Neuropsychiatric symptoms and severity of dementia

Gustavo Henrique de Oliveira Caldas1, Sueli Luciano Pires2, Milton Luiz Gorzoni3

ABSTRACT. Neuropsychiatric symptoms (NPS) cause distress, disability and reduced quality of life for both the patient and their families. Objective: To evaluate the prevalence of NPS as a specific stage of dementia status. Methods: A cross-sectional study in patients attending an outpatient clinic for dementia was performed. We applied the Neuropsychiatric Inventory and Clinical Dementia Rating (CDR) scale. Statistical analysis was carried out with SPSS 17 software. Results: The 124 subjects (mean age of 80.4±7.0 years), 88 women (70.9%) had average duration of dementia of 7.1±3.2 years, most common dementias of Alzheimer’s disease (35.5%) and mixed (31.5%) and most prevalent NPS of apathy (75%) and irritability (66.9%). Correlation between apathy and a CDR 1 had a PR (prevalence ratio) = 0.289 and p<0.001 while between apathy and CDR 4-5 (PR=8.333, p<0.005). A similar result was found between aberrant motor behavior (AMB) and CDR 1 (PR=0.352, p<0.003) and between AMB and CDR 4-5 (PR=2.929, p<0.006). Conclusion: Alzheimer’s disease and mixed dementia were predominant, while apathy and AMB were detected in association with the progressive stages of dementia. Key words: elderly, dementia, neuropsychiatric symptoms, behavioral and psychological symptoms in dementia.

INTRODUCTION

The elderly population has increased in Brazil since 1960, with the prospect that the country will soon rank sixth worldwide in absolute number of inhabitants over 60 years of age.1 This fact implies a rise in degenerative diseases such as dementia.2 Dementia is defined as a neuropsychiatric disorder characterized by progressive and acquired deficit in intellectual ability, affecting memory, language, visuospatial skills, cognition, emotion and personality.3 It is divided into several subgroups characterized by risk factors, pathophysiological mechanisms, symptoms, neuroimaging, and response to treatment.6 Different regions have varying percentages of inhabitants with dementia and subtypes. These discrepancies are justified by these methodologies and socio-cultural and regional standards. The average prevalence found in studies conducted in South America is around 7.1%.4,5 Studies conducted from 1994 to 2000 have found prevalence rates ranging from 4.2% in Canada to 14.5% in Spain, while Japan and the

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U.S.A. have reported prevalence rates of between 5.5% and 9.0% for subjects aged 65 or over.

The main cause of dementia in Brazil is Alzheimer’s disease (50%-60% of cases), followed by vascular dementia and mixed dementia (10% to 20% each), frontotemporal dementia (12%) and Lewy body disease (10%-15%). Tertiary centers have also observed a prevalence of 0%-37% of potentially reversible dementias.

Dementia has, in addition to cognitive impairments, behavioral and psychological symptoms (changes in sensory perception, in thought content, mood and behavior). These symptoms cause distress, disability, reduced quality of life and increased financial burden for both the patient and their families and have become indicators of early institutionalization. During the evolution of the loss of cognitive status, it is estimated that approximately 80% of patients with Alzheimer’s disease have at least one of these symptoms.

Given these data, it becomes necessary in order to provide local assistance to patients with dementia, to analyze these cases, determine the pattern of neuropsychiatric symptoms (NPS) observed and consequently define strategies to improve the quality of life of patients and their caregivers.

The aim of this study was to evaluate the NPS in patients treated as outpatients for dementia at the Hospital Geriátrico e de Convalescentes Dom Pedro II (HGCDPII) - Irmandade da Santa Casa de Misericórido de São Paulo (ISCMSP).

METHODS

The dementia outpatient clinic is run at the HGCDPII weekly, with the participation of residents in geriatrics and tutors in the same specialty, all linked to ISCMSP. Being local specialized care, the patients admitted primarily have early and intermediate stages of dementia.

The cases included in this study had, on outpatient admission, DSM IV (Diagnostic and Statistical Manual of Mental Disorders) criteria for dementia and were aged 60 years and over. One of the authors (GHOC) evaluated patients between October 2011 and January 2012, discussing cases with other authors. This study considered p<0.05 as significant and adopted a significance level of 95.0%. Statistical analysis was performed using the Statistical Package for Social Sciences (SPSS) version 17. This study was submitted to the Ethics in Research ISCMSP Committee (Protocol No. 241/11).

RESULTS

The sample analyzed consisted of 124 patients, 88 women (70.96%), with mean age of 80.4±7.00 years and mean disease duration of 7.18±3.22 years. A total of 28 (22.6%) patients had CDR 1, 32 (25.8%) CDR 2, 38 (30.7%) CDR 3, 22 (17.7%) CDR 4 and 04 (3.2%) CDR 5.

Considering p<0.05 as significant and adopting a significance level of 95.0%, Statistical analysis was performed using the Statistical Package for Social Sciences (SPSS) version 17. This study was submitted to and approved by the Ethics in Research ISCMSP Committee (Protocol No. 241/11).
NPS by frequency of appearance: apathy (75%), irritability (66.9%), nocturnal behaviors (58.8%), depression (57.2%), agitation (56.4%), aberrant motor behavior (53.2%), appetite disorders and nutrition (52.4%), anxiety (50.8%), hallucinations (34.6%), delusions (29.0%), lack of inhibition (21.7%), and euphoria (15.3%).

NPS by severity of patient’s suffering in caregiver’s opinions: depression (45.1%), apathy (31.2%), anxiety (28.6%), irritability (27.7%), agitation (27.2%), nighttime behaviors (26.0%), aberrant motor behavior (24.3%), appetite disorders and nutrition (20.0%), delusions (16.7%), lack of inhibition (14.8%), hallucination (11.6%) and euphoria (10.5%).

There were 25 caregivers (69.4%) with stress associated with patient delusion, of whom seven (19.4%) had pain grade 5, four (11.1%) grade 4, 11 (30.6%) grade 3 two (5.6%) grade 2 and one (2.7%) grade 1. Prevalence of stress for other NPS: 80.2% (57 caregivers) for depression, 75.7% (53) agitated, 73.0% (46) anxiety, 70.9% (66) apathy, 65, 0% (54) irritability, 60.4% (26) hallucination, 54.5% (36) aberrant motor behavior, 51.8% (14) lack of inhibition, 49.3% (36) nocturnal behavior, 44.6% (29) disorders of appetite and feeding, and 36.8% (7) euphoria. The ranks of the above-mentioned NPS regarding the degree of caregiver stress are shown in Table 1.

The prevalence of NPS according to the severity of dementia showed changes in patterns. The correlation between apathy and CDR 1 is protective (PR=0.289, p<0.001), i.e. with low occurrence of this symptom in CDR 1, and risk between apathy and CDR 4-5 (PR=8.333, p<0.001), i.e. with high occurrence of this symptom in CDR 4-5. The same was found between aberrant motor behavior and CDR 1 (R=0.352, p<0.003) as well as aberrant motor behavior and CDR 4-5 (PR=2.929, p<0.006). This study also observed a higher prevalence of anxiety in patients with CDR 1 (PR=2.044, p<0.04) and lower prevalence of hallucination (PR=0.266, p<0.002).

Delusion, lack of inhibition, irritability, and appetite disorders and nutrition had increased prevalence rates up to CDR 3, with subsequent decline in CDR 4-5 but without statistical significance. Irritability approached statistical correlation risk in CDR 3 (PR=1.852, p<0.059).

Depression and nocturnal behaviors did not vary with severity of dementia, suggesting a higher constant prevalence throughout the natural history of dementia, according to the survey data, although there is a known lack of diagnostic criteria for depression in later stages of dementia.

Euphoria, in turn, tended to increase in CDR 3 and decline in CDR 4-5, with statistical significance in CDR 3.

Agitation correlated to protection in CDR 1 (PR=0.210, p<0.001) and risk in CDR 3 (PR=2.893, p<0.001) with statistical significance, indicating one of the symptoms that worsened during the evolution of dementia. Details of the prevalence ratios and their statistical significance are shown in Table 2, 3, 4, 5.

**DISCUSSION**

Dementia increases progressively and significantly with advancing age, with rates doubling in the elderly population every five years. There are, however, local variations in prevalence with the majority of studies reporting rates of between 4.2% and 7.2%. Alzheimer’s disease accounts for 50%-60% of cases, representing the most common cause of dementia. In our study however, the AD dementia rate of 35.5% together with high preva-

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**Table 1.** Percentages of different degrees of caregiver stress in subgroups of neuropsychiatric symptoms (NPS) of dementia in a dementia outpatient clinic of the Hospital Geriátrico e de Convalescentes Dom Pedro II, São Paulo (SP).

<table>
<thead>
<tr>
<th>Symptoms</th>
<th>Grade 0 (No.)</th>
<th>Grade 1 (No.)</th>
<th>Grade 2 (No.)</th>
<th>Grade 3 (No.)</th>
<th>Grade 4 (No.)</th>
<th>Grade 5 (No.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Delusion</td>
<td>11 (30.6%)</td>
<td>1 (2.7%)</td>
<td>2 (5.6%)</td>
<td>11 (30.6%)</td>
<td>4 (11.1%)</td>
<td>7 (19.4%)</td>
</tr>
<tr>
<td>Hallucination</td>
<td>17 (39.5%)</td>
<td>-</td>
<td>2 (4.7%)</td>
<td>21 (48.8%)</td>
<td>1 (2.3%)</td>
<td>2 (4.7%)</td>
</tr>
<tr>
<td>Agitation</td>
<td>17 (24.3%)</td>
<td>-</td>
<td>3 (4.3%)</td>
<td>33 (47.1%)</td>
<td>2 (2.9%)</td>
<td>15 (21.4%)</td>
</tr>
<tr>
<td>Depression</td>
<td>14 (19.7%)</td>
<td>2 (2.8%)</td>
<td>11 (15.5%)</td>
<td>34 (47.9%)</td>
<td>2 (2.8%)</td>
<td>8 (11.3%)</td>
</tr>
<tr>
<td>Anxiety</td>
<td>17 (27%)</td>
<td>4 (6.4%)</td>
<td>6 (9.5%)</td>
<td>29 (46%)</td>
<td>1 (1.6%)</td>
<td>6 (9.5%)</td>
</tr>
<tr>
<td>Euphoria</td>
<td>12 (63.1%)</td>
<td>-</td>
<td>6 (31.6%)</td>
<td>-</td>
<td>1 (5.3%)</td>
<td></td>
</tr>
<tr>
<td>Apathy</td>
<td>27 (29%)</td>
<td>2 (2.2%)</td>
<td>9 (9.7%)</td>
<td>43 (46.2%)</td>
<td>-</td>
<td>12 (12.9%)</td>
</tr>
<tr>
<td>Disinhibition</td>
<td>13 (48.2%)</td>
<td>2 (7.4%)</td>
<td>2 (7.4%)</td>
<td>7 (25.9%)</td>
<td>-</td>
<td>3 (11.1%)</td>
</tr>
<tr>
<td>Irritability</td>
<td>29 (35%)</td>
<td>2 (2.4%)</td>
<td>4 (4.8%)</td>
<td>32 (38.6%)</td>
<td>6 (7.2%)</td>
<td>10 (12%)</td>
</tr>
<tr>
<td>Aberrant motor behavior</td>
<td>30 (45.5%)</td>
<td>-</td>
<td>3 (4.6%)</td>
<td>22 (33.3%)</td>
<td>1 (1.5%)</td>
<td>10 (15.1%)</td>
</tr>
<tr>
<td>Nighttime behavior</td>
<td>37 (50.7%)</td>
<td>-</td>
<td>7 (9.6%)</td>
<td>10 (13.7%)</td>
<td>1 (1.4%)</td>
<td>18 (24.6%)</td>
</tr>
<tr>
<td>Disorders of appetite and nutrition</td>
<td>36 (55.4%)</td>
<td>1 (1.5%)</td>
<td>4 (6.2%)</td>
<td>15 (23.1%)</td>
<td>3 (4.6%)</td>
<td>6 (9.2%)</td>
</tr>
</tbody>
</table>

No.: number; %: Percentage. Suffering / caregiver stress and the Neuropsychiatric symptoms were divided into five levels: Grade 1: minimal suffering; Grade 2: mild distress; Grade 3: moderate distress; Grade 4: severe distress; Grade 5: very severe suffering.
lence rates for mixed (31.5%) and vascular (18.6%) dementias, may imply a lack of finer control of risk factors for vascular disease, a frequent phenomenon in disadvantaged regions. Moreover, the development of high technology devices providing increasingly sophisticated imaging could be contributing to the increased prevalence of more vascular dementia-related causes.\(^\text{8,9,10,11}\)

The low prevalence of frontotemporal dementia (2.4%) in the present study is probably due to the age group assessed, as individuals aged 60 years or older were analyzed whereas this type of dementia typically affects individuals from a younger age group.\(^\text{20}\) The prevalence of potentially reversible dementias (4%) perhaps reflected a lack of cases associated with the use of medi-

### Table 2. Bivariate analysis of change in prevalence of neuropsychiatric symptoms (hyperactivity) according to severity of dementia in a dementia outpatient clinic of the Hospital Geriátrico e de Convalescentes Dom Pedro II, São Paulo (SP).

<table>
<thead>
<tr>
<th>CDR vs symptom</th>
<th>PR</th>
<th>CI 95%</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDR1 vs agitation</td>
<td>0.210</td>
<td>0.092 - 0.482</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>CDR2 vs agitation</td>
<td>0.992</td>
<td>0.544 - 1.810</td>
<td>0.979</td>
</tr>
<tr>
<td>CDR3 vs agitation</td>
<td>2.893</td>
<td>1.445 - 5.793</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>CDR4 vs agitation</td>
<td>1.234</td>
<td>0.609 - 2.500</td>
<td>0.556</td>
</tr>
<tr>
<td>CDR1 vs euphoria</td>
<td>0.205</td>
<td>0.030 - 1.417</td>
<td>0.071</td>
</tr>
<tr>
<td>CDR2 vs euphoria</td>
<td>0.368</td>
<td>0.096 - 1.415</td>
<td>0.153</td>
</tr>
<tr>
<td>CDR3 vs euphoria</td>
<td>2.251</td>
<td>1.362 - 3.722</td>
<td>0.005*</td>
</tr>
<tr>
<td>CDR4 vs euphoria</td>
<td>0.781</td>
<td>0.328 - 1.860</td>
<td>0.568</td>
</tr>
<tr>
<td>CDR1 vs lack of inhibition</td>
<td>0.781</td>
<td>0.328 - 1.860</td>
<td>0.568</td>
</tr>
<tr>
<td>CDR2 vs lack of inhibition</td>
<td>0.829</td>
<td>0.381 - 1.806</td>
<td>0.630</td>
</tr>
<tr>
<td>CDR3 vs lack of inhibition</td>
<td>1.464</td>
<td>0.839 - 2.533</td>
<td>0.198</td>
</tr>
<tr>
<td>CDR4 vs lack of inhibition</td>
<td>0.855</td>
<td>0.356 - 2.056</td>
<td>0.724</td>
</tr>
</tbody>
</table>

### Table 3. Bivariate analysis of change in prevalence of neuropsychiatric symptoms (psychosis) according to severity of dementia in a dementia outpatient clinic of the Hospital Geriátrico e de Convalescentes Dom Pedro II, São Paulo (SP).

<table>
<thead>
<tr>
<th>CDR vs symptom</th>
<th>PR</th>
<th>CI 95%</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDR1 vs delusions</td>
<td>0.978</td>
<td>0.475 - 2.014</td>
<td>0.951</td>
</tr>
<tr>
<td>CDR2 vs delusions</td>
<td>1.111</td>
<td>0.586 - 2.105</td>
<td>0.748</td>
</tr>
<tr>
<td>CDR3 vs delusions</td>
<td>1.271</td>
<td>0.736 - 2.196</td>
<td>0.398</td>
</tr>
<tr>
<td>CDR4 vs delusions</td>
<td>0.582</td>
<td>0.238 - 1.424</td>
<td>0.216</td>
</tr>
<tr>
<td>CDR1 vs hallucinations</td>
<td>0.226</td>
<td>0.072 - 0.706</td>
<td>0.002*</td>
</tr>
<tr>
<td>CDR2 vs hallucinations</td>
<td>1.130</td>
<td>0.612 - 2.086</td>
<td>0.697</td>
</tr>
<tr>
<td>CDR3 vs hallucinations</td>
<td>1.525</td>
<td>0.905 - 2.569</td>
<td>0.118</td>
</tr>
<tr>
<td>CDR4 vs hallucinations</td>
<td>1.381</td>
<td>0.697 - 2.739</td>
<td>0.358</td>
</tr>
</tbody>
</table>

### Table 4. Bivariate analysis of change in prevalence of neuropsychiatric symptoms (fourth group) according to severity of dementia in a dementia outpatient clinic of the Hospital Geriátrico e de Convalescentes Dom Pedro II, São Paulo (SP).

<table>
<thead>
<tr>
<th>CDR vs symptom</th>
<th>PR</th>
<th>CI 95%</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDR1 vs aberrant motor behavior</td>
<td>0.352</td>
<td>0.168 - 0.737</td>
<td>0.003*</td>
</tr>
<tr>
<td>CDR2 vs aberrant motor behavior</td>
<td>0.684</td>
<td>0.374 - 1.249</td>
<td>0.212</td>
</tr>
<tr>
<td>CDR3 vs aberrant motor behavior</td>
<td>1.506</td>
<td>0.863 - 2.629</td>
<td>0.141</td>
</tr>
<tr>
<td>CDR4 vs aberrant motor behavior</td>
<td>2.929</td>
<td>1.263 - 6.795</td>
<td>0.006*</td>
</tr>
<tr>
<td>CDR1 vs appetite disorders</td>
<td>0.659</td>
<td>0.341 - 1.275</td>
<td>0.211</td>
</tr>
<tr>
<td>CDR2 vs appetite disorders</td>
<td>0.996</td>
<td>0.548 - 1.811</td>
<td>0.989</td>
</tr>
<tr>
<td>CDR3 vs appetite disorders</td>
<td>1.208</td>
<td>0.705 - 2.071</td>
<td>0.489</td>
</tr>
<tr>
<td>CDR4 vs appetite disorders</td>
<td>1.198</td>
<td>0.599 - 2.397</td>
<td>0.608</td>
</tr>
<tr>
<td>CDR1 vs nocturnal behaviors</td>
<td>0.932</td>
<td>0.483 - 1.798</td>
<td>0.833</td>
</tr>
<tr>
<td>CDR2 vs nocturnal behaviors</td>
<td>1.021</td>
<td>0.556 - 1.876</td>
<td>0.946</td>
</tr>
<tr>
<td>CDR3 vs nocturnal behaviors</td>
<td>0.863</td>
<td>0.508 - 1.466</td>
<td>0.587</td>
</tr>
<tr>
<td>CDR4 vs nocturnal behaviors</td>
<td>1.320</td>
<td>0.639 - 2.723</td>
<td>0.448</td>
</tr>
</tbody>
</table>

### Table 5. Bivariate analysis of change in prevalence of neuropsychiatric symptoms (affective symptoms) according to severity of dementia in a dementia outpatient clinic of the Hospital Geriátrico e de Convalescentes Dom Pedro II, São Paulo (SP).

<table>
<thead>
<tr>
<th>CDR vs symptom</th>
<th>PR</th>
<th>CI 95%</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDR1 vs anxiety</td>
<td>2.044</td>
<td>1.044 - 4.160</td>
<td>0.040*</td>
</tr>
<tr>
<td>CDR2 vs anxiety</td>
<td>0.854</td>
<td>0.470 - 1.554</td>
<td>0.606</td>
</tr>
<tr>
<td>CDR3 vs anxiety</td>
<td>1.076</td>
<td>0.633 - 1.829</td>
<td>0.787</td>
</tr>
<tr>
<td>CDR4 vs anxiety</td>
<td>0.513</td>
<td>0.248 - 1.061</td>
<td>0.063</td>
</tr>
<tr>
<td>CDR1 vs Apathy</td>
<td>0.289</td>
<td>0.155 - 0.538</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>CDR2 vs Apathy</td>
<td>1.009</td>
<td>0.502 - 1.922</td>
<td>1.000</td>
</tr>
<tr>
<td>CDR3 vs Apathy</td>
<td>1.476</td>
<td>0.724 - 3.010</td>
<td>0.261</td>
</tr>
<tr>
<td>CDR4 vs Apathy</td>
<td>8.333</td>
<td>1.177 - 58.985</td>
<td>0.005*</td>
</tr>
<tr>
<td>CDR1 vs depression</td>
<td>0.995</td>
<td>0.515 - 1.923</td>
<td>0.989</td>
</tr>
<tr>
<td>CDR2 vs depression</td>
<td>1.244</td>
<td>0.699 - 2.315</td>
<td>0.487</td>
</tr>
<tr>
<td>CDR3 vs depression</td>
<td>1.145</td>
<td>0.664 - 1.973</td>
<td>0.625</td>
</tr>
<tr>
<td>CDR4 vs depression</td>
<td>0.640</td>
<td>0.323 - 1.268</td>
<td>0.198</td>
</tr>
<tr>
<td>CDR1 vs Irritability</td>
<td>0.659</td>
<td>0.344 - 1.259</td>
<td>0.211</td>
</tr>
<tr>
<td>CDR2 vs Irritability</td>
<td>0.722</td>
<td>0.397 - 1.313</td>
<td>0.291</td>
</tr>
<tr>
<td>CDR3 vs Irritability</td>
<td>1.852</td>
<td>0.934 - 3.672</td>
<td>0.059</td>
</tr>
<tr>
<td>CDR4 vs Irritability</td>
<td>1.111</td>
<td>0.528 - 2.339</td>
<td>0.780</td>
</tr>
</tbody>
</table>

CDR: Clinical Dementia Rating; vs: versus; PR: prevalence ratios; CI: confidence interval; *Significant results.
The present study found these NPS, but in terms of frequency, in a different order, namely: apathy, irritability, nocturnal behaviors and depression, a finding possibly justified by the methodology and/or criteria used and the degree of dementia of the other samples. Some studies have found apathy to be the most frequent Behavioral and Psychological Symptoms in Dementia in patients with Alzheimer’s disease, while the least common symptom described is euphoria. There was also another order of decreasing symptoms upon examining the degree of suffering they caused to the patient in caregiver depression, agitation and anxiety.

The suffering of patients according to caregivers revealed that depression, agitation and anxiety are the most reported NPS, although nocturnal behaviors, agitation and delusion, in descending order, caused more severe distress to caregivers. This corresponds with studies where agitation was the NPS most associated with the impact and depression in caregivers along with depression, apathy, lack of appetite and anxiety.

Although behavioral and psychological symptoms are ubiquitous in all dementias, their frequency and distribution may vary according to type, severity of dementia and ethnic group.

Studies suggest there is progression in the frequency of some NPSs among patients in the mild stage of dementia (CDR 1) to the more advanced stages (CDR 4-5). The sample analyzed found only apathy and aberrant motor behavior to follow this trend, with statistical significance. Standard criteria for detecting NPS were again employed to justify the data. Depression and nocturnal behaviors were also unchanged in prevalence across the different stages of dementia.

In conclusion, this study found, in the series examined: [1] mixed dementia showed a high prevalence in this population, requiring further studies to better explain this data; [2] apathy, irritability and nocturnal behaviors were observed for the NPS and call for the definition of management protocols for these patients in terms of priority a multidisciplinary approach; [3] depression, agitation and anxiety were the NPS that caused most distress to caregivers in terms of frequency; [4] nocturnal behavior, agitation and delirium caused more distress to caregivers in terms of intensity, serving as a warning sign for caregiver stress; and [5] apathy and aberrant motor behavior showed increased frequencies in the later stages of dementia.

REFERENCES