

# Increasing prevalence of extended-spectrum-beta-lactamase among Gram-negative bacilli in Latin America - 2008 update from the Study for Monitoring Antimicrobial Resistance Trends (SMART)

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Submitted on: 05/14/2010  
Approved on: 06/18/2010

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## Financial Support:

Funding for SMART was provided by Merck, Sharp, & Dohme.

## Conflicts of interest:

MVV has received funding from Merck, Sharp and Dohme; Astra Zeneca; Pfizer; Bayer, Janssen and Novartis.

MGB has served on advisory boards for Pfizer; Wyeth; Merck, Sharp & Dohme; and Biomerieux. He has received research grants from International Health Management Associates, Inc; Janssen; and Wyeth.

JSO has no conflicts of interest.

FR was an Advisory Board Member for Merck.

## ABSTRACT

**Objectives:** This analysis of the Study for Monitoring Antimicrobial Resistance Trends (SMART) evaluated the susceptibility patterns of *Enterobacteriaceae* in Latin America in 2008, with emphasis on susceptibility trends of *E. coli* and *K. pneumoniae*. **Methods:** Clinical isolates were recovered from intra-abdominal infections (IAI) from 23 centers in 10 Latin American countries. Isolates were sent to a central laboratory for confirmation of identification, antimicrobial susceptibility and ESBL testing, following the Clinical Laboratory Standards Institute (CLSI) guidelines. **Results:** Of 1,003 Gram-negative bacilli collected from intra-abdominal infections, *E. coli* and *K. pneumoniae* were the most commonly isolated organisms, and 26.8% of *E. coli* and 37.7% of *K. pneumoniae* were ESBL positive. Ertapenem and imipenem were the most consistently active agents tested; 99% of ESBL-positive *E. coli* isolates were susceptible to ertapenem and 100% to imipenem as well, and 91% of ESBL-positive *K. pneumoniae* were susceptible to ertapenem and 98% to imipenem. Quinolones and cephalosporins were less active, achieving 1.5% to 76% inhibition against ESBL-producing *E. coli* and 3.5% to 61% inhibition against *K. pneumoniae*. **Conclusions:** Local and unit-specific surveillance data is particularly important for selection of empiric therapy and in community-acquired infections as they can help the clinician with antibiotic selection by providing guidance regarding the likely pathogens and their resistance profiles. Our data also confirm the increasing frequency with which ESBL-producing organisms are found in the community setting, with 31.4% of community-acquired and 24.9% of hospital-acquired infections found to produce ESBLs. Imipenem and ertapenem are the most active agents tested for ESBL-positive *E. coli* and *K. pneumoniae*.

**Keywords:** carbapenems; beta-lactamases; Gram-negative bacteria; drug resistance; ESBL.

[Braz J Infect Dis 2011;15(1):34-39]©Elsevier Editora Ltda.

## INTRODUCTION

*Enterobacteriaceae* are the most common Gram-negative organisms responsible for intra-abdominal infection (IAI) and *Escherichia coli* is the most frequent pathogen associated with IAI.<sup>1</sup> While the prevalence of *E. coli* in diseases such as IAI has remained relatively constant, its overall susceptibility to antibiotics has decreased, as the incidence of extended-spectrum beta-lactamase (ESBL) producing isolates has increased. ESBL-producing *Klebsiella pneumoniae* has also become a major treatment problem in IAI.<sup>2,3</sup> Antibiotic resistant ESBL-producing *K. pneumoniae* has been identified as threats to treatment in Latin America, with resistance observed in both community- and hospital-acquired infections.<sup>6</sup> In this era of widespread resistance among both community and nosocomial pathogens, improved knowledge of

local and regional epidemiology and susceptibility patterns is crucial in order to optimize empiric antibiotic treatment strategies.<sup>4,5</sup>

The Study for Monitoring Antimicrobial Resistance Trends (SMART) is a surveillance study that monitors global susceptibility patterns of Gram-negative bacteria (GNB) from patients hospitalized with IAI.<sup>1,7-9</sup> The SMART program monitors the activity of amikacin, ampicillin-sulbactam, cefepime, cefotaxime, cefoxitin, ceftazidime, ceftriaxone, ciprofloxacin, ertapenem, imipenem, levofloxacin, and piperacillin-tazobactam against GNB from IAI. Initiated in 2002, there were 120 participating SMART centers worldwide in 2008, with 23 centers in Latin America. This sub-analysis of SMART data evaluated current susceptibility patterns of *Enterobacteriaceae* recovered from IAI in patients from Latin America in 2008.

## METHODS

### Study sites

In 2008 there were 23 centers in 10 Latin American countries that participated in SMART. These centers were located in Argentina (2), Brazil (5), Chile (2), Colombia (3), Dominican Republic (1), Guatemala (1), Mexico (3), Panama (1), Peru (2), and Venezuela (3).

### Isolate collection

Up to 100 consecutive non-duplicate clinical isolates were collected prospectively from patients with IAI at each center. Only the first isolate of a particular species from any patient could be included for the entire collection period. Gram-negative aerobic and facultative bacteria were cultured from IAI sites such as appendix, peritoneum, colon, bile, pelvis and pancreas. Isolates were obtained during surgery, or through paracentesis or percutaneous aspiration of abscesses. Isolates from blood, urine, stool, abdominal drains or drainage bottles, superficial wounds, and perirectal abscesses were excluded. Multiple organisms obtained from one specimen were acceptable provided each was a unique initial GNB. Isolates collected within < 48 hours of hospitalization were categorized as community-acquired and those collected > 48 hours after hospitalization were categorized as nosocomial.

### Antimicrobial susceptibility testing

Isolates were sent to a central laboratory (Laboratories International for Microbiology Studies, a subsidiary of International Health Management Associates, Inc., Schaumburg, Illinois, USA) for confirmation of identification and antimicrobial susceptibility testing. Susceptibility testing was performed following Clinical Laboratory Standards Institute (CLSI) guidelines<sup>10</sup> using dehydrated broth microdilution panels prepared by MicroScan (Siemens Medical Solutions Diagnostics, West Sacramento, California, USA). ESBL testing was done according to CLSI guidelines (CLSI 2009), with a positive test requiring at least three doubling dilution decrease in minimum inhibitory concentration of ceftazidime or cefotaxime in the presence of clavulanic acid. Quality control testing was done following CLSI<sup>11</sup> and manufacturer (MicroScan) guidelines, using reference strains *E. coli* ATCC 25923, *E. coli* ATCC 35218, *Pseudomonas aeruginosa* ATCC 27853, and *K. pneumoniae* ATCC 700603. The following antimicrobials, obtained from the panel manufacturer, were tested: amikacin, ampicillin-sulbactam, cefepime, cefotaxime, ceftazidime, ceftazidime, ceftriaxone, ciprofloxacin, ertapenem, imipenem, levofloxacin and piperacillin-tazobactam.

## RESULTS

Of the 1,003 GNB isolates collected, 92% were represented by 9 species: *E. coli* (n = 504), *K. pneumoniae* (n = 151), *Enterobacter cloacae* (n = 69), *P. aeruginosa* (n = 68),

*Proteus mirabilis* (n = 37), *Citrobacter freundii* (n = 24), *Acinetobacter baumannii* (n = 24), *Serratia marcescens* (n = 23), and *Enterobacter aerogenes* (n = 23). Twenty-two other species comprised the remaining 8% of isolates. The most commonly isolated organism was *E. coli*, of which 135 of the isolates (26.8%) were ESBL positive. Among the *K. pneumoniae* isolates, 57 (37.7%) were ESBL positive. Table 1 shows the proportion of ESBL-producing isolates in Latin America. Data for the overall Latin American region are described here, with less emphasis on country-specific data, because the number of isolates collected from each country varied and was in some cases too small to be able to discern trends. For example, 86 *E. coli* were collected in Argentina, 83 in Chile, 79 in Venezuela, 61 in Guatemala, 43 in Mexico and in Panama, 41 in Brazil, 38 in Colombia, 26 in Puerto Rico, and 4 in Dominican Republic.

Antimicrobial susceptibilities of the most commonly isolated pathogens (> 50 isolates) are summarized in Table 2. Susceptibilities of non-ESBL-producing *E. coli*, *Klebsiella*, and *Proteus* isolates to the carbapenems ranged from 96.8% to 100% (ertapenem) and 98.9% to 100% (imipenem). The antimicrobial susceptibilities of the ESBL-producing organisms *E. coli*, *K. pneumoniae*, and *K. oxytoca* are shown in Figure 1. Among the antimicrobials tested, ertapenem and imipenem remained the most consistently active against all pathogens, with 99.3% of ESBL-producing *E. coli* and 91.2% of ESBL-producing *K. pneumoniae* susceptible to ertapenem and 100% of ESBL-producing *E. coli* and 98.2% of ESBL-producing *K. pneumoniae* susceptible to imipenem. All *P. mirabilis* isolates were susceptible to ertapenem and imipenem. Susceptibilities were lower for other agents, particularly against ESBL-producing strains. In particular, ESBL producers appeared to have reduced susceptibility to commonly-used antibiotics including cephalosporins, fluoroquinolones, and ampicillin-sulbactam. The susceptibility of ESBL-positive *E. coli* and *K. pneumoniae* varied somewhat by country, although they were most consistently susceptible to ertapenem and imipenem and least likely to be susceptible to cefepime or ciprofloxacin. Too few isolates were collected to identify definitive trends, however. It is worth noting that ESBL-producing *E. coli* (97.8%) and *K. pneumoniae* (100%) were uniformly resistant to ampicillin-sulbactam; non-ESBL-producing *E. coli* (51.5%) and *K. pneumoniae* (40.4%) had reduced susceptibility to ampicillin-sulbactam.

**Table 1. Frequency of ESBL-positive and ESBL-negative *E. coli* and *Klebsiella spp.* in 2008 in the Latin American region**

Pathogen	ESBL +		ESBL -	
	n	%	n	%
<i>E. coli</i>	135	26.8	369	73.2
<i>K. oxytoca</i>	4	20	16	80
<i>K. pneumoniae</i>	57	37.7	94	62.3

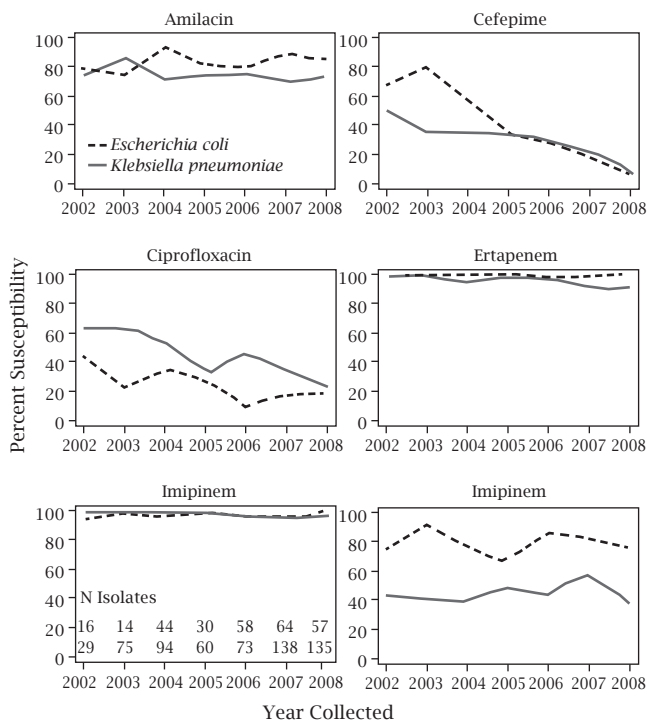
Table 2. Antimicrobial susceptibilities of the most commonly isolated pathogens (&gt; 50 isolates) from the Latin American region in SMART in 2008

Organism	Percentage of Susceptible Isolates										
	Amikacin	Ampicillin	Cefepime	Cefotaxime	Cefoxitin	Ceftazidime	Ceftriaxone	Ciprofloxacin	Ertapenem	Imipenem	Piperacillin Tazobactam
<i>Enterobacter cloacae</i>	97.1	17.4	84.1	55.1	2.9	59.4	52.2	87	98.6	100	68.1
<i>Escherichia coli</i> , ESBL+	85.2	2.2	7.4	1.5	76.3	28.1	1.5	19.3	99.3	100	77.8
<i>Escherichia coli</i> , non-ESBL	98.1	48.5	100	96.5	91.3	95.9	95.7	74.8	99.7	99.5	91.1
<i>Klebsiella pneumoniae</i> , ESBL+	71.9	0	8.8	7	61.4	14	3.5	22.8	91.2	98.2	40.4
<i>Klebsiella pneumoniae</i> , non-ESBL	92.6	59.6	96.8	96.8	87.2	96.8	95.7	79.8	96.8	98.9	84
<i>Pseudomonas aeruginosa</i>	80.9	NA	70.6	2.9	NA	76.5	8.8	58.8	NA	72.1	85.3

NA, not applicable.

The frequency of ESBL-positive *E. coli* and *Klebsiella* spp. from community-acquired and hospital-acquired infections is shown in Table 3. The rate of ESBL-producing infections in the community-acquired group was 28.7%; the rate of ESBL-positive strains implicated in hospital-acquired infections was slightly lower (24.4%). Overall, 99% of community-acquired ESBL-producing *E. coli* were susceptible to ertapenem,

**Figure 1.** Antimicrobial susceptibilities of ESBL-producing *E. coli* and *K. pneumoniae* in Latin America (2002-2008). Susceptibilities are based on *in vitro* minimum inhibitory concentration data.



**Table 3.** Frequency of ESBL-positive and ESBL-negative *E. coli* and *Klebsiella* spp. in community-acquired and hospital-acquired infections in Latin America

Infection Type <sup>a</sup>	ESBL +		ESBL -	
	n	%	n	%
Community-acquired infection	82	26.7	204	71.3
Hospital-acquired infection	52	24.4	161	75.6
Not specified	1	20	4	80
<b>Total</b>	<b>135</b>	<b>26.8</b>	<b>369</b>	<b>73.2</b>

<sup>a</sup>Community-acquired infection indicates that the isolate was collected within < 48 hours of hospitalization; hospital-acquired infection indicates that the isolate was collected > 48 hours following hospitalization.

100% to imipenem, 88% to amikacin, 6% to cefepime, 22% to ciprofloxacin, and 73% to piperacillin/tazobactam. With hospital-acquired infections, 99% of ESBL-producing *E. coli* were susceptible to ertapenem, 100% to imipenem, 85% to piperacillin/tazobactam, 81% to amikacin, 15% to ciprofloxacin, 10% to cefepime, and only 2% to ampicillin-sulbactam. Similarly, 92% and 93% of community- and hospital-acquired ESBL-producing *K. pneumoniae* were respectively susceptible to ertapenem and 98% and 100% to imipenem, while 74% and 67% of community- and hospital-acquired ESBL-producing *K. pneumoniae* were susceptible to amikacin, 12% and 0% to cefepime, 19% and 33% to ciprofloxacin, and 41% and 50% to piperacillin/tazobactam, respectively.

## DISCUSSION

The frequency of ESBL-producing *E. coli* and *Klebsiella* in Latin America was generally higher in 2008 compared with that reported from SMART in previous years. Overall, 26% of *E. coli* and 35% of *K. pneumoniae* isolated from IAI in the Latin American region produced ESBLs, compared with 10% and 14% of *E. coli* and *K. pneumoniae* from SMART in 2003, and 10% and 18% in 2004.<sup>1,7</sup> Other surveillance studies have also noted relatively high rates of ESBL-producing pathogens in Latin America. SENTRY results from Latin America (1997-1998) indicated that 46.9% of *K. pneumoniae* strains (n = 1,225) produced ESBLs, with rates ranging from 26.2% in Venezuela to 52% in Mexico.<sup>12</sup> The Tigecycline Evaluation and Surveillance Trial (TEST) reported rates of ESBL production of 13.5% among *E. coli* and 44% among *K. pneumoniae* isolates in Latin America (2004-2006).<sup>13</sup> A higher percentage of ESBL-producing *Enterobacteriaceae* were observed in South America than in North America according to the Meropenem Yearly Susceptibility Test Information Collection (MYSTIC) surveillance study between 1997 and 2003.<sup>14</sup> While 18.1% of *E. coli* from South America were ESBL positive, only 7.5% of isolates from North America were ESBL positive; 51.9% of *K. pneumoniae* from South America were ESBL producers versus 12.3% of North American strains.<sup>13</sup> In Brazil, 24% of 498 *Enterobacteriaceae* analyzed from 2002 to 2003 were ESBL producers, including 57% of the *K. pneumoniae*.<sup>15</sup> A similar study in Colombia in 2002 examined 1,074 *E. coli* and 394 *K. pneumoniae* clinical isolates and found a high prevalence of ESBLs in most hospitals, among both *E. coli* (11.8%) and *K. pneumoniae* (32.6%).<sup>16</sup> These rates were similar to rates reported in other countries in Latin America; some of the hospitals participating in this study, however, had rates that were substantially higher, up to 71.4% for *K. pneumoniae* and 16.7% for *E. coli* in some intensive care units.<sup>16</sup> The rates of ESBL production in Latin America appear to be approaching those of the Asia/Pacific region, where ESBL frequencies of 40% were observed in SMART.<sup>17</sup>



Our data also confirm the increasing frequency with which ESBL-producing organisms are found in the community setting, with 31.4% of community-acquired and 24.9% of hospital-acquired infections found to produce ESBLs. The community-acquired rate is similar to that reported in the Asia/Pacific region (28%) although the rate of hospital-acquired ESBL infection was much higher in the Asia/Pacific region (55.4%).<sup>17</sup> In SMART, isolates collected within < 48 hours of hospitalization were categorized as community-acquired and those collected > 48 hours after hospitalization were categorized as nosocomial, thus it is possible that some cases were miscategorized using these criteria.

Cephalosporins and quinolones susceptibilities of ESBL-producing organisms in Latin America declined from 2002 to 2008, while these organisms remained consistently susceptible to the carbapenems and, for the most part, to amikacin. SENTRY data based on nearly 20,000 community and hospital clinical isolates collected in Latin America between 1997 and 2001, indicated that ESBL-producing *E. coli* and *K. pneumoniae* remained uniformly susceptible to imipenem and meropenem, with high-level resistance to cephalosporins (e.g., 48.4% cefepime resistance among *K. pneumoniae*), fluoroquinolones (e.g., 49.2% levofloxacin resistance among *E. coli*) and, in some cases, to beta-lactams such as piperacillin/tazobactam (55.6% resistance among *E. coli* and 34.3% resistance among *K. pneumoniae*).<sup>18</sup> Imipenem was the most active agent against both ESBL-producing *E. coli* and *K. pneumoniae* in SENTRY and TEST.<sup>13,18</sup> Overall susceptibility of ESBL-producing *Klebsiella* species reported by SENTRY for 1997 to 2002 showed resistance rates from 35.8% to 46.9%, although rates of ESBL-producing *Klebsiella* were lower in 2001 to 2002 (35.8% to 39.5%) compared to 1997 to 2000 (43.5 to 46.9%), highlighting yearly variations.<sup>19</sup> While ampicillin-sulbactam is still commonly used in some Latin American countries, both ESBL-producing and non-ESBL-producing *E. coli* and *K. pneumoniae* were highly resistant. For all of the results in this study, it is important to note that susceptibility is defined in terms of minimum inhibitory concentrations and there is no clinical correlation with treatment.

Physicians have relied on the ability of antibiotics to treat infections for many decades. With high-level antibiotic resistance manifesting around the world, patients are more likely to be treated with inadequate antibiotic therapy and consequently more likely to die from infections and infectious complications.<sup>20-22</sup> The selection of antibiotic resistant strains due to inappropriate antibiotic use and overuse has had a negative impact on hospital ecology.<sup>23</sup> For example, a retrospective case-control study that included all cases of *K. pneumoniae* bacteremia from a single center in Mexico (1993-2002) reported that an ESBL-producing isolate was found in 17/121 cases (14%), and that prior cephalosporin use ( $p = 0.039$ ) and previous stay in the intensive care unit ( $p = 0.033$ ) were significant risk factors for infection with ESBL-producing *K. pneumoniae*.<sup>24</sup>

Local and unit-specific surveillance data can inform antibiotic selection by providing guidance regarding the likely pathogens and their resistance profiles. This is particularly important for selection of empiric therapy and in community-acquired infections where microbiologic data and antibiotic use information may not be available. A call for a Latin American surveillance network was made a decade ago to address these concerns.<sup>6</sup> The SMART database provides accessible longitudinal local, regional, and worldwide data on the susceptibility of isolates from IAI, with the limitations that sites are not uniformly distributed and the number of isolates collected at each site is variable. Nevertheless, local monitoring of ESBL producer prevalence and the susceptibility of these pathogens to commonly-used antibiotics is needed to improve patient outcomes and preserve the efficacy of the available antibiotic agents.

## ACKNOWLEDGMENTS

The authors wish to acknowledge Wendy Horn, Ph.D. of Insight Communication for editorial and writing assistance and Robert Balshaw, Ph.D. of Syreon Corporation for analytical assistance, which were funded by Merck, Sharp & Dohme.

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