A psychiatric perspective view of bariatric surgery patients

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Abstract

Background: Bariatric surgery is the only procedure that has significant results in weight loss and improvements in medical comorbidities in morbid obese patients. Severely obese patients are also associated with a higher prevalence of psychiatric disorders and poor quality of life. Objective: To evaluate specific areas of psychopathology in individuals undergoing bariatric surgery. Methods: A review of the literature was conducted from January 2002 to March 2014 by researching PubMed database using the following query: "morbid AND obesity AND bariatric AND surgery AND (psychiatry OR psychology)". Results: Overall improvements in eating behaviors, mood disorders and body image are reported after bariatric surgery, and the mechanism is not enlightened. Risk of suicide and consumption of substances of abuse, especially alcohol, after gastric bypass surgery are problems that clinicians must be aware. Discussion: Bariatric patients should be monitored after surgery to identify who did not show the expected benefits postoperatively and the ones who develop psychiatric symptoms after an initial positive response.

Keywords: Morbid obesity, bariatric surgery, psychiatry, psychology.

Introduction

Obesity is associated with increased risk of medical complications1. Morbid obesity (MO) is defined as having a body mass index (BMI) ≥ 40 kg/m². In the United States, between 2000 and 2010, the prevalence of a BMI > 40 kg/m² increased by 70%, whereas the prevalence of BMI > 50 kg/m² increased even faster2.

Pharmacological treatment, diet regimens and lifestyle alterations do not seem to be effective in morbidly obese patients3. Therefore, bariatric surgery is considered the most effective treatment in severe obesity, yielding more enduring weight loss3. Several surgical procedures are performed: Roux-en-Y gastric bypass (RYGB), sleeve gastrectomy, laparoscopic adjustable gastric banding (LAGB), vertical banded gastroplasty and bilipancreatic diversion4,5.

Psychiatric problems are also common among morbidly obese patients and bariatric surgery may often contribute to improve it. The Swedish obese subjects (SOS) intervention study compared several psychosocial variables between a surgical group and a conventional group treated with diet and exercise counseling over ten years of follow-up. A significantly better outcome in depression, health perception, social interaction and psychosocial functioning was achieved by the surgical group whereas no significant differences were found in overall anxiety6. Furthermore, patients submitted to surgery lose significantly more weight than non-operated patients7,8.

Several studies have reported a higher prevalence of psychiatric disorders in patients seeking bariatric surgery comparing to general population9, as seen in other medical diseases, such as cancer10,11. Preoperative studies demonstrated that 20%-50% had a current psychiatric diagnosis12. Furthermore, at least one Axis I disorder was reported by 37%-43% of patients13,14. Recently, one study stated social phobia as the most prevalent Axis I disorder14, in contrast to previous studies, where major depressive disorder was the most common15,16,17,18. In addition, studies reported preoperative prevalence rates of 15%-33% for mood disorders and of 24%-30% for anxiety disorders10,11. High incidences of somatization, hypochondriasis and obsessive compulsive disorder were also found in bariatric patients19. Moreover, the prevalence of binge eating behaviors and binge eating disorder (BED) is variable with a range from 4%-40%15,13,19,20.

A large number of published studies have shown an overall improvement in mental health and psychosocial factors related to metabolic improvement and weight loss in most individuals undergoing weight loss surgery19,20. Additionally, a greater appreciation of life, greater sense of inner strength and improvement of interpersonal capabilities were experienced21. This alleviation of psychological, psychosocial and psychiatric status led to the suggestion that the negative psychiatric features result from the condition, the patient’s severe obesity, and not the underlying character of the individual. On the other hand, improvements in psychological functioning were observed even though some patients remained obese after surgery when only a small amount of weight was lost. Therefore, greater optimism, higher self-esteem and taking an active role in life, leads to a better outcome20.

However, not all studies have demonstrated a positive impact of bariatric surgery and a growing body of evidence has suggested that some of the stated benefits seem to be limited to the first years following weight loss surgery22. For instance, Scholtz et al. reported that nearly half of the sample developed a psychiatric disorder five years after bariatric surgery and those with a past of a psychiatric disturbance being significantly more at risk. Furthermore, postoperative psychiatric disorder predicted a lower probability of achieving a good outcome23.

It has been reported that despite limited weight loss or side effects following bariatric surgery, most patients were satisfied with the results and did not regret the surgical option24. However, up to 20% appeared to be dissatisfied and the reasons pointed were psychosocial problems, surgical complications and not fulfilling their weight expectations25,26.

Therefore, weight loss and improvement in medical comorbidities should be seen as successful factors after bariatric surgery, but the amelioration of psychiatric symptoms, eating behavior, psychosocial variables and quality of life are important outcomes to consider27. Thus, the aim of this review is to evaluate specific areas of psychopathology in individuals with morbid obesity underwent to bariatric surgery, such as, eating behaviors, mood disorders, body image, suicide and substances of abuse.
Methods

The relevant literature was identified by searching in Pubmed database. Some limits were established prior to be searched, such as, publication date from January of 2002 to June of 2015, studies in humans and articles written in English.

The query used was: “morbid” AND “obesity” AND “bariatric” AND “surgery” AND (“psychiatry” OR “psychology”). A total of 422 articles were found. After eliminating the duplicates, 416 articles remained.

From the initial group of articles identified, 286 were excluded after abstract reading phase. The following exclusion criteria were used: studies in children or adolescents (n = 33); preoperative studies (n = 88); evaluating other aspects postoperatively that not psychiatric symptoms or disorders (n = 48); specific population studies (n = 7); non-morbid obese patients (n = 2); description of surgical procedures (n = 14); studies for analyze of psychological questionnaires (n = 15); studies related to medical comorbidities (n = 25); studies related to plastic surgery (n = 15); non-surgical studies (n = 13); studies with no available abstract (n = 12); clinical cases or opinion pieces (n = 14).

From the 130 articles of interest, the full-text of 20 articles remained inaccessible and 110 full-texts were read. The following inclusion criteria were used: studies which evaluated post-operative eating behaviors, post-operative weight loss, mood disorders, suicide, body image and substance use. Therefore, a total of 75 articles were included from the research. Further articles were included after inspection of references list from relevant articles.

Results

Eating behaviors

Eating patterns such as binge eating, night eating syndrome, “grazing” and excessive fluid intake are common in bariatric seeking population. Assessing postsurgical data regarding eating behavior is considered difficult and one of the pointed drawbacks is the difference in definitions of binge eating employed by different studies. Binge eating disorder (BED) is characterized by recurring episodes of eating significantly more food in a short period of time than most people would eat under similar circumstances, with episodes marked by feelings of lack of control. It is associated with marked distress, physical and psychological problems and occurs, on average, at least once a week every three months.

Despite the inconsistencies, the majority of studies report a decrease in binge eating and an improvement in eating behavior after bariatric surgery. Moreover, most patients report a smaller decrease in binge eating and an improvement in eating behavior once a week every three months.

Several studies have evaluated differences in weight and psychopathology between binge eaters (BE) and non-binge eaters (NBE) postoperatively. We need to be aware which surgery procedure was selected and we must account with that because we can have only a small amount frequently throughout the day. However, the result is a greater intake of food which often ends in weight regain. Emotional/stress eaters have difficulty in dealing with negative emotions and food may provide a transient shelter to avoid confronting difficult feelings. The type of food usually consumed during these episodes, such as, candies or fast food have negative gastrointestinal symptoms, for example, nausea, vomiting or dumping syndrome. However, some patients persist in their attempts to eat in response to stress or emotional events. Instead of the large amount they used to ingest before surgery, patients consume high-fat and sugar content food in smaller amounts or drink sugar-sweetened beverages, so they will experience minimal gastrointestinal adverse symptoms. Snacking post-surgery has been associated with poor weight loss.

Night eating syndrome (NES) is characterized by recurrent episodes of evening hyperphagia, poor sleep onset or maintenance, morning anorexia and a habit of getting up to eat during nocturnal awakenings. Additionally, the night eating has to be associated with significant distress. A few studies have investigated this disorder after bariatric surgery. Latner et al. have reported that postoperative nocturnal eating is associated with greater postsurgical BMI and less treatment satisfaction. On the other hand, Morrow et al. have shown no differences in weight loss after RYGB between the night eaters group and control group. However, diagnostic criteria are inconsistent between studies which make it difficult to establish comparisons and draw conclusions. Therefore, NES definition should be revised and an agreement on the behavioral features and diagnostic criteria should be established. Furthermore, more studies evaluating this disorder in the severely obese population and the impact on bariatric surgery outcomes are required.

Symptoms such as vomiting, constipation and decreasing appetite are often seen after bariatric surgery. However, these symptoms may also be reported by patients with eating disorders. Therefore, it may be difficult for the clinician to distinguish if these symptoms are due to an eating problem or a surgical consequence. Patients may vomit in response to intolerable food or non-compliance to the dietary regimen imposed by bariatric surgery. However, De Zwaan et al. have interviewed 59 patients 2 years after RYGB about a range of eating behaviors. Vomiting was reported by over 60% of the participants and self-induced vomiting with the goal of influencing shape and weight was admitted by 12%. An intense fear of regaining weight or dissatisfaction with body image secondary to hanging skin, excessive preoccupation with weight and the use of restricting and purging behaviors have been referred by bariatric patients. Furthermore, it was suggested that other postoperative undesirable events, such as “plugging” (problems with the small opening of the stomach becoming plugged with food) and dumping syndrome (intense discomfort after sweet ingestions accompanied by nausea, vomiting, bloating, cramping, diarrhea, dizziness, fatigue, weakness and sweating), may lead patients to engage in compensatory or restrictive behaviors. The reasons for this are the uncomfortable feeling of having overeaten or having consumed food that is difficult to tolerate after surgery. Therefore, when examining postoperative
eating patterns it is essential to investigate the motivation for them, especially because a postoperative eating disorder, such as bulimia nervosa can be easily confused with physical consequences of the surgical procedure.

All the postoperative inconsistent results make eating behavior a controversial area. Long-term research and standardized means of evaluation and diagnosis should be used in order to create clinical guidelines to ameliorate bariatric surgery follow-up and facilitate the early diagnosis of eating disorders after surgery.

**Mood disorders**

The majority of studies have reported a decrease in depression and depressive symptoms after bariatric surgery\(^{42,27,46-51}\). Women seem to show a more marked decline than men\(^6\). Some studies have stated significantly reduced levels of depression symptoms 1, 2, and 10 years after bariatric surgery in comparison with the preoperative period\(^{36,38}\). Other findings indicate an initial improvement in the first years postoperatively followed by the reappearance or worsening of depressive symptoms which seem to be associated with weight regain or weight stabilization\(^36\). Studies have reported increased levels of depression after 5 years when compared to the first year following bariatric surgery\(^{49}\).

Considering anxiety symptoms, an overall improvement is observed after bariatric surgery\(^{24,27,50,52}\), however, it is smaller when compared to depression symptomatology\(^{44,46}\). On the contrary, other studies reveal no significant changes in anxiety measurements postoperatively\(^{48,50,51}\).

It has been suggested that a larger weight loss after surgery is associated with a more significant decrease in depressive and anxiety symptoms\(^{19,40}\). Additionally, a significant long-term relationship was found between depression and greater weight loss but the same did not happen for anxiety symptomology\(^4\). Moreover, some studies have demonstrated that bariatric patients may achieve normal scores of depression and anxiety following bariatric surgery\(^{49,51}\).

De Zwaan et al. have examined the course and prognostic significance of anxiety and depressive disorders in a sample of 107 bariatric patients using face-to-face interviews conducted prior to surgery and two times postoperatively (6-12 months and 24-36 months). They have reported that preoperative depressive disorder predicted depressive disorder 24-36 months after surgery whereas preoperative anxiety significantly predicted postoperative anxiety disorders at both follow-up time points. In addition, postoperative depressive disorder was significantly associated with less weight loss whilst postoperative anxiety disorder was not associated with the degree of weight loss at any follow-up time-point\(^{49}\).

Nevertheless, some studies do not report the expected improvement in depression and anxiety symptoms\(^{45,46}\). These inconsistent results may imply the presence of confounding factors. A prior trauma has been suggested as a possible candidate. Bariatric patients with traumatic background may present psychiatric complaints that are not fundamentally weight-related. Thus, traumatic histories might mediate the relationship between poorer postoperative weight loss and the maintenance of psychiatric symptoms\(^{41}\). Another implicated factor was the patient’s expectations after surgery that may have a negative impact if the expected results are not obtained\(^1\). Furthermore, it may be difficult to cope with the persistence of pre-surgical problems or new negative life events which were attributed to obesity in the past\(^{46}\). Regarding psychiatric medication, some studies showed a decrease in their overall usage following bariatric surgery\(^{42,27}\). Although, one study has reported no cases of symptomatic depression postoperatively, 32% of patients were taking antidepressant medication to maintain the level of symptomatic control\(^{51}\). Analyzing antidepressant medication specifically, a study has stated that the number of patients being treated for anxiety and depression did not change during the 2 years period of follow-up\(^{49}\). Moreover, in a retrospective study, Cunningham et al. have studied the changes in the use of antidepressants medication after RYGB in a sample of 439 patients. These authors have observed that 23% of patients had an increase in their antidepressant use, 40% continued to require the same dose of antidepressant, 18% had a change in antidepressant medication and only 16% of patients had a decrease or discontinued the antidepressant therapy\(^{47}\). In addition, in a sample of 67 bariatric patients with high level of depressive symptomology it was found that 30% used antidepressants preoperatively and 24% were on antidepressants after bariatric surgery\(^{49}\).

As the anatomy of the gastrointestinal tract is altered following bariatric surgery, drugs will not be absorbed as well. Beyond the absorption, distribution and elimination are pharmacokinetic processes we must consider. Each of these processes may be impacted by RYGB. With adjustable gastric banding the majority of these issues do not apply.

Roerig et al. have evaluated the changes in pharmacokinetics of the antidepressant sertraline after RYGB. There was a significantly smaller area under the plasma concentration/time curve in the postoperative group compared to the nonsurgical control group, which suggests an altered exposure to sertraline\(^{43}\). Despite the increasing numbers of bariatric surgeries performed, there is no accepted consensus regarding to antidepressant dosages after the different forms of bariatric surgery. Other aspect of concern is the choice of antidepressant taking into account the weight gain and the metabolic chances that may occur during the treatment with antidepressants\(^{44}\). Depressed patients are encouraged to receive pharmacologic treatment. Attempts should be made to place the patient on weight-neutral medications, avoiding medication such a tricyclic antidepressants or mirtazapine, which are known to cause weight gain\(^{45}\). For these reasons, postoperative monitoring of patients taking psychopharmacological medication is recommended. More knowledge needs to be acquired in the area of psychotropic medication pharmacokinetics in order to create clinical practice directives for the best care of bariatric patients\(^{46}\).

Three studies were found analyzing bipolar disorder (BD) and bariatric surgery. BD is considered in some bariatric programs as a definite contradiction to surgery while others consider BD with controlled symptom a possible contraindication\(^{47,48}\). Nevertheless, not a large number of studies were found that can confirm or refute these assumptions. Interestingly, one study has reported that patients with a lifetime mood disorder diagnosis had a significant lower weight loss than patients without a psychiatric disorder, however, after removing the patients with BD no significant differences were found in weight loss. Some explanations were elaborated, for example the impulsivity, which is characteristic of this disorder, might make difficult to adhere to behavioral modification imposed by the surgery. Furthermore, lithium, a medication which can be used in the treatment of BD, has been shown to have a negative effect on memory and cognitive processing. Moreover, mood stabilizers are associated with an increased risk for several physical diseases, including obesity, dyslipidemia, *diabetes mellitus*\(^{48,49}\). On the contrary, another study has showed that patients with BD achieved positive weight loss outcomes at 12 months which were not significantly different from the patients with other psychiatric diagnosis or with no psychiatric disorder\(^{47}\). Additional research is needed regarding BD and bariatric surgery outcomes.

**Body image**

Body image dissatisfaction is more common in women and is associated with higher incidence of depression, low self-esteem and perfectionism\(^{13,54}\). A great psychological stress is observed due to stigmatization of obesity\(^1\).

Several studies demonstrated that body image impairment, attractiveness and lack of familiarity with the body improved after bariatric surgery\(^{16,19,20,23,29}\). Additionally, less body shape and weight concerns were reported\(^{41}\). However, most studies have only investigated changes in the first and second year after surgery\(^{16,27,29}\).

Although an overall improvement was found in body image after surgery, some studies have demonstrated poor results when
compared to general population samples or non-obese populations. Other authors have stated that body image-related concerns reached normal values postoperatively despite the fact that most patients continued to be overweight or obese.

Nevertheless, residual body image dissatisfaction due to increasing and/or sagging skin has been reported following weight loss surgery. Kinzl et al. have observed that 70% of obese patients who achieved a severe weight loss complained about flabby skin in their upper arms and thighs, abdominal flap and pendulous breasts. The authors have also stated that patients who were satisfied with their physical appearance lost less weight. On the other hand, other studies have suggested that patients who had lost more weight were more satisfied with their image.

The skin excess resulting from bariatric surgery is a common cause of functional and esthetical impairment which increases the desire and search for cosmetic surgery. Pecori et al. have demonstrated in a small sample that patients who underwent aesthetic surgery had similar results in body image improvements in a 2-year follow-up when compared to patients who were only submitted to bariatric surgery. In addition, the authors have reported that individuals who sought aesthetic surgery had similar values of body uneasiness and dissatisfaction to those of severely obese patients.

Therefore, bariatric surgeons must be advised to counsel their patients before surgery regarding body changes that they may experience postoperatively in order to prevent the psychological distress caused.

Inconsistent results were found relating body image and weight loss. Some studies have demonstrated that body image dissatisfaction did not correlate with weight loss or marginally correlated, whereas, other authors have showed a more positive body image when the patient loses a greater amount of weight. As a result, more studies are needed to elucidate the relationship between body image satisfaction and weight loss. Additionally, mediating variables that affect body image, such as self-esteem, appearance investment, social support and expectations of weight loss should be investigated.

Interestingly, one study was found in which body image satisfaction was compared between a night eaters group (NE) and control group (C) 5 months after RYBG. The two groups did not differ in weight loss or reductions in waist circumference. However, reported body image perceptions improved less in NE than C, the NE individuals saw themselves as heavier than they were.

Suicide

Severely obese people may have an increased mortality by suicide as stated Mirabelli et al., in an Italian cohort study. Other study which has evaluated the long-term mortality after bariatric surgery in a series of patient has reported a greater number of suicides in the surgical group comparing to the controls. Tindle et al. have studied bariatric patients over a 10-year period and compared their suicide data to the U.S. and Pennsylvanian populations. They have reported an increase of suicides in the surgical group. The incidence of suicide in the surgical group was 6.6/10,000, which included 13.7/10,000 for men and 2.2/10,000 for women. These results were compared to the US population suicide rates (2.4/10,000 for men and 0.7/10,000 for women). The majority of the deceased were Caucasian women. The reported average time to death was about 3 years after surgery (10% in the first year, 29% in the second and 68% in the third). Furthermore, suicides were categorized by modes of death (drug overdose, gunshot wound, carbon monoxide poisoning and hanging).

One study has been recently published comparing the reported suicide data after bariatric surgery with the Tindle et al. study and World Health Organization (WHO) data. Using 28 studies, they estimated a suicide rate after weight loss surgery of 4.1/10,000, which was significantly lower than the one reported by Tindle et al. Nevertheless, they report a four times higher probability of bariatric patients committing suicide when compared with WHO latest data (1.0/10,000). Regarding time frame, suicides occur between 18 months and 5 years after surgery.

In this important problem of the suicide we must account with the multiple factors like the disinhibition and potential impulsivity secondary to changes in the alcohol absorption, the recurrence of medical comorbidities after bariatric surgery, the effect of malnutrition on the brain, the metabolic or pharmacokinetic changes that may affect the absorption of psychiatric medications, all of these can happen after bariatric surgery. Furthermore, we can not to have a superficial look with the association between suicide and the fact of have been submitted to a bariatric surgery. Recently, in a review, possible risk factors for increased suicide following bariatric surgery were addressed which included disappointment with the amount of weight loss, unresolved or recurrent medical conditions, and continued or recurrent physical mobility restrictions. Unrealistic expectations about the outcomes of surgery, the distress with the limitations in the diet and the diverse care applied postoperatively, are potential factors attached to suicide risk in post-surgery period. Patients need more intensive postoperative care, particularly medical, nutritional or psychotherapeutic care. A special aim of a multidisciplinary program must be to identify those patients who need specific interventions. Postoperative follow-up in multidisciplinary regimen (surgeon, endocrinologist, psychiatrist or psychologist and nutritionist) is of great importance.

Although studies refer an increased rate of suicide, there is a significant variation in the characteristics of the studies and length of follow-up. Therefore, it is difficult to establish corrective comparisons between patients after bariatric surgery and the general population.

Substance use

A prospective investigation of alcohol use disorders (AUD) before and after bariatric surgery found a significantly higher prevalence of AUD in the second postoperative year, overall, and specifically post-RYGB, compared to the years immediately before and following surgery. Ertelt et al. have reported that a small percentage of the sample developed an AUD after gastric bypass, despite not having a previous dependence. Moreover, one study has reported a higher frequency of alcohol abuse after RYGB than LAGB.

Studies have reported that individuals submitted to bariatric surgery noticed that they became more intoxicated after consuming less alcohol and that there is a more rapid onset of intoxication effects comparing to the preoperative period. Some studies showed that alcohol metabolism was significantly different between the postgastric bypass and control subjects. Within minutes after consumption of a beverage containing a modest amount of alcohol, post-RYGB patients achieve disproportionately high blood alcohol concentrations. Considering alcohol pharmacokinetics, ethanol is metabolized primarily in the stomach by the gastric alcohol dehydrogenase enzyme. RYGB limits the first step of metabolism and, consequently, higher serum levels of ethanol are obtained.

Conason et al. have found a significant increase in alcohol use, recreational drug use and cigarette smoking 24 months after bariatric surgery. In another study, 24 bariatric patients in an inpatient substance abuse treatment program were interviewed. Half of the sample stated that they had never experienced any alcohol or substance addiction prior to surgery.

In a study conducted to determine opioid use following bariatric surgery in patients using opioids chronically for pain control prior to their surgery, 77% of them continued chronic opioid use in the year following surgery and the amount of opioid substance was greater postoperatively than preoperatively. One possible explanation is that some patients likely had pain unresponsive to weight loss but potentially responsive to opioids. Multiple factors likely contribute to increasing chronic opioid use over time. Obese individuals demonstrate more pain sensitivity and lower pain detection thresholds than those who are not obese and altered pain processing persists after...
bariatric surgery. Contributing factors not unique to the bariatric surgery population include long-term opioid use possibly leading to tolerance, with need to take higher dosages to achieve equianalgesia and escalating dosages possibly increasing pain sensitivity, even when the initial cause has resolved.

According to Weingarten et al., opioid consumption after bariatric surgery is greater among patients who are younger, men, and have been previously hospitalized because of psychiatric disorders. Additionally, they have reported that tobacco users tend to require more opioids and the current usage of psychotropic medications was not associated with greater opioid consumption or episodes of severe pain.

A small amount of published data address this issue but it seems that despite detailed preoperative screening individuals can develop unforeseen substance addiction. More studies evaluating substance abuse postoperatively are necessary to assist in the understanding of this area.

Conclusions

Psychiatric disorders such as depressive, anxiety and binge eating disorders are prevalent among bariatric surgery candidates and an overall improvement in this psychopathology is observed after bariatric surgery. Nevertheless, depending on the specificity of the problem, the kind of comorbidity, the metabolic evolution and the motivation and treatment compliance, patients may or may not present psychopathological benefits postoperatively.

In addition it is important for clinicians to be aware of vomiting and other maladaptive behaviors because an eating disorder may be misdiagnosed.

Regarding body image, one increasing concern is the excessive skin that appears after substantial weight loss. A growing concern indicates a possible increased risk of suicide and substances abuse, especially alcohol, after bariatric surgery. However, suicide and use of substances of abuse remain relatively undeveloped topics since a small number of studies were found and different methodologies were used.

Further research is warranted for a more complete understanding of psychopathological areas in bariatric surgery patients. Furthermore, long-term studies are needed to elucidate the decline in improvements with time that is reported in some studies. This knowledge will allow a better preoperative selection, but above all, a more efficient follow-up.

Conflict of interest

None of the authors has conflict of interest.

References


