The importance of recognizing antiphospholipid syndrome in vascular medicine

A importância de reconhecer a síndrome antifosfolípide na medicina vascular

Andreas Funke 1, Adriana Danowski 2, Danieli Castro Oliveira de Andrade 3, Jozelia Rêgo 4, Roger Abramino Levy 5

Abstract
Antiphospholipid syndrome (APS) is a systemic autoimmune disease characterized by recurrent arterial or venous thrombosis and/or gestational morbidity and by the presence of antiphospholipid antibodies. It can also cause other vascular manifestations such as microangiopathy, chronic arteriopathy and catastrophic APS (CAPS). Certain laboratory tests for the syndrome (for example, the lupus anticoagulant test) can be affected by the use of anticoagulant agents, making diagnosis more difficult. The pathophysiology of APS is complex, and several mechanisms of pathogenesis related to coagulation, endothelium, and platelets are discussed in this article. We conclude by discussing treatment of APS according to the presence and type of clinical manifestations, use of direct oral anticoagulants (DOAs), and perioperative management of patients with APS.

Keywords: antiphospholipid syndrome; lupus anticoagulant; anticardiolipin antibodies; thrombosis; autoimmunity.

Resumo
A síndrome antifosfolípide (SAF) é uma doença autoimune sistêmica caracterizada por trombose arterial ou venosa recorrente e/ou morbidade gestacional e pela presença dos anticorpos antifosfolípídeos, podendo apresentar outras manifestações vasculares, como microangiopatia, arteriopatia crônica e SAF catastrófica. Determinados testes laboratoriais para a síndrome (por exemplo, o anticoagulante lúpico) podem sofrer interferência do uso de medicações anticoagulantes, dificultando o diagnóstico. A fisiopatologia da SAF é complexa, sendo enumerados no texto diversos mecanismos patogênicos relacionados à coagulação, ao endotélio e às plaquetas. Por fim, discutimos o tratamento da SAF de acordo com a presença e o tipo de manifestações clínicas, o uso dos anticoagulantes orais diretos e o manejo perioperatorário de pacientes com SAF.

Palavras-chave: síndrome antifosfolípide; anticoagulante do lúpus; anticorpos anticardiolipina; trombose; autoimunidade.
INTRODUCTION

Antiphospholipid syndrome (APS) is a systemic autoimmune disease characterized by recurrent arterial or venous thrombosis and/or gestational morbidity and persistent presence of what have become known as “antiphospholipid antibodies” (aPL), which can be detected by laboratory tests for lupus anticoagulant (LA), anticardiolipin (aCL) IgG and IgM, and anti-β2-glycoprotein I (anti-β2-GPI) IgG and IgM. Antiphospholipid syndrome can occur in combination with other autoimmune diseases, most commonly systemic lupus erythematosus (SLE), and it can also be found in isolation (primary APS).1,2

CLINICAL MANIFESTATIONS

Antiphospholipid syndrome can affect any organ or system. It most often manifests through deep venous thrombosis (DVT). However, its manifestations are not limited to the venous bed and it can also manifest through arterial thrombosis (with or without subjacent atherosclerosis), resulting, for example, in an ischemic stroke, or thrombotic microangiopathy, as is seen in nephropathy of APS.3 Vascular disease associated with accentuated intimal hyperplasia and arterial stenosis have also been attributed to APS.3,4 Finally, cases of accelerated atherosclerosis have also been described in patients with aPL or APS.5-7 Table 1 lists the frequencies of the various different manifestations of APS, according to analysis of a large European cohort (1,000 patients).8,9

Rarely, a devastating condition with rapid onset known as catastrophic antiphospholipid syndrome (CAPS) may be observed. This is characterized by multiple vascular occlusions (in more than three organs or systems) over a short period of time (less than 1 week). More than half of CAPS cases occur in the presence of identifiable trigger factors, such as bacterial or viral infections, surgical procedures, withdrawal of anticoagulant treatment, obstetric complications, neoplasms, or concomitant SLE. Catastrophic antiphospholipid syndrome is linked with elevated mortality rates, varying from 36.8% to over 50% of cases, depending on the publication.10,11

DIAGNOSIS

The preliminary classification criteria, which were published in 1999 (Sapporo) and updated in 2006 (Sydney),12 define APS as the presence of at least one clinical criterion and one laboratory test criterion (listed in Table 2). The objective of these criteria is to define the syndrome’s characteristics for the purposes of etiologic and therapeutic studies. They are also frequently used for precise diagnosis of APS in clinical practice, but should not be considered absolute prerequisites for prescribing or withholding treatment.

Only laboratory test results and clinical manifestations that are most specific to APS were included in the classification criteria. Other clinical and laboratory findings that are also associated with APS are

<table>
<thead>
<tr>
<th>Frequent (&gt; 20% of cases):</th>
<th>Thrombocytopenia</th>
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<tr>
<td>Venous thromboembolism</td>
<td>Stroke/TIA</td>
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<tr>
<td>Abortion or loss of fetus</td>
<td>Livedo reticularis</td>
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<td>Refractory migraines</td>
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<tr>
<td>Less frequent (10-20% of cases):</td>
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<tr>
<td>Cardiac valve disease</td>
<td>Preeclampsia or eclampsia</td>
</tr>
<tr>
<td>Premature birth</td>
<td>Hemolytic anemia</td>
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<tr>
<td>Coronary artery disease</td>
<td></td>
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<tr>
<td>Uncommon (&lt; 10% of cases):</td>
<td></td>
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<tr>
<td>Epilepsy</td>
<td>Vascular dementia</td>
</tr>
<tr>
<td>Chorea</td>
<td>Thrombosis of the retinal vein or artery</td>
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<tr>
<td>Amaurosis fugax</td>
<td>Pulmonary hypertension</td>
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<tr>
<td>Leg ulcers</td>
<td>Digital gangrene</td>
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<tr>
<td>Osteonecrosis</td>
<td>Renal microangiopathy</td>
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<tr>
<td>Mesenteric ischemia</td>
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<tr>
<td>Rare (&lt; 1% of cases):</td>
<td></td>
</tr>
<tr>
<td>Suprarenal hemorrhage</td>
<td>Transverse myelitis</td>
</tr>
<tr>
<td>Budd-Chiari Syndrome</td>
<td>CAPS</td>
</tr>
</tbody>
</table>

TIA: transitory ischemic attack; CAPS: Catastrophic APS. Adapted from Ruiz-Irastorza et al9
denominated “non-criterion” manifestations or tests. Non-criterion manifestations of APS include cardiac valve disease, livedo reticularis, skin ulcers, thrombocytopenia, nephropathy of APS, and neurological manifestations related to aPL.

Non-criterion Laboratory tests include the antibodies aCL IgA, anti-β2-GPI IgA, anti-phosphatidylserine (aPS), anti-phosphatidylethanolamine (aPE), anti-prothrombin alone (aPT-A), anti-phosphatidylserine-prothrombin complex (aPS/PT), and anti-domain I of β2-glycoprotein I.

Effect of anticoagulant therapy on laboratory investigation of APS

In practice, it can sometimes be necessary to conduct laboratory investigations of APS for patients who are already being treated with parenteral or oral anticoagulant drugs. In this situation it is not expected that there will be a significant influence on the results of ELISA aCL and anti-β2-GPI tests, but there can be difficulties with correctly diagnosing LA.

Guidelines published by the International Society on Thrombosis and Haemostasis (ISTH) recommend that LA should be tested 1 to 2 weeks after withdrawal of treatment with vitamin K antagonists (VKA) or when the international normalized ratio (INR) value is less than 1.5. Treatment can be bridged using low molecular weight heparin (LMWH) when VKA are withdrawn, taking care to be sure that the last administration of heparin occurred more than 12 hours before the sample for LA testing is collected. Another possibility is to test for LA during treatment with VKA, at a point at which INR is between 1.5 and 3.0, diluting the patient’s plasma sample at a proportion of 1:1 with pooled normal plasma. In this case, low levels of LA may not be detected, since the sample is diluted by half.

One point that should be stressed is that the growing popularity of the new direct oral anticoagulants (DOAs), such as rivaroxaban and dabigatran etexilate, for treatment of patients with venous thromboembolism can lead to false-positive results in the usual tests for LA (dilute Russell’s viper venom time [dRVVT] and activated partial thromboplastin time [APTT]) caused by therapeutic concentrations of these drugs.

Specifically in the case of rivaroxaban, this effect can be avoided by using Taipan snake venom time or ecarin clotting time tests to diagnose LA, or by waiting at least 24 hours after the last dose of this medication before testing for LA using the usual techniques.

**PATHOPHYSIOLOGY OF APS**

Presence of aPL, and especially presence of LA mediated by anti-β2-GPI antibodies, confers an increased risk of thrombosis and/or gestational morbidity. Experimental infusion of autoantibodies from patients with APS potentiated formation of thrombi in mice with induced vascular injuries. However, the same effect was not seen in the absence of prior vascular injury, suggesting that APS occurs over two distinct phases (the double-hit hypothesis): (1) existence of a latent prothrombotic state, induced by circulating autoantibodies; (2) endothelial injury or activation, triggering the thrombotic event. Recent studies indicating elevated plasma levels of circulating microparticles derived from platelets and endothelial cells in patients with APS or aPL provide confirmation...
of the concept of a continuous prothrombotic state in these patients.\textsuperscript{20,21}

Mechanisms potentially involved in the pathogenesis of APS include:\textsuperscript{22}

(i) Increased immunogenicity of domain I of plasma β2-glycoprotein I, caused by oxidative stress;

(ii) Conformational change to the β2-glycoprotein I molecule when it binds to cell membrane anionic phospholipids, enabling the binding of autoantibodies and the subsequent pathogenic effects;

(iii) Interference of these autoantibodies with the nitric oxide endothelial synthase function;

(iv) Increased expression of thromboplastin in endothelial cells and monocytes, induced by aPL;

(v) Uncontrolled activation of factor XI and consequent predisposition towards venous and arterial thrombosis;

(vi) Potentiation of platelet activation by interaction between β2-glycoprotein I, platelet receptors, and anti-β2-GPI antibodies;

(vii) Interference by antigen/antibody β2-GPI/anti-β2-GPI complexes in the protective function of annexin A5 against activation of coagulation;

(viii) Antibody-mediated activation of the complement (C3 and C5), resulting in fetal loss and/or thrombosis;

(ix) Increased expression of toll-like receptors 7 and 8 (TLR7 and TLR8); and

(x) In patients with APS nephropathy, aPL activation of the mammalian target of rapamycin complex (mTORC) pathway, inducing accentuated intimal hyperplasia and vascular disease.\textsuperscript{23}

### TREATING APS – GESTATIONAL MORBIDITY

Treatment for patients with obstetric APS includes non-pharmacological measures such as management by a multidisciplinary team at a high-risk pregnancy clinic, rigorous clinical and laboratory control, and ultrasound monitoring of fetal growth and uteroplacental circulation.\textsuperscript{24,25} Patients with a history of APS with exclusively obstetric manifestations can be treated, in the event of future pregnancies, with low-dose aspirin (LDA) (75-100 mg/day) plus unfractionated heparin (UFH) or LMWH at prophylactic doses until 6 weeks after delivery.\textsuperscript{24} However, pregnant women with APS and a history of thrombotic events need antithrombotic therapy throughout the entire pregnancy and immediate postpartum period, with a combination of LDA and full anticoagulant doses of heparin (UFH or LMWH).\textsuperscript{24,26}

Once the pregnancy-puerperium cycle is complete, patients who have exhibited gestational APS remain at increased risk of thrombotic events, even when there is no prior history of thrombosis, and in these circumstances indefinite primary prophylaxis with LDA is recommended, in addition to controlling the classic risk factors for thrombosis.\textsuperscript{26}

### TREATING APS – THROMBOTIC MANIFESTATIONS

#### Stratification of risk of thrombosis and hemorrhage

All treatment decisions for patients with APS should take account of the risk of thrombosis recurrence and risks resulting from the anticoagulant treatment (for example, hemorrhage).\textsuperscript{27} In general, it is recommended that risk of thrombosis should be stratified by identification of cardiovascular risk factors (primarily: smoking, hypertension, diabetes, dyslipidemia, and obesity), presence or absence of associated autoimmune diseases (for example, SLE), and definition of whether or not there is a high-risk aPL profile,\textsuperscript{27} which includes (1) positive LA result; (2) triple positivity, (i.e. LA, aCL, and anti-b2-GPI all positive in the same patient); and (3) persistently high aCL levels in patients with SLE. Factors that can increase the risk of hemorrhagic complications from anticoagulant treatment include concomitant use of aspirin (especially if > 100 mg/day), age > 75 years, prior severe hemorrhage, polypharmacy, cancer, and cerebral white matter abnormalities (leukoaraiosis).\textsuperscript{27}

#### Secondary thromboprophylaxis

Patients who have had one or more thrombotic events and meet the classification criteria for APS are at increased risk of recurrence of this type of event and long-term anticoagulant therapy is therefore indicated. In cases with a prior history of venous thrombosis, VKA are indicated with a target INR of 2.0 to 3.0. For patients with APS who have had arterial thrombosis, the prevalent recommendation,\textsuperscript{1,26,27} based on specialists’ opinions, is long-term treatment with VKA with a target INR of >3.0 (intensive regime), or INR of 2.0 to 3.0 in combination with platelet antiaggregation (LDA). Treatment for patients who have had thrombotic events but do not meet the classification criteria for APS, i.e. have positive
Another problem mentioned in the literature is the adequacy and stability of anticoagulation. In some cases, difficulty with achieving or maintaining INR, multiple drug and dietary interactions and, in others, such as the need for regular monitoring of warfarin or other VKA involves several inconvenient aspects, such as the need for regular monitoring of INR, multiple drug and dietary interactions and, in some cases, difficulty with achieving or maintaining adequate and stable levels of anticoagulation. Another problem mentioned in the literature is the variable interaction between LA and the reagents used to evaluate prothrombin time (PT), which sometimes results in prolonged baseline values and reduced reliability of INR values for anticoagulation intensity monitoring.

Direct oral anticoagulants, including a direct thrombin inhibitor (dabigatran etexilate), and two direct factor Xa inhibitors (rivaroxaban and apixaban) are commercially available in Brazil, and have been approved for, among other indications, DVT and/or venous thromboembolism prophylaxis. The efficacy and safety of their use for these indications have been confirmed in at least three systematic reviews. The advantages offered by these medications over VKA are as follows: administration in fixed doses, usually without the need for laboratory monitoring of their anticoagulant action; absence of significant interactions with alcohol or food; and fewer significant drug interactions.

In view of the inconveniences related to use of VKA and the advantages offered by DOAs, it is natural that there is interest in employing the newer drugs to treat APS as well. It has been estimated that around 10% of patients with DVT have APS, from which it can be deduced that there were probably patients with APS enrolled on studies of DOAs. However, considering that these studies did not systematically document aPL status, their results cannot be generalized to patients with APS. Furthermore, the intervention with which DOAs were compared in those studies was warfarin with a target INR of 2.5 (2.0 – 3.0), which is generally appropriate for secondary prophylaxis of venous thrombosis, but may offer an insufficient level of protection for patients with APS, particularly those with arterial thrombosis. There are currently, to the knowledge of the authors of the present article, at least two clinical trials underway assessing rivaroxaban for patients with APS: the Rivaroxaban In Antiphospholipid Syndrome (RAPS) study, which has been recruiting patients with APS and prior history of venous thrombosis, and the Rivaroxaban in Thrombotic Antiphospholipid Syndrome (TRAPS) study, in which the study population comprises patients with APS and venous, arterial or microvascular thrombosis, and a triple-positive aPL profile. When the results of these studies become available, they may elucidate the role that DOAs have to play in treatment of patients with APS.

While the results of specific clinical trials are not yet available, there are several reports in the literature of cases and/or case series in which DOAs were used on patients with APS, with contradictory results. In a series of 35 patients with APS and history of venous...
thrombosis with indication for moderate intensity anticoagulation (INR 2 to 3), but in whom there were difficulties achieving or maintaining the therapeutic target, VKA were substituted with 20 mg rivaroxaban per day, after which no new venous thromboembolism events were observed during follow-up with a median duration of 10 (6-24) months. Another publication describes treatment of 26 patients with APS and history of venous and/or arterial thrombosis with dabigatran or rivaroxaban. After median follow-up of 19 (8-29) months, treatment was discontinued in just four cases (recurrent thrombosis in one patient, hemorrhagic complications in two patients, and recurrent migraines in one patient). However, we also found reports in the literature describing highly unfavorable experiences with use of DOAs in patients with APS. Schaefer et al. reported three cases (two of primary APS with arterial events and one of APS and SLE with venous events) that exhibited further thrombotic events after warfarin was substituted with a DOA (dabigatran or rivaroxaban). Win and Rodgers also described three cases of difficult to treat APS that exhibited further thrombotic events while on rivaroxaban or dabigatran. In another case series, reported by Signorelli et al., eight patients with APS and prior histories of venous and/or arterial thromboses (three of whom were triple positive for aPL) had additional thrombotic events while on treatment with rivaroxaban; seven were put back onto treatment with VKA and did not have further thrombosis relapses thereafter.

A task force on antiphospholipid syndrome treatment trends met during the 14th International Congress on Antiphospholipid Antibodies and their recommendations state that VKA are still the foundation of anticoagulation in patients with thrombotic APS, although DOAs can be considered in patients with recurrent or initial venous thromboembolism that occurs in the absence of or with subtherapeutic levels of anticoagulation and only if there is known allergy to or intolerance of VKA or inadequate anticoagulant control. According to the same recommendations, there are no data to support use of DOAs in patients with APS and recurrent venous thromboembolism that occurs despite therapeutic anticoagulation levels or who exhibit arterial thrombosis caused by APS. Additionally, these recommendations highlight the crucial role of patient compliance with DOA treatment, since the anticoagulant effect is normally not monitored, with the consequence that poor patient compliance with VKA treatment is not a reason for prescribing DOAs. Finally, they also caution that there are no antidotes for DOAs that could be used in the event of severe hemorrhagic complications or urgent need for surgery.

A summary of the treatment of APS according to the type of manifestation (gestational or thrombotic) can be seen in Table 3. The primary and secondary thromboprophylaxis in APS are summarized in Table 4.

### Treatment of catastrophic APS (CAPS)

The severity of CAPS demands immediate and vigorous action. Reversible precipitating factors (for example, infections) should be identified and treated. Analyses of case series and case reports indicates that survival rates are higher with combined triple therapy with full anticoagulation with heparin and corticoid therapy in high doses combined with plasmapheresis and/or intravenous immunoglobulin (IgIV), preferring the latter if there is an active infection. If both are used (plasmapheresis and IgIV), the plasmapheresis sequence should be concluded before starting administration of IgIV. If SLE or other underlying autoimmune disease are present in addition to CAPS, additional treatment with cyclophosphamide or another immunosuppressant may be indicated. Use of rituximab has been suggested for treatment of recurrent refractory CAPS, when there is microangiopathic hemolytic anemia or when anticoagulation is contraindicated because of concomitant hemorrhagic complications.

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**Table 3. Summary of treatment for APS.**

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<th>APS with gestational morbidity</th>
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<td><strong>General measures</strong></td>
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<td><strong>Pharmacotherapy</strong></td>
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<table>
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<tr>
<th>APS with thrombotic manifestations</th>
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<tbody>
<tr>
<td><strong>General measures</strong></td>
</tr>
<tr>
<td><strong>Pharmacotherapy</strong></td>
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</table>
Perioperative management of patients with aPL or APS

Surgical procedures can trigger thrombotic events and even CAPS in patients with aPL or APS and adequate preoperative planning of prophylaxis is therefore necessary. The medical team (clinical team, surgeon, anesthetist) should, by consensus, define the approach that will be taken in terms of the timing of preoperative suspension of VKA, using LMWH as a treatment bridge, application of intermittent compression devices, early postoperative mobilization, postoperative reintroduction of VKA, and laboratory monitoring strategy. This approach should take into consideration any history of thrombotic or hemorrhagic events, whether a clinically relevant aPL profile exists (titers > 40 GPL or MPL units, persistence > 12 weeks, and detection of LA according to the ISTH guidelines) and presence of other risk factors for thrombosis or hemorrhage. Although 20 to 40% of patients with APS have thrombocytopenia, most often above 70,000 platelets/mm³, this rarely results in significant hemorrhage and does not reduce the risk of thrombosis. In the absence of anticoagulant treatment, an elevated APTT (above the reference value) may indicate presence of LA and a minimally prolonged PT (above the upper limit of normality) may indicate presence of antiprothrombin antibodies. More accentuated PT abnormalities (INR > 2.0) require the investigation of other causes including the LA-associated hypoprothrombinemia.

Prevention of perioperative thrombosis in patients with APS includes general measures, such as (i) minimizing intravascular manipulation for access and monitoring; (ii) reducing venous stasis, avoiding use of tourniquets for drawing blood samples, and reducing the frequency of inflation of cuffs to take blood pressure; (iii) thromboprophylaxis, combining mechanical modalities (graduated compression stockings or intermittent pneumatic compression devices) and pharmacological measures. Perioperative pharmacological thromboprophylaxis in patients with APS on oral anticoagulation with VKA is provided parenterally using LMWH (enoxaparin) or UFH to provide a therapeutic bridge, returning to VKA as soon as possible postoperatively (UFH or LMWH should only be withdrawn when INR is on target). Occurrence of hemorrhagic complications does not eliminate the risk of thromboembolism, and in these situations intensive use of mechanical methods is recommended and, as soon as possible, resumption of pharmacological methods of thromboprophylaxis.

**FINAL COMMENTS**

Antiphospholipid Syndrome is very important in vascular medicine because it is a recognized cause of recurrent venous and/or arterial thrombosis. Diagnosis is dependent on recognition of its clinical thrombotic and/or gestational manifestations and on requesting and interpreting the appropriate laboratory tests for the syndrome. The results of tests for LA can be affected by use of parenteral or oral anticoagulant medications and it is recommended that particular care is taken with choosing the time of sample collection and with interpreting the results in these circumstances. Additionally, pathogenic APS mechanisms are being revealed, which could facilitate development of new treatment options that do not involve anticoagulants. An appropriate approach to treatment of these patients should take account of their history of thrombotic events and/or prior gestational morbidity and other associated cardiovascular risk factors. Although DOAs offer several benefits over use of warfarin or other VKA for treatment and prophylaxis of venous thrombosis in

<table>
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<th>Table 4. Thromboprophylaxis in APS.</th>
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<tr>
<td><strong>Secondary thromboprophylaxis (confirmed APS)</strong></td>
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<tr>
<td>Venous thrombosis</td>
</tr>
<tr>
<td>Anticoagulation with VKA, INR &gt; 3.0</td>
</tr>
<tr>
<td><strong>Primary thromboprophylaxis (positive for aPL, clinical criteria not present)</strong></td>
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<tr>
<td>General</td>
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<tr>
<td>With associated risk factors (cardiovascular risk, high-risk aPL profile)</td>
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<tr>
<td>Patients with SLE and positive for LA or high/persistent aCL levels</td>
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the general population, confirmation of their efficacy for APS is still awaiting the results of specific trials. Perioperative management of patients with APS demands special precautions related to prophylaxis against both thromboembolic manifestations and hemorrhagic complications.

REFERENCES


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