.0 years (mean 32.8 years) and 14 males aged 20.0–58.0 years (mean 35.2 years). Control group was composed of normal hearing individuals, 14 males and 14 females age-matched to the study group. The subjects underwent tests for audiometry, tympanometry, and DPOAE in the frequency range of 9.000–16.000 Hz. Results: We found 64.3% of normal results of DPOAE in the study group compared to 91.1% in the control. There were significant differences between groups in the ears and DPOAE responses, and the mean level of response was in 10 dBNPS in study group and 14 dBNPS in the control. The Pearson’s correlation between age and DPOAE in ultra-high frequencies showed no statistical significance. Conclusion: DPOAE at ultra-high frequencies were able to identify individuals from both groups, suggesting that heterozygous individuals for the Gap Junction Protein Beta-2 gene mutation may have damage to the cochlear function before clinical manifestation in audiometry.

RESUMO

Objetivo: Avaliar a função coclear em pais de indivíduos com deficiência auditiva de herança autossômica recessiva do gene Gap Junction Bet-2 Protein por meio das emissões otoacústicas evocadas por produto de distorção (EOA-PD) em frequências ultra-altas, comparando com as respostas de um grupo controle, pareados por gênero e idade. Métodos: Foram avaliados 56 indivíduos, entre 20 a 58 anos de idade, distribuídos em dois grupos. O grupo estudo foi constituído por 28 pais de deficientes auditivos decorrentes de herança autossômica recessiva, sendo 14 mulheres com idade entre 20,0 a 55,0 anos (média 32,8) e 14 homens de 20,0 a 58,0 anos (média 35,2), enquanto o grupo controle era formado por indivíduos sem queixa auditiva, composto por 14 homens e 14 mulheres, com idades pareadas ao grupo estudo. Os indivíduos foram submetidos aos exames de audiometria tonal, imitanciometria e EOA-PD na faixa de frequência de 9.000 a 16.000 Hz. Resultados: Foram observados 64,3% de resultados normais das EOA-PD no grupo estudo em comparação a 91,1% no controle. Houve diferença estatisticamente significante entre as orelhas e grupos nas respostas de EOA-PD, sendo que a média do nível de resposta foi 10 dBNPS no grupo estudo e 14 dBNPS no controle. A correlação de Pearson entre a idade e as EOA-PD em frequências ultra-altas não demonstrou correlação significativa. Conclusão: As EOA-PD em frequências ultra-altas foram capazes de distinguir os indivíduos de ambos os grupos, sugerindo que indivíduos heterozigotos para a mutação do gene GJB2 podem apresentar dano na função coclear antes da manifestação clínica na avaliação audiológica convencional.
INTRODUCTION

Hearing impairment may be caused by environmental and genetic factors. Among genetic-based cases, 70% may manifest only hearing loss (nonsyndromic hearing loss) and 30% may be associated with other anomalies (syndromic hearing loss)\(^1\,\)\(^2\). Autosomal recessive inheritance is predominant among nonsyndromic cases, with rates of 75–80%, followed by autosomal dominant (15%), X-linked (3%), mitochondrial, or maternal inheritance\(^3\). Among mutations with autosomal recessive transmission leading to hearing loss, those related to the Gap Junction Beta-2 Protein gene (GJB2) are prominent, which is responsible for the synthesis of Connexin 26, especially 35delG, characterized by a guanine deletion among six at the position 30–35 from the nucleotide 1, encoder region in exon 2 of the gene GJB2, 35delG\(^\text{3}\). Connexins are proteins that act in communication between cells named gap junctions. In the cochlea, they play an important role in the physiology related to ionic homeostasis, leading to a change in structure and function of gap junctions that promote the maintenance of high potassium concentrations, which may cause cell intoxication\(^3\).

The phenotype–genotype relationship has been investigated in patients with autosomal recessive inheritance of hearing loss, usually sensorineural, nonprogressive, severe, and profound, with onset in the pre-linguistic phase of language\(^5\)-\(^7\). There are a few studies\(^8\)-\(^10\) about the phenotype–genotype relationship in heterozygotic individuals, that is, listeners with one normal and one mutated allele who usually become parents of deaf patients presenting autosomal recessive hearing loss and passing their genes to populations. Therefore, studies to identify even minimal hearing disorders in heterozygotic parents are justified because there is an attempt to associate such changes with genotypes. The detection of minimal changes in hearing function by conventional audiologic evaluation, including tone hearing threshold and immittance testing, has not been effective\(^6\,\)\(^7\). By contrast, otoacoustic emissions testing is an effective method to early identify discrete changes in the hearing function of parents of autosomal recessive hearing-impaired subjects\(^8\)-\(^10\).

After the emergence of ultra-high frequencies distortion-product otoacoustic emissions (DPOAEs) in the frequency range of 9,000–16,000 Hz, hearing changes preceding hearing loss in conventional frequencies could be identified in early stages, before the cochlear damage reaches low frequencies\(^11\). The investigation of cochlear function at high frequencies in parents of autosomal recessive hearing-impaired patients is a means of early diagnosis of hearing disorders, besides being potentially useful in identifying patients at risk of presenting mutated alleles in genes related to hearing loss.

This study is therefore aimed at evaluating the cochlear function in heterozygotic parents of deaf patients presenting GJB2 mutation by means of DPOAE at the frequencies of 9,000–16,000 Hz and compare results with a control group matched in gender and age.

METHODS

This study was carried out at the Laboratory of Speech Language Therapy and Human Hearing of the Department of Physical, Speech and Occupational Therapy from Universidade de São Paulo, and approved by the Research Committee (CAPPesq), protocol 170/10.

The sample was composed of 56 individuals aged between 20 and 58 years, and divided into two groups. The study group (SG) had 28 parents of autosomal recessive hearing-impaired patients, 14 females aged 20–55 years old (mean 32.8 years) and 14 males aged 20–58 years old (mean 35.2 years). Control group (CG) had subjects without hearing disorders or complaints: 14 males and 14 females with ages matching SG.

The inclusion criteria for both groups were: SG help mother and fathers carrying recessive mutated alleles of the gene GJB2, including normal and mutated alleles in 35delG identified by molecular investigation. Couples without hearing complaints and presenting at least one autosomal recessive hearing-impaired child were also included.

CG had 28 subjects without hearing complaints, all of them being matched in age and gender with SG to avoid bias in result analysis. The inclusion criteria for CG was absence of factors related to congenital or acquired hearing loss, history of exposure to high-intensity noise, alcohol and drug consumption and ototoxicity, as well as past history of changes in the middle ear.

Because of these inclusion criteria, molecular analysis was not performed in CG to avoid extra costs. Moreover, blood collection is considered an invasive procedure to use in a population without hearing complaints. Patients with integrity of the middle ear evidenced by type A tympanogram\(^12\), contralateral acoustic reflexes at 500–4,000 Hz, and normal hearing thresholds \(\leq 25\,\text{dBNA}\) were included in the sample. All patients were submitted to anamnesis, edge of auditory meatus assessment, tone threshold audiometry, immittanceometry, and ultra-high frequency DPOAE after signing the informed consent form.

DPOAE was performed with the patients in an acoustic enclosure wearing an olive adapted to the probe of the equipment for otoacoustic emissions DP2000 (Distortion Product Otoacoustic Emission Measurement System Starkey) to the outer auditory meatus.

To register DPOAE, we followed the patterns established by the manufacturer, as proposed by Gorga et al.\(^13\), such as intensity of different inputs \(L_1>L_2\), that is, \(L_1=65 \,\text{dBNPS}\) and \(L_2=55 \,\text{dBNPS}\), and presentation of two primary tones \(f_1\) and \(f_2\) (\(f_1<f_2\)), with relation \(f_2/f_1=1.2\) in two octaves. Responses for DPOAE were generated in the region \(2f_1–f_2\) of the bands of ultra-high frequencies from 9,000 to 16,000 Hz, as shown in Chart 1.

The criteria used to indicate the presence of DPOAE was proposed by Gorga et al.\(^13\), where the level of the response should be at least 6 dBNPS above the first standard deviation of the assessed frequency noise or 3 dB above the second standard.
deviation of the noise. The background noise was always equal or inferior to -5 dBNPS for each frequency, and the DPOAE amplitude was always ≥0 dB.

The duration of the sound emitted was 2 seconds so it could be received in the outer auditory meatus, only one automatic frequency scanning being performed. However, when the response to a frequency was absent or decreased, it would be individually retested in the same register at least three times for confirmation. Tests presenting absence of response would be interrupted when noises exceeded -5 dBNPS and level of response were lower than 0 dB. This procedure was chosen to prevent real responses from being jeopardized by physiological movements or noises.

Before DPOAE, an automatic calibration of the probe was performed in situ, after selecting an olive in an adequate size to insert in the outer auditory meatus. Afterwards, the test would be initiated. Two curves with significant superposition in visual analysis were reported in order to verify test representation in the frequency band of 9,000–16,000 Hz, as shown in Figure 1. Probe calibration for frequencies 9,000–16,000 Hz requires time and caution, sometimes needed to reinsert it to the outer auditory meatus many times, until a pattern of calibration demanded by the examination is achieved. This typical pattern with asset decline curve starting from 10,000 Hz can be seen in Figure 1.

**RESULTS**

The findings of tone threshold audiometry showed that mean auditory thresholds at 250–8,000 Hz were 10 dB in SG and 8 dB in CG, without statistically significant differences between ears and groups.

Results show the qualitative analyses of DPOAE responses generated for the region 2f1−f2 at ultra-high frequencies. The distributions of normal and altered results for DPOAE, as well as the Z test for proportions, are shown in Table 1. The quantitative analysis of DPOAE at ultra-high frequencies by ear is presented in Figure 2, and box-plots by group are shown in Figure 3.

Inferential analysis of DPOAE was made by 5% and 95% percentile to define a 90% reference interval for DPOAE at each frequency in order to verify the mean variation of a confidence interval[$^{14}$]. The correlation between ultra-high frequency in SG and reference thresholds for DPOAE estimated in CG are presented in Figure 4.

To measure the correlation between age and DPOAE at each frequency, Pearson’s correlation values were calculated for each group and ear. Values are shown in Table 2.

**DISCUSSION**

The audiological profile of 28 parents carrying heterozygosis of the mutated allele GJB2, which causes hearing loss,
was investigated in this study. Although these subjects had no hearing complaints, we raised the hypothesis that they could present discrete changes in hearing function, shown by decrease in response of DPOAE at ultra-high frequencies compared to asymptomatic parents without risk factors for hearing loss, once the cochlear outer hair cells go through subtle changes.

The analysis showed a statistically significant difference between responses for otoacoustic emissions, as the SG presented a lower level of response compared to the CG. We can, thus, attest that ultra-high frequency DPOAEs were able to distinguish subjects from SG and CG, suggesting that the changes in the outer hair cells resulting from the gap junctions caused by the mutation in GJB2 may be noted at otoacoustic emissions in heterozygotic parents carrying this mutation.

The investigation of hearing patterns in parents of hearing-impaired patients presenting GJB2 mutation is useful to identify discrete hearing disorders. This characterization may indicate future members of the family who may carry the recessive genes causing hearing loss, especially in cases where molecular tests are not yet available\(^{[8,15]}\).

In DPOAE, SG presented fewer normal occurrences compared to CG (Table 1). Although conventional audiological tests were similar, there were differences in DPOAE between heterozygotic subjects presenting GJB2 mutation and the others, which show that the cochlear outer hair cells are more susceptible to the negative effects of this mutation.

The fact that parents of autosomal recessive hearing-impaired patients carry the recessive allele causing the mutation of GJB2 may indicate changes in ionic homeostasis and in the endocochlear processes, which in turn leads to changes in the structure and functioning of gap junctions, with consequent maintenance of high potassium (K\(^+\)) concentrations and intoxication of the spiral organ\(^{[3,4]}\).

### Table 2. Pearson's correlation values as to age and distortion-product otoacoustic emissions at ultra-high frequencies by group and ear

<table>
<thead>
<tr>
<th>Frequency (Hz)</th>
<th>Control Right ear</th>
<th>Control Left ear</th>
<th>Study Right ear</th>
<th>Study Left ear</th>
</tr>
</thead>
<tbody>
<tr>
<td>5813</td>
<td>R -0.13</td>
<td>-0.14</td>
<td>0.02</td>
<td>0.03</td>
</tr>
<tr>
<td></td>
<td>P 0.525</td>
<td>0.467</td>
<td>0.923</td>
<td>0.875</td>
</tr>
<tr>
<td>6328</td>
<td>R -0.04</td>
<td>-0.13</td>
<td>0.04</td>
<td>0.10</td>
</tr>
<tr>
<td></td>
<td>P 0.826</td>
<td>0.501</td>
<td>0.858</td>
<td>0.612</td>
</tr>
<tr>
<td>6891</td>
<td>R -0.09</td>
<td>0.02</td>
<td>0.15</td>
<td>0.22</td>
</tr>
<tr>
<td></td>
<td>P 0.645</td>
<td>0.921</td>
<td>0.446</td>
<td>0.256</td>
</tr>
<tr>
<td>7547</td>
<td>R -0.03</td>
<td>0.15</td>
<td>-0.01</td>
<td>-0.17</td>
</tr>
<tr>
<td></td>
<td>P 0.870</td>
<td>0.460</td>
<td>0.958</td>
<td>0.385</td>
</tr>
<tr>
<td>8203</td>
<td>R -0.20</td>
<td>0.10</td>
<td>-0.03</td>
<td>-0.17</td>
</tr>
<tr>
<td></td>
<td>P 0.321</td>
<td>0.622</td>
<td>0.886</td>
<td>0.380</td>
</tr>
<tr>
<td>8953</td>
<td>R -0.26</td>
<td>0.07</td>
<td>-0.07</td>
<td>-0.16</td>
</tr>
<tr>
<td></td>
<td>P 0.180</td>
<td>0.712</td>
<td>0.707</td>
<td>0.416</td>
</tr>
<tr>
<td>9797</td>
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<td>-0.04</td>
<td>-0.36</td>
</tr>
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<td></td>
<td>P 0.183</td>
<td>0.826</td>
<td>0.855</td>
<td>0.057</td>
</tr>
<tr>
<td>10 641</td>
<td>R -0.15</td>
<td>0.00</td>
<td>-0.02</td>
<td>-0.11</td>
</tr>
<tr>
<td></td>
<td>P 0.449</td>
<td>0.987</td>
<td>0.926</td>
<td>0.577</td>
</tr>
</tbody>
</table>

Caption: \(R =\) Pearson’s correlation; \(P =\) statistically significant result
As to the laterality of DPOAE, when we compared results of ears between subjects from a same group, we found no statistically significant difference. Although results were not significant, we saw an advantage of the right ear (RE) over the left ear as to mean values (Figures 2 and 3).

Most studies report better responses for otoacoustic emissions in RE (16,17). Some explanations to these findings are related to differences in ears associated with the slight advantage of right aural awareness and the dominance of the left hemisphere in the perception of speech and language function, besides the effect of higher suppression of otoacoustic emissions in the RE, which proves that there is an asymmetric activity between ears and favors the acoustic signal detection and morphological asymmetry between the right and left craniofacial regions (16,17). However, some studies have not shown significant differences in responses to otoacoustic emissions as to ear side (18).

Because there are no statistically significant differences between ear sides in a same group, we performed a comparative analysis of results between SG and CG, grouping the values obtained to identify the significant values in all frequencies in the comparison between groups, with advantage to CG (Figures 2 and 3). Although both groups had no hearing complaints and presented similar audiological profiles, heterozygotic subjects for GJB2 mutation were reported to present more damage to the active process of outer hair cells.

A lower level of response to ultra-high frequencies DPOAE was expected due to the cochlear tone organization, for the ultra-high frequencies are set at the basis of the cochlea and are therefore more prone to damage, hence the possibility to detect them early before the onset of typical effects in the conventional frequency range (19). Another factor that may have contributed with the lower level of responses was the difficulty to calibrate the probe before the registration of the otoacoustic emissions (Figure 1) because of the short wavelength of the highest frequencies and of the complex interactions between them and the outer auditory meatus dimensions (20). In the future, the problem of probe calibration will be solved with the registration of DPOAE using two microphones, one being the reference microphone inserted near the tympanic membrane to actually calibrate the inputs and another one to capture the responses by the outer hair cells (21).

Studies about DPOAE using ultra-high emissions also showed problems with calibration in this frequency range and pointed out that the great variability in responses may be influenced by it (11,22-26). Dreisbach et al. (23) reported that this may be minimized by the exact calibration, using a microphone in the outer auditory meatus correctly, resetting the frequency, and repositioning the probe to confirm if the response is exclusively due to the cochlear activity.

To avoid problems in calibration due to stationary waves, especially in ultra-high frequencies, Dreisbach and Siegel (22) created a specific probe for their study containing three tubes. The first one would send the input; the second one was the microphone, and the third one was an optic fiber to visualize the position of the probe in relation to the tympanic membrane (roughly 10–15 mm) to assure the same level of acoustic sound pressure during the assessment at all frequencies.

The leading problems found in measuring ultra-high otoacoustic emissions were calibration limitations (25,26). Because of that, the authors created a technique named incident pressure calibration to prevent the effect of the stationary waves at high frequencies by using an 8-mm cylindrical tube inserted to the phone, which would function as an anechoic chamber. Trying to establish if the inputs at ultra-high frequencies could distinguish individuals from the SG and CG, we used a 90% confidence interval test. Figure 4 shows that many individuals from SG are below the lower reference threshold estimated for CG, while other are above the highest threshold. The hypothesis raised was that parents of autosomal recessive hearing-impaired patients would present more impairment of the cochlear basis and, therefore, ultra-high frequencies DPOAE would have a due pattern in these individuals. However, as this tool has been only made available very recently, there are very few studies assessing the frequency range of 9,000–16,000 Hz as to DPOAE and to hearing perception at these frequencies.

Some difficulties lead to the lack of studies on the subject. The first one is that most speech sounds are in the frequency range of up to 8,000 Hz, and ultra-high frequencies related to the decodification of background sounds and music are not usually clinically concerning, once the speech perception is more relevant for human communication (25). The recent availability of equipment in the market is another reason, as well as the lack of standardization of DPOAE responses in the range of 9,000–16,000 Hz.

When there is equipment available, there is also difficulty in the correct and safe calibration of probes. There are a few studies on ultra-high frequencies DPOAE precisely because the calibration in this frequency range is very difficult, which makes researchers quit studies before completing them (21).

However, the recent advances in technology and in the knowledge about audiology and acoustic physics relations are expected to improve and solve the issue of calibration patterns for ultra-high frequencies because of their applicability, for example, when monitoring patients suspected for or with hearing pathologies such as ototoxicity, otitis media sequela, presbycusis, noise exposure, and renal failure (27,28).

Vallejo et al. (29) added that one of the clinical applications of ultra-high frequency research is hearing impairment in families with members presenting genetic hearing loss, as in the case of asymptomatic heterozygotic parents with GJB2 mutation.

In this context, the investigation of ultra-high frequencies in parents of autosomal recessive hearing-impaired children is a tool to identify early discrete hearing changes.

In agreement with other studies, we found that DPOAE tend to decrease with aging, probably due to changes in cochlear biomechanics or to the loss and deterioration of Outer Hair Cells (OHC) that occur throughout life, thus increasing hearing thresholds at the level of frequency (20,31).

Subjects from the SG presenting more alterations at otoacoustic emissions aged from 38 to 58 years. In the case of younger subjects, doubt remains as to the allele causing hearing loss as being responsible for the otoacoustic changes, once the environmental risk factors were all excluded. In CG, changes in otoacoustic emissions were only identified in subjects aged...
55–57 years, probably because of the hearing impairment resulting from aging (presbycusis), where there are changes in the cochlea such as loss of sensory cells, atrophy of the stria vascularis, and loss of cells of spiral ganglion(10).

Both groups would then have the same chances to present hearing disorders due to the age factor. However, the greatest incidence among subjects from SG may be explained by the recessive allele in heterozygosis, which causes hearing loss in autosomal inheritance.

Hearing impairment at ultra-high frequencies starts in childhood and is accompanied by a decrease in the response to transient and DPOAEs, as well as the absence of spontaneous otoacoustic emissions. So, the evaluation of ultra-high frequencies may help to detect hearing loss early, for it may not be identified by conventional audiological assessment(31). Loss of hearing perception in the range of 15,000–18,000 Hz starts around 20 years old. Hearing loss is usually a result of changes related to metabolism age, cochlear nerve degeneration, and mechanical changes in the spiral organ, with adverse effects to the peripheral and central hearing function(32).

Scatterplots correlating age and DPOAE at ultra-high frequencies in both groups showed no trend in score, and Pearson’s correlation values for each group and ear did not show significant negative correlation in any group (Table 2).

The little knowledge about hearing perception at ultra-high frequencies associated with the no correlation to age and DPOAE at ultra-high frequencies may be explained by the small sample size, so further studies with bigger samples are needed to assure accuracy to audiological data.

Studies on autosomal recessive hearing loss are usually conducted with small samples because it is relatively difficult to gather a large number of individuals presenting these characteristics in Brazil, where it is not easy to distinguish genetic from environmental hearing loss because molecular tests are mostly inaccessible. Even if it were easier to identify autosomal recessive hearing loss etiology, this number would still be reduced, because this type of hearing disorder affects 15% of the deaf population(33,34). Engel-Yeger et al.(9) pointed out that very few studies tried to determine the clinical picture of autosomal recessive hearing loss, because only a few families/patients are actually examined.

When it comes to the difficulty in gathering subjects for the sample, we mention refusal of patients in performing audiological tests, especially because they are commonly “harassed” to participate in hearing loss studies, besides claiming the exams would not solve their problem, and that they were already submitted to such tests periodically in order to update documents such as driver license and public transportation insurance.

It is worth mentioning the difficulty in finding couples presenting the requirements for the study if we consider the number of divorces that take place currently. The family was often composed of a mother and a stepfather, and vice-versa. When the researchers oriented potential participants as to molecular analysis, which is a synonym with DNA analysis for the layman, many mothers refused to participate in the study facing the possibility of paternity suspicion by their partners.

To verify the possibility of decrease in response of cochlear function of heterozygotic parents for GJB2 mutation, we adopted an etroacoustic methodology capable of confirming the initial hypothesis, even though further studies are needed, once technological advances allow more accuracy in the registration of ultra-high frequency DPOAE.

CONCLUSION

Ultra-high frequency DPOAEs were able to distinguish individuals from the SG and the CG, suggesting that heterozygotic individuals for the GJB2 mutation may present damage to the active process of outer stereocilia before the clinical onset of the disorder based on the results of conventional audiological evaluation.

REFERENCES

DPOAE at ultra-high frequencies in genetic hearing loss