Change in the nose areas in children with mouth breathing after nasal cleansing and massage

Mudança nas áreas nasais em crianças com respiração oral após a limpeza e massagem nasal

ABSTRACT

The evaluation and quantification of possible changes in the nasal cavity can assist in the diagnostics and treatment in children who breathe predominantly through the mouth. The oral breathing mode can initiate speech disorders, facial deformities, poor positioning of the teeth, improper body posture, and changes in the respiratory system. **Purpose:** To analyze the changes occurred in the nasal cavity geometry, before and after nasal cleansing, through nasal aeration and acoustic rhinometry in children with oral breathing. **Methods:** Twenty children aged four to 12 years were included in the study. The gathering of participants was conducted at the Multifunctional Laboratory of the Speech Pathology Department of the Federal University of Pernambuco - UFPE. The following procedures were conducted: Identification Index of Signs and Symptoms of Oral Breathing; marking of nasal expiratory airflow using the graded mirror of Altmann, and examination of the Nasal Geometry by Acoustic Rhinometry. The same procedures were performed after nasal massage and cleansing with saline solution. **Results:** Significant change was observed in the areas with respect to the nasal airflow on both sides after nasal cleansing and massage. As for nasal geometry, measured by acoustic rhinometry, comparison between the nostrils showed that the effect of cleansing and massage was discrete. **Conclusion:** Nasal aeration measures showed sensitivity to the cleansing and massage technique and measures of nasal geometry confirmed its effect on respiratory physiology.

RESUMO

A avaliação e quantificação das possíveis alterações da cavidade nasal são necessárias para o auxílio diagnóstico e tratamento em crianças que respiram predominantemente pela boca. O modo respiratório oral pode desencadear distúrbios da fala, deformidades da face, mau posicionamento dos dentes, postura corporal inadequada e alterações no sistema respiratório. **Objetivo:** analisar as mudanças ocorridas na geometria das cavidades nasais, antes e depois da limpeza nasal por meio da aeração nasal e da rinometria acústica em crianças com respiração oral. **Método:** Foram selecionadas 20 crianças com idade entre quatro e 12 anos. A coleta foi realizada no Laboratório Multifuncional do Departamento de Fonoaudiologia da Universidade Federal de Pernambuco. Foi aplicado o Índice de Identificação dos Sinais e Sintomas da Respiração Oral; marcação da aeração nasal por meio do espelho milimetrado de Altmann e o exame da geometria nasal por Rinometria Acústica. Depois da limpeza e massagem nasal com o soro fisiológico, foram realizados os mesmos procedimentos. **Resultados:** Observaram-se mudanças significativas nas áreas relativas ao fluxo aéreo nasal em ambos os lados, após limpeza e massagem nasais. Quanto à geometria nasal, aferida por meio da rinometria acústica, o efeito da limpeza e massagem nasal mostrou-se discreto, quando feita a comparação entre as narinas. **Conclusão:** As medidas de aeração nasal mostraram sensibilidade à técnica de limpeza e massagem e as medidas da geometria nasal confirmaram seu efeito sobre a fisiologia respiratória.

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INTRODUCTION

Assessment of nasal function and patency is necessary, especially in individuals who breathe predominantly through the mouth. Chronic oral breathing children may develop speech disorders, facial deformities, poor positioning of the teeth, improper body posture, and changes in the respiratory system(1). There are also negative consequences on the quality of life of these individuals due to the personal, physical, psychological and social impact of oral breathing(2,3).

Currently, studies are conducted with the objective of evaluating and quantifying the possible changes and characteristics of the nasal cavity(4,5) that interfere with nasal patency and, consequently, with the respiratory mode. These instruments assist in the diagnosis of nasal breathing(6,7), as well as in the treatment and monitoring of nasal deformities and disorders(7).

In addition to the medical and surgical treatments, which aim at improving nasal aeration, there are maneuvers that allow near-normal breathing function in these patients. One of these maneuvers consists of a clinical procedure called nasal cleansing, which allows bilateral airflow, with better balance between both nostrils. However, the results of nasal cleansing on the patency of the nose are usually evaluated subjectively; therefore, assessments of nasal patency, using the Altmann graph mirror, and of nasal geometry, by acoustic rhinometry, before and after nasal cleansing, provide subsidies to analyze the efficacy of this procedure on nasal aeration and allow correlation between the different results(6,8).

Considering the importance of a quantitative evaluation of this dysfunction, the objective of this study is to analyze changes in the nasal cavity geometry, before and after nasal cleansing and massage, through nasal aeration and acoustic rhinometry, as well as the correlation between these measures in mouth breathing children.

METHODS

Children diagnosed with oral breathing, who did not present an otorhinolaryngologic diagnosis of nasal obstruction, aged four to 12 years were selected for this study. Study participants were referred to the Speech-language Pathology Clinic of the Federal University of Pernambuco - UFPE, where signs and symptoms of the oral respiratory mode were observed and clinically evaluated by a speech-language therapist specialized in Orofacial Motricity.

Prior to initiation of the study, the parents/guardians of the participating children signed an Informed Consent Form (ICF). Next, we applied the Index of Identification of Signs and Symptoms of Oral Breathing questionnaire developed by the Research Group on Pathophysiology of the Stomatognathic System of the UFPE. This is a practical, effective instrument for the clinical diagnostics of oral breathing in the field of research and clinical practice comprising two parts: Field 1 - Information on the respiratory mode: Breathe through the mouth, Breathe through the mouth during the day, Breathe through your mouth at night, Present frequent colds, People notice that you breathe through mouth, Restless sleep, Snoring, Drooling, Wake up with a dry mouth, Present a dry throat sensation during sleep, Difficulty in tasting, Difficulty in smelling, Difficulty in chewing (with two response fields - companion or patient over 18 years); Field 2 - Signs and symptoms related to the respiratory mode: Present dark circles under the eyes, Present altered body posture (anterior head, head tilted to the right, head tilted to the left, anterior shoulder rotation), Keep your lips parted, Keep your mouth open, Have a long face, Nose wing (symmetrical/asymmetrical), Cheeks (symmetrical/asymmetrical), Protrusion of the upper arch, Lip commissure (symmetrical/asymmetrical), Shortened upper lip, Everted lower lip, Dry lips, Whitish tongue, Present drowsiness throughout the day, Fatigue when performing physical activities or sport, Present adequate school performance, Difficulty in paying attention, Tiredness when speaking, Reduced appetite.

All responses were of the “yes or “no” type. The following percentages were established for diagnosis: from 51% to 60% - mixed respiratory mode; from 61% to 70% - mild oral breathing; from 80% to 90% - moderate oral breathing; above 90% - severe oral breathing.

Measures of nasal aeration were obtained using the Altmann graph mirror by marking the haze area with a blue marker pen before nasal cleansing and with a red marker pen after nasal cleansing. The measures were recorded on special millimeter paper sheets alike the mirror (Figure 1). The images were imported to the computer using a scanner (HP - Photosmart C3100) and then analyzed using the software program Image J 1.46r (http://image.nih.gov/ij). In this program, the area was calculated according to the transformation from pixels to cm² scales.

After collection of the nasal aeration, examination of the nasal internal geometry was conducted by Acoustic Rhinometry, whose analysis enables the measure of the nasal cross-sectional areas (CSA) separately on both sides, corresponding to the deflections in the rhinogram, generally related to the nasal valve region (CSA), and the anterior and (CSA) posterior portions of the middle and inferior turbinates, as well as to their respective distances (DIST, DIST, DIST,) to the nostrils (Figure 2); it also allows the measure of nasal volumes, thus favoring the identification of the sites of constriction that contribute to nasal resistance(4,11). For analysis of the volume measures, the region from 0 to 8 cm in relation to the entrance of the nostril was considered in the children; this region is equivalent to the portion of the nose that goes from the nostril to the nasopharynx(12-14). The tests were performed using the Eccovision Acoustic Rhinometer (HOOD Laboratories) system.

To conduct the examination, a rhinometry tube, attached to a nasal adapter, was placed against one of the nostrils; lubricating gel was used to seal the nasal adapter to the nostril (Figure 3). Proper methodological care was taken to avoid interference from the environment in the rhinometric assessment, minimizing the study bias(4,11).

To this end, room temperature and noise level were controlled, patients were given some time to adapt to the examination room, the device was calibrated between tests, and due care was taken

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to position the rhinometry tube correctly, avoid sound losses, and keep the patient’s head always stable \cite{4,11}. The children were requested to look at the computer screen steadily so that head position was maintained throughout the examination (Figure 4).

After that, the nasal cleansing and massage procedure was conducted with the instillation of 2.5 ml of 0.9% saline solution at room temperature in each nostril with the aid of a needleless syringe. Immediately after serum instillation, circular massage

![Figure 1. Altmann graph mirror, record sheet, and nasal aeration marking](image1)

![Figure 2. Nasal cavity and rhinometric curves. Source: Sistema Respiratório\cite{10}, adapted by Pablo Gutenberg](image2)

Caption: CSA 3 = cross-sectional area of the posterior portion of the middle and inferior turbinate

Figure 2. Nasal cavity and rhinometric curves. Source: Sistema Respiratório\cite{10}, adapted by Pablo Gutenberg
with the thumb was performed on the lateral nasal region, 10 times on each side. Next, the child blew one side of the nose at a time onto tissue to remove all the secretion\(^{(12)}\). After nasal cleansing and massage, the same examination procedures were performed.

For data analysis of the nasal aeration measure, the areas obtained in each nasal cavity, separately (left and right sides), and the total area were considered, that is, the area corresponding to the airflow of the two nasal cavities: left nasal cavity (LNC) plus right nasal cavity (RNC). For the measures of nasal geometry, each cavity was analyzed separately, totaling 40 nasal cavities of 20 children.

To compare the results obtained before and after the cleansing technique application and between the sides of the nasal cavity, the Wilcoxon signed-rank test was used: analysis of the relationship between variables, assigning a significance level of 5\% \( (p<0.05) \).

This study was approved by the Research Ethics Committee of the Health Sciences Center of the UFPE under protocol no. 15860213.5.0000.5208 according to Resolution CNS 466/12.

RESULTS

The population of the present study showed responses above 60\% in the Identification Index of Signs and Symptoms of Oral Breathing questionnaire, characterizing the functional diagnosis of oral breathing.

Tables 1 and 2 show the results obtained from the analysis of the correlation of nasal geometry before and after nasal cleansing, by means of the Wilcoxon signed-rank test, in the 20 investigated children with speech-language pathology clinical diagnosis of oral breathing.

Table 1 shows the mean values of the nasal cavities and their respective standard deviations (±SD), the medians of the differences, and the \( p \) value of the aeration and internal geometry of the nose before and after nasal cleansing and massage.
The values of 8.60±2.04 cm² and 11.84±2.14 cm² were observed, respectively, before and after nasal cleansing, showing significant increase in the area of nasal aeration (p=0.00025). Regarding the variables of the cross-sectional areas, their respective distances (CSA₁-DIST₁, CSA₂-DIST₂, and CSA₃-DIST₃) and volumes, no significant differences were observed for the values obtained before and after nasal cleansing and massage.

Table 2 shows the medians of the differences between total nasal aeration (LNC+RNC) before and after nasal cleansing and massage, right nasal aeration vs. left nasal aeration, and of the geometry of the left and right nasal cavities, separately, before and after nose cleansing and massage. Significant differences were observed between the following variables: Total Aeration before versus Total Aeration after with *md*=−6.071 (p=0.0019); LNC Aeration before versus RNC Aeration after with *md*=−1.8505 (p=0.0121); CSA₁ of LNC before and CSA₁ of RNC after with *md*=0.105 (p=0.0484); DIST₁ of LNC before and DIST₁ of RNC before with *md*=0 (p=0.0171); DIST₁ of LNC before and DIST₁ of RNC after with *md*=−0.24 (p=0.0018); VOL of LNC after and VOL of RNC after with *md*=1.075 (p=0.0172).

**DISCUSSION**

The nasal cavity and its structures are complex, from the embryological point of view, beginning with the first pharyngeal arch, which originates the formation of the nasal fossae through the...
proliferation of the ectoderm of the frontal process. Knowledge on its development can assist in the understanding of the pathologies found in this anatomical region, considering that, after birth, several factors can interfere in the normal breathing pattern, such as: anatomical predispositions, environmental factors - such as climatic conditions - sleeping position, artificial feeding, and oral habits[15,16]. Until the age of several months, the child is an obligate nasal breather, considering that, in infants, laryngeal descent has not yet occurred and the soft palate necessarily rests on the epiglottic vallecula, precluding the natural patency of the oral airways[17].

With growth, the nasal cavities may undergo anatomical changes, such as deviated septum and hypertrophies of the nasal turbinates[18-25]. The nasal breathing mode can become predominantly oral and, thereby, bring functional changes not only to the respiratory process, but also to the stomatognathic system[19,20]. Etiologies such as allergic rhinitis and nasal turbinate hypertrophy are generally the main causes of nasal obstruction and oral breathing. As a consequence of this altered respiratory pattern, children may present difficulties in speaking, chewing and swallowing, in addition to dental and postural alterations, which directly influence their development[20-22]. Therefore, it is important to perform prior, accurate diagnoses for adequate speech-language pathology prevention and treatment in children with mouth breathing.

The age range in the sample of 20 patients diagnosed with oral breathing was four to 12 years. It is known that craniofacial growth undergoes changes in this period; however, no interference in the results was observed because there were no control individuals. The present study presents results after the quantitative analysis of aeration and nasal cavity geometry before and after the application of the nasal cleansing and massage technique, widely used in speech-language pathology practice in the treatment of oral breathing.

Analysis of the nasal cavity using the Altmann graph mirror and the Image J software program showed significant increase of the area after the cleaning and nasal massage, in both nasal cavities. This finding corroborates those of another study[7], which also used the Altmann millimeter mirror to verify changes in the nasal aeration areas after cleansing and massage, quantitatively confirming improvement after the application of the speech-language pathology technique, whose benefits of greater respiratory freedom[23] and shift from oral to nasal breathing pattern[24] had already been perceived subjectively.

With respect to the measures taken by acoustic rhinometry in this study, it was possible to observe that the cross-sectional areas (CSA, CSA, and CSA) did not present significant increase when compared, on the same side, at the pre- and post-technique application moments. This outcome can be justified by the fact that the applied technique, in spite of interfering in nasal aeration and favoring change of the respiratory mode, does not expressively interfere in the nasal structure, that is, it does not provoke significant changes in the nose mucosa that can interfere in the internal nasal area.

This result allows us to understand the nasal respiratory physiology better, to the extent that it illustrates the response of the nose to the applied technique: nasal aeration is probably increased due to the elimination or reduction of secretions present in the nasal cavity and not owing to significant effects on the mucosa structure. Moreover, it is possible to infer that the tactile-kinesthetic stimulation caused by massage promotes sensitization of the nasal cavity, thus promoting the routing of airflow to the region, increasing nasal aeration[22].

This hypothesis can be further reinforced by comparing the present survey with other studies conducted with children in which the CSAs presented significant increase after the use of nasal vasoconstrictor[21,25], opposing the present study; that is, the effect of the medicine on the nasal mucosa changes the measures of the cross-sectional areas, which does not occur with nasal cleansing.

This indicates that the improvement achieved with the use of vasoconstrictors, in spite of increasing nasal geometry and favoring patency, does not necessarily increase the functional gain in terms of aeration, considering that aeration is favored by tactile-kinesthetic stimuli in oral breathers. Therefore, although the effect of the cleansing and massage technique on nasal patency, related to the nasal mucosa in structural terms, is not significant, its functional effect on aeration was, and this may prove to be satisfactory to adapt the respiratory mode[26] in chronic oral breathers.

It is also worth mentioning that the children in this study had already received medical treatment for nasal obstruction, corroborating the fact that they were referred to speech-language pathology care for nasal function adequacy. This may explain the fact that the nasal geometry had not been significantly altered.

Thus, the importance of applying this same study to individuals with nasal obstruction of allergic origin should be highlighted, so that the effect of massage on nasal obstruction could be tested.

In contrast, significant differences were observed between the two cavities when the pre- and post-cleansing and massage moments were compared in two situations: CSA – corresponding to the cross-sectional area of the anterior portion of the middle and inferior turbinate and DIST – corresponding to the distance to the nostril from the third nasal constriction area (3rd deflection of the rhinogram curve) related to the posterior portion of the middle and inferior turbinate[14].

Considering that the measures of the CSA before the application of the technique did not show difference between the sides, it is possible to infer that there was a change in the area corresponding to the anterior portion of the inferior turbinate after the technique was applied. This may justify the effect of the technique on this region of the nasal cavity, where greater reaction of the nasal mucosa is expected and where the point of greatest constriction of the nasal cavity is located in cases without obstruction, after the nasal valve[12], being more susceptible to the functional effects of the mucosa - considering that the nasal valve is less affected[4] – and, therefore, the main point responsible for nasal obstruction in allergic cases.
Nevertheless, the nasal cycle phenomenon must be considered, which can also explain the difference between the sides, mainly because this phenomenon was not neutralized\(^{26}\) taking into account that nasal vasoconstrictors were not used in this study.

The nasal cycle is characterized by the alternation of periods of greater resistance between the nasal cavities. This occurs due to the variation of predominance of the sympathetic or parasympathetic systems on the mucosa of the right and left nasal cavities, alternately. This physiological alternation persists during oral breathing, nasal occlusion, and even under the effect of topical anesthesia\(^{27}\). Studies indicate that children up to eleven years of age present a reciprocal nasal cycle, though not always in the classic way, as most adults do\(^{28,29}\).

It is essential that studies on aeration and nasal geometry that aim to quantitatively analyze the patency of the nasal cavities value this aspect of the nasal cycle\(^{28}\). The passage of airflow through the nose is usually asymmetric and it is necessary to understand how this physiological process occurs in order to evaluate the internal nasal geometry.

Regarding distance, the significant difference observed in the DIST, in the comparison between the sides was maintained at the pre- and post-technique application moments. Because this measure refers to the topographic location of the third area of nasal constriction\(^{4}\), it should be considered that nasal cleansing and massage do not significantly interfere with this characteristic.

With respect to volume, in the present study, we chose to analyze the total segment of 8 cm from the nostril entrance to the nasopharynx (0-8 cm), corresponding to the nasal (from 0 to 5 cm) and nasopharyngeal cavities in children, considering the nasopharynx region as of 6 cm\(^{12-16}\). The choice for this segment was due to the fact that children within this age group still suffer from pharyngeal tonsil influence in an increased volume, mainly because they are allergic.

The outcomes of the present study did not show significant differences in nasal volume, in both cavities, when compared in the same side, after the cleansing and massage technique application. Comparison with other studies\(^{14,25}\) that analyzed the effects of vasoconstrictors on the nasal volume also showed that the improvement in nasal aeration observed in this study is not determined by a significant structural change.

However, it is necessary to consider the segment chosen for analysis, whose distance includes the nasopharynx region, which does not directly receive the effects of the nasal cleansing and massage technique. In addition, in the present study, it was not possible to control the movement of the palatine veil, which may interfere with the measure of nasopharyngeal volume\(^{29}\).

Therefore, it is suggested that a subsequent study evaluate the volumes in other segments, especially in the region between 1 and 5 cm, that is, the nasal cavity segment before the nasopharynx\(^{12-14}\).

In contrast, significant difference in nasal volume was observed when the sides were compared after the technique was applied. In the same way that in the area and distance the values obtained for the right and left cavities, before the cleansing and massage, did not differ significantly; therefore, it is also possible to consider that there may have been some effect on the nasal volume, after the technique application, but in this case the nasal cycle effect should also be considered, as previously discussed.

Therefore, nasal cleansing and massage have proved to be effective in improving nasal patency, in relation to nasal aeration, in children with physiological oral breathing. Regarding its effect on nasal geometry, we suggest that further studies be conducted with individuals with nasal obstruction diagnosed by otolaryngology.

**CONCLUSION**

Nasal cleansing and massage positively influence nasal aeration in children with physiological oral breathing. After the application of this technique, significant improvement was observed in nasal aeration, as well as in the cross-sectional area corresponding to the anterior portion of the middle and inferior turbinate (CSA\(_A\)) and in the volume, in the comparison between the two nostrils. Measures of the area of nasal aeration and of the area and volume between the two cavities were sensitive to changes after the application of the cleansing and massage technique. It is suggested that further studies be conducted with children with nasal obstruction and with volumes of 0-5 cm, which correspond to the segment between the entrance of the nasal cavity and the final portion of the nasal turbinate.

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Author contributions

AMCM is the main author of this study, which was based on her master’s thesis; HIJS and AOCG are the study adviser and co-adviser, respectively; DAC participated as a guide for the studies on oral breathing - her line of research within the Research Group on Pathophysiology of the Stomatognathic System of the Federal University of Pernambuco (UFPE), of which the researchers SJHL, WRPL, and RAC, whose participation during the process of data collection and preparation of materials was fundamental, are also members.