ABSTRACT

Objective: To ascertain the advantages of applying artificial neural networks to recognize patterns on lumbar spine radiographies in order to aid in the process of diagnosing primary osteoarthritis. Methods: This was a cross-sectional descriptive analytical study with a quantitative approach and an emphasis on diagnosis. The training set was composed of images collected between January and July 2009 from patients who had undergone lateral-view digital radiographies of the lumbar spine, which were provided by a radiology clinic located in the municipality of Criciúma (SC). Out of the total of 260 images gathered, those with distortions, those presenting pathological conditions that altered the architecture of the lumbar spine and those with patterns that were difficult to characterize were discarded, resulting in 206 images. The image data base (n = 206) was then subdivided, resulting in 68 radiographies for the training stage, 68 images for tests and 70 for validation. A hybrid neural network based on Kohonen self-organizing maps and on Multilayer Perceptron networks was used. Results: After 90 cycles, the validation was carried out on the best results, achieving accuracy of 62.85%, sensitivity of 65.71% and specificity of 60%. Conclusions: Even though the effectiveness shown was moderate, this study is still innovative. The values show that the technique used has a promising future, pointing towards further studies on image and cycle processing methodology with a larger quantity of radiographies.

Keywords – Osteoarthritis; Artificial Intelligence; Medical Informatics; Diagnosis, Computer-Assisted

INTRODUCTION

Osteoarthritis (OA) is a joint disorder characterized by pain and functional limitations. An X-ray of osteoarthritis reveals osteophytes and narrowing of the joint spaces, and in the histological tests, changes to the integrity of cartilage and subchondral bone can be observed. This is one of the most common diseases affecting the joints, including the lumbar region. It is of great economic importance, in terms of both productivity and cost of treatment(1-3). In the United States, it is the second largest cause of occupational...
disability in men aged over 50, after coronary heart
disease. In Brazil, it accounts for about 18% of the
benefits granted by the INSS - the National Institute
of Social Security(3). Although its etiology is not yet
clearly understood, some risk factors are already
known, such as age, weight and occupation(4,5).
Studies suggest further research on treatment, which
currently only offers relief of pain and improvement
of joint function, but does not enable the pathological
process to be reversed(6-8).

Therefore, by seeking alternative options for
aiding the diagnosis, medical informatics aims to
assist doctors in routine diagnoses, and has proven
increasingly effective, helping in some clinical tasks,
such as warning signs, therapeutic judgments, agents
for information retrieval, and image recognition and
interpretation, among other models(9-12).

Among the areas of application of medical infor-
matics, artificial intelligence (AI) uses artificial neu-nal networks (ANN) to search for a computational
model based on biological neurons which, by means
of mathematical models, generates an artificial neu-
ron, and through interconnections of various artificial
neurons, generates an ANN. Its importance lies in
solving problems that are not easily solved by con-
ventional techniques and tools, such as pattern recog-
nition(13-15); problem solving in classification, predic-
tion, approximation, categorization and optimization;
character and voice recognition; and predictions of
time series(11,13,16-19).

This study introduces the training, validation and
testing of a neural network for the recognition of
image patterns of osteophytes of the lumbar spine,
in lateral view, in order to assist the diagnosis of
primary osteoarthritis of the lumbar spine through
imaging techniques.

**METHODS**

A cross-sectional, descriptive, analytical study
was conducted using a quantitative approach, with
diagnostic emphasis. The study was approved by the
Human Research Ethics Committee under protocol
number 355/2008.

The training set consisted of images of patients who
underwent digital radiographies of the lumbar spine in
lateral view from January 1st to July 1st, 2009, from
a radiology service in the municipality of Criciúma
(SC). The study consisted of a convenience sample
totaling 260 images. Distorted radiographies, patholo-
gies that alter the architecture of the lumbar spine,
and patterns that were difficult to characterize were
excluded, resulting in 206 images, taken in digital
medium in jpg format (so it was not necessary to scan
the images). The images were authorized in a term
of consent, signed by the person responsible for the
company that took the images. The image data base
(n = 206) was then subdivided, resulting in 68 radi-
ographies used in the training, 68 in the tests, and 70
in the validation.

After collection, the images were processed one by
one, as follows: first the contrast was enhanced, and
after the use of the first filter, the Euclidean metric
was applied. This process was performed for each
image. Figure 1 illustrates the results obtained with
the use of each filter.

Following this processing, the use of the neural
network began; this phase is divided into training,
testing and validation. In this phase, an application
developed by the Research Group in Applied Compu-
tational Intelligence of Universidade do Extremo Sul
Catarinense was used, the interface of which can be
seen in Figure 2. This software offers a hybrid neural
network based on Kohonen’s self-organizing maps(20)*
and Multilayer Perceptron (MLP) networks.

For the training on Kohonen’s network, 68 images
were used, corresponding to a subregion of 64 x 64
pixels directly selected in the software from the original
image; of these, 34 images showed the presence
of osteoarthritis and 34 did not. The same image pro-
portions were also used for the testing and validation.
The architecture of the Kohonen’s network used
for the training consisted of 64 neurons in the input
layer and a two-dimensional 8 x 8 neuron structure in
the Kohonen’s layer. The output of this training was
then used as input for the trained MLP network, using
a backpropagation algorithm, the purpose of which
is to classify the resulting map of characteristics as
belonging to the group of images with the pattern
of osteoarthritis (class 1), or to the group of images
without this pattern (class 2).

The architecture of the MLP network used for trai-
ning and classification of these patterns was set to 64
neurons in the input layer, 45 neurons in the inter-
mediate layer and one neuron in the output layer, a learning rate of 0.2 and a momentum of 0.8 with 500 iterations. The structure of the Kohonen’s network had an initial learning rate of 0.2 and neighborhood size of 20, decremented by 20 and final neighborhood size of 0.10 with 300 iterations.

In the statistical analysis, sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), positive likelihood ratio (PLR), negative likelihood ratio (NLR), and accuracy of the ANN validation were calculated using the application Microsoft Excel® 2002.

**RESULTS**

The image data base consisted of 206 images that comprised the set used for the training, testing and validation. For the final ANN result, 90 cycles were performed in the training; the validation was performed using the test of greatest accuracy, which was of 62.85%, with a standard deviation of 4.04, and the neural network parameters cited in the methodology.

Figure 3 illustrates the variation in accuracy in the top 30 tests.

In a comparison between the test of greatest accuracy and the validation, an increase was observed in the number of accurate validations (Figure 4).

ANN sensitivity was 65.71% and specificity 60%; positive predictive value was 62.16% and negative predictive value 63.63%. The calculations showed a positive likelihood ratio of 1.62 and a negative likelihood ratio of 1.72.
DISCUSSION

Imaging is very important for the diagnosis of osteoarthritis, conventional radiographies being a simple, inexpensive and well-suited method for the identification of that disease, enabling the extent and severity of the disease to be determined, and its progression to be monitored\(^4\). For this article, studies on neural networks from various medical applications were used, including studies using electroencephalograms (EEG); surgical planning; and x-rays of the chest, breast and others.

The accuracy demonstrated by the ANN in this study was 62.85%, which is below the average found in the literature (around 85%)\(^{16,21-25}\). An example is the study carried on in São Luiz (MA)\(^{25}\), in 2009, on the surgical planning of strabismus, which had an accuracy of 83%. This result may be due to the characterization of the images since, because they are digital radiographies, a wide variation can be found in their patterns.

Sensitivity (65.71%) and specificity (60%) were also lower compared to previous ANN studies, as in the study carried on at the Universidade Federal do Paraná in 2009\(^{26}\), which showed an average sensitivity and specificity of 90% in EEG patterns. The high false-positive rate (14) and false-negative rate (12) can be explained by the limited number of images used for the network training (n = 68), even though some studies present higher accuracy with the same number of images\(^{13}\).

PPV and NPV were 62.16% and 63.63%, respectively, with values lower than those of literature, which resulted in an average of 90% in these calculations\(^{26}\).

The likelihood ratio evaluates the quality of a diagnostic test; however, no studies addressing this calculation for pattern recognition in images using artificial neural networks have been found to date. The present study shows an PLR of 1.62, which means that the positive result obtained for the network is 1.62 times more likely to be actually positive compared with the negative cases.

CONCLUSIONS

This article addresses the use of a support system for the diagnosis of osteoarthritis of the lumbar spine, based on images from digital radiographies of the lumbar spine in lateral view. Sensitivity and specificity were around 63%. Therefore further tests with a larger amount of images of patients with or without OA should be performed, and the methodology used in image processing should be improved, aiming to obtain greater accuracy of the system. Although the neural network presented an average efficacy, because this was an innovative study, its results show a potential for the use of computer-based artificial neural networks to assist and support practitioners.

It should be highlighted that the use of computers to assist in the analysis of x-ray images has proven effective for diagnosis in different medical specialties, therefore high accuracy is not initially required but rather, a performance closer to that of experts, to support them, not to replace them\(^{12}\), seeking also to help in the process of medical education.

REFERENCES


Computational Analysis Based on Artificial Neural Networks for Aiding in Diagnosing Osteoarthritis of the Lumbar Spine