Nutritional risk and signs and symptoms of swallowing disorders in hospitalized elderly

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ABSTRACT

Purpose: to relate nutritional risk and signs and symptoms of swallowing disorders as reported by hospitalized elderly, as well as to correlate the Mini-Nutritional Assessment (MNA) total score with the total number of signs and symptoms.

Methods: a cross-sectional study with a convenience sample of 28 hospitalized patients, mean age of 72.18 ± 5.92 years, 15 (53.6%) males. The nutritional risk was evaluated by the MNA total score. Signs and symptoms of alterations in swallowing were investigated by means of an interview with 11 items. The Spearman’s correlation coefficient and the Mann-Whitney test were applied. The confidence interval was of 95%.

Results: half the sample reported at least one sign or symptom of alteration in swallowing, with choking being the most frequent (32.1%). The MNA total score median (19.75) indicated nutritional risk. Elderly who reported choking presented worse performance in the MNA (p = 0.05). There was no correlation between the MNA total score and the total number of signs and symptoms of alterations in swallowing.

Conclusion: nutritional risk was higher in hospitalized elderly with complaint of choking, but no correlation was found between the total number of signs and symptoms of alterations in swallowing and the MNA total score in this population.

Keywords: Swallowing Disorders; Swallowing; Elderly; Aging; Malnutrition; Hospital
INTRODUCTION

Aging is a dynamic and progressive process, common to all living beings, and it is influenced by multiple factors (biological, psychological, economic, social, cultural, among others) which, if altered, may compromise the elderly’s functional independence, health conditions and, consequently, quality of life.

In the elderly, the decrease in functional capacity, the aging process and the load of chronic afflictions result in a greater need of both out- and inpatient health services. Hospitalization is a complex and peculiar event that removes the person from their environment and family and social life, in addition to being a risk factor for functional decline in elderly people, due to the loss of dependence and autonomy. Many factors may influence this result, including the nutritional status.

The nutritional status brings impact to the physical and emotional condition of the elderly, as this is the population of greater risk for malnutrition as a consequence of the reduction in food intake, alterations in taste, presence of debilitating diseases, social isolation, alterations in health condition, economic limitations, and multiple hospitalizations, which may increase the time and cost of hospital stay.

One of the factors that might cause or worsen the nutritional decline in elderly is oropharyngeal dysphagia, a condition that refers to a disorder in the preparatory, oral and/or pharyngeal stages of swallowing, whose signs and symptoms include choking, coughing, sensation of food stuck in the throat, weight loss, among others. The progression of oropharyngeal dysphagia may compromise the person’s clinical, nutritional and/or social aspects, including outcomes such as malnutrition, dehydration and respiratory complications, even leading to death. Thus, it is important that patients in nutritional risk and with signs and symptoms of alterations in swallowing be early detected, in order to achieve a better prognosis and immediate intervention, especially in hospitalized elderly.

From the theoretical and biological point of view, it is assumed that nutritional status and oropharyngeal dysphagia are linked events. However, there remains to be found whether in hospitalized elderly the nutritional risk is related to signs and symptoms of alterations in swallowing. Hence, the objective of this study was to investigate if there is a relation between nutritional risk and signs and symptoms of swallowing disorders reported by hospitalized elderly, as well as to correlate the total score of instruments used to investigate these events.

METHODS

This is an observational, analytical, documental, cross-sectional study, carried out at the Hospital Universitário Lauro Wanderley (HULW/EBSEHR/UFPB). It was approved by the Human Research Ethics Committee of the HULW/EBSEHR/UFPB, and by the Human Research Ethics Committee of the above-mentioned hospital, as stated in the evaluation report 2.653.250/18 and in the Certificate of Presentation for Ethical Consideration (CAAE) 88742118.7.0000.5183, in compliance with the Declaration of Helsinki and with the Resolution 466/2012, of the National Health Council - Conselho Nacional de Saúde (CNS). All the participants voluntarily signed an Informed Consent Form.

The research was carried out with hospitalized elderly aged 60 years or over, of both genders, regardless of clinical diagnosis and type of treatment. Patients were excluded who had serious cognitive impairment according to the result of the Short Portable Mental Status Questionnaire (SPMSQ); elderly with difficulty to understand and perform simple orders; with reduced awareness level; with severe hearing loss without the use of well-adapted electronic device; totally or partially laryngectomized; and, tracheostomized. The exclusion criteria were determined in accordance with medical record consultation, patient’s self-report, caregiver’s report or evaluator’s perception.

The research was made on a nonprobability convenience sample. Those who met the eligibility criteria were approached by one of the researchers, who explained the objectives of the study and presented the ICF, which was voluntarily signed by those who agreed to participate.

Thus, the sample was composed of 28 elderly, aged 60 years or over (mean age 72.18 ± 5.92 years); 15 (53.6%) were male. In greater proportion were the cases of low schooling (n = 15; 53.6%), partnerless (n = 15; 53.6%), with children (n = 26; 92.9%), retired (n = 21; 75%), and with low income (n = 22; 78.6%). The time of hospital stay varied from one to 48 days, and four (14.3%) elderly made use of alternative feeding route.

The sociodemographic and clinical characteristics were obtained through interviewing the patient or consulting the medical report. The main variables of the study had already been collected in the hospital’s speech-language-hearing and nutrition routine service; these data, then, were obtained exclusively from the medical reports.
The nutritional risk was evaluated through the Mini-Nutritional Assessment (MNA)\textsuperscript{16} in its Brazilian Portuguese version\textsuperscript{17}. The MNA is an instrument specifically used for the identification of risk of malnutrition. It is a protocol composed of 17 items, subdivided in four domains: anthropometry, dietary assessment, general evaluation, and self-perception. The items may score from 0 to 3 points, and the final score may reach up to 30 points. The person may be classified as malnourished (>17 points), at nutritional risk (between 17 and 23.5 points) or well-nourished (≤24 points).

To investigate signs and symptoms of alterations in swallowing reported by the hospitalized elderly, an interview instrument was used, which is composed of 11 dichotomous items (yes/no), approaching the following aspects: need of swallowing many times the food (multiple swallowing), effort to swallow, avoid eating some food for finding it difficult to swallow, notice the nose run after eating (runny nose), throat clearing after eating, change in voice after swallowing, choking when swallowing, history of pneumonia or weight loss, pain when swallowing (odynophagia), and fatigue after eating. These 11 items have been determined based on the 17 questions of a preliminary version of the “Screening for Dysphagia in the Elderly” (RADI, its Portuguese acronym)\textsuperscript{18}, an instrument whose development counted with the participation of two researchers of this study. The RADI version used as a basis for this research was the one presented after having obtained the evidences of validity based on the content and response processes\textsuperscript{18}. Although the validation process for the RADI hadn’t been concluded at the time of the collection, it was considered, from the psychometric point of view, the most consisted instrument in Brazilian Portuguese at that moment. The selection of the 11 items was made by the authors of this study after pondering upon the relevance of each item for the population of hospitalized elderly. For the purpose of analysis, the total number of signs and symptoms reported was calculated (0 to 11), as well as the distribution of frequency of each item separately.

The quantitative variables were analyzed in accordance with measures of central tendency (mean and median) and dispersion (standard deviation and interquartile range). The categorical variables were described in relation to their absolute and relative frequency. The correlation between the MNA score and the total number of reported signs and symptoms was analyzed through Spearman’s correlation coefficients. For the analysis of distribution of MNA score averages according with the presence or absence of each sign and symptom, the nonparametric Mann-Whitney test was used. The confidence interval was of 95%.

**RESULTS**

In Table 1, it is observed that the total number of signs and symptoms of alterations in swallowing oscillated between 0 and 7, and that half of the sample reported at least one symptom. The median of the MNA score indicated that the hospitalized elderly were found to be at nutritional risk. According to the MNA classification, seven (25%) elderly were malnourished, and 19 (67.9%) at risk of malnutrition, whereas only two (7.1%) were classified as well-nourished.

**Table 1. Measures of central tendency and dispersion of the total number of signs and symptoms of alterations in swallowing and of the Mini-Nutritional Assessment total score**

<table>
<thead>
<tr>
<th></th>
<th>mean</th>
<th>standard deviation</th>
<th>minimum</th>
<th>maximum</th>
<th>median</th>
<th>Q25-Q75</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total number of signs</td>
<td>1.86</td>
<td>2.18</td>
<td>0</td>
<td>7</td>
<td>1.00</td>
<td>0.00-2.75</td>
</tr>
<tr>
<td>and symptoms of</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>alterations in</td>
<td></td>
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<tr>
<td>swallowing</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MAN</td>
<td>19.00</td>
<td>3.91</td>
<td>11.50</td>
<td>27.00</td>
<td>19.75</td>
<td>15.87-21.87</td>
</tr>
</tbody>
</table>

Legend: MNA = Mini-Nutritional Assessment; Q25-Q75 = interquartile range.
According to Figure 1, choking after swallowing (32.1%) was the most frequently reported symptom, followed by throat clearing after swallowing (21.4%), and avoiding eating because of difficulty to swallow (21.4%). There was no significant correlation between MNA total score and the total number of signs and symptoms of alterations in swallowing (\( \rho = -0.112; \ p = 0.57 \)) (Figure 2), i.e., these variables have shown to be independent in hospitalized elderly.

**Figure 1.** Percentage distribution of the signs and symptoms of alterations in swallowing reported by hospitalized elderly

**Figure 2.** Correlation between the Mini-Nutritional Assessment (MNA) total score and the total number of signs and symptoms of swallowing disorders
In Table 2, it is possible to visualize the relation between MNA total score and each item of self-reported alteration in swallowing. In hospitalized elderly with complaint of choking, the mean of the MNA average total score was lower in relation to those without complaint of choking; this was the only statistically significant relation found.

<table>
<thead>
<tr>
<th>Signs and symptoms of swallowing disorders</th>
<th>MNA* total score (nutritional risk)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>standard deviation</td>
</tr>
<tr>
<td>Multiple swallowing</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>20.25</td>
<td>3.68</td>
</tr>
<tr>
<td>No</td>
<td>18.79</td>
<td>3.98</td>
</tr>
<tr>
<td>Effort to swallow</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>19.83</td>
<td>5.03</td>
</tr>
<tr>
<td>No</td>
<td>18.90</td>
<td>3.87</td>
</tr>
<tr>
<td>Avoiding eating for swallowing difficulty</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>20.41</td>
<td>3.20</td>
</tr>
<tr>
<td>No</td>
<td>18.61</td>
<td>4.06</td>
</tr>
<tr>
<td>Running nose after swallowing</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>20.00</td>
<td>4.24</td>
</tr>
<tr>
<td>No</td>
<td>18.92</td>
<td>3.96</td>
</tr>
<tr>
<td>Throat clearing after swallowing</td>
<td></td>
<td></td>
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<tr>
<td>Yes</td>
<td>18.08</td>
<td>2.70</td>
</tr>
<tr>
<td>No</td>
<td>19.25</td>
<td>4.20</td>
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<tr>
<td>Vocal alteration after swallowing</td>
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<td></td>
</tr>
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<td>Yes</td>
<td>18.00</td>
<td>4.35</td>
</tr>
<tr>
<td>No</td>
<td>19.16</td>
<td>3.91</td>
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<tr>
<td>Choking after swallowing</td>
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<td></td>
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<tr>
<td>Yes</td>
<td>16.55</td>
<td>3.97</td>
</tr>
<tr>
<td>No</td>
<td>20.15</td>
<td>3.39</td>
</tr>
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<td>Pneumonia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>19.00</td>
<td>6.36</td>
</tr>
<tr>
<td>No</td>
<td>19.00</td>
<td>3.86</td>
</tr>
<tr>
<td>Weight loss</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>19.50</td>
<td>5.00</td>
</tr>
<tr>
<td>No</td>
<td>18.94</td>
<td>3.88</td>
</tr>
<tr>
<td>Odynophagia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>22.50</td>
<td>2.82</td>
</tr>
<tr>
<td>No</td>
<td>18.73</td>
<td>3.89</td>
</tr>
<tr>
<td>Fatigue after the meal</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>16.75</td>
<td>1.76</td>
</tr>
<tr>
<td>No</td>
<td>19.17</td>
<td>3.99</td>
</tr>
</tbody>
</table>

Source: own source
Legend: MNA = Mini-Nutritional Assessment; **p ≤ 0.05 (Mann-Whitney Test).
DISCUSSION

This study has shown that hospitalized elderly with complaint of choking have worse scores in the nutritional risk assessment. Nevertheless, though swallowing-related complaint and nutritional risk are found in the hospitalized elderly population, the MNA total score and the total number of signs and symptoms of alterations in swallowing do not correlate.

The average amount of signs and symptoms of alterations in swallowing was expected to be higher, as in aging multiple physiological modifications take place, which diminish the efficacy of the respiratory tract protection mechanisms and increase the possibilities of alterations in swallowing\(^1\). Furthermore, the elderly are more prone for primary diseases to occur bringing dysphagia as a consequence\(^1,19,20\).

However, such result being short of the expected may be justified by the elderly not valuing symptoms of this nature, as they frequently believe that the alterations in swallowing are common to aging\(^25\), or because other health conditions bother them more often and more intensely, especially during hospital stay. Moreover, most of the elderly seem to manage to adapt to the changes that come with aging\(^31\), and it may happen with swallowing. Therefore, it is believed that such situations may have interfered with the self-report regarding swallowing difficulties.

Concerning nutritional risk, it is known that the assessment of nutritional status in the hospital context is fundamental, due to the consequences that malnutrition can cause, such as further chances of infections, clinical complications, reduced immunological function, lengthening of hospital stay, and increase in hospital costs, besides the greater risk of mortality\(^13\). Even though the malnutrition rate in hospitalized elderly is known to be high and to have the possibility of impairing the patient’s clinical condition\(^22\), attention is called in this study to almost 93% of the elderly being either malnourished or at risk of malnutrition, according to the MNA. This result is higher than other surveys conducted in Brazil, in which these proportions oscillated between 48% and 68%\(^11,12,23\).

The most reported oropharyngeal dysphagia symptom by the sample of this investigation was choking after swallowing, a result that equates to those of other studies with hospitalized\(^24,25\) and non-hospitalized\(^26,28\) elderly. Choking occurs due to the entrance of saliva, food or a body foreign to the larynx, causing the sensation of suffocation, with local pain and coughing reflex\(^29\). In elderly, the physiological or pathological modifications of the sensorimotor system make them more prone to episodes of choking, and, though they report suffering with this symptom, they do not see it as something avoidable or controllable\(^28\). Nonetheless, it is known that the limitations imposed by choking can result in worsened quality of life and in enhanced morbimortality\(^30\).

Furthermore, the presence of oropharyngeal dysphagia is known to have an impact on hospital management, as it increases both the cost with healthcare and the length of hospital stay\(^31\). Thus, it is presumed that investigating the occurrence of choking in hospitalized elderly favors the early identification of the population with oropharyngeal dysphagia in that environment, which enables the problems with feeding and swallowing to be monitored more precisely, in order to minimize hospital expenses and precociously determine the speech-language-hearing, nutritional and interprofessional procedures\(^14\).

In addition to being the most reported symptom, choking was the only one of the items whose relation to MNA total score was significant. This result points to complaint of choking as possibly one of the aspects capable of better distinguishing who is and who is not at nutritional risk; such hypothesis may be investigated by future studies with adequate methodological design for this end.

There was no significant correlation between MNA total score and the total number of signs and symptoms of alterations in swallowing; this result does not correspond to the initial hypothesis of the authors of this study, in which it was supposed that there would be a negative strong correlation between these two outcomes. It is possible that this happened as a consequence of the small representativeness of individuals with a more expressive amount of swallowing-related complaints, as well as of elderly without alterations in nutritional status. This characteristic may have contributed to the occurrence of type II error.

It may also be considered that the MNA contemplates elements of assessment, whereas the survey of signs and symptoms of alterations in swallowing was based only in self-report. The distinct nature of the two instruments may have interfered with the results. It is possible that instruments considering elements of clinical assessment of swallowing will have more correlation with the result of the MNA. Yet, the lack of correlation between these two outcomes is important to confirm that they evaluate different constructs. Hence,
they are complementary and should both be applied in hospital routine.

This study has presented some limitations. The sample’s reduced size and restricted variability may have interfered with the results and confidence intervals. No validated instrument was used to investigate the signs and symptoms of alterations in swallowing, because, at the time of collection, no instruments with these characteristics were available in Brazilian Portuguese. Even though it was not the objective of this study, it is important to highlight that neither clinical nor instrumental assessment data regarding swallowing were collected which could have confirmed the diagnosis of oropharyngeal dysphagia, as well as no data on the evolution of the nutritional status were obtained. Future studies should better control these aspects.

It is emphasized that the results have shown that, in the hospital environment, the elderly have a sharp nutritional decline and report swallowing-related complaints, especially choking, whose presence is related to nutritional risk. In spite of the absence of correlation between the outcomes of the instruments used in this study, they provide complementary information that must be investigated in the hospital routine. Such situations call for attention and care because of the consequences malnutrition and alterations in swallowing may have on the patient’s general clinical condition, and the increase in expenses which these conditions represent for the health services.

CONCLUSIONS

A relationship between nutritional risk and self-reported choking in hospitalized elderly was found. However, the correlation between MNA total score and the total number of signs and symptoms of alterations in swallowing was not seen in this population.

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