BIOLICAL AND OCEANOGRAPHIC UPWELLING INDICATORS AT CABO FRIO (RJ)

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Abstract: Phytoplankton biomass, chemical parameters and hydrology were studied in a transect 101.6 km long off Cabo Frio (RJ), Southeast Brazil, during summer (December 29 to 31, 1991) and winter (June 27 to 30, 1992). Wind induced upwelling events are frequently observed in the area during summer, becoming rare during winter. By the summer cruise a bloom of phytoplankton was observed in surface, close to the coast, with chlorophyll concentrations reaching 25.55 mg Chl-a m⁻³, uncoupled from the cold, nutrient rich waters of South Atlantic Central Water (SACW), found below 40 m depth. During the winter cruise, the SACW raised at the surface waters in front of Cabo Frio depicting an upwelling event. However, in spite of high surface nitrate concentrations (up to 7.7 μM) chlorophyll-a were lower than 2 mg Chl-a m⁻³. The phytoplankton biomass, meteorological and hydrological data suggest a probable upwelling event immediately before the summer cruise, and an ongoing one during winter time. Cluster analyses and principal component analyses (PCA) were applied to summer and winter data, pointing out multidimensional fronts in the area during both seasons.

Resumo: A biomassa fitoplanctônica, parâmetros químicos e hidrologia foram estudadas em um transecto de 101,6 Km ao largo de Cabo Frio, (RJ) Brasil, durante o verão (Dezembro 29 a 31, 1991) e inverno (Junho 27 a 30, 1992). Nesta área, eventos de ressurgência induzidos pelo vento são comuns durante o verão, tornando-se mais raros durante o inverno. Durante o período de verão uma floração de fitoplâncton foi observada na superfície próximo ao continente, apresentando um máximo de clorofila-a igual a 25,55 mg Chl-a m⁻³ desacoplado das águas frias e ricas em nutrientes da Água Central do Atlântico Sul (ACAS), presente abaixo de 40 m. Durante o inverno, a ACAS alcançou a superfície em frente a Cabo Frio, caracterizando um evento de ressurgência. Entretanto, apesar das altas concentrações de nitrato na superfície (até 7,7 μM), as concentrações de clorofila-a foram menores do que 2 mg Cl-a m⁻³. Os dados meteorológicos, hidrológicos e de biomassa fitoplanctônica sugerem um provável evento de ressurgência imediatamente anterior ao período de amostragem de verão e um evento em andamento durante o inverno. Análises de agrupamento e de componentes principais (ACP) foram aplicadas às coletas de verão e inverno separadamente, mostrando frentes multidimensionais na área, durante as duas estações.

Descriptors: Chlorophyll, Water Masses, Upwelling, Spatial Distribution, Cabo Frio, Brazil.

Descritores: Clorofila, Massas de Água, Ressurgência, Distribuição Espacial, Cabo Frio, Brasil.

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Introduction

The Cabo Frio region is a meeting point for three water masses: Continental Water (CW) and Tropical Water (TW - Brazil Current) at surface, and the South Atlantic Central Water (SACW) at bottom (Aidar et al., 1993; Valentin, et al., 1987). Under NNE winds surface water moves towards off shore, due to Coriolis action resulting in the upwelling of SACW. This situation changes when southern cold fronts reach the area (Valentin op. cit., 1987). The prevailing surface water masses in this case are CW and TW.

Gonzalez-Rodriguez et al. (1992) identified three different phases for the upwelling phenomenon at Cabo Frio: the “upwelling phase”, when cold, nutrient rich water reaches the surface; the “productive phase”, characterized by high phytoplankton biomass and low nutrient concentration; and the “downwelling phase” characterized by decreasing phytoplankton biomass and nutrient concentration.

This work presents the spatial distribution of phytoplankton biomass related to the oceanographic structure at Cabo Frio. The sampling points are grouped by their ecological similarities determined by multivariate analyses aiming to identify the geographical distribution of these upwelling evolution indicators during the summer and winter of 1992.

Material and method

Samplings were carried out at 9 oceanographic stations along a transect off Cabo Frio (22°00’ S, 42°00’ W to 22°40’ S, 41°00’ W, RJ, Brazil) during summer (December 29 to 31, 1991) and winter (June 27 to 30, 1992). Data on wind intensity and velocity were obtained at a meteorological station in Arraial do Cabo (RJ) by the Instituto de Estudos do Mar Almirante Paulo Moreira. For summer survey the wind data were recorded at 12 h intervals and at 3 h intervals during the winter.

At each station, samples were taken at 0, 5, 10, 20, 50, 100 and 150 m according to local depth (Fig. 1). Temperature and salinity were obtained by Cacciari et al. (1994), using a CTD (SeaBird mod. SeaCat, cod. 808) and water was collected with Van Dorn bottles for nitrate, nitrite, phosphate, silicate, organic and inorganic suspended matter, chlorophyll and phaeophytin. Samples for suspended matter and pigment analyses were retained under filtration over GF/F Whatman® filters. All samples were kept in dark at -20°C until the laboratory analyses. Transparency was also estimated, using a Secchi disk.

![Fig. 1. Study area and oceanographic station sites.](image-url)
Thermohaline intervals for the water masses identification were defined according to Miranda & Katsuragawa (1991) to SACW and TW, and according to Aidar et al. (1993) to CW. The considered thermohaline intervals applied to these water masses as well as the intervals for the mixtures among them are presented in Table 1. Euphotic zone \( (Z_{eu}) \) was calculated from the relation \( Z_{eu}=2.8*S \), where \( S \) is the Secchi Disc reading (Aidar et al., op. cit.). Mixing zone inferior boundary \( (Z_m) \) was here defined as the thermocline top.

**Table 1. Thermohaline intervals defined to the water masses present at Cabo Frio area, according to Aidar et al. (1993) and Miranda & Katsuragawa (1991)**

<table>
<thead>
<tr>
<th>Water masses</th>
<th>( T^\circ C )</th>
<th>( S )</th>
</tr>
</thead>
<tbody>
<tr>
<td>SACW</td>
<td>( T &lt; 18 )</td>
<td>( S &lt; 36 )</td>
</tr>
<tr>
<td>SACW/CW</td>
<td>( 18 &lt; T &lt; 20 )</td>
<td>( 35.4 &lt; S &lt; 36 )</td>
</tr>
<tr>
<td>CW</td>
<td>( T &gt; 20 )</td>
<td>( S &lt; 35.4 )</td>
</tr>
<tr>
<td>CW/TW</td>
<td>( 18 &lt; T &lt; 20 )</td>
<td>( S &gt; 36 )</td>
</tr>
<tr>
<td>TW</td>
<td>( T &gt; 20 )</td>
<td>( S &gt; 36 )</td>
</tr>
</tbody>
</table>

Analyses of nitrate and nitrite were carried out according to Aminot & Chaussepied, (1983), dissolved phosphate and silicate according to Grasshoff et al. (1983), organic (OSM) and inorganic (ISM) suspended matter as described in APHA (1965), and chlorophyll-\( a \) and phaeophytin following Lorenzen (1967).

Principal component analyses (PCA) and cluster analyses, combining the Ward method and square Euclidean distance (Legendre & Legendre, 1983) were applied to the data (FITOPAC software, Shepard, 1994) aiming to look up the main distribution tendency and to provide statistical support to the conclusions. The power of PCA lies in the ability to identify the most efficient basis for representing the data and thereby to determine the dominant patterns of spatial and temporal variability (Mariano et al., 1996). Initially a PCA was applied to the entire data set. This analysis pointed out the main differences between these seasons. To a better understanding of data distribution tendencies, PCA and cluster analyses were applied to summer and winter surveys apart.

**Results**

**Summer**

The available wind data set for summer showed little consistence to determine the prevailing winds before the sampling arising (Fig. 2a, b), in account of the low sampling frequency. Water temperature was higher at the layers above 40 m (maximum 24.7°C), decreasing sharply below this depth reaching values lower than 13°C at 120 m (Fig. 3a). Surface salinity values were below 36 at coastal stations and above this value at offshore stations (beyond 40 km). From 40 m down to the bottom salinity values were below 36 (Fig. 3b). According to the thermohaline intervals (Table 1), CW was found at the surface (above 10 m) close to the coast (Fig. 3c) while TW was found at the surface about 40 km off shore, but an intrusion of TW was observed inshore under CW (stations 1, 2 and 3). SACW was present in the layers below 40 m along the entire transect. The \( Z_m \) depth was around 25 m at stations 1 and 2. From station 3 up to station 8, the mean \( Z_m \) depth was around 50 m and at station 9 it reached 150 m. The \( Z_{eu} \) was equal to or deeper than \( Z_m \) except for station 3 where \( Z_{eu} \) was 10 m deeper than \( Z_{eu} \) (Fig. 4).

Nitrate concentrations were below 0.5 \( \mu M \) in surface waters, increasing to 7 \( \mu M \) toward the shelf bottom (Fig. 5a). Nitrite concentrations were below 0.05 \( \mu M \) at surface water and above 0.10 \( \mu M \) close to bottom at the offshore stations, but at stations 3 and 4, this nutrient reached 0.40 \( \mu M \) at 80 m (Fig. 5b). Patterns of phosphate distribution were similar to nitrate. Higher concentration values were associated with SACW (> 0.70 \( \mu M \)) and the lower values were associated with TW and CW (< 0.2 \( \mu M \)) (Fig. 5c).

Silicate concentrations showed maxima at mid water (> 6.0 \( \mu M \)), at the surface, close to the shore (stations 1 and 2, > 3.0 \( \mu M \)) and close to the bottom at coastal stations (Fig. 5d). The distribution of OSM and ISM (Fig. 5e and 5f) followed a similar pattern, with high concentrations within CW and at mid depths but with lower concentrations close to the bottom.

Chlorophyll-\( a \) reached an extremely high value at the surface of stations 2 (25.55 mg Chl-\( a \) m\(^3\)) and 3 (13.06 mg m\(^3\)). Except for these maxima, concentrations remained below 5.64 mg m\(^3\) at the coastal stations decreasing sharply with depth (Fig. 5g). Phaeophytin distribution followed this same pattern, but the highest value was 1.2 mg m\(^3\) at the surface of station 2 (Fig. 5h).

**Winter**

During the winter the prevailing winds came from NNE, changing to SSE two days before the sampling arising and then reverted again to NNE with velocities around 10 m s\(^{-1}\) one day before the beginning of the survey (Figs 6a and b).
Fig. 2. Prevailing winds prior to and during the sampling period (summer). The zero in the graphs represents the sampling origin. (A) Wind rose (x axis is the East-West component velocity (m/s), y axis is the South-North component velocity (m/s)), (B) Stick plot diagram (x axis represents time (days), y axis is the vectorial wind velocity (m/s)).

Temperature, salinity and water masses distribution during summer

Fig. 3. Temperature, salinity and water masses vertical distribution during summer survey.

Fig. 4: Zm and Zeu for summer.
Fig. 5. Vertical distribution of chemical and biological variables during summer (stations x depth). a) Nitrate (µM), b) Nitrite (µM), d) Silicate (µM), e) OSM (mg l⁻¹), f) ISM (mg l⁻¹), g) Chlorophyll-a (mg m⁻³), h) Phaeophytin (mg m⁻³).
Low temperature values (<17.5°C) were found at the entire water column in the coastal stations (Fig. 7a). Temperature was above 20°C at surface waters at stations 5 to 9. The 18°C isotherm reached surface waters near station 2, and varied from 50 m to 100 m far from the coast. Salinity (Fig. 7b) was above 36 only at surface waters off shore. SACW was observed at the bottom throughout the transect and reached surface waters near the continent. TW was found far from the coast (40 Km) from surface down to 40 m depth. CW was not detected at that time (Fig. 7c). The Z_m depth (Fig. 8) was around 25 m, except at station 7 where it got down to 50 m depth and at stations 1 and 2 where the upwelled water filled the entire water column. The Z_m was always deeper than the Z_m, reaching depths below 50 m off shore.
High nitrate concentrations were associated with SACW (2.8 to 7.7 μM) (Fig. 9a). Nitrite concentrations were generally low reaching values above 0.15 μM close to the coast in surface and mid waters (Fig. 9b). Phosphate exhibited the same distribution pattern as nitrate with higher values associated to SACW (above 0.4 μM) (Fig. 9c). Silicate showed the highest concentrations (above 4 μM) at the stations 1, 2 and 3 at mid water. This nutrient was also high (~3 μM) near the shelf bottom (Fig. 9d). OSM concentrations showed a maximum at mid water (> 7 mg l⁻¹ around 100 m). The concentrations decreased down to the bottom and toward off shore. Maximum values of ISM were found close to the shelf and at mid water (>12 mg l⁻¹ around 120 m) (Fig. 9e, f).

Phytoplankton biomass as chlorophyll-a was below 1.3 mg Chl-a m⁻³ in coastal stations (Fig. 9g). The biomass distribution decreased with distance from the coast and depth increment. For off shore waters chlorophyll-a values did not reach 1 mg Chl-a m⁻³. Phaeophytin concentrations were low and showed a distribution similar to chlorophyll-a, with a maximum value of 0.4 mg m⁻³ (Fig. 9h).

**Statistical Treatment**

The cluster analyses applied to the data parceled out three groups with ecological similarities: A, B and C, for both summer and winter. These groups were plotted spatially as classed posts (Fig. 10), showing differences between the spatial distribution of the upwelling indicators. For both seasons the sampling points were grouped in a similar way. Group A is composed by stations 1, 2 and 3. During summer, the sampling points of these stations were grouped from surface to 20 and 40 m depth, while during winter it included sampling points down to the bottom. Group B is represented by sampling points far from the coast (stations 4 to 9) and above 50 m depth. Group C is composed by sampling points below 50 m depth. During summer this group is represented just by stations 4 to 9 while during winter deep points of stations 1 to 3 were part of this group as well.

The PCA applied to summer data (Fig. 11) showed resembling results to those of cluster analysis. Axis I (47% of total variance) is related to chlorophyll-a, OSM, ISM, phaeophytin and silicate vectors in its positive section and to salinity and Zeu vectors in its negative section. Group A has a positive projection in axis I. It is composed by sampling points with high chlorophyll concentrations (mean value 5.30 mg Chl-a m⁻³) associated with low salinity (mean value 35.31) (Table 2). Group B, projected negatively in this axis, is characterized by low chlorophyll concentration (mean value 0.20 mg Chl-a m⁻³) and high salinity (36.29). Axis I is also related to chlorophyll variation due to the distance from the shore and depth increment.

Axis II (25% of total variance) is related to the temperature vector in its positive section and nitrate, nitrite and phosphate vectors in its negative section. Some sampling points from group B under high temperatures (mean value 22.3°C) were projected positively in axis II while group C is negatively projected in this axis, presenting low temperature (mean value 17.24°C) associated with high dissolved nitrate (mean value 4.61 μM). Axis II represents the hydrological conditions, showing the SACW close to the shelf bottom.
Fig. 9. Vertical distribution of chemical and biological variables during winter (stations x depth). a) Nitrate (µM), b) Nitrite (µM), d) Silicate (µM), e) OSM (mg l⁻¹), f) ISM (mg l⁻¹), g) Chlorophyll-a (mg m⁻³), h) Phaeophylin (mg m⁻³).
Temperature
Zeu
Chlorophyll
Salinity
OSM
ISM
Phaeophitin
Silicate
Phosphorus
Nitrate
Nitrite

Fig. 10. Cluster groups spatial distribution during summer and winter surveys. Groups A (+), B (○) and C (○).

Fig. 11. PCA applied to summer data. Groups A (+), B (○) and C (○).
Table 2. Average, standard deviation, maximum and minimum value for each group individualized by cluster analysis during summer survey.

<table>
<thead>
<tr>
<th>Groups</th>
<th>Nitrate (µM)</th>
<th>Nitrite (µM)</th>
<th>Phosphate (µM)</th>
<th>Silicate (µM)</th>
<th>Chl-a (mg/m³)</th>
<th>Phaeo (mg/m³)</th>
<th>OSM (mg/l)</th>
<th>ISM (mg/l)</th>
<th>T (°C)</th>
<th>S (m)</th>
<th>Zeu (m)</th>
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PCA (Fig. 12) performed for the winter survey also parceled out the sampling points into three groups: two under SACW influence, above and below Zeu (groups A and C) and a third group (group B) out of SACW influence. In axis I of PCA (51.79% of total variance) nitrate, nitrite, phosphate, ISM and OSM vectors were positively projected. Temperature, salinity and Zeu vectors were negatively projected in this axis. Group A was related to axis I positive section. This group is characterized by low temperatures (mean value 16.59°C) and the highest nutrient concentrations (Table 3). Group B was related to the negative section of axis I and showed high salinity and temperature (mean values 36.19 and 22.61°C, respectively). This axis represents the hydrological condition of the area, revealing points above Zeu, under SACW or TW influence.

Chlorophyll and phaeophytin vectors are related to axis II (16.43% of total variance) positive section. Associated to the negative section were group C sampling points with low phytoplankton biomass (chlorophyll-a mean value 0.06 mg Chl-a m⁻³) and high nitrate concentration (4.19 µM). Axis II represents the biological response variation with depth.
Table 3. Average, standard deviation, maximum and minimum values for each group individualized by cluster analysis during winter survey.

<table>
<thead>
<tr>
<th>Groups</th>
<th>Nitrate (μM)</th>
<th>Nitrite (μM)</th>
<th>Phosphate (μM)</th>
<th>Silicate (μM)</th>
<th>Chl-a (mg m⁻³)</th>
<th>Phaeo (mg m⁻³)</th>
<th>OSM (mg l⁻¹)</th>
<th>ISM (mg l⁻¹)</th>
<th>T (°C)</th>
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<td>± 0.17</td>
<td>± 1.06</td>
<td>± 0.08</td>
<td>± 0.16</td>
<td>± 7.08</td>
<td>± 6.36</td>
<td>± 2.10</td>
<td>± 0.35</td>
<td>± 9.83</td>
</tr>
<tr>
<td></td>
<td>± 8.54</td>
<td>± 0.18</td>
<td>± 0.69</td>
<td>± 4.78</td>
<td>± 0.24</td>
<td>± 0.59</td>
<td>± 25.96</td>
<td>± 27.35</td>
<td>± 13.96</td>
<td>± 35.32</td>
<td>± 125.00</td>
</tr>
<tr>
<td></td>
<td>± 0.26</td>
<td>± 0.01</td>
<td>± 0.10</td>
<td>± 1.28</td>
<td>± 0.00</td>
<td>± 0.00</td>
<td>± 0.82</td>
<td>± 4.54</td>
<td>± 20.86</td>
<td>± 36.49</td>
<td>± 100.80</td>
</tr>
</tbody>
</table>

Discussion

Physical, chemical and biological variations at Cabo Frio continental shelf seems to be more related to local wind conditions and water masses than to seasonal cycles (André, 1990). The prevailing wind direction during the whole year in Cabo Frio comes from NNE, excepting when cold fronts reach the region, reverting the wind direction to SSW (Valentin et al., 1987). During summer the number of cold fronts that arrived at the Brazilian southeast coast before samplings were at the expected range: seven frontal systems during December. The last one came over Cabo Frio from 25 to 29, Dec/1991 (Climanálise, 1991), immediately before the summer survey. The shallow Zeu depth (25 m) at station 3 during this period was probably due to the back scattering and absorbency of light promoted by the high phytoplanktonic biomass since ISM showed low concentrations. Inside the euphotic zone nitrate and other nutrients were depleted, but chlorophyll-a concentrations reached its maximum (25.55 Chl-a mg m⁻³) and phaeophytin concentration attained only 5.2 mg m⁻³ pointing out a healthy phytoplankton status. According to Holligan et al. (1984), these conditions indicate that nutrients coming from deep layers have been actively absorbed by phytoplankton. The phytoplankton biomass exhibited extremely high concentrations when compared to the usual biomass levels observed in the area during upwelling events: 0.5-6.0 mg Chl-a m⁻³ (Valentin et al., 1987). Present data were comparable to those from the Benguela upwelling, (NW-Africa) that ranges from 15 to 31 mg Chl-a m⁻³ (Estrada, 1980) and from Peru upwelling that ranges from 10 to 40 mg Chl-a m⁻³ (Strickland et al., 1969), known as the most productive upwelling areas.

During June of 1992 (winter), five cold frontal systems came over Brazil (Climanálise, 1992), a number below the expected for the period (at least 7 cold frontal systems). The El Niño South Oscillation (ENSO) occurrence during this winter strengthened the cold fronts at the brazilian south region and weakened them at the southeast sea coast. During the winter survey, as observed during summer, the 18°C isotherm was always above 4°. However, the 18°C isotherm was deeper during winter than during summer at offshore stations (>70 Km) and followed Zeu depth. The observed SACW advection close to the coast promoted nutrient enrichment at the euphotic zone. However, chlorophyll-a concentrations during this period (< 1.3 mg Chl-a m⁻³) were lower than during summer.

The PCA for summer showed major variations in chlorophyll-a concentrations specially observed close to the coast. Major nutrient variations were also associated to this period. The low nitrate concentrations found at the coastal stations are probably a consequence of nitrate exhaustion by phytoplankton. According to Syret (1981), the phytoplankton preferentially uptakes ammonia instead of nitrate or nitrite. This author observed higher nitrite values associated to high levels of chlorophyll-a in the field. High nitrite variability during summer could be related to a previous nitrate consumption and reduction to nitrite by microalgae.
and later excretion of this nutrient. During summer, however, the high concentrations of chlorophyll-a observed in coastal stations were associated to low nitrate concentrations and to CW. These findings suggest that the SACW reached the surface layers and mixed with CW in previous periods, conducting to an enrichment of these waters and promoting phytoplankton growth. Mariano et al. (1996) modeling the bio-physical variability in a Gulf Stream meander crest aided by PCA, identified regions with enhanced pigment biomass, uncoupled from regions with low temperature and high nutrient concentrations. Present data suggest that summer conditions represent the productive phase as defined by Gonzalez-Rodriguez et al., (1992) for upwelling evolution.

Winter survey, however, was associated to high nitrate concentrations due to the strong SACW advection. The low chlorophyll-a concentration observed close to Cabo Frio suggests that phytoplankton may be in a lag growth phase. Saldanha (1993) observed that under low temperatures (< 18°C), similar to those iron surface waters in Cabo Frio, phytoplankton takes over four days to reach its exponential growth phase. The nutrient addition to the euphotic zone occurs just prior to the phytoplankton biomass enhancement. Models from simple Lagrangian calculations applied to eddy simulations of the Gulf Stream suggested that a chlorophyll distribution pattern similar to those observed at the present work can also arise from a simple meandering stream. Olson et al. (1994) showed that the time it takes to the phytoplankton response, moves the resultant increase in biomass downstream. The area close to Cabo Frio presented strong winds from NNE to SSW since one day before the sampling arise. According to André (1990) wind speeds higher than 3.5 m s⁻¹ and continuously acting for at least 24 hours precede SACW advection at Cabo Frio region.

Summer and winter surveys represented different upwelling status. The conditions showed during summer survey suggest that the upwelling phenomenon was in its “productive phase”, sensu Gonzalez-Rodriguez et al. (1992), meaning that the physical upwelling processes had already ceased and nutrients were then part of the internal pool of the phytoplankton. However, during winter all the analyzed features suggested an initial upwelling phase considered by those authors as the physical upwelling processes properly, when cold, rich nutrient water arises at the surface and the phytoplankton had not sufficient time to incorporate the nutrients in its biomass.

The reflex of the upwelling on both surface temperature and phytoplankton biomass can be observed down to the northern sector of the South Brazilian bight (Ubatuba region), as described by Lorenzetti & Gaeta (1996). The clusters and PCAs spatial portrayals pointed out vertical and horizontal boundaries of a multidimensional environment represented by physical, chemical and biological characteristics. In both periods, these analyses allowed the spatial observation of a group of sampling points (group A) with ecological similarities inside the 100 m isobath, which represents the very inner shelf directly influenced by the upwelling action. Moser (1997) and Gianesella-Galvão (1994) analyzing data from Cabo Frio up to Rio Paraíba do Sul estuary from a survey that followed both samplings of the present paper, did not observe high phytoplankton biomass at Bacia de Campos, even after the summer bloom. This findings strengthen the idea of frequent patch displacements southward.

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References


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