Influence of mechanical surface treatments on the indentation fracture toughness of glass infiltrated zirconia toughened alumina “GI-ZTA” disks

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ABSTRACT

The main objective of this study was to evaluate the influence of mechanical surface treatments for excess glass removal on the fracture toughness of a glass infiltrated zirconia toughened alumina “GI-ZTA” disks (In-Ceram® Zirconia). The GI-ZTA disks were submitted to three different mechanical surface treatments after glass infiltration (grinding, sandblasting and grinding/sandblasting/annealing). Fracture toughness was evaluated through indentation fracture (IF) test. Reliability of tests results was accessed through Weibull statistics. Results: Indentation fracture tests (IF) of GI-ZTA disks have shown that grinding was the surface treatment that presented the lowest $K_{Ic}$ and reliability. An annealing treatment after grinding and sandblasting promoted an increase in $K_{Ic}$, mainly due to monoclinic-tetragonal reverse transformation recovering the tetragonal zirconia lost during the different mechanical surface treatments. The highest $K_{Ic}$ values were observed after sandblasting and grinding/sandblasting/annealing. Significance: The proposed mechanical surface treatments played an important role on the metastability of tetragonal zirconia and strongly influenced the mechanical performance of GI-ZTA. Kruskall-Wallis test indicated that $K_{Ic}$ values of the three mechanical surface treatments were statistically distinct.

Keywords: Fracture toughness, zirconia, glass infiltrated ZTA, mechanical surface treatments.

1 INTRODUCTION

The loss or destruction of teeth due to periodontal disease, caries or trauma, have lead dentistry and engineering professionals to develop new materials and search for prosthetic treatments for the replacement of those missing teeth.

Until recently, all ceramic restorations were indicated only for single crowns and partial coverage restorations due to their low flexural strength and fracture toughness. Although the relationship between mechanical properties and their clinical performance are influenced by many factors, some of those properties have been used as initial parameters to determine the clinical potential and limitations of these materials [1].

The In-Ceram® Zirconia (Vita Zahnfabrik) is composed of α-alumina with 33wt% partially stabilized zirconia partially sintered in two steps at low temperatures (1120 and 1180°C) to avoid shrinkage followed by glass infiltration at 1140°C, resulting in a dense and high strength ceramic core, mainly used for replacing posterior teeth with all-ceramic restorations.

There is a straight relationship between strength and fracture toughness in brittle materials, where the critical crack size to initiate failure is determined by the inherent flaw population introduced during material processing and component manufacture [2-5].
The toughening mechanism of zirconia ceramics by mechanical surface treatment is based upon the development of a compressive surface layer induced by tetragonal-monoclinic transformation; compressive stresses and microcracks hindering crack propagation [6-11].

The strengthening of zirconia toughened ceramics depends on the amount of transformed zirconia on the deepest region of the compressive surface layer, which increases with the intensity of grinding. Otherwise, severe grinding may cause cracks that could extend beyond the compressive surface layer; in that case, the mechanical properties would decrease drastically [3, 4, 12].

Sandblasting has the ability to promote microcrack nucleation and a compressive state at the surface with no increase in temperature. MOON et al. [13] reported that sandblasting were able to introduce dislocations as well as great density of micro cracks. GUAZZATO et al. [11] and KOSMAC et al. [4] indicate that sandblasting flaws have the nature of true microcracks. They observed an extended surface damage produced by sandblasting, which were only partially compensated by the tetragonal-monoclinic transformation.

It is known that in zirconia based ceramics, the toughening mechanism is mainly achieved by the tetragonal-monoclinic transformation. An annealing treatment may be capable of recovering some tetragonal phase lost after grinding and sandblasting. It is also possible that other mechanisms, such as microcracking, crack bridging, crack deflection, and second-phase particles may contribute to an increase in toughening.

Mechanical tests in ceramic materials are difficult to compare. Biaxial flexure tests tend to produce higher values than three-point flexural tests mainly due to the tensile stress concentration on the edges of the three-point test samples and the relative volume under tensile stress [14, 15]. These results, some of them being conflicting, emphasizes the need of knowing the type of test used in the experiment.

The aim of this work was to investigate which type of surface treatments for molten glass removal in a commercial GI-ZTA would result in minor loss of transformable tetragonal zirconia and better mechanical performance. Fracture toughness by indentation fracture (IF) of glass infiltrated ZTA disks was evaluated after three different mechanical surface treatments named grinding, sandblasting and grinding followed by sandblasting and annealing.

2 MATERIALS AND METHODS

Thirty six ZTA disks (In-Ceram®Zirconia) disks (diameter = 25mm and width = 2.5mm) were molded by slip casting followed by partially sintered at 1180°C. After partial sintering the ZTA disks were glass infiltrated at 1140°C for 2 hours. After those treatments, the infiltrated ZTA disk, called GI-ZTA, was submitted to different mechanical surface treatments for excess glass removal: Grinding (group 1), sandblasting (group 2) and grinding followed by sandblasting and annealing (1000°C) (group 3). In grinding, the infiltrated sample were submitted to a cylindrical diamond bur at 20,000rpm without cooling until complete glass removal. In sandblasting, the sample was positioned at an angle of 45° and 2.0cm far from the sandblaster (alumina particles 50μm and constant pressure 3bar). Figures 1 and 2 show, respectively, the technique for excess glass removal by grinding and sandblasting.

Young modulus of GI-ZTA disk (254.9 GPa) was determined from an ultrasound non-destructive test and Vickers hardness (9.8 GPa) according to ASTM C1327-99. Fracture toughness (IF) was determined according to the equation (1) proposed by ANSTIS et al. [16], where P = load (N), c = crack length measured from the center of the Vickers indentation (mm), H= hardness (GPa), E= Young modulus (GPa) and 0.016 = Numeric factor. In order to determine crack length, samples were mirror polished with diamond paste.

\[
K_{lc} = 0.016 \left( \frac{E}{H} \right)^{1/2} \left( \frac{P}{c^{2/3}} \right) \tag{1}
\]

Ten Vickers indentations (59 and 98 N) were made on each sample. An oil drop was placed on the surface of each disc just before the indentation in order to prevent moisture on the crack tip. The criteria of indentation acceptance were: presence of only four radial cracks with crack length no less than 2.5 times the length of the indentation half diagonal; cracks coming from the corners of the indentation; symmetry of the indentation; absence of chipping and absence of pores along indentation perimeter. Figure 3 shows accepted and discarded cracks from an indented sample.
Figure 1: Grinding technique for excess glass removal

Figure 2: Sandblasting technique for excess glass removal

Figure 3: An accepted radial cracks (a) and a discarded indentation due to several radial cracks (b)

Thirty indentations were selected that fulfilled those criteria. The measurements of crack length were made on an Olympus optical microscope immediately after the indentations.

Statistical analysis of fracture toughness results was made with Kruskall-Wallis non-parametrical test. The dispersion was also accessed using Weibull statistics by plotting the probability of survival of one
unit of the material versus normalized fracture toughness, in a similar manner as the Weibull analysis for flexural strength data. This analysis was conducted to evaluate the reliability of the infiltrated ZTA disk submitted to the different mechanical surface treatments.

The methodology adopted to quantify the tetragonal zirconia was based on the equations proposed by GREGORY et al. [22] (equation 2) and GARVIE and NICHOLSON [23] (equation 3)

$$X_m = \frac{1,603xI_m(1\overline{1})}{1,603xI_m(1\overline{1}) + I_t(111)}$$ (2)

$$X_m = \frac{I_m(111) + I_m(1\overline{1})}{I_m(111) + I_m(1\overline{1}) + I_t(111)}$$ (3)

Where $X_m$ is the relative amount of monoclinic zirconia, $I_m(xyz)$ the relative amount of monoclinic and $I_t$ the relative amount of tetragonal zirconia and (xyz) the Miller indexes of a specific diffraction plane.

Due to the superposition of a diffraction peak of monoclinic zirconia $I_m(1\overline{1})$ with a non-identified peak from the glass phase (NI), both equations (2) and (3) were merged generating equation (4).

$$X_m = \frac{2,658xI_m(111)}{2,658xI_m(111) + I_t(111)}$$ (4)

3 RESULTS

Figure 4 shows the backscattered image of ZTA disks after partial sintering. It can be seen that the particle size of zirconia is significantly lower than alumina and it form clusters in different regions of the alumina matrix. Figure 5 shows the backscattered image of the GI-ZTA disks, after partial sintering followed by glass infiltration.

**Figure 4:** Backscattered scanning electron microscopy of a ZTA disk after partial sintering. White grains are zirconia and grey ones alumina. Some zirconia clusters are easily seen.
Figure 5: Backscattered scanning electron microscopy of GI-ZTA disks before mechanical surface treatment (a) and after mechanical surface treatment (b)

It can be seen from figure 5-a that some crystal needles from the glass phase was formed at the ZTA surface, mainly due to the slow cooling of GI-ZTA after glass infiltration. Figure 5-b, show the same sample after excess glass removal by mechanical surface treatments followed by polishing.

Table 1 shows the mean, standard deviation and Kruskall-Wallis significance test of the fracture toughness of GI-ZTA disks submitted to the different mechanical surface treatments.

<table>
<thead>
<tr>
<th>Surface Treatments</th>
<th>$K_Ic$</th>
<th>Standard Deviation</th>
<th>Significance $\alpha = 0.005$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grinding</td>
<td>3.50</td>
<td>0.63</td>
<td>A</td>
</tr>
<tr>
<td>Sandblasting</td>
<td>4.65</td>
<td>0.57</td>
<td>B</td>
</tr>
<tr>
<td>Grinding + Sandblasting + Annealing</td>
<td>4.76</td>
<td>0.64</td>
<td>C</td>
</tr>
</tbody>
</table>

The reliability of fracture toughness of GI-ZTA disks for the different mechanical surface treatments, was evaluated through Weibull statistics, in the same manner as for flexural strength data of ceramic materials. Table 2 show the apparent Weibull modulus of those distributions. Figures 3 show the Weibull distributions of the fracture toughness tests of GI-ZTA disks after different mechanical surface treatments.

Table 2: Correlation coefficient and apparent Weibull modulus of the fracture toughness tests of GI-ZTA disks submitted to different mechanical surface treatments for excess glass removal.

<table>
<thead>
<tr>
<th>Surface Treatments</th>
<th>Correlation Coefficient ($R^2$)</th>
<th>Apparent Weibull Modulus (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grinding</td>
<td>0.95</td>
<td>15.50</td>
</tr>
<tr>
<td>Sandblasting</td>
<td>0.98</td>
<td>22.20</td>
</tr>
<tr>
<td>Grinding + Sandblasting + Annealing</td>
<td>0.98</td>
<td>20.60</td>
</tr>
</tbody>
</table>
Figure 6: (a) Weibull distribution of fracture toughness of GI-ZTA disks after grinding; (b) Weibull distribution of fracture toughness of GI-ZTA disks after sandblasting; (c) Weibull distribution of fracture toughness of GI-ZTA disks after grinding/sandblasting/annealing

4 DISCUSSION

Many studies concerning the effect of grinding on advanced ceramics had been carried out and still are being made [4, 6, 8-11, 19, 20]. It seems to be an agreement that the influence of grinding on microstructure and mechanical properties of zirconia based ceramics depend on many factors, such as: type and amount of stabilizer, processing steps, type of abrasive and degree of grinding (speed, pressure and cooling), as well as the nature and size of the surface compressive layer introduced by the grinding process.

GARCIA et al. [9] reported that the influence of grinding on the toughening mechanism of zirconia based ceramics could be achieved by transformation toughening and microcracking, in which particles that were hit by the abrasive would tend to generate microcracks around themselves, deflecting cracks and in consequence increasing toughness. LUTHARDT et al. [20], however, observed that deep cracks in Y-TZP introduced by grinding through CAD/CAM process far exceeded the compressive surface layer, damaging the microstructure and decreasing its mechanical properties.

On the other hand, sandblasting seems to be a mild surface treatment. GUAZZATO et al. [21] reported that a rough and damaged surface could be observed after sandblasting, but those defects were fairly smaller than from grinding. KOSMAC et al. [4] observed that after sandblasting, the thickness of the monoclinic surface layer was found to be larger than in grinded samples, indicating that not only stresses but also the locally developed temperatures being lower during sandblasting, would led to an increase in strength and in Weibull modulus. This behavior was not observed by GUAZZATO et al. [21]. They argued that the surface damage and the high stresses developed by the abrasive particles were only partially counteracted by the tetragonal-monoclinic transformation, resulting in strength degradation and lower Weibull modulus. In the present work, GI-ZTA disks after sandblasting, presented a higher fracture toughness and highest reliability. Quantitative X-ray diffraction of GI-ZTA disks after the different mechanical surface treatments shown in Table 3, agrees with the previous results reported by KOSMAC et al. [4] and GUAZZATO et al. [21].
Table 3: Quantitative phase distribution of GI-ZTA after the three different mechanical surface treatments

<table>
<thead>
<tr>
<th>Mechanical Surface Treatments</th>
<th>Monoclinic (%)</th>
<th>Tetragonal (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grinding</td>
<td>1.7</td>
<td>98.3</td>
</tr>
<tr>
<td>Sandblasting</td>
<td>9.0</td>
<td>91.0</td>
</tr>
<tr>
<td>Grinding + Sandblasting + Annealing</td>
<td>0.5</td>
<td>99.5</td>
</tr>
</tbody>
</table>

Based on these facts, caution should be taken when considering the effect of sandblasting on zirconia-based ceramics due to differences in size of the abrasive particles and pressure employed. Under low pressure, only the zirconia particles from the surface undergo the tetragonal-monoclinic transformation, causing the flaws and defects to be very small and not exceeding the thickness of the compressive surface layer. Otherwise, higher pressure would tend to produce larger flaws that could exceed the thickness of the compressive surface layer, decreasing its mechanical strength and not affecting the amount of monoclinic zirconia at the surface.

The mechanical strength of any brittle material is determined by two main factors: resistance to crack propagation (fracture toughness) and flaw size. Based on these observations, the results of fracture toughness (Table I) indicated that group 1 (grinding) presented the lower fracture toughness due to the high flaw density introduced by grinding. Sandblasting (group 2) presented a significantly higher toughness compared to group 1, mainly due to the shallower and more uniform cracks in comparison to grinding. Group 3 (grinding followed by sandblasting and annealing) promoted an effective tetragonal-monoclinic reverse transformation mainly due to the annealing treatment. Annealing may have possibly caused crack tip healing by the glass phase.

5 CONCLUSIONS

- The mechanical surface treatments for excess glass removal played an important role on the stability of tetragonal zirconia, affecting the fracture toughness of ZTA. Although grinding did not affect significantly the relative amount of tetragonal zirconia, it introduced defects which decreased its fracture toughness;
- Sandblasting produced the smallest dispersion on $K_{IC}$, although it did not present the highest $K_{IC}$; this observation indicated that sandblasting introduced uniformly distributed shallow cracks which led to a higher reliability. The toughening mechanism could be explained by the great amount of monoclinic phase introduced by sandblasting;
- Grinding followed by sandblasting and annealing presented the highest $K_{IC}$. In this case, annealing recovered some tetragonal zirconia lost during both surface treatments.

6 REFERENCES


