Hepatitis B virus genotypes and mutations in the basal core promoter and pre-core/core in chronically infected patients in southern Brazil: a cross-sectional study of HBV genotypes and mutations in chronic carriers

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ABSTRACT

Introduction: In Brazil, little data exist regarding the distribution of genotypes in relation to basal core promoter (BCP) and precore/core mutations among chronic hepatitis B virus (HBV) carriers from different regions of the country. The aim of this study was to identify HBV genotypes and the frequency of mutations at the BCP and precore/core region among the prevalent genotypes in chronic carriers from southern Brazil. Methods: Nested-polymerase chain reaction (nested-PCR) products amplified from the S-polymerase gene, BCP and precore/core region from 54 samples were sequenced and analyzed. Results: Phylogenetic analysis of the S-polymerase gene sequences showed that 66.7% (36/54) of the patients were infected with genotype D (D1, D2, D3), 25.9% (14/54) with genotype A (A1, A2), 5.6% (3/54) with subgenotype C2, and 2% (1/54) with genotype E. A comparison of virological characteristics showed significant differences between genotypes A, C and D. The comparison between HBeAg status and the G1896A stop codon mutation in patients with genotype D revealed a relationship between HBV G1896A precore mutants and genotype D and hepatitis B e antigen (HBeAg) seroconversion. Genotype D had a higher prevalence of the G1896A mutation and the presence of a thymine at position 1858. Genotype A was associated with a higher prevalence of the G1862T mutation and the presence of a cytosine at position 1858. Conclusions: HBV genotype D (D3) is predominant in HBV chronic carriers from southern Brazil. The presence of mutations in the BCP and precore/core region was correlated with the HBV genotype and HBeAg negative status.

Keywords: HBV. Genotypes. Subgenotypes. Basal core promoter mutations. Precore/core mutations.

Hepatitis B virus is currently classified into nine genotypes (A-I) that are defined based on intergroup differences of more than 8% in the complete genome sequence and of more than 4% in the S gene with genotypes F and H being the most divergent[6,9]. Epidemiological studies have shown that each genotype is characterized by a distinct geographic and ethnic distribution[9]. In brief, genotype A is prevalent in northwestern Europe, North America and Africa[6,10,11]. Genotypes B and C are commonly found in Asia[7,9,11], whereas genotype D shows a worldwide distribution but predominates in the Mediterranean region, including the Middle East and central Asia[10,12]. Genotype E is found in the Western region of Africa[10,13,14], genotype F is present in the aboriginal population of South America, and genotype H is confined to Central America. Genotype G was initially isolated from HBV carriers in France and Georgia (United States)[9] and was later detected in the United Kingdom[11], Italy[13] and Germany[15]. Recently, HBV genotype I was described in northwestern China, Vietnam and Laos[16,17]. Finally, a recently identified tenth genotype provisionally assigned as genotype J was proposed for a HBV isolate from a Japanese patient...
with HCC. Previous studies have shown that A, D and F are the primary genotypes circulating in Brazil. Furthermore, phylogenetic studies have demonstrated that the A, B, C, D and F HBV genotypes can be subdivided into subgenotypes. Evidence indicates that genotypes and associated subgenotypes differ in virological characteristics that may be related to differences in the clinical evolution of liver disease and response to treatment.

The occurrence of various mutations in the HBV genome during the course of persistent viral infection has been reported, and increasing evidence of an association between molecular changes (mainly in the precore gene and the basal core promoter region) and the development of HCC in patients infected with HBV has been described.

Studies have demonstrated a correlation between HBV genotypes and the development of mutations in the precore gene and in the basal core promoter (BCP) region. The well-known naturally occurring HBV variants include the precore stop codon mutation (G1896A), which abolishes hepatitis B e antigen (HBeAg) production and serves to enhance the stability of the secondary structure of the pre-genome encapsidation signal, favoring the maintenance of viral replication. The other common HBV variants include double mutations in the BCP region (A1762T/G1764A), which occur in a regulatory region located in southern Brazil to identify HBV genotypes and the frequency of mutation at the BCP and precore/core region located in southern Brazil. Furthermore, patients were from the northwestern region of the State of Paraná.

METHODS

Patients

This study included samples collected between 2007 and 2008 from 71 patients chronically infected with HBV. These patients were from the northwestern region of the State of Paraná located in southern Brazil.

The patients were under medical assistance at the Hepatitis Outpatient Clinic of the Consórcio Intermunicipal de Saúde do Setentrião Paranaense (CISAMUSEP). The serum was separated from 10-ml blood samples, and the samples were stored in two aliquots at -20°C and thawed immediately prior to the tests.

The data regarding the stage of infection, gender, age, HBeAg status, and blood levels of alanine aminotransferase (ALT) and aspartate aminotransferase (AST) classified as normal or elevated (when higher than two times the upper limit of normal) were obtained from the patient records.

Detection of HBV DNA by PCR

Hepatitis B virus deoxyribonucleic acid was extracted using phenol-chloroform followed by ethanol precipitation. HBV DNA was amplified by nested polymerase chain reaction (nested-PCR) using previously described primers. For the BCP and precore/core regions, the EP1.1/2032 (first round) and EP2.1/2017R (second round) primers yielded a 501-bp fragment. The PCR was conducted in a thermocycler (Techne TC-512, Techne Inc, NJ, USA) under the following conditions: initial denaturation at 94°C for 1min; followed by 30 cycles at 94°C for 1min, 55°C for 1min and 72°C for 1min; and a final extension step at 72°C for 5min.

The S-polymerase (S-Pol) gene was amplified using the FHBS1/RADE1 (first round) and FHBS2/RADE2 (second round) primers, yielding a 734-bp fragment. The amplification conditions for the S-Pol gene only differed in terms of the annealing temperature, which was 56°C in the first step and 50°C in the second step: initial denaturation at 94°C for 1min; followed by 34 cycles at 94°C for 30s, 56/50°C for 30s and 72°C for 30s; with a final extension step at 72°C for 7min. The PCR products were separated by electrophoresis on a 1% agarose gel, stained with ethidium bromide and observed under ultraviolet light.

Sequencing

After purification, the PCR products were sequenced according to a previously described method using the EP2.1 and 2017R primers for the BCP and the precore/core region and FHBS2, RHBS2, 5’LAM544 and RADE2 primers for S-Pol with fluorescent-labeled dideoxynucleotides (ddNTPs) using the ABI Prism® BigDyeTM Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems, Foster City, CA). After precipitation and purification of the reaction product, the samples were denatured and sequenced in an automatic ABI 3100 sequencer (Applied Biosystems).

Sequencing analysis

The sequences obtained were first analyzed using the Phred-Phrap program (http://asparagin.cenargen.embrapa.br/phph/) to determine the data quality and construction of the consensus sequence using nucleotide bases with a score > 20. Genotyping and analysis of the BCP and precore mutants were performed by comparison of the sequences obtained with the sequences of different HBV genotypes previously published in GenBank. The accession numbers of the sequences used are shown in Figure 1. The BioEdit Sequence Alignment Editor, version 7.0.9.0 and
RESULTS

FIGURE 1 - Phylogenetic tree generated by neighbor-joining analysis of the HBV sequences obtained (▲) from patients (n=54) in the Northwestern region of Paraná in the South of Brazil, between 2007 and 2008. HBV: hepatitis B virus.

Clustal X, version 2.0.9⁶⁸ programs were used for sequence analysis and editing. Phylogenetic analysis was performed with the Mega 4 (Molecular Evolutionary Genetics Analysis) package⁶⁷. The aligned dataset of S-Pol gene sequences were analyzed by the neighbor-joining method. Genetic distances were calculated using Kimura’s two-parameter model. Bootstrap analysis with 1,000 replicates was performed to test the reliability of the tree with values ≥ 70 indicated on the branches.

Statistical analysis

All data were analyzed using the Statistica 8.0⁴⁸ and SAS version 9.1.3⁴⁹ packages. Fisher’s exact test was used to compare categorical variables. Continuous data were compared by analysis of variance (ANOVA) and the Student t-test. A p value < 0.05 was considered statistically significant.

GenBank accession numbers

The sequences were deposited in GenBank under the accession numbers FJ865506 to FJ865559 (S-Pol gene) and FJ969215 to FJ969268 (BCP and precore/core gene).

Ethical considerations

The study was approved by the Ethics Committee on Human Research of Universidade Estadual de Maringá, and each patient signed a free informed consent form (CAAE Number 0181.0.093.000-06; protocol 319/2006).

HBV Genotyping

Hepatitis B virus deoxyribonucleic acid was detected in plasma samples from 56 (79%) of the 71 patients included in this study. However, the BCP, precore/core and S-Pol gene regions could only be sequenced in 54 (76%) samples. These 54 patients had a mean age of 42 ± 12.9 years, and 30 (55.6%) were males and 24 (44.4%) were females.

Phylogenetic analysis of the S-Pol region revealed well-defined clusters and statistical significance for all genotypes with a bootstrap result higher than 89% for the main branches (Figure 1). Thirty-six (66.7%) of the 54 patients were infected with genotype D, 14 (25.9%) with genotype A, three (5.6%) with genotype C, and one (1.8%) with genotype E. The subgenotypes identified in the present study are displayed in Figure 1, and the frequency of HBV genotypes and subgenotypes in the 54 patients studied is shown in Figure 2.

No significant differences in clinical and demographic characteristics [age, gender, HBeAg positivity rate and altered serum alanine aminotransferase (ALT) and aspartate aminotransferase (AST) levels] were observed in patients infected with the HBV genotypes A, C and D (Table 1). Data from the only patient infected with genotype E are not listed in Table 2, but he was male, 29 years old, had normal levels of ALT and AST, was HBeAg negative, and the virus had the G1896A stop codon mutation and a T at position 1858.
TABLE 1 - Clinical and virological differences between HBV genotypes A, C and D isolated from chronically infected patients from the Northwestern region of the State of Paraná in Southern Brazil between 2007 and 2008.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>A (n=14)</th>
<th>C (n=3)</th>
<th>D (n=36)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>mean ± SD</td>
<td>42.7±10.6</td>
<td>48±8.5</td>
<td>42±12.95</td>
<td>0.712#</td>
</tr>
<tr>
<td>range</td>
<td>(31-70)</td>
<td>(39-56)</td>
<td>(20-67)</td>
<td></td>
</tr>
<tr>
<td>median</td>
<td>42.0</td>
<td>49.0</td>
<td>41.5</td>
<td></td>
</tr>
<tr>
<td>Gender (male/female)</td>
<td>9/5</td>
<td>2/1</td>
<td>18/18</td>
<td>0.646§</td>
</tr>
<tr>
<td>HBeAg-positive patients, n (%)</td>
<td>3 (21.4%)</td>
<td>1 (33.3%)</td>
<td>4 (11.1%)</td>
<td>0.304§</td>
</tr>
</tbody>
</table>

Indicators of liver function

<table>
<thead>
<tr>
<th></th>
<th>A (n=14)</th>
<th>C (n=3)</th>
<th>D (n=36)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>elevated ALT, n (%)*</td>
<td>2 (14.0%)</td>
<td>1 (33.3%)</td>
<td>7 (19.4%)</td>
<td>0.702§</td>
</tr>
<tr>
<td>elevated AST, n (%)*</td>
<td>3 (21.4%)</td>
<td>1 (33.3%)</td>
<td>8 (22.2%)</td>
<td>0.871§</td>
</tr>
</tbody>
</table>

Patients with viral mutations, n (%)

<table>
<thead>
<tr>
<th></th>
<th>A (n=14)</th>
<th>C (n=3)</th>
<th>D (n=36)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1753V</td>
<td>5 (35.7%)</td>
<td>1 (33.3%)</td>
<td>12 (33.3%)</td>
<td>1.000§</td>
</tr>
<tr>
<td>A1762T G1764A</td>
<td>9 (64.3%)</td>
<td>2 (66.7%)</td>
<td>11 (30.5%)</td>
<td>0.050§</td>
</tr>
<tr>
<td>G1896A</td>
<td>0 (0.0%)</td>
<td>1 (33.3%)</td>
<td>28 (77.7%)</td>
<td>&lt;0.001§</td>
</tr>
<tr>
<td>C1858T</td>
<td>3 (21.4%)</td>
<td>3 (100.0%)</td>
<td>36 (100.0%)</td>
<td>&lt;0.001§</td>
</tr>
<tr>
<td>G1899A</td>
<td>1 (7.1%)</td>
<td>1 (33.3%)</td>
<td>12 (33.3%)</td>
<td>0.122§</td>
</tr>
<tr>
<td>G1862T</td>
<td>9 (64.0%)</td>
<td>0 (0.0%)</td>
<td>2 (5.5%)</td>
<td>&lt;0.001§</td>
</tr>
</tbody>
</table>

*When higher than 2 times the upper limit of normal; #ANOVA; §Fisher’s exact test; Note: The data from the only patient infected with genotype E are not present in the table. HBV: hepatitis B virus; SD: standard deviation; HBeAg: hepatitis B e antigen; ALT: alanine aminotransferase; AST: aspartate aminotransferase; ANOVA: analysis of variance.
with subgenotype A1, which did not have any of the mutations described in this work. However, one sample classified as A2 had a T at position 1858 and carried the A1762T/G1764A and G1899A mutations. None of the patients infected with the HBV genotype A carried the G1896A mutation. Genotype C was characterized by a higher frequency of the A1762T/G1764A mutation and an intermediate frequency of the G1896A and G1899A mutations, whereas none of the patients carried the G1862T mutation. Genotype D had a higher frequency of the G1896A mutation, an intermediate frequency of the A1762T/G1764A and G1899A mutations, and a low frequency of the G1862T mutation. The presence of a T at position 1858 was specific for genotypes C and D, and an intermediate frequency of the T1753V mutation was observed in all genotypes (Table 1).

**Precore/core mutations and HBeAg status**

Eight (14.8%) of the 54 patients were HBeAg positive, and 46 (85.2%) patients were HBeAg negative. When comparing this qualitative variable with the mutations identified in the BCP and precore/core gene regions, significant differences were observed compared with the G1896A stop codon mutation (p< 0.001). All 30 samples identified as G1896A mutants were HBeAg negative and corresponded to 65.2% (30/46) of HBeAg-negative patients. Of the other 16 HBeAg negative samples, three (18.8%) had the double mutation (A1762T/G1764A) plus the T1753V and the G1862T mutations, three (18.8%) had the G1862T mutation and the double mutation, three (18.8%) others did not have any of the mutations analyzed in this study, two (12.5%) had only the double mutation, one (6.2%) sample had the T1753V mutation plus theA1762T/G1764A and G1899A mutations, one (6.2%) had the double and the T1753V mutations, one (6.2%) had the double and the G1899A mutation, and one (6.2%) had only the G1899A mutation. One (6.2%) sample had the G1862T mutation but was found to contain a mutation at position 1814 substituting A for C, which altered the start codon of the precore gene leading to the loss of HBeAg translation. None of the HBeAg-positive patients carried the G1896A stop codon mutation in the precore gene.

In genotype D, 32/36 (89%) samples were classified as HBeAg negative and 28/36 (77.7%) as G1896A mutants. Significant differences were observed when comparing these two variables (HBeAg x G1896A) among the genotype D sequences (p= 0.001).

A significant difference (p = 0.030) was also observed when comparing HBeAg status and ALT. Elevated ALT levels were more frequent in the HBeAg-positive patients (4/8, 50%) compared with the HBeAg-negative patients (6/46, 13%).

**DISCUSSION**

In this study, we characterized HBV subgenotypes in relation to demographic, clinical (HBeAg status/ALT levels) and virological (BCP and precore/core mutations) features in patients chronically infected with HBV from the State of Paraná in Southern Brazil. HBV DNA was amplified using primers that anneal in the conserved regions of the HBV genome, and HBV DNA was detected in 79% of the 71 samples studied. This detection frequency is in agreement with the sensitivity values reported in the literature. The frequency of genotypes A (26%) and D (66.7%) observed here supports the HBV genotyping studies conducted with blood donors from State of Paraná and studies focused on HBV genotype distribution in different regions of southern Brazil, which reported that HBV/D is the most frequent genotype followed by genotype A. The State of Paraná is one of the states with the widest ethnic variety in Brazil. This state was colonized by people from the Mediterranean region (Spanish, Portuguese and Italians) and from the Middle East (Arabs), which explains the high prevalence of genotype D found in the studied region. Colonization from northwestern Europe (Germans, Poles, Ukrainians, and Dutch), in addition to Africans from the time of slavery, explains the presence of genotype A found in this study. Japanese settlement in Brazil likely brought the characteristic genotypes from their region of origin. Thus, genotype C (5.6%) was detected in this study as the patients were from the regions in State of Paraná with a large number of immigrants from Asian countries. In this respect, Maringá possesses one of the largest Japanese colonies in the State of Paraná (http://www paranapr.gov.br/etnias). According to the literature, genotype C is characteristically found in Asia. More specifically, subgenotype C2, the only subgenotype of genotype C detected in the present study, is commonly found in Far Eastern countries, including Japan, China and Korea. The only patient infected with genotype E was from Guinea-Bissau, a country on the western coast of Africa where this genotype is primarily found. The frequencies of genotypes A and D found in this study are similar to the frequencies reported by Lindh et al. for southern Europe where genotype A was detected in 15% of patients and genotype D in 85% of patients. Similar findings have been reported for northern Africa where genotype D is the most prevalent, but the frequency of genotype A is also significant. Genotype D as well as its subgenotypes (D1, D2 and D3) is found worldwide but shows a high prevalence in the Mediterranean region, including the Middle East. In Europe, genotype D is found in the southern countries that border the Mediterranean Sea and accounts for nearly 100% of the HBV cases found in Greece, Italy, Serbia and Albania. Based on European published data, HBV/D3 is most prevalent in Italy with a frequency of 40.4% (72.7% of HBV/D). Two subgenotypes of genotype A were identified in the present study: A2, which is prevalent in Europe, and A1, which is prevalent in Africa and Asia. Thus, the presence of genotypes A, C and D and their respective subgenotypes A1, A2, C2, D1, D2 and D3 in the investigated population reflects the immigration that has been occurring in the studied region. State of Paraná specifically received large numbers of Italian and German immigrants, and most of its population is descended from people from these nations. Analysis of the patients as a whole showed no significant difference in clinical characteristics between the three main genotypes.

Comparison of the HBV virological characteristics between genotypes A, C and D revealed significant differences in terms of the G1896A and G1862T mutations and the presence of a thymine at position 1858. A higher (77.7%) frequency of the G1896A stop codon mutation was observed in genotype D, and this mutation showed significant association with HBeAg.
status as all G1896A mutants were HBeAg negative. Genotype D was the most frequent genotype (69.5% - 32/46) in HBeAg-negative patients; therefore, a significant difference in the frequency of the G1896A mutation was observed between HBeAg-negative and -positive samples belonging to genotype D. This finding suggests that this mutation is one of the most important factors for early seroconversion of HBeAg. These findings are in agreement with Elkady et al.\textsuperscript{69} who studied mutations in patients infected with genotype D. All genotype D and C sequences, including HBeAg-negative and -positive samples, had a thymine (T) at position 1858, which confers stability to the stem-loop structure of the encapsidation signal in the presence of the G1896A mutation due to base pairing between these positions\textsuperscript{55,65}.

In contrast to genotypes C and D, genotype A sequences did not harbor the G1896A mutation, which is likely due to the presence of a cytosine (C) at position 1858 in 78.6% of the sequences. The G1896A mutation is unfavorable in this case as base pairing in the precore pregenomic ribonucleic acid (RNA) is essential for the maintenance of viral replication\textsuperscript{24,61,66,67}. Similar results regarding the nucleotide present at position 1858 in each genotype have been reported by Chandra et al.\textsuperscript{67}, who observed the presence of a cytosine at this position in 88% of genotype A samples and the presence of a thymine in 96% of genotype C and 100% of genotype D samples. The presence of a C at position 1858 is primarily found in genotype A and is frequent in subgenotype C1 but not C2\textsuperscript{68}.

Analysis of the HBeAg status and serum ALT levels showed a significant difference, with the frequency of elevated ALT levels being higher among HBeAg-positive patients (50%) and lower (13%) among HBeAg-negative patients. In addition, similar results have been reported by Kidd-Ljunggren et al.\textsuperscript{50}. When the patients were analyzed for signs of viral replication, the authors observed that HBeAg-positive patients were more likely than anti-HBe-positive patients to have elevated ALT levels (55% and 24%, respectively). This result is an interesting finding in the context of immunotolerance, which is believed to exist during the HBeAg-positive phase because elevated ALT levels suggest an immunoreactive state rather than a state of tolerance by the immune system\textsuperscript{50}.

In the present study, a significant difference in the distribution of the G1862T mutation was observed between genotypes. Patients infected with subgenotype A1 had the highest frequency (82% - 9/11) followed by the patients infected with genotype D (18% - 2/11). None of the samples belonging to subgenotype A2 or genotype C harbored this mutation. Similar results have been reported by Chandra et al.\textsuperscript{67} and Tanaka et al.\textsuperscript{69}. According to the latter authors, the subgenotypes of genotype A are known to influence the prevalence of G1862T. In contrast, Chandra et al.\textsuperscript{67} reported that the G1862T mutation is rare in subgenotype A2 but is common in A1. In addition, these authors did not detect the G1862T mutation in genotype C, which was also observed in the present study. Guarnieri et al.\textsuperscript{50} proposed that the G1862T mutation contributes to the reduced replication capacity of genotype A and that the G1899A mutation relieves the inhibitory effect of G1862T on genome replication by restoring core protein expression. However, no significant difference in the frequency of the G1899A mutation between genotypes was observed in the present study with the observation of an intermediate frequency in genotypes C and D and a low (7.1%) frequency in genotype A.

No significant difference in the frequency of the A1762T/G1764A BCP mutation was observed between genotypes in this study. However, statistical analysis revealed a result close to significance, which has been reported in other studies\textsuperscript{25,50}. The frequency of this double mutation in the BCP gene was higher in genotypes C and A (66.7% and 64.3%, respectively). The lowest frequency was observed among patients infected with genotype D (30.5%), which is in agreement with other investigators\textsuperscript{64,65,66,67}. The result may have been significant if a larger sample size was achieved. The frequency of the T1753V mutation, which is also located in the BCP region, did not differ significantly between genotypes with the observation of an intermediate and similar frequency. These two mutations in the BCP gene have been reported as risk factors for the development of HCC, and our results show that the virus is primarily mutant at both positions\textsuperscript{25,35,36,65,66}.

The present study found that 14.8% of patients were HBeAg positive and identified HBV genotypes A, C, D and E and subgenotypes A1, A2, D1, D2, D3 and C2 in chronic carriers circulating in the southern region of Brazil. No differences in the clinical characteristics of infected patients were observed between genotypes. However, a significant difference was observed when comparing HBeAg status and the presence of the G1896A stop codon mutation in patients infected with genotype D (D1, D2 and D3). Most patients carrying this mutation were anti-HBe positive, which demonstrates the existence of a relationship between the HBV G1896A precore mutant and genotype D in HBeAg seroconversion. Analysis of the mutations showed a well-defined distribution according to genotype. Specifically, a higher prevalence of the G1896A mutation and the presence of a thymine at position 1858 were observed in genotype D samples, whereas genotype A was associated with a higher prevalence of the G1862T and a C at position 1858. Although the difference in the distribution of the A1762T/G1764A mutation between genotypes was not significant, the mutation was more prevalent in genotypes A and C than genotype D. A correlation between HBV genotypes and mutations in the BCP and precore/core region was shown, demonstrating that these analyses combined with the detection of HBeAg-positive patients are important tools and essential for the prognosis and treatment of patients with chronic HBV because they are associated with increased risk for the development of HCC. Studies focused on clinical and virological monitoring of larger numbers of patients with chronic HBV are needed to gain a better understanding of HBV disease.

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CONFLICT OF INTEREST

The authors declare that there is no conflict of interest.

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