Tinnitus Neural Mechanisms and Structural Changes in the Brain: The Contribution of Neuroimaging Research

Patricia Simonetti1 Jeanne Oiticica1

1 Department of Otolaryngology, School of Medicine, University of São Paulo, Brazil

Abstract

Introduction Tinnitus is an abnormal perception of sound in the absence of an external stimulus. Chronic tinnitus usually has a high impact in many aspects of patients’ lives, such as emotional stress, sleep disturbance, concentration difficulties, and so on. These strong reactions are usually attributed to central nervous system involvement. Neuroimaging has revealed the implication of brain structures in the auditory system.

Objective This systematic review points out neuroimaging studies that contribute to identifying the structures involved in the pathophysiological mechanism of generation and persistence of various forms of tinnitus.

Data Synthesis Functional imaging research reveals that tinnitus perception is associated with the involvement of the nonauditory brain areas, including the front parietal area; the limbic system, which consists of the anterior cingulate cortex, anterior insula, and amygdala; and the hippocampal and parahippocampal area.

Conclusion The neuroimaging research confirms the involvement of the mechanisms of memory and cognition in the persistence of perception, anxiety, distress, and suffering associated with tinnitus.

Introduction

Tinnitus can be defined as the perception of sound (noise, pure tone, among others) in the absence of external sound stimulus. From clinical observation of patients with this symptom, we can see that tinnitus is not only a sound sensation, but a whole sound experience based on an acoustic signal, which can cause many different reactions. Stress, sleep disturbance, and difficulties concentrating are among the changes that affect the quality of life of these patients. We will use the term perception to explain the different reactions to the symptom. The key point behind those differences lies exactly in the central nervous system.

For a long time, the peripheral auditory system was assumed, from a psychoacoustic point of view, to explain the symptoms presented by patients, and the cochlea was considered the main “generator” of tinnitus. This model, however, did not explain, for example, how patients still presented the symptom after surgical removal of a schwannoma and the auditory nerve section.1 The neurophysiological model suggested that, besides the peripheral auditory system, other systems also appear to be involved in the perception of chronic tinnitus.2 Currently, it is clear to the scientific community that central mechanisms contribute crucially to tinnitus generation as well to its persistence, because:

1. Tinnitus persists in most cases, even after complete section of the eighth cranial nerve (auditory nerve).1
2. Many patients with hearing loss simply do not suffer from chronic tinnitus.
3. Tinnitus bothers only a small portion of patients.
Review of the Literature

According to a few authors, cochlear injury induced by overexposure to noise or caused by ototoxic agents leads to an enhanced “firing rate,” or rather “spontaneous neural firing rate” in various structures, including the dorsal cochlear nucleus, ventral cochlear nucleus, central nucleus of the inferior colliculus, and secondary auditory cortex. The authors correlated the three types of neural activity with tinnitus perception: (1) increased rate of spontaneous neural firing; (2) increased neural synchrony; (3) increased “bursting” activity. The increased spontaneous neural firing rate in the dorsal cochlear nucleus is observed in cells that persist after cochlear injury, the fusiform cells. This fact shifts cortical balance overexposure to noise or caused by ototoxic agents leads to increased neural synchrony; (3) increased spontaneous firing in cortical and subcortical neurons. Not surprisingly, another author showed that tinnitus is not eliminated after ablation of the dorsal cochlear nucleus.

Some other types of chronic tinnitus seems to be dependent on changes in systems other than the auditory system—for example, changes in the somatosensory pathway.

Technological advances in neuroimaging and electrophysiology promoted new findings in tinnitus research in the last decade. We could see a growing number of studies using hemodynamic techniques such as PET, computed tomography, single-photon emission computed tomography, and fMRI. These techniques allow us to measure and track cerebral blood flow and metabolic activity of specific regions. Magnetoencephalography (MEG) and electroencephalography, which are neuromagnetic techniques, directly measure neural synchrony and also appear in the literature in a complementary form. These techniques allow us to “map” (i.e., identify) the structures involved in the pathophysiological mechanism of generation and persistence of various forms of tinnitus. The technology mentioned above contributed to the knowledge we have today: the perception of tinnitus can be the result of associated or overlapped neural maps.

The literature shows great variability in the methodology used for research in neuroimaging. Some studies were done under functional paradigms and focused on the research of anatomical differences in brain structure. Most studies surveyed used two basic paradigms—(1) evoked by sound stimulation, or (2) controlled stimulus that induces tinnitus (orofacial movements, drug administration, for example)—and allow intrasubject comparison, trying to identify the neural activity that can be correlated to tinnitus. The study of the brain at rest might be a more interesting paradigm to demonstrate the “typical” neural activity of tinnitus. We can use PET, fMRI, MEG, and electroencephalography to reliably quantify interrelationships of different brain regions that are connected and that constantly exchange information by analyzing the brain activity at rest. Those are called “maps of resting state.” These maps show us the “functional connectivity” (operational interactions of multiple and distinct brain regions engaged and quantified).

PET studies have demonstrated an enhanced metabolic activity in various structures of the auditory system of tinnitus patients when compared with their controls without tinnitus: medial geniculate nucleus, primary and secondary auditory cortex, and associative temporal-parietal areas.

Functional Studies

Our research revealed neuroimaging studies that used different paradigms, either PET or fMRI, ranked in different tasks: evoked by sound, somatosensory modulation, eye movements, and administration of drugs such as lidocaine that partially or completely suppress the perception of tinnitus, as we could see in Table 1.

One of the first functional neuroimaging studies (PET) compared 11 patients with chronic tinnitus with controls...
without complaint and revealed increased activity in the left primary auditory cortex (area 41 Broadmann).19

In 1998, a study of a group of individuals who perceived change in sensation of tinnitus by means of orofacial movements and a control group underwent PET examinations.16 The subjects received sound stimuli unilaterally, and those individuals who “modulated” the tinnitus by jaw movement produced unilateral, rather than bilateral, response as expected.

One of the major difficulties in researching chronic tinnitus is to separate the effects of hearing loss and tinnitus, because the vast majority of patients with tinnitus have some degree of hearing loss. Hyperacusis, which affects 40% of these patients, is also an item of confusion in the interpretation of research results. Few studies showed that increased activation of the inferior colliculus after sound stimulation in patients with tinnitus was correlated to the perception of tinnitus, but hyperacusis and hearing loss were not controlled in the study.9,14

Researchers studying the involvement of the cognitive system compared three groups (individuals with normal hearing versus subjects with bilateral hearing loss, and those with bilateral hearing loss and chronic tinnitus) in two different situations: passive and active listening.20 The subjects were asked to perform auditory discrimination tasks of nonverbal sounds. The author sought to test the distract effect (attention) of tinnitus in multisensory activities. MRI for functional mapping was used. In the passive listening activities, there was no significant difference between groups. All showed greater activation in the superior temporal cortex, including medial temporal and superior gyrus and superior temporal sulcus. During the activities of active listening, differences were evident, but differences were not statistically significant. The study showed the differential involvement of the neural map of auditory attention and short-term memory network, encompassing cortex regions in frontal, parietal, temporal, and anterior cingulate.

A considerable number of studies uses fMRI BOLD (blood oxygen level dependent) technique (depending on blood oxygen level) to investigate the neural correlates of tinnitus. This technique, however, is not able to register sustained increases in spontaneous activity. Consequently, studies have used sound stimulus to verify the abnormal auditory processing in patients with tinnitus and hearing loss.21 There was greater activation in the inferior colliculus, and we could see that the greater the stimuli, the greater the response in these regions (peripheral auditory tract), except in the dorsal cochlear nucleus. The correlation between cortical and subcortical groups was also negative for those individuals, and once these connections occur in the thalamus, this correlation may be interpreted as a thalamic dysfunction.

Stress and negative emotions may actually increase the perception of tinnitus as the neurophysiological model determined.2 That model primarily included the limbic system as an integral part in the pathophysiology of chronic tinnitus. Other authors have attempted to establish the involvement of this system in the regulation of aberrant auditory system.22–24 In an attempt to identify structural changes,22–24 in 28 patients with chronic tinnitus and normal hearing were compared with a control group matched for sex and age. Analyzing the region of interest, the researchers found decreased gray matter in regions responsible for environmental stimuli adaptation and inhibitory function of unpleasant stimuli: nucleus acumbens and thalamic reticular nucleus. Using fMRI and presenting stimulus of various frequencies, one coincident with the perception of tinnitus (obtained through psychoacoustic measurements), the researchers compared corticostriatal limbic-evoked, auditory cortex and thalamus responses of subjects with and without tinnitus. In addition to the altered auditory areas (medial geniculate body

### Table 1  Summary of PET and FMRI studies and neural structures involved in tinnitus perception

<table>
<thead>
<tr>
<th>Authors</th>
<th>n</th>
<th>Method</th>
<th>Paradigm</th>
<th>Results (activated areas/neuroanatomic alterations)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lockwood et al16</td>
<td>4/6</td>
<td>PET</td>
<td>Orofacial movements</td>
<td>Temporal gyrus/hippocampus</td>
</tr>
<tr>
<td>Giraud et al18</td>
<td>4</td>
<td>PET</td>
<td>Eye movements</td>
<td>Associated areas/auditory/temporal-parietal</td>
</tr>
<tr>
<td>Mirz et al24</td>
<td>12</td>
<td>PET</td>
<td>Lidocaine/suppression</td>
<td>Right temporal-front gyrus</td>
</tr>
<tr>
<td>Andersson et al41</td>
<td>1</td>
<td>PET</td>
<td>Lidocaine/suppression</td>
<td>Left temporal lobe</td>
</tr>
<tr>
<td>Mirz et al41</td>
<td>8</td>
<td>PET</td>
<td>Sound/lidocaine</td>
<td>Amygdala</td>
</tr>
<tr>
<td>Muhlau et al22</td>
<td>28/28</td>
<td>fmRI</td>
<td>Sound</td>
<td>Nucleus acumbens/thalamic /reticular nucleus (↓ volume)</td>
</tr>
<tr>
<td>Plewnia et al16</td>
<td>9</td>
<td>PET</td>
<td>Lidocaine/rest</td>
<td>Postcingulum, temp-par cortex</td>
</tr>
<tr>
<td>Melcher et al14</td>
<td></td>
<td>fmRI</td>
<td>Sound</td>
<td>Inferior colliculus</td>
</tr>
<tr>
<td>Van Gendt et al42</td>
<td>18/9</td>
<td>fmRI</td>
<td>Gaze evoked/sound</td>
<td>↓ IC and CN ; ↓ AC /medial genicular body</td>
</tr>
<tr>
<td>Rauschecker et al26</td>
<td>23/21</td>
<td>fmRI</td>
<td>Sound</td>
<td>↓ Gray matter vmPFC/nucleus accumbens; ↓ gyrification dmPFC</td>
</tr>
<tr>
<td>Husain et al20</td>
<td>8/7/11</td>
<td>fmRI</td>
<td>Sound</td>
<td>↓ Front/parietal lobes</td>
</tr>
<tr>
<td>Schecklmann et al29</td>
<td>91</td>
<td>PET</td>
<td>Rest</td>
<td>Left Heschl gyrus</td>
</tr>
</tbody>
</table>

Abbreviations: AC, auditory cortex; CN, cochlear nucleus; dmPFC, dorsomedial prefrontal cortex; fMRI, functional magnetic resonance imaging; IC, inferior colliculus; PET, positron emission tomography; vmPFC, ventromedial prefrontal cortex.
and Heschl gyrus), significant differences were observed in the subcallosal area in the ventromedial prefrontal cortex and nucleus acumbens. Continuing this research, the authors also show anatomical differences in the ventral prefrontal cortex (reduction in gray matter) of subjects with chronic tinnitus, responsible for suppressing the aberrant auditory system activity in the thalamic structure. These differences were correlated positively to perceptual factors such as loudness and awareness (percentage of time that the individual perceives the tinnitus), and the correlation with other variables such as depression and anxiety were weak or absent.26

Ninety-one individuals with chronic tinnitus underwent an F-deoxyglucose PET study and had clinical characteristics as duration and distress correlated to neuronal activation patterns.27 Tinnitus duration correlated positively with right inferior frontal, right ventromedial prefrontal, and right posterior cingulated cortex. Parahippocampal and hippocampal areas and posterior inferior temporal gyrus were correlated to tinnitus distress, rated by tinnitus questionnaire score. The study also revealed the overactivation of the left Heschl gyrus.

**Resting State/Functional Connectivity Studies**

As mentioned earlier, study of the brain at rest has been increasingly used as an alternative to functional “mapping” of tinnitus, as the results show consistent and reliable patterns of functional connectivity, which in turn reflect the perceptual and cognitive processes present in patients with tinnitus. Among the studies surveyed, as seen in Fig. 1, several areas showed greater connectivity: the brainstem, basal ganglia, hippocampus and parahippocampus areas, and cerebellum. Likewise, some areas showed less connectivity: the primary auditory cortex, left prefrontal cortex, left fusiform gyrus, and occipital regions of both hemispheres.

In a pilot study,28 researchers evaluated the spontaneous activity of auditory areas between the right and left brain hemispheres with and without tinnitus: the scores of functional connectivity between two groups were measured. The findings (mean connectivity scores) were significantly lower in the auditory areas of the left and right hemispheres for individuals with tinnitus. Individuals with tinnitus also showed increased connectivity in the left amygdala and medial prefrontal cortex.

Another study,12 mentioned previously, using fMRI and analyzing functional connectivity of the brain at rest of 12 tinnitus patients and comparing with controls matched for gender and hearing loss, revealed robust bilateral activity between auditory cortical areas in both hemispheres (probably due to symmetrical hearing loss in both groups) and increased functional connectivity in the right supra marginal gyrus and middle temporal gyrus for the tinnitus group.

A randomized clinical trial compared 17 subjects with chronic tinnitus with age-matched controls using fMRI and analyzing maps of functional connectivity of these individuals as they performed cognitive tasks.29 We know that cognitive distraction tasks decreases the perception of tinnitus and how tinnitus influences the ability to concentrate for assignments. The study revealed differences between auditory/visual/occipital cortical maps between the tinnitus group and the control group.

A group of researchers performed fMRI to verify functional connectivity at rest into three groups: individuals with hearing loss and mild tinnitus, individuals with hearing loss, and a third control group of normal-hearing subjects.
without tinnitus, matched for age.\textsuperscript{30} After primary analysis, three areas of connectivity were identified: areas of resting state auditory state (primary and secondary auditory cortex), default mode (default mode network: medial prefrontal cortex, posterior cingulate, precuneus, bilateral superior frontal gyrus and bilateral inferior parietal lobes), and attention maps (dorsal attention network: bilateral posterior intraparietal sulcus and visual field). The results showed a strong correlation between the functional regions of the limbic system (specifically in the left amygdala and dorsomedial prefrontal cortex) in the parahippocampus left area and attention network in subjects with tinnitus when compared with their peers without tinnitus and without hearing loss.

Another study compared the Tinnitus Handicap Inventory, tinnitus questionnaire, and tinnitus characteristics such as loudness and duration during resting-state fMRI to investigate possible correlations in functional connectivity.\textsuperscript{31} Results showed a modified functional connectivity pattern in tinnitus sufferers' parahippocampal region in addition to the posterior cingulate/precuneus region and a correlation was found with the Tinnitus Handicap Inventory score.

In general, tinnitus research using neuroimaging still produced divergent results, with poor reproducibility. This statement is made based on studies done with different techniques, different methodologies, and few patients.\textsuperscript{32}

From the current functional imaging techniques studies we have so far, new models and new therapies have been proposed, contributing to a more contemporary view of chronic tinnitus.

**Discussion**

All the studies mentioned previously showed an evident and reciprocal enrollment of emotional and sensory areas, revealing increased cerebral gray matter in the central auditory pathways, located only in the thalamus, and in direct opposition, a decrease in cerebral gray matter outside the central auditory pathway in the subcallosal area, specifically in the nucleus acumens.\textsuperscript{22,26} The reduction in subcallosal gray matter (including the nucleus acumens) is intriguing for several reasons:

1. This area is directly related to unpleasant emotions triggered by musical dissonance.
2. Activation of this region is triggered by aversive sounds.
3. This area plays a crucial role in the generation of adaptive behavioral responses to environmental stimuli.
4. In humans, this area is activated during Pavlovian conditioning.
5. In animals, it is implicated in phenomena called “targeted reward” and “avoidance learning.”
6. In animals with this area injured, the habituation of the trauma preceded by acoustic warning sound is diminished.
7. The nucleus acumens receives glutamatergic afferent inputs from the amygdala afferent and serotonergic raphe nucleus of the brainstem structures involved in the regulation of sleep and the state of excitement.
8. Between the nucleus acumens and the thalamus, there are interconnected parallel circuits (reticular thalamic nucleus) so that the first circuits inhibit the second.

Therefore, the reduced volume of gray matter in the nucleus acumens should decrease its inhibitory influence on the thalamus. But the increase of gray matter concentration in the posterior thalamus suggests a new model of generation of tinnitus: (1) reorganization in the medial geniculate nucleus (possibly through corticofugal feedback) due to peripheral auditory deafferentation, which generates neuronal activity related to tinnitus in the central auditory pathways and leads to a permanent increase in the concentration of thalamic gray matter on thalamus; (2) the tinnitus-related activity in the medial geniculate nucleus is transmitted in parallel to the limbic structures through the amygdala, which in turn triggers negative emotional associations with the perception of tinnitus. The hypothesis is that permanent habituation mediated by the subcallosal area (nucleus acumens), which normally helps to cancel the tinnitus signal in the thalamus, prevents the signal being relayed to the auditory cortex. Thus, the reduction in volume of the subcallosal region results in chronic tinnitus.

In the Muhlau study,\textsuperscript{22} these anatomical differences (decrease of gray matter in the ventromedial prefrontal cortex and decreased volume in dorsomedial prefrontal cortex and supramarginal gyrus) were correlated with psychogenic and perceptual factors related to tinnitus. The difference in thickness of the anterior insula was positively correlated to factors such as anxiety and stress, and the thickness of the anterior subcallosal angle was related to factors such as depression and anxiety. Alterations found in the dorsomedial prefrontal cortex were positively correlated to the percentage of time that the individual was aware of tinnitus. This study confirms the alterations on prefrontal cortex are not associated with psychogenic factors but perceptual factors, confirming the role of this structure in regulating the perception of tinnitus.

The subcallosal area contains dopaminergic and serotonergic neurons whose activity is modulated by stress and excitability, factors known to affect the perception of tinnitus. Depression, insomnia, and aging are associated with reduced levels of brain serotonin (including the nucleus acumens) and are also correlated to tinnitus. Therefore:

1. Neural activity related to tinnitus is perpetuated primarily in the medial geniculate nucleus, a result of reorganization after peripheral deafferentation.
2. The inhibitory feedback of the subcallosal area usually helps tune the neural activity related to tinnitus.
3. Reduction of gray matter in the subcallosal area reduces feedback and increases risk of tinnitus in patients with hearing impairment. However, these results do not fully explain whether these structural changes are responsible for the onset of tinnitus or are consequential to tinnitus installation.

The deafferentation of the central auditory structures arising from the cochlear nerve injury triggers numerous changes in the auditory pathways and can lead to the sensation of tinnitus.
Some of these neural changes include: (1) the reorganization of the tonotopic map in the auditory cortex and thalamic structures; (2) hyperactivity of these structures (not in the auditory nerve fibers); (3) increased firing rate of neurons (burst type) in the dorsal cochlear nucleus; (4) increased synchronous neural activity particularly in the corresponding area of peripheral hearing loss regions.

Apparently, the reduction of peripheral afferent auditory triggers adaptive compensatory changes in the balance between excitation and inhibition (homeostatic plasticity), which can even occur within a physiological range of neuronal firing (no tinnitus), or can be an unwanted side effect (in susceptible individuals) of the increased spontaneous neural activity, with phase locked in a synchronous pattern, leading to the perception of tinnitus. Stress is an important mechanism in the induction of neural plasticity. Despite the fact that stress has a protective effect against noise-induced trauma, the combination of stress and hearing loss may increase the likelihood of tinnitus.

Functional neuroimaging studies of the brain confirm that the brain regions affected by tinnitus extend beyond the auditory centers, including areas of the brain involved in cognitive processing in higher centers. The areas of the brain that differ in individuals with and without tinnitus have been described in detail. Coincidentally, the affected brain regions (prefrontal cortex, parietal cortex, and insula and cingulate gyrus) in patients with tinnitus are the same regions that show increased activity during the performance of cognitive tasks that require attention in normal individuals. Neurocognitive research also shows that activation of this neural network is closely related to consciousness or awareness phenomena. Another interesting fact is that, restricted to the auditory pathways, aberrant neural activity is not sufficient to generate tinnitus. It is necessary that the aberrant activity is dispersed in the global neural network. The perception of a stimulus is given by the interconnection of systems.

Neuroimaging has shown that brain activity and functional connectivity in patients in a neurovegetative state (without consciousness or awareness) is decreased in the anterior and posterior cingulate and front temporal parietal areas. In these patients, painful stimuli activate the primary somatosensory cortex and thalamus, which is disconnected from the secondary cortex. Similarly, auditory stimuli activate the primary cortex only bilaterally. Stimuli become conscious only when connected to the frontal and parietal areas (cingulate cortex, dorsal anterior cortex, and anterior insula). This network is important for the integration of sensory experience, and increased connectivity in these regions results in a state of sustained vigilance. In summary, deafferentation (of any type) results in an increase in neural activity in the primary cortex and reaches consciousness when connected to the associative coactivated (front-parietal, cingulate cortex, and anterior insula) areas. Based on this concept, another study suggests that drugs that have multiple effects of low-level synaptic processes in highly specialized neural pathways (therapeutic rifles) may be more effective in breaking this behavior of the network and reduce tinnitus.

The difficulty to treat and effectively control persistent and chronic tinnitus relies on the complexity and range of aberrantly activated neural networks. The first step is to understand the factors behind the loudness of tinnitus (attention, context, and personality).

As we have seen, the brain changes in patients with tinnitus are not restricted to auditory regions. It is already reported that there is a functional increase in responses (activity) in various nonauditory structures, including the hippocampus, amygdala, and cingulate gyrus; decreased gray matter was reported in the hippocampus and subcallosal area, including the nucleus acumens. There is also an increased activity coupled phase seen in MEG studies between the anterior cingulate and the right frontal lobe, which is more intense in patients with tinnitus compared with controls; this is directly correlated with the observed scores on bothersome scales. These results suggest that the thalamus is involved in the neural tinnitus network, which possibly is the prerequisite for the conscious perception of sound. The limbic and the prefrontal area are associated with emotion and attention and contribute to this anxiety in many patients with tinnitus. Recent theories indicate that nonauditory regions have direct implication on the onset of tinnitus perception. The observations that approximately two thirds of patients are able to modulate the intensity and the frequency of their tinnitus by somatic maneuvers (jaw clenching, tension of the neck muscles) and that tinnitus can arise from somatosensory injuries led to the search of neural connections between the auditory and somatosensory systems that could explain these phenomena. When electrical stimulation of somatosensory pathways precedes the acoustic stimulus, this may change both the peak time of the response evoked by sound and the synchrony of firing between neurons in the dorsal cochlear nucleus, which can be correlated to tinnitus.

**Final Comments**

Functional imaging studies revealed that tinnitus is directly related to changes in the neuronal activity of central pathways associated with the involvement of the nonauditory brain areas. The results confirm the importance of nonauditory neural networks in the pathogenesis of the symptom, including (1) the front parietal area implicated in awareness/attention; 2) a stress/emotion neural network, which consists of the anterior cingulate cortex, anterior insula, and amygdala: (3) the hippocampus and parahippocampal area, which reflect the importance of the mechanisms of memory/cognition in the persistence of perception, anxiety, distress, and associated suffering. The function of the auditory system is to project to other brain regions information about the sounds that are present in the environment. One of the auditory cortex characteristics in tinnitus sufferers is that although there is a decrease in thalamic-cortical afferent to the region of hearing loss, the correspondent efferent neurons remain intact (which is fed back by adjacent neurons). A reasonable extrapolation is that the information transmitted from the reorganized region results in the appearance of sounds similar to environmental sounds (perceived as tinnitus) that are not congruent with the emerging temporal-spectrally specific afferent auditory pathway. This discrepancy in the auditory
cortex and subcortical structures, between the central information (predictive or effector, top-down) and the information from the periphery (or afferent obtained, bottom-up), can trigger auditory attention and induce the neural activity while the brain tries to build a more accurate representation of the actual hearing status.

References