Soil compaction on traffic lane due to soil tillage and sugarcane mechanical harvesting operations

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ABSTRACT: Mechanical sugarcane harvesting increases soil compaction due to the intense traffic of agricultural machinery, reducing longevity of sugarcane crops. In order to mitigate the harmful effects caused by agricultural traffic on the soil structure in sugarcane fields, this study evaluated impacts of mechanical sugarcane harvesting on traffic lane under two soil tillage systems based on load bearing capacity models. The experiment was carried out in the region of Piracicaba, state of São Paulo, Brazil, on a Rhodic Nitisol, under conventional tillage (CT) and deep strip-tillage (DST). For CT soil tillage was applied to the entire area with a heavy disk harrow, at operating depths from 0.20 to 0.30 m followed by a leveling harrow at a depth of 0.15 m. For DST, soil tillage was performed in part of the area at a depth of 0.80 m, forming strip beds for sugarcane planting, while the traffic lanes were not disturbed. Undisturbed soil samples from traffic lanes were used in the uniaxial compression test to quantify preconsolidation pressure and to model the soil load bearing capacity. The surface layer (0.00-0.10 m) was most susceptible to compaction, regardless of the tillage system (CT or DST) used. In the DST, the traffic lane maintained the previous soil stress history and presented higher load bearing capacity (LBC) than the soil lane in the CT. As in CT the soil was tilled, the stress history was discontinued. This larger LBC in DTS minimized the impacts of the sugarcane harvest. Under CT, additional soil compaction due to mechanical sugarcane harvesting in the traffic lane was observed after the second sugarcane harvest. There was a reduction in load bearing capacity from 165 kPa to 68 kPa under CT and from 230 kPa to 108 kPa under DST, from the first to the second harvest at surface layer. Water content at mechanical harvesting was the most relevant factor to maximize impacts on the soil structure in traffic lanes, for both tillage systems.

Keywords: load bearing capacity, soil stress distribution, preconsolidation pressure, modeling, environmental sustainability

Introduction

Brazil is the world’s largest sugarcane producer, with 633 million tons harvested in the 2017/2018 season, in an area of 9 million ha. The southeastern region accounts for 62 % of the total cane area harvested in Brazil, 84 % of which in the state of São Paulo (CONAB, 2018).

Mechanical sugarcane harvesting in Brazil has intensified in recent years, in compliance with the ordinance to discontinue the burning for husking and, consequently, manual harvesting. This expansion resulted in the implementation of other machinery-based technologies intensifying traffic in mechanical harvesting and transportation (Souza et al., 2014; Lozano et al., 2013).

The intensive traffic of agricultural machinery has increased soil compaction, resulting in an unfavorable environment for crop development (Vischi Filho et al., 2015; Sousa et al., 2017) and reducing soil production capacity (Reichert et al., 2009).

For predictions of soil compaction behavior, data on preconsolidation pressure (σp) are important for rational soil management (Severiano et al., 2010; Vischi Filho et al., 2015). The load bearing capacity model (LBCM), developed by Dias Junior and Pierce (1995), predicts the maximum pressure a soil can withstand at different moisture levels, without generating additional compaction. This maximum pressure is influenced by the historical date on soil use and, in particular, by the most recent soil tillage operations (Oliveira et al., 2003). Intensive tilling of soil surface layer, reducing density, breaking aggregates and relieving previously applied pressures, reduces soil bearing capacity. LBCM allows monitoring whether mechanical agricultural operations, such as harvesting operation, applied in a particular tillage system, are causing additional compaction of the soil.

Therefore, LBCM have been used to predict the pressure levels that can be applied into the soils for different water contents without additional compaction and for quantifying the effects of agricultural operations on the soil structure.

To reduce the effects caused by agricultural traffic on soil structure in sugarcane crops, this study assessed the impacts of mechanical sugarcane harvesting on two soil tillage systems under traffic lane, at two harvests (cane planting and first ratoon), using load bearing capacity models (LBCM).
Materials and Methods

Site Location

The experiment was carried out in Piracicaba [state of São Paulo] [22°41’04” S/ 47°38’52” W; 554 m altitude]. The climate of the region was classified as subtropical with dry winters (reaching temperatures below 18 °C) and hot summers (with temperatures above 22 °C), [Cwa] according to the Köppen classification [Alvares et al., 2013], with an average annual temperature 21.6 °C and an average rainfall 1,328 mm [CEPAGRI, 2018].

The soil of the study site was classified as Rhodic Nitisol, according to the World Reference Base of Soil Resources system [IUSS Working Group, 2015], with a blocky structure and shiny, characterizing a nitic B horizon overlaying a latosolic B horizon, with a clay texture, containing 45, 45, 58 and 62 % clay, 45, 45, 32 and 29 % total sand and 2.71, 2.71, 2.72 and 2.72 kg dm–3 particle density at layers 0.00-0.10, 0.10-0.20, 0.20-0.40 and 0.40-0.60 m, respectively.

Experiment description

In July 2013, when cane fields were replanted, the sites were subjected to the following treatments: conventional tillage (CT) and deep strip-tillage (DST).

For conventional tillage (CT), 2 Mg ha–1 of dolomitic limestone was applied on the entire area one day before planting and incorporated into the soil using a heavy disk harrow, with 24-inch disc harrow blades, at operating depths from 0.20 to 0.30 m. It was applied 0.8 Mg ha–1 gypsum on the day of planting, incorporated with a leveling harrow at a depth of 0.15 m. All implements used for soil tillage were dragged by a tractor with front wheel drive and 77 kW (Table 1).

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For the treatment of deep strip-tillage (DST), 2.8 Mg ha–1 dolomitic limestone [2 and 0.8 Mg ha–1, at a depth of 0.40 m and 0.80 m, respectively] was applied with a device that has a coupling system with the tractor drawbar, consisting of subsoiling one shank for deep subsoiling (0.80 m), a limestone application mechanism and fertilizer application mechanism with two options of application depth (0.40 and 0.80 m), with a rotary tiller with 16 blades that plow and disrupt the soil surface (0.00-0.40 m), forming the beds for planting. Limestone was applied along the bands inside the beds formed by the device. Deep strip-tillage was performed on July 15, 2013 at an average working speed of 5 km/h, only in the planting row. The DST equipment was drawn by a tractor with 201 kW [Table 1]. The use of this soil tillage system was due to the canteirization technique in sugarcane is an alternative to traffic control in sugarcane crops and has been widely used in sugarcane agroecosystems in the state of São Paulo. In this system [deep strip-tillage], soil tillage is performed across only a part of the area, forming beds for sugarcane plantings, which are subsequently protected from machinery, while traffic lanes are not disturbed.

The soil was tilled using a tractor with 134 kW [Table 1], pulling a furrow opener of São Francisco model. This implement is composed by two furrow openers. Sugarcane cultivar IAC-SP-95-5000 was planted manually in double spacing rows [0.90 × 1.50 m] [Figure 1]. Topdressing consisted of 0.6 Mg ha–1 of the N-P-K fertilizer mixture 5:20:20 applied in the planting furrows based on the soil analysis performed prior to the experiment. Each experimental plot covered an area of 1,000 m² [50 × 20 m].

Soil Sampling

Soil samples with undisturbed structure were collected from the agricultural traffic lane before sugarcane harvesting, at four sampling points per experimental plot, along a diagonal line. For each plot, 20 undisturbed samples were collected from the center of the layers [0.00-0.10, 0.10-0.20, 0.20-0.40 and 0.40-0.60 m] [Figure 1], totaling 160 samples [20 samples × 4 layers × 2 soil tillage systems], with an Uhland sampler and stainless steel cylinders [diameter 69 mm, height 25 mm].

Soil Analysis

The particle size analysis was performed using the pipette method [Gee and Bauder, 1986]. The particle density was determined by the gas displacement method [Flint and Flint, 2002], with a helium gas pycnometer.

Figure 1 – Sampling scheme of undisturbed soil samples and sugarcane spacing in this experiment in both soil tillage treatments: conventional tillage (CT) and deep strip-tillage (DST).
For the uniaxial compaction test, undisturbed samples were prepared and saturated by capillarity on a tray with water height corresponding to two thirds of the cylinder for 48 h and then subjected to different matric potentials (-2, -10, -30, -100 and -500 kPa), thus obtaining different volumetric soil water content ($\theta_{vol}$), as a result of three replicates per potential. Matric potentials -2 and -10 kPa were obtained by a suction table (Dane and Hopmans, 2002), and the other suctions by Richards membrane-plate extractor (Klute, 1986). After hydraulic equilibrium was established, each sample was weighed and subjected to the uniaxial compression test under normal stresses (12.5, 25, 50, 100, 150, 200, 300, 400, 600, 800, and 1000 kPa), where each pressure was applied until 90% of the maximum deformation (Taylor, 1948), using a pneumatic consolidometer described by Figueiredo et al. (2011). Thereafter, the samples were oven-dried at $\pm 105^\circ$C for 48 h to determine bulk density (BD) (Blake and Hartge, 1986) and water content.

The variation in soil sample height under the load applied was recorded and used in the soil strain calculations. From the values of soil displacement in the uniaxial compression test, void indices were calculated for each pressure applied, using the equation proposed by McBride and Jooosse (1996).

For each sample, 12 pairs of vacuum index and pressure values applied were used to build the compression curve by the Gompertz equation (1825), as suggested by Gregory et al. (2006). The preconsolidation pressure ($\sigma_p$) was determined as the pressure of maximum curvature of the compression curve by the model proposed by Gregory et al. (2006) and described by Keller et al. (2011).

To elaborate soil load bearing capacity models (LBCM), preconsolidation pressure and water content were adjusted to the single exponential decay equation with two parameters proposed by Dias Junior and Pierce (1995), modified by Araujo-Junior et al. (2011) to volumetric soil water content ($\theta_{vol}$) [10$^{(a+b\theta_{vol})}$]. This equation defines the load bearing capacity model, where $\sigma_p$ is preconsolidation pressure, $\theta$ is the volumetric water content and “a” and “b” are adjusted parameters.

Sugarcane was harvested on Oct 2014 and Sept 2015, at a soil water content volumetric ($\theta_{vol}$) of 0.25 m$^3$ m$^{-3}$ and 0.31 m$^3$ m$^{-3}$. For this operation, the following machines were used: a harvester, with 263 kW and a tractor, with 134 kW, pulling a trailer (Table 1).

After harvesting, undisturbed soil was also collected in stainless steel cylinders [diameter 69 mm $\times$ height 25 mm]. The capillarity of these undisturbed samples was saturated with distilled water and equilibrated at potentials -10, -100 and -400 kPa. The $\sigma_p$ values, as described above, were plotted on LBCM and divided into three “regions” (Figure 2): points in region “a” indicate additional compaction; in region “b”, no additional soil compaction, but tends to occur if the load bearing capacity of the soil is not respected; and in region “c”, no soil compaction, as suggested by Dias Junior et al. (2005).

### Statistical analysis

The regression analyses of the compressibility test were performed using software SigmaPlot, version 12.0 (Jandel Scientific) and models were compared by the homogeneity test of linear models, as described by Snedecor and Cochran (1989). To obtain the linear models from the exponential model [$\sigma_p$ = 10$^{a+b\theta_{vol}}$], logarithm was applied to the preconsolidation pressure values, resulting in the equation: log $\sigma_p$ = a + b$\theta$. The homogeneity test of linear models considers two models, which are compared by the analysis of intercept “a”, angular coefficient “b” and data homogeneity [F].

### Results and Discussion

Load bearing capacity models (LBCM) [$\sigma_p$ = 10$^{a+b\theta_{vol}}$] resulted in intercept values of linearized regression [a] between 3.48 and 5.05 and angular coefficient of linearized regression [b] between -3.20 and -9.07. Coefficients [R$^2$] were significant at $p < 0.01$ and ranged from 0.56 to 0.77.

For the layers 0-0.10 m, 0.10-0.20 m, 0.20-0.40, and 0.40-0.60 m, LBCM were compared within each soil tillage (Table 2). For the deep strip-tillage (DST), LBCM were different in each layer studied. Under CT, however, regression equations between $\sigma_p$ and $\theta_{vol}$ were homogeneous for 0.10-0.20 m and 0.20-0.40 m layers, indicating similar models of soil load bearing capacity.

Therefore, a new fitting was carried out considering all values of preconsolidation pressure [$\sigma_p$] and volumetric moisture ($\theta_{vol}$) of the layers 0.10-0.20 m and 0.20-0.40 m (Table 2). This new model was considered to represent the structural behavior of the two layers.

Regardless of the soil tillage method (CT or DST), layer 0.00-0.10 m had the lowest load bearing capacity...
(LBC) for the entire moisture range (Figure 3). This was associated with soil disturbance that causes aggregate breakage, negatively affecting the mechanical resistance of soil structure.

LBCM indicate a higher load bearing capacity at layers 0.10-0.20 and 0.20-0.40 m (Figure 3) under both tillage systems. Moreover, the $\sigma_p$ values indicate the current state of soil compaction. The cumulative load effect of the soil tillage implements used and the previous traffic in the area probably created the so-called plow pan. The high pressure on the soil by the heavy disc harrows can cause compact subsurface layers and higher soil density increases friction or cohesion forces and the number of contact points between soil particles, resulting in a higher LBC [Oliveira et al., 2003]. In Ferralsols cultivated with CT sugarcane after three harvests, Vischi Filho et al. [2015] found soil compaction under the traffic lane to a depth of 0.30 m.

At layer 0.40-0.60 m, the intermediate pattern of LBC may be explained because the load of agricultural machinery had no influence before sugarcane harvest. Possibly, the formation of the plow pan at layers 0.10-0.20 and 0.20-0.40 m acted as a physical barrier against the transmission of vertical stress, preventing stress from spreading to deeper soil layers, keeping it concentrated at the top layers, corroborating results reported by other studies (Horn, 2003; Boizard et al., 2013; Keller et al., 2014; Keller et al., 2016). The soil structure type may have also had an influence, since the structure in this layer is granular and in blocks in the upper layers.

Under conventional tillage (CT), LBC decreases in the traffic lane in the following order: layer 0.10-0.20 m = 0.20-0.40 m > 0.40-0.60 m > 0.00-0.10 m. Under deep strip-tillage (DST), LBC in layer 0.00-0.10 m was the lowest for the entire moisture range (Figure 4B), demonstrating that this layer is the most sensitive to soil compaction in this treatment.

The $\sigma_p$ values of layer 0.10-0.20 m under DST were higher than in the other layers, for water contents $\Theta_{w}$ exceeding 0.31 m$^3$ m$^{-3}$. This may be associated with the fact that the experimental area was managed under longstanding CT. The negative effects on soil structure due to the intensive use of disc harrow has already been explained.

In water contents $\Theta_{w}$ lower than 0.31 m$^3$ m$^{-3}$, the preconsolidation pressure at layer 0.20-0.40 m was high-

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<th>Soil tillage systems</th>
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1Analysis according to Snedecor and Cochran (1989). $\sigma_p = 10(a + b q)$; F = F test for homogeneity of variance and for the adjusted regression parameters; NH = Non-Homogeneous; H = Homogeneous; * p < 0.05; ** p < 0.01; ns = non-significant.

Figure 3 – Load bearing capacity models for Rhodic Nitisol in the traffic lane under Conventional Tillage (CT) and Deep Strip-Tillage (DST).
er than in the other layers. This reflects the history of DST stresses, since the load applied by agricultural machines used in harvesting under this soil tillage caused higher compaction at this layer, conferring a greater resistance to compression.

At all soil layers, the significance test indicated that the management systems presented significant difference of LBCM, either by difference \( p < 0.05 \) or \( p < 0.01 \) in the angular coefficient, linear coefficient or in homogeneity (Table 3).

A higher LBC of the Rhodic Nitisol was observed under DST across the entire moisture range (Figure 4A). This result is probably due to the higher initial bulk density (BD) values under DST since it was not previously prepared at the time of cane planting (experiment installation). At the surface layer of Ferralsol, Oliveira et al. (2003) found that the soil of tilled areas had lower LBC than in areas without tillage.

In addition to the initial soil tillage, another factor that may have contributed to increase compaction at the surface layer under DST is associated to the type of machinery used in this treatment: a tractor 107.9 kN weight coupled to a tractor drawbar an implement 19.6 kN weight. This higher load, both on the front and rear axles, promoted a higher soil stress to the surface layer in DST than under CT, where a tractor 58.9 kN weight was used. The tractor used in DST applied to the soil 1,113 kPa of mean contact pressure (MCP) for the rear axle and 1,106 kPa for front axle, whereas the tractor used in CT applied 690 kPa and 590 kPa MCP for rear and front axle respectively.

At layer 0.10-0.20 m under DST, LBC was higher at moisture levels above 0.29 m\(^3\) m\(^{-3}\) (Figure 4B), demonstrating more resistance to compaction at higher moisture levels compared to CT. Traffic lane in DST was not tilled and this probably kept cohesion between soil particles and stable soil aggregates (Soane, 1990), mak-
ing the soil less susceptible to compaction. At moisture levels lower than 0.29 m$^3$ m$^{-3}$, the higher LBC in CT is associated to negative effects on soil structure due to the intensive disc harrow use, promoting greater soil compaction. For layers 0.20-0.40 m and 0.40-0.60 m, the differences in LBCM between the soil tillage systems (Figures 4C and 4D), indicated higher LBC under CT than DST for volumetric moisture levels below 0.33 m$^3$ m$^{-3}$ and 0.36 m$^3$ m$^{-3}$ respectively, for layers 0.20-0.40 m and 0.40-0.60 m. At higher moisture levels, the pattern was similar for both tillage systems.

Soils with higher mechanical strength may be beneficial for agricultural traffic. On the other hand, this feature may limit root growth and negatively influence the flow of air, water and nutrients in the soil (Alaoui and Hellblng, 2006).

Impacts of mechanical harvesting of sugarcane show that 33 % of samples from the surface layer of traffic lane under CT, after the first harvest, tended to additional compaction if LBC was exceeded and 67 % showed no signs of compaction (Dias Junior et al., 2005). In contrast, after the second harvest, additional soil compaction was detected in 100 % of the samples (Figure 5A).

In DST traffic lanes, additional compaction was observed in only 8 % of the collected samples detected after the first harvest, and 25 % of samples tended to increase compaction under excessive LBC values (Region “b” Figure 5B). After the second harvest, there was a 17 % increase in the samples that tended to compaction. The increase in compaction under CT in layer 0.00-0.10 m is due to lower LBC than under DST, caused by disc harrow of the entire area at tillage, highlighting the stress history in this management.

The increase in pressure values of LBC from first to second harvesting seasons were influenced by water content at harvesting (Figures 5A-G). There was a reduction in LBC from 165 kPa to 68 kPa under CT and from 230 kPa to 108 kPa under DST, for the same surface layer (0.00-0.10 m), with increasing moisture levels at harvest from 0.25 m$^3$ m$^{-3}$ in the 2014/15 to 0.31 m$^3$ m$^{-3}$ in the 2015/16 growing season [Figures 5A and 5B]. Other authors also reported a reduction in preconsolidation pressure at higher soil moisture [Severiano et al., 2010; Vischi Filho et al., 2015].

From the total CT samples of layer 0.10-0.20 m in the 2014/15 growing season, only 17 % was plotted in region “a” with additional soil compaction (Figure 5C). The following year presented an increase of approximately 25 % in samples with additional compaction. At both harvests (2014/15 and 2015/16), 25 % of the samples were grouped in region “b” with tendency to acquire additional soil compaction. There was a 23 % reduction in the samples concentrated in the region with no compaction (region “c”).

For DST [Figure 5B], no additional soil compaction was detected in 100 % of the samples and most analyzed samples representing the two growing seasons 2014/15 and 2015/16 were classified in region “c”.

The presence of a layer with a high LBC (0.10-0.20 m) in DST [Figure 5D] may have interfered with stress distribution in the deeper soil layers, due to the differences in the Young’s modulus between the soil layers. According to Keller et al. (2014), differences in the Young’s modulus between soil layers may be due to variations in soil texture, soil organic matter, bulk density, and matrix potential. The authors reported that, unfortunately, very little is known about the Young’s modulus in different layers and how it is related to soil texture, soil structure and soil moisture, despite the large number of data on compression curves reported in the literature. The Young’s modulus or the elastic modulus is a stiffness measure of a solid material and defines the relationship between stress and strain in the soil.

Samples of layer 0.20-0.40 m under CT were classified mostly as no compacted (Region “c” in Figure 5E), for both harvests. There is a trend to compaction if soil LBC is not respected at 17 % and 33 %, in 2014/15 and 2015/16, respectively, soil compaction and was observed in the remaining 17 % of CT samples (Region “a”).

DST samples in layer 0.20-0.40 m [Figure 5F] were in region “c”, except for the second harvest, when 8 % of the observed samples had additional compaction. At deeper layers (0.40-0.60 m) [Figures 5G and 5H], LBCM behavior and impacts on soil structure were similar and only 8 % of the samples evaluated presented additional soil compaction after the ratoon cane harvest (2015/16 harvest).

The results show a greater soil structure degradation at surface (0.00-0.20 m) under CT after two sugarcane harvests. These results corroborate Severiano et al. (2010) in an evaluation of compaction in two soil types [Ferralsol and Cambisol] under a CT system in sugarcane, where a compacted soil layer was detected above depth 0.30 m. These results suggest that, in the coming years, LBC must be taken into account to drive agricultural machines in these systems, particularly on crop harvesting. Otherwise, the soil preconsolidation pressure will be exceeded, resulting in additional compaction.

Moreover, in the first year (2014/15 harvest), regardless of the soil tillage, there was no occurrence of soil compaction, associated with low water content at the moment of mechanical harvest. However, in the second year of mechanical harvest, signs of deep soil compaction begin to appear. This concerns, according to Chamen et al. [2003], since the removal of subsoil compaction is costly and time demanding. According to Arvidsson and Keller (2007), the depth of vertical stress distribution in soil is determined mainly by the wheel load.

The use of LBC models suggest that the maximum wheel load is a practical, sustainable way of preventing deleterious effects on the physical, chemical or biologi-
cal functions of the soil. LBCM predict the maximum soil load can bear at different moisture content, without causing additional compaction. Considering that the preconsolidation pressure is an indicator of maximum pressure that could be applied to the soil to prevent compaction additional, studies on soil compressibility could support decision on whether or not carry out a mechanized agricultural operation, or whether or not to use machinery traffic in the area.

According to Severiano et al. (2010), the adoption of preventive measures to avoid additional soil compaction by mechanical sugarcane harvesting is recommended, including the control of pressure level per axle of the machine (Bennett et al., 2015), as well as, control of the inflating pressure of tires and increasing the number of axles of trailers, reducing thus the load applied on soil by the machine. Traffic over crop residues has also been suggested as a preven-

Figure 5 – Load bearing capacity models describing the impact of the mechanized harvesting on the sugarcane in a Rhodic Nitisol under different soil tillage systems, at layers 0.00-0.10 m (A, B), 0.10-0.20 m (C, D), 0.20-0.40 m (E, F) and 0.40-0.60 m (G, H) in Piracicaba (state of São Paulo). CT = Conventional Tillage; DST = Deep Strip-Tillage.
tive measure, in addition to monitoring soil moisture to perform agricultural driving at moisture contents below the limit (Silva et al., 2016). These preventive traffic control measures (Sousa et al., 2017) may be a promising alternative to avoid the spread of soil compaction throughout the entire sugarcane cultivation area.

**Conclusions**

The soil stress history was remained in subsoil layers and the load support capacity of the soil in the traffic lanes was higher under deep strip-tillage. Tillage operations performed across the entire area did not reduce the stress history in the traffic lanes, on the contrary, it accumulated more pressure under conventional tillage.

At surface soil layer, the impacts of sugarcane harvesting were minimized by the high state of initial compaction in the deep strip-tillage. In contrast, under conventional tillage, sugarcane mechanical harvest promoted additional soil compaction in traffic lanes after the second crop.

Soil water content during mechanical harvesting was a relevant factor to maximize impacts on the soil structure in the traffic lanes.

**Acknowledgements**

The authors are grateful the Fundação de Amparo à Pesquisa do Estado de São Paulo [FAPESP] [Grants # 2014/07434-9; 2013/10427-1; 2013/21687-4] and Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES). They also wish to thank the researchers Drs. Fábio Luiz Ferreira Dias and Raffaella Rossetto of the Agência Paulista de Tecnologia dos Agronegócios [APTA] - Centro Sul for conceding access and support to field study.

**Authors’ Contributions**


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