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## FORESTRY SCIENCE

# Use of machine learning as a tool for determining fire management units in the brazilian atlantic forest

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**Abstract:** Geoprocessing techniques are generally applied in natural disaster risk management due to their ability to integrate and visualize different sets of geographic data. The objective of this study was to evaluate the capacity of classification and regression tree (CART) to assess fire risk. MCD45A1 product of the burnt area, relative to a 16-year period (2000-2015) was used to obtain a fire occurrence map, from center points of the raster, using a kernel density approach. The resulting map was then used as a response variable for CART analysis with fire influence variables used as predictors. A total of 12 predictors were determined from several databases, including environmental, physical, and socioeconomic aspects. Rules generated by the regression process allowed to of define different risk levels, expressed in 35 management units, and used to produce a fire prediction map. Results of the regression process (r = 0.94 and  $r^2$  = 0.88) demonstrate the capability of the CART algorithm in highlighting hierarchical relationships among predictors, while the model's easy interpretability provides a solid basis for decision making. This methodology can be expanded in other environmental risk analysis studies and applied to any area of the globe on a regional scale.

**Key words:** non-parametric statistics, kernel density, cart algorithm, decision rules, fire prediction map.

# INTRODUCTION

Over the past few decades, forest fires in Brazil have received greater attention due to their wide range of ecological, economic, social and political impacts, although statistics of fire occurrence and their effects are still incipient.

Fire plays an important role in creating and maintaining landscape structure, composition, function and ecological integrity, and may influence the rates and processes of ecological succession (Covington & Moore 1994, Morgan et al. 2001). Fire impact on a local, regional and global scale was revised in Stolle and Lambin (2003) and Lentile et al. (2006). On local scale, fire can stimulate microbial processes and alter structure and composition of soils as well vegetation (Lentile et al. 2006). At regional and global scales, combustion of forest and grassland vegetation releases large volumes of active gases, pyrogenic aerosols and other compounds that significantly influence Earth's radiative budget and chemistry atmospheric (Andreae & Merlet 2001), affecting air quality (Hardy et al. 2001) and raising concerns about risks to human health (Stefanidou et al. 2008).

Spatial distribution of forest fires and their main promoting factors is mainly understood by the analysis of historical occurrence data (Syphard et al. 2008). The importance of anthropogenic factors in regulation of fire events, in addition to climate, vegetation and topographic ones, makes fire prediction highly challenging (Perry 1998). Thus, development and use of fire prediction models can assist in forest management active and preventive decisionmaking (González et al. 2006).

A wide range of techniques has been used to model fire risk. More complex models of fire require spatial information that is provided by remote sensing and Geographic Information Systems (GIS) (Bonazountas et al. 2005). The use of data from multiple sources, entails the presence of local variation and multivariate relationships among predicting variables, what demands flexible and consistent models. Nevertheless, commonly used models suggest an a priori setting of modeling parameters. This preliminary setup is often based on knowledge of fire experts (Caetano et al. 2004, Chuvieco & Congalton 1989, Eugenio et al. 2016, Semeraro et al. 2016, Vadrevu et al. 2010) or in regression analysis, where coefficients represent the weights of considered predictive variables.

Statistical models proposed in previous studies range from multiple linear regression (Oliveira et al. 2012) to logistic regression (Bem et al. 2018, Catry et al. 2009, Kalabokidis et al. 2007, Martínez et al. 2009, Syphard et al. 2008). According to Amatulli et al. (2006), multiple linear regression is limited once it does not consider discrete variables in the model, such as land use and type of fuel, which is very important in modeling fire risk. Meanwhile, in logistic regression models, the output target variable is a simple "occurs / does not occur" answer. This limitation restricts its use when the target variable is continuous, such as fire density (fire events per unit area). Furthermore, according to Martínez-Fernández et al. (2013), the regression coefficients obtained by these statistical

techniques are applied to the entire study area, without considering the spatial variation of each variable in the regression process. This assumption, also known as stationarity, is ignored at the time of modeling.

In order to overcome these disadvantages, some authors have used neural networks to predict fire risk, with satisfactory results (Chuvieco 1999, Vasconcelos et al. 2001, Vega-Garcia et al. 1996). Although neural networks computes accurate fire risk maps, it does not provide information about the variables degree of importance in the predictive model.

As presented, different techniques have been tested and developed, but each with its shortcomings in the prediction of forest fires. Therefore, the applicable models must be more robust to be used as efficient operational tools in the management of forest fires. Thus, they must be able not only to deal with different data sources, but also to provide a more detailed result than a single output risk map.

Against mentioned gaps of current fire prediction systems, machine learning algorithms offer an interesting approach to treat the problem. Some algorithms have been applied to predict fire in the literature, such as Random Forest (Arpaci et al. 2014, dos Santos et al. 2020, Wu et al. 2014), MaxEnt (Arpaci et al. 2014, Fonseca et al. 2016, Martín et al. 2019), and Boosted Regression Trees (Argañaraz et al. 2015). However, these approaches do not have the fascination of creating a single tree structure with easy interpretation for managers, which is offered by Classification and Regression Trees algorithm (CART).

Proposed by Breiman et al. (1984), CART decision tree can process continuous and categorical attributes through a binary recursive procedure that constructs an ideal tree. Regarded as one of the ten best second data mining algorithms Wu & Kumar (2009), classification tree predicts a likelihood of association for categorical response variables, while regression tree provides average values for continuous response variables in interval or scale of reason (Michaelsen et al. 1994), which is useful for fire prediction.

This study falls within spatial modeling and analysis using machine learning techniques to evaluate fire prediction on regional scale; a field in which the potential of this statistical approach has not yet been well explored. The proposed technique aims to provide understandable outputs, in the form of decision rules, enabling to predict average risk values for each grid cell and set the fire management units in Espírito Santo State.

### MATERIALS AND METHODS

### Area of study

The study area is represented by Espírito Santo State, located in Southeast region of Brazil (Figure 1), with an area of 46,052.64 km<sup>2</sup>. It is located between 17°53'29" and 21°18'03" of latitude S and 39° 41'18" and 41°52'45" of longitude W. It borders Atlantic Ocean to the East, Bahia State to North, Minas Gerais State to West and Rio de Janeiro State to South. Due to its geographical location and geomorphology, the state presents four types of climate according to Köppen classification: Cwb, subtropical climate of altitude with dry winter and mild summers, found in the state mountainous region; Cwa, subtropical climate of dry winter and hot summer, found



Figure 1. Geographical location of the study area with digital elevation model. in the state southwest region; Am, humid or subhumid tropical climate, found in the state northeastern; and Aw, tropical climate with dry winter, found in the state Western region.

The Atlantic Forest is among the most biodiverse and threatened regions on the planet (Myers et al. 2000). Since colonization, the Brazilian Atlantic Forest has been suffering from constant processes of forest fragmentation arising from the different cycles of land occupation (Dean 1995), where the alteration of the primitive landscape by humans is thousands of times greater than the dynamics of natural disturbance of the ecosystem (Tabarelli & Gascon 2005). Consequently, this fragmentation process reduced the original area of the Atlantic Forest biome to the current 12.4%. The entire Espírito Santo State is covered by this biome, with about 10.5% of the original remaining (SOS Mata Atlântica 2019). Therefore, the remnants inspire greater attention concerning conservation policies, especially those related to implantation and management of protected areas

The following sections describe data set preparation and used methodology. First of all, kernel density and fire maps are explained. Then, CART theory and the requirements for its implementation are illustrated. Finally, prediction map is described, paying particular attention on how predictors are implemented. All methodological approach is described and summarized in Figure 2. Flowchart shows the main procedures involved in the process of determining fire density and making fire predictions.

# Dataset

Most researches related to fire occurrence are based on fire records and requires data covering several fire stations. The occurrence of fire, which is maintained by firefighting agencies, is the most common source of such data. Although occasionally, when these records are not available, satellite images can be used (Dlamini 2010, Maingi & Henry 2007, Prasad et al. 2008).

MCD45A1 product maps fire-affected areas, is monthly distributed and belongs to MODIS 5 collection (Roy et al. 2008). MCD45A1 subsets, in shapefile format and ranging from 2000 to 2015, have been downloaded via Server File Transfer Protocol (FTP) from the website http:// modis-fire.umd.edu/ by software SmartFTP (1 of Figure 2). Files are available in sinusoidal projection Lat-Long with geographic extension in subcontinental windows. Study area is bounded by the 6 window, covering Central South America with latitude S between 10° and 35° and longitude W between 34° and 79°. Monthly maps of burned area were then projected to Universal Transverse Mercador (UTM), datum SIRGAS 2000 and converted to raster format (2 of the Figure 2) with spatial resolution of 250m.

The availability of fire records has restricted the search for fire occurrences in the past. The few studies as Santos el al. (2006) reports that the main causes of fires in the national territory protected areas are associated with human action, mainly in the category of incendiary and burning for cleaning, with the most frequent occurrences from July to October. In Espírito Santo State, information on the profile of forest fires in protected areas can be found in Tebaldi et al. (2013). Since the advance of Earth observation from space, remote sensing has become a valuable tool for the scientific community and natural resource managers. The mapping of the burned area by global satellite systems has become essential for development of environmental management policies. For the considered study period, the historical records of fire detected by remote sensing in the Espírito Santo State registered more than 20,000 hectares of burnt area. This has caused



the reduction of forest fragments and loss of biodiversity, mainly in the state conservation units (Tebaldi et al. 2013).

# **Kernel Density**

Center points (3 of the Figure 2) of each pixel from the raster image were considered to spatialize the burned area data, totaling 3314 points. Interpolation techniques can be used to convert punctual to continuous data, in order to predict attribute values for not sampled locations (Burrough & McDonnell 1998). In case of finite point observations, results from kernel density estimates are suitable (Bowman & Azzalini 1997). This approach was originally developed as an alternative method to obtain a smooth probability density function, univariate or multivariate, from a sample of observations (Bailey & Gatrell 1995, Levine 2002). As estimated intensity of punctual observations (coordinates given in *x* and *y*) is very similar to bivariate probability density, kernel approach can be adapted for this purpose (Bailey & Gatrell 1995).

Kernel density estimation (4 of the Figure 2) is a non-parametric statistical method for estimating probability densities. One kernel (that is, bivariate normal probability density) is placed on each observation point and the crossing intensity of an overlapping grid is estimated (Seaman & Powell 1996). The method is similar to "mobile window" concept, in which a specific sized window is moved over the observation points (Gatrell et al. 1996). Mathematically (Parzen 1962, Rosenblatt 1956), for a location with vector coordinates  $x_p$  density  $\hat{f}(x)$  can be expressed by the following equation (Eq. 1):

$$\hat{f}(x) = \frac{1}{nh^2} \sum_{i=1}^{n} K\left\{\frac{\left(x_j - X_i\right)}{h}\right\}$$
(1)

Where: n is the number of observations;  $x_i$  is the vector of fire coordinates; K is the kernel function; h is the search radius or bandwidth.

Various functions of K interpolation, differ in the way that they assign weights to points within a search radius, which can be any probability density function (Gaussian, triangular, quartic, uniform or negative exponential) that meets the following equality (Eq. 2):

$$\int_{-\infty}^{+\infty} K(h) dh = 1$$
<sup>(2)</sup>

Quartic kernel function (Silverman 1986) was considered for calculation of function K in sofware ArcGis/ArcInfo 10.4 (Eq. 3):

$$k(h) = \frac{3}{\pi} \left( 1 - h^2 \right) \tag{3}$$

Quartic function ponders with greater weight distant points with gradual decrease. Search radius or bandwidth expresses kernel size and controls smoothing on the generated surface. Fixed and adaptive methods can be applied to estimate kernel density. In the fixed method, the search radius is defined in units of distance and is constant throughout the interest area. In adaptive method, the search radius is defined by the minimum number of individual observations found in the kernel and depends on concentration of punctual observations. This means that, in areas of low concentration, search radius has higher values than in areas of high concentration (Worton 1989).

An important question that is hard to define when implementing kernel density interpolation, in both fixed and adaptive methods, is the choice of kernel smoothing parameter. A smaller search radius allows close observations dominate density estimate, while larger search radius favor distant observations (Worton 1989, Seaman & Powell 1996). In the literature, some different methods have been proposed to set smoothing parameters in order to evaluate occurrence and pattern of fires (Amatulli et al. 2007, de la Riva et al. 2004, Koutsias et al. 2004, Liu et al. 2010). However, the choice of an arbitrary value for the smoothing parameter is not recommended and should be done in a more rigorous way, so that the model is not penalized. Therefore, search radius h was calculated using a space variant of Silverman (1986) that is robust to outliers (i.e. points that are far apart from other points) and it is implemented in ArcGis/ArcInfo 10.4 software. Thus, outlined the kernel density configuration of the model, the surface map of fire occurrence, with grid resolution of 250 cells, was generated and used in regression tree analysis as the response variable. Therefore, this spatial resolution allows to eliminate the error concerning the fire starting locations.

# **Predictive variables**

According to literature and taking into consideration the significance of each variable to explain forest fires occurrence within study area, a total of 12 variables were considered (Table I), including aspects topographical (Supplementary Material - Figure S1), climatic (Figure S2), socioeconomic (Figure S3) and vegetation (Figure S4). Variables from various databases were then processed into raster image, with spatial resolution of 250m, in accordance with procedures described in Supplementary Material. Geographical data were configured in accordance with geocentric reference system (SIRGAS 2000) and integrated into ArcGis/ArcInfo 10.4 GIS environment.

### Training model - calibration

With fire density response variable along with the entire database of predictors, variable sample tool (5 in Figure 2) of ArcGis/ArcInfo 10.4 was used for systematic sampling and data input on CART decision tree algorithm. Systematic sampling ensures a large amount of data for tree algorithm training/calibration and test/validation.

CART decision tree algorithm (Breiman et al. 1984) is a recursive binary partitioning procedure

Variable		Variable file name	Grasp	Unit
Topographic	Altitude	DEM	0 – 2834	m
	Slope	SLO	0 - 85.40	o
	Composite topographical index	CTI	0.75 – 16.07	adimensional
Environmental	Annual average rainfall	PREC	885.94 - 1,817.98	mm
	Annual average temperature	TEMP	6.54 - 25.79	°C
	Solar radiation	SOLAR_RAD	0.23 - 1.30	MJ cm <sup>-2</sup> hr <sup>-1</sup>
	Annual average water deficit	DEF_HID	0 - 603	mm
Socioeconomic	Demographic density	DEMOG_ DENS	0 - 5,2397.7	hab km <sup>-2</sup>
	Income	INCOME	0 - 2,7017.04	R\$ month⁻¹
	Proximity to roads	PROX_ROADS	0 - 4,854.12	m
Vegetation	Vegetation continuous fields	VCF	0 - 86	%
	Land use and cover	1-Agriculture 2-Urban areas 3-Water course 4-Natural forest 5-Mangrove 6- Pasture 7-Forestry 8-Exposed soil 9- Flooded areas 10-Restinga		

 Table I. Predictive variables considered in the decision tree analysis.

capable of processing continuous and nominal attributes as predictors and targets. Data are treated in raw form; no binning is necessary nor recommended. Starting at root node, data are divided until terminal nodes, without a stopping rule (Wu & Kumar 2009). Algorithm starts by analyzing all input variables and determines which binary division of a single predictor best reduces deviation in the response variable. This process is repeated for each partition of data resulting from first division, continuing until homogeneous terminals nodes are achieved in the hierarchical tree. The technique typically causes model overfitting, creating a tree that explains substantially all deviation in the original data. Then the tree has to be pruned back by methods of cost complexity (for more details see Esposito et al. 1997). Pruning method involves cross-validation (Venables & Ripley 2002), which consists of equally dividing the original data set; which will be used to generate test trees that will be validated against the last set. Estimates of mean squared error from cross validation help to select the most convenient tree size, considering a trade-off between reduction in expected error and convenience of generating a reasonable amount of decision rules.

CART engine includes automatic handling missing data, the construction of dynamic resources (Wu & Kumar 2009), which is robust to outliers and do not require a priori variables selection. In addition, it can model the relationships among variables, despite significant spatial autocorrelation (Cablk et al. 2002), as well as the relative importance of each variable used in the model (Steinberg & Colla 1997).

Training database was used to implement CART regression tree algorithm using demo version Salford Predictive Modeler (SPM) 8.0 software. In this study, a built-in validation/ calibration procedure of tree's performance was conducted by a 10-fold cross-validation, which was able to produce validation errors for each generated tree. First, a large tree was generated aiming division of least squares; successively, the greater tree was pruned (6 in Figure 2) to get a good level of cross-validation error, allowing selection of a smaller tree. Amatulli et al. (2006) mentions that one large tree can produce a very detailed regression process, creating decision rules for small units of fire risk. As a result, algorithm would then be too complex, reducing its interpretive nature. In addition, small units increase meaningless fire planning segmentation, thereby losing its operational efficiency. In general, a satisfactory level of crossvalidation error and unit size must be identified, considering interpretability of decision rules.

Decision rules, based on threshold values of a specific predictor variable, were implemented in python, in order to read Excel file database and determine output tree values. Output values were then imported into GIS environment (7 in Figure 2), to map predicted fire density of each grid cell, allowing creation of the final map of fire prediction. This combination provides an important tool to spatially locate preventive actions that must be taken, within a framework of fire management.

# Model-calibration test

A validation of the resulting map was obtained analyzing the correlation between predicted risk values and observed fire values, through r coefficient (8 in Figure 2).

Subsequently, in order to check model predictive capacity and the influence of fire point position in the study area, a second correlation analysis was performed, in which points of burns in each fire management unit, expressed in observed density, were graphically represented as a function of predicted density values of fire risk map (9 in Figure 2). Then, obtained regression equation with its slope, intercept and Pearson coefficient was calculated. In addition, through CART analysis the relative importance of each variable in regression process was set, revealing their capability for predicting fire risk.

# RESULTS

# Fire density map

Kernel map of fire density (Figure 3) highlighted four main areas of greatest burning. One is in State's northeast region, with peaks ranging from 0.2 to 0.6 points km<sup>-2</sup>. Another is in Rio Doce region, with more outstanding peaks, ranging from 0.3 to 0.9 points km<sup>-2</sup>; and, two other areas in the south coast and Caparaó regions, with peaks ranging from 0.2 to 0.3 points km<sup>-2</sup>. In the other regions from study area, the occurrence of fire slightly decreases to values from 0 to 0.2 points km<sup>-2</sup>.

# **Decision tree rules**

The large dataset and number of predictor variables created a very complex tree, with 5.137



Figure 3. Kernel density map of fire.

terminal nodes and a cross-validation error of 0.01. Tree pruning was successively performed to obtain a simple tree with 38 terminal nodes (Figure 4) and a cross-validation error with acceptable values (0.3). Tree decision rules identified several unique thresholds for each variable and fire management unit, allowing to predict 38 average densities, ranging from 0.004 to 0.748 points km<sup>-2</sup>, in order to smooth maximum values (0.9 points km<sup>-2</sup>) of the fire density map.

Management units range from large (199.101 grid cells) to small (179 grid cells). Lower risk zones represent areas of greater size within state (Figure 5), while zones of greatest risk in the prediction map correspond to coastal and northeast state regions, according to the fire density map.

Spatial validation of the map indicates an acceptable correlation (0.82), confirming that the selected tree was able to provide a reliable fire prediction map, following the trend available on the fire density map. In addition, CART algorithm generated an acceptable regression model, with an adjusted coefficient of determination of 0.88 and a correlation of 0.94 between predicted density on fire risk map and observed density at points of burning area. In general, the lowest values of density in the risk map present better fit in the model (Figure 6).

Table II shows each variable score in the decision tree process. The variable with highest predictive capacity was population density (100.0), followed by variable rainfall (78.4) and land use and cover (75.07). Income (36.05) and altitude (33.51) also had good predictive capacity in the model, while continuous field (1.07) and solar radiation (0.01) were not significant in the model development. In general, socioeconomic, environmental and vegetation factors are more important on regional scale fire prediction, once they present higher punctuation, which is



Figure 4. Regression rules described in binary tree form. Predicting variables codes and their classes or ranges are listed in Table I.

confirmed by their abundant presence in the tree structure, for all fire density scales (Figure 4). Topographic variables, on the contrary, are less important in the predictive model. Among these, altitude is the most relevant variable. Finally, proximity to roads variable shows less influence on the regression process when compared to above-mentioned variables.

# DISCUSSION

# **Fire Density Map**

Information technology has become important for monitoring burning areas as well as predicting occurrence and behavior of forest fires. Improvements in support systems and data quality resulted in more efficient decision making for fire response and forest management. Development of spatial statistical models led to notable improvements in fire predictive capacity, through integrating fire risk classification system with information application and space technology. Methods such as kernel density analysis provide an instrument for forest managers to develop maps of fire occurrence in situations of spatial and temporal variability.

Kernel density technique is often used for different ecological applications, such as area analysis of life (Millspaugh et al. 2006) and landscape fragmentation studies (Cai et al. 2013). Choosing an appropriate smoothing parameter (that is, bandwidth) is the most important step in obtaining a kernel density estimator (Worton 1989), but there is no agreement on how to address this problem (Downs & Horner 2007, Fieberg 2007, Gitzen et al. 2003, Horne & Garton 2006).



Figure 5. Fire prediction map obtained by application of decision rules.

Smoothing parameter (h) determines the kernel propagation centered on each observation. If h value is small, individual kernels will be narrow and kernel density estimate at a given point will be based on only few observations. This may not allow variation between samples and may produce a poorly smoothed map (high values). On the other hand, if h value is large, individual kernels will be large as well, which can hide fine details and result in a very smoothed map (low values). Therefore, the smoothing approach to use depends on the set of observations along with ecological considerations specific to each study/ purpose. The spatial pattern of fire distribution in the study area has a grouped behavior. Its occurrence in specific areas will depend on several factors related to the legal protection of natural resources, properties and forest management.

### **Decision tree rules**

The decision tree model generated by CART algorithm, presents advantages over some techniques used in the context of forest fire prediction. It is a flexible model and allows the user to define a tree architecture with a reduced number of terminal nodes, with good



Figure 6. Regression equation obtained between predicted density and observed occurrence of fires in each fire management unit.

predictability for forest fire risk areas. Although, other approaches, such as random forest, work with the same principle, CART algorithm stands out for the practicality of creating a single tree that is easy to interpret. Thus, by knowing the variables present in the tree structure along with their respective values, that determine the risk areas for forest fires, an effective planning can be elaborated for the different regions in the study area.

More precise conclusions can be reached based on the structure of the tree algorithm. High-density fire zones are associated with either a high or low population density. Areas of restinga vegetation and flooded areas are generally associated with high fire density (0.748). Thus, some management strategies can be performed in such areas as restricting access to risky locations and managing fuel to prevent onset and spread of fire. An important application of the algorithm is the possibility of data self-feeding, in order to automatically develop fire prediction. In addition, there is the possibility of creating scenarios based on simulated changes in the data, aiming to observe new spatial dispositions of fire management units and their risk value.

An important role is given to the climatic parameter represented by rainfall, temperature and water deficit variables. This parameter is an important ecological indicator, not only in species composition definition, but also in their distribution. Such variables are capable of discriminating ecological conditions and fire susceptibilities not detected by land cover data. Species susceptibility to forest fires is not only related to tree species flammability and plantation structure, but also to state of water stress, which is directly influenced by average meteorological conditions (Aguado et al. 2003, Chuvieco & Martin 1994). Predictions of fire regimes assume a strong link between climate and fire, but generally with less emphasis on effects of local factors such as human activity (Liu et al. 2010, Wotton et al. 2010).

The importance of social factors can also be pointed out by the income variable, since forest fires are associated with lower income sites. In the USA, forest fires that start in poor communities are less likely to extinguish out rapidly due to resource lacking (Mercer & Prestemon 2005). Ecological damage resulting from forest fires can harm the natural resource base from which communities originate their economic activities (Butry et al. 2001). These

Table II.	Punctuation of each variable in the decision				
tree process					

Variable	Punctuation (%)	
Demographic density	100	
Annual average rainfall	78.4	
Land use and cover	75.07	
Income	36.05	
Altitude	33.51	
Composite topographical index	24.06	
Annual average water deficit	22.89	
Annual average temperature	22.13	
Proximity to roads	9.92	
Declivity	9.14	
Continuous field of vegetation	1.04	
Solar radiation	0.01	

findings suggest that social conditions can be key determinants of social vulnerability and fire risks. Understanding how these vulnerability components vary can help managers develop appropriate mitigation and protection strategies for specifics sites and populations.

Some observations can be extracted from the model. According to Amatulli et al. (2006), a minimum or maximum fire management unit size can be defined based on prevention actions and fire planning guidelines. Predefined fire management unit sizes should be used in tree growing phase in order to reinforce regression rules and group homogeneous pixels into areas larger or smaller than the defined dimension. This process would also allow an evident reduction in the tree size, improving understanding of the whole regression process.

### **Prediction Fire Map**

Spatial location of fire management units and study area knowledge in terms of ecology and socioeconomic factors can be important

indicators of fire causes. In the micro region of Rio Doce (linhares) and Northeast (São Mateus and Linhares municipalities). close to the coast of the state (Figure 5), where a greater prediction of forest fires was observed, the risk fire is related to two main causes. First is due to the use of fire as a management resource for farm cultivation. These areas are characterized by a high level of fragmentation (Juvanhol et al. 2021). These results are consistent, according to work done in tropical forests (Cochrane & Laurance 2002, Holdsworth & Uhl 1997), where edges between wildland - urban interface are considered to be the most vulnerable to forest fires, as it also occurs in temperate forests (Ganteaume et al. 2013, Maselli et al. 2003, Yang et al. 2007). Landscape fragmentation level strongly widens boundary borders, increasing the likelihood that human activities will affect ecological processes in natural areas; in this specific case, increasing vulnerability to fire (Juvanhol et al. 2021; Leone & Lovreglio 2003). Second cause is more related to vegetation, being the transition range between forest and restinga. Predominance of herbaceous plants established in sandy soil with a high concentration of organic matter, facilitates fire ignition (Juvanhol et al. 2021).

Tropical rainforests of the Atlantic Forest, despite their location in one of the wettest areas of Brazil, where average annual rainfall is over 1500mm, sporadically suffer from fires (Oliveira & Passacantili 2010). According to Hammond et al. (2007), humid forests often suffer from fire in Guianas due to anthropic impacts. Carcaillet et al. (2002) report that in the last 2000 years, in the Amazon, fires are also present in the paleoenvironmental record, also associated with anthropic influence. More recent studies in temperate forests have found similar results regarding transformation of large forests into open or low vegetation areas throughout altered fire regimes, in face of climate and land use change (Paritsis et al. 2015, Tepley et al. 2016).

Understanding confluence of social and biophysical vulnerability is especially relevant to forest fires. Frequency, severity, and pattern of forest fires are significantly related to human activities, including land use, population establishment patterns, and vegetation management (Hawbaker et al. 2013; Syphard et al. 2007, 2013). For example, the occurrence of forest fires is positively associated with population and housing density (Syphard et al. 2007, Hawbaker et al. 2013), once people cause most of fire ignition, land use influences vegetation patterns and, therefore, fire behavior (Prestemon et al. 2013).

While some policies, such as those supporting research on sustainable forms of agriculture, have been introduced in recent years, forest areas continue to receive less favorable treatment of rural taxation schemes. Above all, political and institutional structure still favors extensive cultivation practices and land conversion (Wigtil et al. 2016)

We must pay more attention to development of appropriate conservation actions. The specific need to strengthen efforts in areas where land protection is already established stands out. In addition, areas that provide vital environmental services to local communities, where impacts and threats are particularly concentrated, must also be protected.

In order to better understand the effects of management actions on fire occurrence and behavior, field studies should be conducted at various sites and at various scales. Some federal policies indirectly support individuals in reducing their vulnerability to fire. The 2003 Healthy Forests Restoration Act in EUA provides opportunities to develop community-based forest fire protection plans to enhance their ability to adapt to forest fires (Grayzeck-Souter et al. 2009, Jakes et al. 2011, Williams et al. 2012). These plans have been shown to improve community resilience (Jakes & Sturtevant 2013). Development of community forest fire protection plans in vulnerable communities can help to reduce their susceptibility to fire impacts, once they depend on communities that have access to suitable resources (Jakes et al. 2011).

# **Study implications**

The results presented by the regression tree model are very interesting when compared to other works carried out at the local and national level (Arpaci et al. 2014, Bem et al. 2018, dos Santos et al. 2020, Liang et al. 2019, Oliveira et al. 2012, Zhang et al. 2016). Particularly, within European major fire projects, the global precision achieved through logistic regression and neural networks was 60% and 69%, respectively (Bart 1998, Chuvieco 1999).

In the context of the Decision Support System (DSS), the relevance of the study stands out for allowing the forest manager to choose the priority conservation regions according to the local environmental resilience and valuation. In this sense, a differentiated operational plan can be carried out in each fire zone, taking into account the survey of operating costs on local and regional scales.

Other approaches in the context of forest fire risk modeling were employed with a good performance of the prediction maps (Martín et al. 2019, Zhang et al. 2019). Even though, these models allow calculating the variables importance scores to determine the global map of the area. The proposal presented here is more complete in the context of DSS, once the model also allows to understand how the variables are related and locally influence the fire management areas. Thus, the forest manager can get insights about the causes of fire occurrences and consider this information during decision making.

Additionally, the importance of an attribute in CART model is applied to the total improvement of all nodes in which the attribute appears as a divisor (weighted by the fraction of the training data in each node division). The substitute divisor is also included in the importance calculations, which means that even a variable that does not divide a node can receive a score of great importance (Wu & Kumar 2009). Substitutes are an important innovation in machine learning and play a key role in predicting and interpreting the CART tree. When a divisor has no close substitutes. it means that the information content of that variable is unique and cannot be easily replaced by any other variable.

Further research is needed to extend model implementation and validation, using other study sites, and a more extensive set of predictor variables. The same methodology can even be applied in the short term fire risk assessment framework.

Although, this advanced data analysis was focused on fire risk assessment, it can be extended to other fields of science. In particular, to natural disasters risk, where several factors are generally involved. Their nature and behavior is often not well known and multidisciplinary interaction is needed in order to emphasize the complex mechanism of their possible relationships.

# CONCLUSIONS

This study demonstrated that two nonparametric techniques, combined with GIS, can provide a significant model for predicting units of fire risk. The resulting decision tree model shows good performance between its dimension and cross-validation error. In general, socioeconomic, environmental and vegetation factors have a greater importance in the proposed prediction model.

Proposed Decision Support System (DSS) provides a sound basis in the overall context of risk analysis. More emphasis should be placed on applying non-parametric techniques, once they can correctly address a wide range of environmental issues in order to explore data distribution and intrinsically variable relationships.

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# SUPPLEMENTARY MATERIAL

#### Figures S1-S4.

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JUVANHOL RS, Conceptualization, Data curation, Formal Analysis, Investigation, Methodology, Software, Validation, Visualization, Writing – original draft. FIEDLER NC, SANTOS AR, Formal analysis, Funding acquisition, Project administration, Supervision, Writing – review & editing. PELUZIO TMO, SILVA WB, PINHEIRO CJG, Formal Analysis, Supervision, Writing – review & editing. SOUSA HCP, Visualization, Writing – review & editing.

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