
Synthesis and Characterization of Polyesters derived from Glycerol and Phthalic Acid

Danilo Hansen Guimarães*, Michel de Meireles Brioude*, Raigens da Paz Fiúza*, Luis Antônio Sanches de Almeida Prado#, Jaime Soares Boaventura*, Nadia Mamede José*

Programa de Pós-graduação de Engenharia Química, Instituto de Química, Universidade Federal da Bahia, Campus de Ondina, 40170-290 Salvador - BA, Brasil

Institute of Polymer and Composites, Technische Universität Hamburg, Harburg

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The production of polyester via polycondensation between glycerol and phthalic acid using dibutyltin dilaurate is reported. Three glycerol:phthalic acid molar ratio used for the bulk polymerization were: 2:2; 2:3 and 2:4. FTIR confirmed the esterification of glycerol by the acid for all the polymers. DSC indicated no crystallinity, although the XRD plots indicate a very incipient crystallinity for the polymers containing higher amounts of phthalic anhydride. Scanning electron microscopy results indicates high homogeneity for all the polymers prepared.

1. Introduction

The development of renewable energy sources are a key issue in the coming decades due to the insufficient of petroleum in the near future. Another aspect is related to the pollution, which is generated by the use of fossil fuels, and the consequences to the environment and climate.

In this context, bio-diesel achieves many of the requirements, since its combustion produces no toxic gases like sulphur oxides, nitrogen oxides are produced during its combustion. The combustion of bio-diesel yields 75% less CO₂ than the diesel obtained from petroleum. The glycerol is the by-product from the esterification of vegetable oils, which is also obtained during the preparation of bio-diesel. This alcohol can be synthesized through fermentation or other chemical processes. Although many uses have been described for the glycerol (cosmetics, pharmaceutical applications, manufacturing of resins, among others), the demand is still increasing mostly in dental care (tooth paste) and food industries.

The development of direct-alcohol fuel cells, which produces electricity through electrochemical oxidation of alcohols (e.g. methanol) into CO₂, opened a new market for glycerol. Modern catalysts may oxidize alcohols in the operating condition of fuel cells, and use these alcohols for producing energy in a environmentally friendly way. Another application of glycerol is related to its conversion to hydrogen, for Fuel Cell applications. InnovaTek (USA) has already used its technology to produce hydrogen from glycerol (a by-product of biodiesel production), and the raw soybean oil that is used to manufacture the biodiesel fuel. The use of glycerol as a source for hydrogen has the additional advantage of producing a valuable commodity from a by-product of biodiesel production. This benefit contributes favorably to the economics of biodiesel as a fuel that is competitive with petroleum diesel fuel.

In the present context, we want to give a further input for the development of bio-diesel, by using the glycerol for the production of polyesters derived from glycerol and phthalic acids. The esters derived from the phthalic acids have found many applications. For instance, poly(ethylene terephthalate), PET, has excellent mechanical properties and is used for the manufacturing of plastic bottles for carbonated beverages (soft drinks, etc..). Poly(butylene therephtalate), PBT, can be used for a wide range of applications, for example, for thin-walled injection-moulded parts in electrical engineering applications, for especially dimensionally stable components of high surface quality in the automotive industry, as a barrier layer in multilayer fuel lines, and in the cable industry. PBT is also is used in plugs-in connectors, connector strips, switching systems, housings for automatic cut-outs, capacitor pots, in coil formers, lamp parts, PC fans, power supply components, parts for electric drives, sheathing for waveguides and many other products and not least in vehicle electrical systems (ignition coil housing). High-molecular extrudable PBT specialty products have come to occupy a leading position as materials for secondary fibre optic jacketing, because they fulfil the requirements perfectly. When dealing with automobile industry, PBT is one of the suitable components for bumpers, and fenders. Furthermore, the development of new elastomers derived from PBT (or PET) and poly(tetramethylene oxide) (also poly(dimethyl siloxane)), which combine the processability of the thermoplastics and the good mechanical properties of the elastomers have been investigated for decades. The preparation of polyesters from multi-functional phenols and phthalic acid gave rise to production of gas separation membranes with good selectivity coefficients.

Therefore, the production of polyesters from glycerol and phthalic acid should produce new materials for the preparation of desalination membranes and as modifiers for polyurethane foams.

2. Experimental

2.1. Materials

Phthalic acid, dibutyltin dilaurate (10 wt. % in hexanes) and glycerol were obtained from Vetec, Sigma-Aldrich and Synth, respectively.

2.2. Polyester synthesis

Glycerol and Phthalic acid were mixed at 120 °C under constant stirring. After the dissolution of the acid in glycerol, the dibutyltin...
dilaurate catalyst (0.15 wt. %)) was added and the temperature was increased up to 160 °C and was kept at this temperature for 1 hour. The materials were dried under vacuum at 50 °C giving rise to the POLF series. The materials were obtained as powders, as shown in Figure 1. The formulation used for the POLF syntheses are summarized in Table 1.

2.3. Characterization methods

2.3.1. Infrared spectroscopy (FTIR)

The infra-red spectra were recorded on a Spectrum One (Perkin Elmer) in the range between 4000-500 cm⁻¹. The samples were analyzed as films.

2.3.2. X-ray powder diffraction (XRD)

The X-ray patterns were collected on a Shimadzu XRD-600 diffractometer, with Cu-Kα radiation (λ = 1.54 Å), 30 kV potential and 20 mA current. The samples were analysed as powders.

2.3.3. Thermogravimetric Analysis (TGA)

The thermal stability of the polyesters was evaluated by a thermo-balance TGSDTA 851 (Metler Toledo). The samples were heated from 25 °C up to 1000 °C under N₂ at heating rate of 20 °C/min.

2.3.4. Differential scanning calorimetry (DSC)

The thermal behaviour was analyzed on a DSC 60 (Shimadzu) calorimeter, at temperatures between 25 and 600 °C. The heating rate was 20 °C/min.

2.3.5. Scanning electron microscopy (SEM)

The morphological analysis was carried out using a SS 550 Scanning Electron Microscope (Shimadzu). The acceleration voltage was 7 kV and the samples were sputtered with gold prior to the analysis. The samples were analyzed as powders.

3. Results

3.1. Polycondensation process

The polyesters were produced through a polycondensation reaction between glycerol and phthalic acid. The molecular structure of the polyesters is strongly influenced by the molar ratio between the monomers. An equimolar mixture of glycerol and phthalic acid should produce polyester with alcohols group, even if the esterification proceeds in quantitative yield. Therefore, the polyester POLF I should contain more un-reacted alcohol groups, than the POLFII and POLFIII. Conversely, the POLFIII should contain more un-reacted carboxylic acid groups, on account of the excess of phthalic acid, used for the preparation of POLFIII polymer.

The generic polymerisation reaction is depicted below.

\[
\text{H} \quad \text{H} \quad \text{C} \quad \text{O} \quad \text{OH} \\
\text{H} \quad \text{C} \quad \text{OH} \\
\text{H} \quad \text{C} \quad \text{OH} \\
\text{H} \\
\text{Catalyst} \\
\text{Polyester} + \text{H}_2\text{O} \\
\Delta
\]

3.2. Materials characterization

3.2.1. Infrared spectroscopy (FTIR)³⁰

Infrared spectroscopy was used for the characterisation of the molecular structure of the POLF polymers. The infrared spectra are shown in Figure 2. The absorption due to the –CH₂ and –CH groups of the esterified and un-reacted glycerol are seen in a envelop at 2950, 2932 and 2855 cm⁻¹, which are associated to the stretching of the C-H of aliphatic compounds. The C-H stretching of the phenylene ring of the phthalic acid can be identified by the absorption at 3070-3040 cm⁻¹. The absence of absorption at 1711 cm⁻¹ (assigned to –COOH dimers) evidenced that the esterification reaction was almost complete for all the polyester, therefore the absorption between 3300-3000 cm⁻¹ is associated to adsorbed water and un-reacted –OH groups (POLF I and POLF II) from glycerol (Table 2).

|Fig. 2. Fourier-transform infrared spectra from POLF series.||Table 2. Most important absorption and corresponding assignments. |
|---|---|
|Wavenumber (cm⁻¹)|Assignment, moiety |
|3.070 – 3040|stretching (C-H) aromatic, phthalic acid |
|3300 – 3000|stretching (O-H), phthalic acid and glycerol |
|2950 – 2932 – 2855|stretching (C-H) aliphatic, glycerol |
|1735 – 1765|stretching (C=O) esterified phthalic acid |
|1594|stretching (C=O) aromatic, phthalic acid |
|1215|bending C-C(=O)-O, esterified phthalic acid |

Table 1. Molar ratio from POLF series.

<table>
<thead>
<tr>
<th>Samples</th>
<th>Molar ratio (glycerol/phthalic acid)</th>
</tr>
</thead>
<tbody>
<tr>
<td>POLF I</td>
<td>1:1</td>
</tr>
<tr>
<td>POLF II</td>
<td>1:1.5</td>
</tr>
<tr>
<td>POLF III</td>
<td>1:2</td>
</tr>
</tbody>
</table>
3.2.2. Differential scanning calorimetry (DSC)

The DSC curves exhibited endothermic peaks centred at 373, 373 and 364 °C for the polymers POLF I, II and III respectively (Figure 3). These peaks can be assigned to the degradation of the polymer chains.

3.2.3. Thermogravimetric analysis (TGA)

Figure 4 contains the TGA curves for the polymers investigated in the present work. The thermal stability, which was evaluated by the temperature associated to 10% weight loss, was POLFI < POLFII < POLF III. This first step is related to the elimination of residual (or adsorbed) water molecules. The decomposition of the polymer backbone took place at temperatures higher than 305 °C, and corresponded to the most important and fastest decomposition pathway of the POLF polymers. The amount of residue after the complete pyrolysis of the sample was 1-2%. The POLF polymers are less stable than other polyesters obtained from aromatic carboxylic acids (terephthalic esters, naphthalenedicarboxylic esters), which are stable at temperatures up to 426 °C.

The main degradation mechanism of polyesters having high molecular weight is the formation of alkenes, through \( \beta \)-elimination, the trans-esterification and the rearrangements involving the end-groups play also a decisive role on the thermal stability of polyesters. It seems to be the case here, since the degradation of the POLF polymer may be accelerated by depolymerisation reactions involving residual alcohol and carboxylic groups.

3.2.4. X ray powder diffraction (XRD)

The XRD patterns of the polymers POLF I and POLF II had a diffuse halo at \( 2\theta = 20 \), which is associated to the intra-chain segment distance of 0.45 nm (Figure 5). More defined peaks can be identified in the diffratogram of POLF III. Although the DSC curves gave no evidences for crystallinity for the POLF polymers, the XRD pattern of POLF III suggest that the presence of rigid aromatic rings, stemmed from the phthalic acid, lead to more rigid structures, which should be more prone to crystallize than fully aliphatic polyesters. In fact the molar proportion between phthalic acid and glycerol used for the synthesis of POLF III contributed to the formation of ramified polymers having –COOH pedant groups, since there is an excess of –COOH (carboxylic acid groups) group in relation to –OH (alcohol groups). Furthermore, a higher amount of phthalic acid should preclude the molecular motions, due to the rigidity of the aromatic rings, and should facilitate the packing of the polymer chains in crystalline lattices.

3.2.5. Scanning electron microscropy (SEM)

The SEM micrographs of the POLF powder (Figure 6) had a very smooth surface, even though the materials had been analyzed as
powder. No evidences for phase separation could be found; therefore the POLF polymers are homogeneous.

4. Conclusions

The polycondensation between phthalic acid and glycerol produced new polyester with good thermal stability at temperatures lower than 200 °C. XRD results evidenced that an incipient crystallinity of the materials could be attained for the polyester containing higher amounts of phthalic acid.

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