STUDY OF ANTIRETROVIRAL MUTANTS IN HIV PATIENTS WITH TREATMENT FAILURES AND THE EFFECT OF RISK FACTORS IN THE VIROLOGICAL FAILURES

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SUMMARY

Introduction: Information about HIV phenotypes of resistant to available ART and the influence of different risk factors on virological failures (VF) in Costa Rican HIV positive patients prior or during HAART is unknown.

Materials and methods: Eighty nine samples, 72 VF and 17 basal (before treatment) were analyzed by examining resistant mutants in reverse transcriptase (RT) and protease (PT) regions using Trugene or LIPA genotyping kits. Sixty eight control patients were selected and relevant information was collected in a questionnaire.

Results: Poor adherence, presence of resistant mutations and number of treatment’s changes were the only significant factors found \((p = 0.006, 0.04 \text{ and } 0.01 \text{ respectively})\). From 66 sequenced samples, 78%, 50% and 50% showed resistance to NRTI (nucleoside reverse transcriptase inhibitors), NNRT (non-nucleoside reverse transcriptase inhibitors) and PI (protease inhibitors), respectively. The most frequent mutations were M41L, M184V, and T215FY in RT and L62PI, L10FIRV and M36I in PT.

Discussion: The most important factor related to treatment response in this study was adherence to treatment. Mutations in RT were related to the treatment failure while the ones found in PT were secondary mutations which have been previously described to influence the selection of primary resistance mutations in these regions. The study reveals the urgency to detect resistant mutations in VF to be considered by physicians for selection of treatment schedule, to analyze basal HIV patients for monitoring of the spread of resistant mutations and the importance to reinforce the adherence in the patients for overall treatment outcome.

KEYWORDS: Human immunodeficiency virus; Anti-retroviral treatment; Resistance mutations; Highly active antiretroviral therapy; Risk factors; Response; Adherence.

INTRODUCTION

An estimated of 40 million people are infected with HIV/AIDS in the world, 90% in developing countries and of these five to six million are in need of anti-retroviral treatment (ART)\(^{11,19}\). ART reduces morbidity and mortality associated with HIV infection and enhances recovery of impaired immunologic function in most cases. However, these drugs also increase the possibility of developing resistance in patients with incomplete viral suppression\(^{11,16,17,19}\). Furthermore, ART is often not available in developing countries due to its high cost, a situation that was declared a global health emergency by the World Health Organization (WHO). Thus, in 2001 a partnership was launched between United Nations organizations and six major pharmaceutical companies in order to lower the prices of ART in developing countries which participated in the accelerated access initiative (AAI)\(^{19}\).

There is extensive documentation about development of mutants resistant to highly active antiretroviral therapy (HAART), as well as its occurrence in certain individuals before treatment. Important factors that influence the development of mutations include: poor patient compliance, high initial viral load, low basal CD\(_4\) levels, HIV subtype, co-infections with other agents, sub optimal drug levels and interaction with other drugs that would affect absorption, elimination and adverse reactions\(^{2,5,15,26,30}\).

In Costa Rica, the Social Security System (SSS) initiated HAART in 1997 with universal coverage in all the country, and approximately 1800 patients are under treatment at the present time. This situation represents a high cost for the SSS.

Virological failure (VF) is a reality accompanying ART and requires...
prompt detection and characterization of associated resistant mutants, in order to change the treatment to the most suitable scheme and stop accumulation of more mutants that could jeopardize treatment outcome. The test of drug resistance is highly recommended and even more so in developing countries where, drug options, monitoring tools, and alternative therapeutic schemes are limited\(^6,9,13,23\).

Sequence-based genotyping assays to determine ART resistant mutants have been developed by different commercial companies. These assays are complex, requiring careful technical performance and data interpretation, consistent test procedures and strict quality control to ensure reliable results. The understanding of the characteristics, limitations of the assay and complexity of resistance patterns is required for a consequent and trusty interpretation of drug test results\(^6,10,14,25\).

In Central America there are no published studies defining the degree of HIV diversity and the existence of phenotypes resistant to available ART.

The aim of this study was to detect and characterize ART resistant mutants in Costa Rican HIV positive patients prior or during HAART and with VF, to analyze the influence of different risk factors on the appearance of VF, as well as to evaluate the benefits of using resistant mutant information in order to choose an adequate treatment regimen.

**MATERIALS AND METHODS**

**Study population:** A total of 89 patients were selected from the SSS in Costa Rica during 2003, 72 from patients receiving HAART with VF and 17 basal samples from patients before treatment. VF was defined as an increase of viral load of \(\geq 1 \times 10^4\) copies/mL in two consecutive samples (Infectology Committee Criteria, SSS). A blood sample was collected from each patient (VF and basal) and the plasma was referred to the International Center of Medical Research and Training (ICMRT) and kept frozen below \(-40^\circ C\) until analyzed.

In addition 68 HIV infected individuals with HAART and without VF were selected as controls, matched in age and period of treatment.

**Data collection:** Each patient signed a consent form and a questionnaire was completed for each patient and control, based on the clinical record with the following information: HIV viral load (copies/mL), CD4 count (cells/mm\(^3\)), sex, age, place of residence, risk group (men who have sex with men, heterosexual, intravenous drug users), clinical presentation (AIDS, AIDS associated complex (ARC), asymptomatic), treatment initiation date, type of treatment, opportunistic infections, depression (yes or no), education level (no education, primary, secondary and university education), occupation (professional, technical businessman, manual worker, housewife), alcohol consumption (yes or no), use of illicit drugs (type of drug), adherence (good or bad, good adherence was defined as over 95% compliance with the prescribed regimen), type and change of treatment.

**Methods:** A total of 89 samples (72 VF and 17 basal) and the 68 samples of control group, were analyzed by viral load using the kit Amplicore HIV-1 version 1.5 (Roche, USA) according to manufacturer’s instructions. Each sample from a patient with VF was sequenced by the method of Trugene and basal samples analyzed using LIPA. Both methods permitted the detection of resistant mutants of the HIV RT and PT.

**RNA Extraction, RT-PCR and sequencing:** RNA was extracted from 1 mL of previously centrifuged (23,500 x g for 1 h at 8 °C) plasma samples, using a silica gel system QIAamp Viral RNA mini kit (Qiagen Inc, CA.). An RT-PCR of pol. gene of HIV was done and after sequenced for both the RT and PT region of interest with the Trugene HIV-1 Genotyping Kit (Visible Genetics, Canada) according to manufacturer’s instructions. Sequences analysis was performed using the Gene Open System and the VG Gene Librarian HIV Module, version 3.1.6 (Visible Genetics, Canada).

Analysis of basal samples was done by LIPA, courtesy of Innogenetics, Inc., at Gent, Belgium\(^6\).

**Statistical Analysis:** Analysis of the different variables was carried out using SPSS software. The \(X^2\) test with an \(\alpha\) value of less than 0.05 was defined as significant. A logistic regression was used to identify the most important variables associated to VF.

**RESULTS**

Patients with VF were predominantly males (88.4%), 64.5% were men who have sex with men and 89% were between 21 and 50 years old. Of these patients 46% had basal viral load of \(\geq 10^5\) copies/mL and 56% showed a basal CD4 count \(< 200\) cells/\(\mu L\).

The level of CD4 in the last samples, was \(> 200\) cells/\(\mu L\) in 94% of the control group and 70% of VF patients; with mean values of 551 and 343.75 cells/\(\mu L\), respectively. The mean basal CD4 level in control and VF patient was 189.8 and 166.8 cells/\(\mu L\), respectively. All controls had viral loads \(< 10^5\) copies/mL; in contrast only 7% of the VF patients (\(p \leq 0.000\)) with mean values of \(< 50\) copies/mL and 1.3 x 10^5 for each group, respectively (Figs. 1 and 2). The mean basal viral load was 1.8 x 10^5 for the VF patient and 1.67 x 10^5 for the control group.

Also, 68.7% of VF patients were clinically diagnosed as AIDS or ARC compared to only 17.7% in the control group.

**Fig. 1** - CD4 level in 68 basal samples of the control group (BC), 55 basal samples of the VF patient (BP), 67 last sample in the control group (CG), and 67 last sample in the VF patient (PG), analyzed during 2003.
Of the risk factors analyzed, the following were found to be associated with VF: poor adherence, history of depression, occupation (no professional or technical), low education level, alcohol ingestion, clinical presence of AIDS and/or ARC, type of initial ART, presence of resistant mutants and the number of change of treatment (Table 1). This association persisted only for poor adherence, presence of resistant mutants and the number of change of treatment after a logistic regression analysis \((p = 0.006, 0.04, 0.01\) respectively). The OR to adherence was 63.5 (3.1-1269.1; CI 95%), to change of treatment was 44.1 (2.4-809.5; CI 95%) and 11.4 (1.0-129.1; CI 95%) to resistant mutants.

The 60% of the patients showed good response to zidovudine, lamivudine, indinavir but only 29% to zidovudine, lamivudine, nelfinavir. When analyzing the adherence, a higher level was found when using indinavir vs. nelfinavir.

Of 72 samples analyzed for ART resistant mutants only 66 could be amplified. In these samples resistance to at least one family of antiretroviral drugs was observed: 51 samples (78%), showed resistance to NRTI, 33 (50%) to NNRTI and 33 (50%) to PI. A mixture of resistance mutants to NRTI and PI was observed in 49% of the samples and 24% presented at least one resistance to each of the three types of drugs.

The frequency of mutations related to partial or total resistance to one or more ART is shown in Table 2. The three most frequent mutations for RT were M41L, M184V, and T215FY. These mutations were previously described to cause resistance to zidovudine, lamivudine, abacavir, didanosine, stavudine and zalcitabine\(^{28,29}\). However, the three highest frequencies of PI mutations observed in the samples were L63PI, L10FIRV, M36I; these mutations contribute to resistance only when other mutations are present\(^{20,24}\). Of all the mutations, D67N, K103 N, M184V, in RT and L10FIRV, M46IL, L63PI, and A71TV in PI showed a significant association with VF in this study \((p = 0.03, 0.013, 0.002, 0.028, 0.033, 0.009, and 0.041\), respectively), when present alone or in combination with other mutations. This association disappeared when a logistic regression analysis was done with all the mutation together.

In the basal sample four of 14 samples could be sequenced showing the mutation M41L and two of them showed two or three more mutations associated with drug resistance.

**DISCUSSION**

The study population was mainly comprised of men who have sex with men and was compared with basal samples from the study groups
(control and VF patients); both showed high viral load and low CD4 in basal samples, as has been observed in overall HIV positives population in Costa Rica (HIV Control Department, Ministry of Health, Costa Rica).

A measurement of plasma HIV-1 viral load is included in the standard care procedure for management of all HIV-1 infected individuals. This determination provides prognostic information for a more efficacious therapeutic intervention. However, treatment failure occurs and is influenced by several risk factors; the most important one in this study was patient compliance to the ARV drugs in use.

The assays used to detect mutations (Visible Genetics) are customer friendly, provide reliable and reproducible results; however, personnel training is an extremely important requirement. The software was very reliable too, although the editing process as well is also very important\textsuperscript{10,12,14}. In this study no relationship was found between the outcome of treatment and the basal CD4 levels or basal viral loads in opposition to other studies\textsuperscript{1,12,18,22}. Earlier data collected in 1998 at the beginning of HAART in Costa Rica (unpublished), showed no relationship between basal viral load and outcome of ART ($r = 0.272$), but an association was observed with the basal CD4 levels ($r = 0.045$). In that study most of the VF were probably related to adherence, and other factors like clinical presentation, ART access and for all these factors, the existence of multiple resistant mutants that could not be taken into consideration due to absence of genotyping analysis before treatment changes.

The fact that more patients were adherent with zidovudine, lamivudine and indinavir than didoxovudine, lamivudine and nelfinavir was controversial since indinavir treatment is relatively more difficult to comply, and it causes more severe secondary effects. However, indinavir is a more potent drug than nelfinavir and that may be the reason for patients under this drug to have a better outcome\textsuperscript{10,31,32}.

The most frequent mutations found in the RT in this study (Table 2), cause total or partial resistance to zidovudine, stavudine, lamivudine, didanosine, zalcitabine, and abacavir\textsuperscript{28,29}. It is noteworthy that the three first drugs mentioned above were included in the initial triple treatment scheme of these patients. The NNRT resistant prevalence was 43\%, with mutants L103 N present in 31\% of the samples showing drug resistance to all three NNRT drugs used\textsuperscript{23}.

The mutations, D67N, K103 N, M184V, in RT and L10FIRV, M46IL, L63PI, and A71TV in PI showed a significant association with VF in this study, however, in order to disclose the importance of these mutations a logistic regression analysis was done and the loosing of significance found, showed that all mutations are interrelated, therefore it is not possible to establish the difference in weight of each one in the VF.

The study showed that very few resistant mutants to HIV antiviral drugs were detected on basal samples in this population (Table 2) and the ones present did not condition the outcome of treatment.

In summary, there seems to be an urgent need to detect resistant mutants, whenever there is a treatment failure, to guide the physician in the choice of a new ART scheme\textsuperscript{3,4,6,7,9,13,23}. Also, it would be important to reevaluate the “basal status” of patients going into HAART because with its use during many years, the HIV positive population can accumulate resistant mutants, which could interfere with the outcome of initial treatment\textsuperscript{13,20,23,27}. However, mutations associated to resistance were found only in two of 11 basal samples taken during 2003 and sequenced during this year (data not shown).

By far, as noted the most important factor related to treatment response was adherence, in agreement to other studies\textsuperscript{5,15,26,30}. Therefore physicians should consider adopt a more aggressive approach to improve the level of ARV drug adherence as a way to obtain a better treatment outcome.

RESUMEN

Estudio de mutantes resistentes a los antiretrovirales en pacientes con VIH con falla terapéutica y efecto de los factores de riesgo en el tratamiento

En Costa Rica no se tiene información a cerca de genotipos de resistencia para los tratamientos anti-retrovirales disponibles y la influencia de diferentes factores de riesgo en la falla virológica (FV) de pacientes VIH positivos previo o durante su tratamiento.

Ochenta y nueve muestras, 72 FV y 17 basales, fueron analizadas con Trugene o LIPA para la detección de mutantes de resistencia en la transcriptasa reversa (TR) y en la proteasa (PT) del VIH. Se seleccionaron sesenta y ocho controles y se recolectó información relevante en un cuestionario.

La mala adherencia, la presencia de mutaciones y el número de cambios de tratamiento fueron los únicos factores con significancia encontrados. ($p = 0.03, 0.04$ and 0.04 respectively). De 66 muestras secuenciadas, 78\%, 50\% y 50\% mostraron resistencia a los inhibidores análogos y no análogos de nucleótidos para la TR y la PT respectivamente. La mutaciones más frecuentes fueron M41L, M184V, y T215FY en la TR y L62PI, L10FIRV y M36d en la PT.

La adherencia fue el factor más importante relacionado con la respuesta al tratamiento. Las mutaciones encontradas en la TR estaban relacionadas al tratamiento mientras que las de la PT fueron mutaciones secundarias que propiciaban la aparición de las mutaciones asociadas a resistencia en esa región. Este estudio revela la necesidad de detectar mutantes de resistencia en pacientes con FV y de estudiar las muestras basales. Además la importancia de reforzar la adherencia en los pacientes para una mejor respuesta al tratamiento.

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REFERENCES


