# Association between Morphodynamic Variables by Transesophageal Echocardiography and CHA, DS, -Vasc Values

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#### Abstract

**Background:** In atrial fibrillation (AF), the  $CHA_2DS_2$ -VASc score calculates the risk for stroke. Di Biase classified the left atrial appendage (LAA), using magnetic resonance imaging, into 4 morphological types and correlated it with cerebrovascular events. Transesophageal echocardiography (TEE) also evaluates LAA and is a more widespread technique.

**Objective:** To evaluate, using TEE, the possibility of characterizing LAA and to analyze its morphological aspects using the CHA,DS,VASc score.

**Method:** A total of 247 patients were divided into three groups considering the  $CHA_2DS_2$ -VASc score: Group 1: 0 and 1; Group 2: 2 and 3 and, Group 3:  $\geq$  4 points. TEE produced the echocardiographic data. LAA was classified into thrombogenic and non-thrombogenic morphologies. In the analysis of statistical tests, a significance level of 5% was adopted.

**Results:** The average age was 50 and 16.2% presented AF. In Group 1, we observed normal variables with a lower prevalence of AF (8.7%, p < 0.001). In group 2, spontaneous contrast was detected in 26.7%, (p < 0.001), thrombus in 6.7% (p = 0.079) and flow velocity in LAA < 0.4 m/s in 22.7% (p < 0.001) of the cases. Group 3 presented the highest percentages of AF (31.8%, p < 0.001), stroke/TIA (77.3%, p < 0.001), EF < 55% (18.2%, p = 0.010) and higher prevalence of thrombogenic type LAA (72.7%, p = 0.014). A higher occurrence of stroke/TIA was observed in patients with thrombogenic LAA (25.2%) compared to the non-thrombogenic group (11.2%), (p = 0.005).

**Conclusions:** The thrombogenic morphology of LAA identified in TEE presented a higher risk of stroke regardless of the CHA<sub>2</sub>DS<sub>2</sub>VASc score. Patients with higher scores had greater abnormalities in echocardiographic variables. (Int J Cardiovasc Sci. 2019;32(5):460-470)

**Keywords:** Stroke; Atrial Fibrillation, Atrial Appendage, Arrhythmias, Cardiac; Myocardial Contraction; Echocardiography, Transesophageal.

#### Introduction

Stroke is the leading cause of death in Brazil and the heart is an important embolic source responsible for this complication. Atrial fibrillation accounts for 20% of stroke cases. The treatment of this arrhythmia is an important challenge for the medical community. In addition to reestablishing sinus rhythm or not, or only performing ventricular rate control, anticoagulant therapy is definitely the one that improves the prognosis of affected patients.<sup>1,2</sup> The recommendation of anticoagulation is objectively performed by characterizing the individual risk of systemic thromboembolism based on the  $CHA_2DS_2VASc$  score (C = heart failure; H = hypertension; A = age > 75 years; D = diabetes mellitus; S = history of stroke; V = peripheral vascular disease; A = age above 64 years; S = female sex). Age > 75 years and history of stroke generate two points in the score while the other variables generate only one point. Higher risk for

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systemic thromboembolism occurs in patients whose score is  $\ge 2$  for males or  $\ge 3$  for females.<sup>2</sup>

Left atrial appendage (LAA) represents one of the main sources of cardiac thrombi responsible for stroke in patients with AF<sup>3-6</sup> and this is probably due to the anatomical characteristics of this structure, which facilitate slower blood flow inside it. An interesting finding is that LAA thrombosis can occur even in patients with a lowered CHA<sub>2</sub>DS<sub>2</sub>VASc score (< 2) and this may be related to its morphology. Di Biase et al.,<sup>3</sup> through magnetic resonance imaging and computed tomography, classified LAA into 4 different morphologies: chicken wing, windsock, cactus and cauliflower, according to their appearance in the imaging scans. With this characterization, these authors were able to correlate each morphological type with the risk of stroke, being greater in those whose morphology was different from the chicken wing morphology.3 The more complex morphology of these non-chicken wing structures may explain the increased risk of atrial thrombosis.

Two-dimensional transesophageal echocardiography (2D TEE) has been widely used to assess the left atrium and to characterize the structure and function of LAA. It is the method of choice to evaluate the presence of thrombus, as it provides a better imaging of these structures compared to transthoracic echocardiography, has greater accessibility and lower cost than magnetic resonance imaging and computed tomography, and can thus help identify risk factors for stroke. Agreement between the TEE findings and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score is still poorly explored in our country.

The objectives of this study were to evaluate, using TEE, the echocardiographic data with the CHA<sub>2</sub>DS<sub>2</sub>VASc score. Besides, to establish its usefulness in the characterization of the LAA morphology for thromboembolic risk stratification, based on the studies with magnetic resonance imaging.

# Material and methods

A randomized retrospective study that evaluated data from patients undergoing outpatient transesophageal echocardiography between August 2012 and June 2015 in a specialized laboratory. All patients signed an informed consent form. The echocardiographic study was performed by 2 experienced and qualified echocardiographers in state-of-the-art equipment with second harmonics (GE-Vingmed Ultrasound, Vivid 7 and S6, Horten, Norway and ESAOTE My Lab 70, Florence, Italy). The clinical indications of patients referred for the scan were: of the 207 patients with no history of atrial fibrillation, 50.2% interatrial septum evaluation, 25.2% thrombus investigation, 8.7% non-specific cardiac evaluation, 4, 4% thoracic aorta evaluation, 3.8% suspected endocarditis, 3.8% interventricular septum evaluation, 2% valvular heart disease evaluation, 1% cardiac tumor investigation, 0.5% syncope, 0.5% myocardiopathy. In patients with a history of atrial fibrillation, the indications were: 82.5% thrombus investigation, 10% interatrial septum evaluation and 7.5% aortic evaluation. Of all patients, 18.6% had a history of stroke/TIA and 5.7% had coronary artery disease.

Using a questionnaire, considering the clinical data, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score of each patient was quantified according to the European guidelines.<sup>2</sup> Two points were assigned to the risks considered larger represented by previous history of stroke, TIA or systemic embolism and age greater than 75 years, and one point for other risk factors. Patients with a history of stroke or transient ischemic attack, and individuals with sinus rhythm and history of atrial fibrillation were also identified.

Two-dimensional transthoracic echocardiography with previous color Doppler was performed to determine ejection fraction and the degree of left atrial dilatation. Then, TEE was employed to look for thrombus and spontaneous contrast, and to determine blood flow velocity in LAA and its morphology. At the TEE scan, local anesthetic was initially administered via the oropharyngeal aspiration route with lidocaine spray and then light sedation with midazolam, dolanthine and propofol as required. Patients were monitored throughout the procedure with evaluation of vital signs, heart rate and pulse oximetry saturation. The room was equipped with oxygen therapy support, cardio-defibrillator, and equipment and medications needed for any emergencies. After transesophageal catheterization, echocardiographic images were taken at the mid-esophagus level of the whole heart, mainly at the left atrial appendage and at the proximal sections at 0°, 60°, 90° and 120° and later at the transgastric section at 0° and 90°. We excluded patients with contraindication for outpatient ECOTE, patients undergoing left atrial appendage occlusion, patients with primary valvopathy with hemodynamic repercussion or undergoing valve surgery.

The patients were separated into 3 different groups according to the  $CHA_2DS_2$ -VASc score: group 1: 0 and 1 point, group 2: 2 and 3 points and group 3:  $\ge 4$  points. The variables evaluated were: ejection fraction (Teichholz

or Simpson methods), degree of left atrial dilation, presence of spontaneous contrast, imaging suggestive of thrombus, and determination of LAA blood flow velocity by pulsatile Doppler.

In the echocardiographic characterization of left atrial appendage, the morphologies were separated into two different types: a) non-thrombogenic determined by its regular and smooth internal surface associated with a prominent angulation, called "chicken wing" according to Di Biase et al. (this image could be well delineated by TEE) (Figure 1); b) thrombogenic type represented by LAA with irregularities and lobules on its internal surface (corresponding to non-"chicken wing" morphologies) (Figure 2). The specific morphology of the "windsock," "cactus" and "cauliflower" types was not considered due to difficulties in taking images that could characterize these forms of presentation (Figure 3).

This paper was registered with the CEP (Ethics and Research Committee) of Instituto Dante Pazzanese de Cardiologia with the following CAAE registration number: 38696114.7.0000.5462, approved on 17/11/2014, opinion number: 875.528.

Initially, the data were descriptively analyzed: for the categorical variables, absolute and relative frequencies were presented. For the numerical variables, summary-measures were presented (mean, minimum, maximum, quartiles and standard deviation).

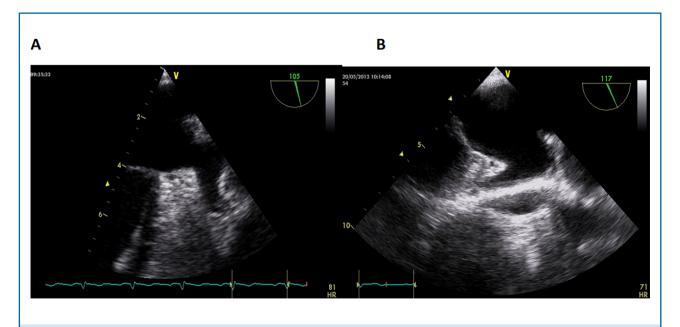
To check the association between categorical variables, the Chi-Square test was used, or alternatively in cases of small samples, Fisher's exact test. A comparison of the means between two groups was performed using Student's t-test for independent samples. The comparison of means for more than two groups was performed by Variance Analysis. If mean differences were detected, these were found using Duncan's multiple comparisons with a global significance level of 5%. The Kolmogorov-Smirnov test was used to check normality in data distribution. In case of data normality violation, the means were compared using the non-parametric Kruskal-Wallis test. Once the differences of means were detected by this test, the localization of the differences was performed through Dunn-Bonferroni tests, maintaining a global significance level of 5%.

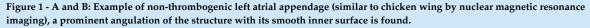
# Results

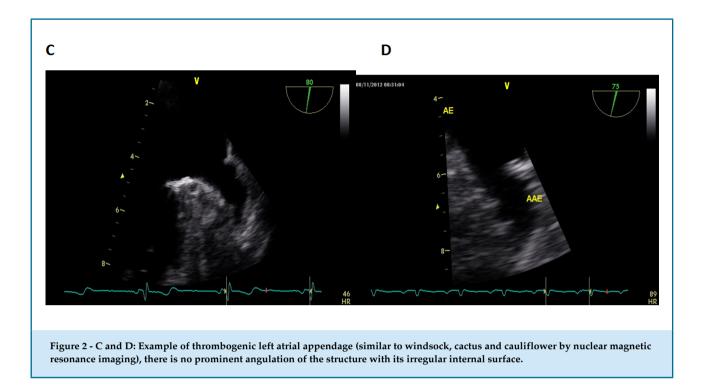
# Statistical analysis

For statistical analysis, the statistical software SPSS 20.0 was used. For all statistical tests, a significance level of 5% was adopted.

We evaluated 247 patients, predominantly females (56.3%). The mean age was  $50 \pm 16.6$  years. Most patients were in sinus rhythm and only 16.2% had atrial fibrillation (Chart 1).







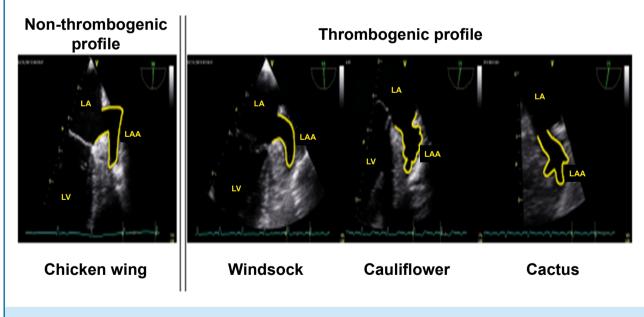
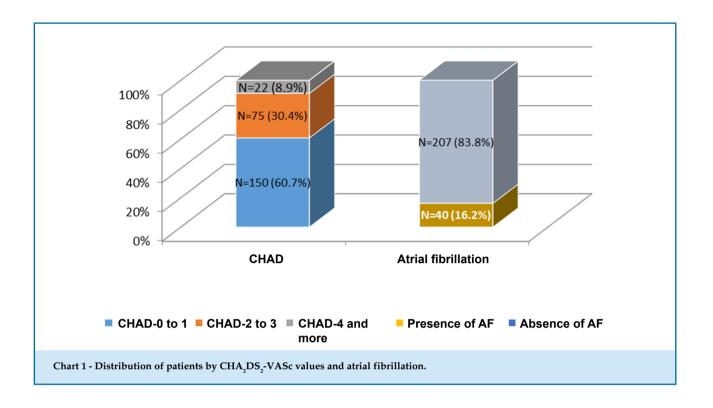


Figure 3 - Delineation of the left atrial appendage contour following the scheme proposed by Di Biase through computed tomography and magnetic resonance imaging.

Group 1 patients (CHA<sub>2</sub>DS<sub>2</sub>-VASc - entre 0 e 1) presented the lowest percentages of AF (8.7%), spontaneous contrast (8.7%), left atrium dilation (14.0%) and of heart failure (2.0%) compared to other groups. In addition, this group had the highest percentages of ejection fraction higher than 55% (96.0%), and LAA mean flow velocity higher than 0.4 m/s (95.3%) (table 1).

Patients in group 2 ( $CHA_2DS_2$ -VASc - between 2 and 3) had the highest percentages of spontaneous contrast (26.7%), mild left atrial dilation (22.7%), presence of



thrombus (6.7%) and LAA mean velocity < 0.4 m/s (22.7%) compared to other groups. Besides this, it presented the second highest percentage of AF (26.7%), moderate left atrial dilation degree (16.0%), stroke/TIA (38.7%), ICO (10.7%), and ejection fraction smaller than 55% (13.3%), compared to other groups (Table 1).

Finally, patients in group 3 (CHA<sub>2</sub>DS<sub>2</sub>-VASc  $\ge$  4) presented the highest AF percentages (31.8%), moderate left atrial dilation (22.7%), stroke/TIA (77.3%), ICO (13.6%), with an ejection fraction of smaller than 55% (18.2%). The LAA morphology of the thrombogenic type was present in 72.7% of these patients (Table 1).

Table 1 shows an association between the  $CHA_2DS_2$ -VASc values and hemodynamic and structural variables, except for the presence of thrombus (p = 0.079).

Table 2 shows the association of AF with spontaneous contrast (p < 0.001), left atrial dilation degree (p < 0.001), presence of thrombus (p = 0.001), decreased ejection fraction (p = 0.007) and low LAA flow velocity (p < 0.001). There were no associations of the other variables with AF. In the group of patients with AF, there was a higher occurrence of spontaneous contrast (67.5% vs. 3.4% in the group without AF), mild, moderate and severe degrees of left atrial dilation (35.0%, 32.5% and 10.0% versus 9.7%, 3.4% and 0.5%, respectively, in the non-AF group), presence of thrombus (17.5%), ejection fraction smaller

than 55% (20.0% versus 5.8% in the group without AF) and mean LAA velocity smaller than 0.4 m/s (60.0% vs. 1.4% in the group without atrial fibrillation).

The association of LAA morphology with a history of stroke/TIA is shown in table 3. There is a higher occurrence of stroke/TIA in patients with thrombogenic LAA (25.2%) compared to the non-thrombogenic group (11.2%), (p = 0.005), regardless of the  $CHA_2DS_2$ -Vasc score (Table 3).

# Discussion

Atrial fibrillation is the most common type of supraventricular tachyarrhythmia in the adult population. Its prevalence ranges from 1.5 to 2% in the age group of 60 to 70 years, increasing to more than 15% in the population aged over 80.<sup>1</sup> This arrhythmia is associated with a 5-fold increase in stroke risk and a 3-fold increase in the incidence of congestive heart disease in addition to evolving with high mortality.<sup>2</sup>

The main international guidelines guide the implementation of a risk stratification for cerebrovascular events in patients with AF, in order to identify patients with greater and lower risk for this complication. From this point on, the preventive drug treatment begins, and the best therapeutic choice can be established, such as

	CHA <sub>2</sub> DS <sub>2</sub> VASc						Total	
_	0 to 1		2 to 3		≥ 4		Total	
	Ν	%	Ν	%	Ν	%	Ν	%
Atrial fibrillation	150		75		22		247	100.0%
Yes	13	8.7%	20	26.7%	7	31.8%	40	16.2%
No	137	91.3%	55	73.3%	15	68.2%	207	83.8%
χ <sup>2</sup> (2, N = 247) = 16.28 - p < 0.001								
Spontaneous contrast	150		75		22		247	100.0%
Yes	10	6.7%	20	26.7%	4	18.2%	34	13.8%
No	140	93.3%	55	73.3%	18	81.8%	213	86.2%
$\chi^2$ (2, N = 247) = 17.25 - p < 0.001								
Left atrial dilation degree	150		75		22		247	100.0%
None	129	86.0%	44	58.7%	15	68.2%	188	76.1%
Mild	15	10.0%	17	22.7%	2	9.1%	34	13.8%
Moderate	3	2.0%	12	16.0%	5	22.7%	20	8.1%
Severe	3	2.0%	2	2.7%	0	.0%	5	2.0%
Fisher's exact test - p < 0.001								
Presence of thrombus	150		75		22		247	100.0%
Yes	2	1.3%	5	6.7%	0	.0%	7	2.8%
No	148	98.7%	70	93.3%	22	100.0%	240	97.2%
Fisher's exact test - p = 0.079								
Stroke/TIA	150		75		22		247	100.0%
Yes	0	.0%	29	38.7%	17	77.3%	46	18.6%
No	150	100.0%	46	61.3%	5	22.7%	201	81.4%
<sub>x</sub> <sup>2</sup> (2, N = 247) = 104.14 - p < 0.001								
ICO	150		75		22		247	100.0%
Yes	3	2.0%	8	10.7%	3	13.6%	14	5.7%
No	147	98.0%	67	89.3%	19	86.4%	233	94.3%
Fisher's exact test - $p = 0.004$								
Ejection fraction - type	150		75		22		247	100.0%
EF < 55%	6	4.0%	10	13.3%	4	18.2%	20	8.1%
$EF \ge 55\%$	144	96.0%	65	86.7%	18	81.8%	227	91.9%
<sub>x</sub> <sup>2</sup> (2, N = 247) = 9.15 - p = 0.010								
Mean left atrial appendage velocity – type	150		75		22		247	100.0%
< 0.4  m/s	7	4.7%	17	22.7%	3	13.6%	27	10.9%
$\geq 0.4 \text{ m/s}$	143	95.3%	58	77.3%	19	86.4%	220	89.1%

# Table 1 - Distribution of patients by hemodynamic and structural variables, according to CHA2DS2-VASc values

			T					
	0 to 1		2 to 3		≥ 4		— Total	
	N	%	Ν	%	Ν	%	Ν	%
χ <sup>2</sup> (2, N = 247) = 16.82 - p < 0.001								
LAA group	150		75		22		247	100.0%
Thrombogenic	69	46.0%	46	61.3%	16	72.7%	131	53.0%
Non-thrombogenic	81	54.0%	29	38.7%	6	27.3%	116	47.0%
$\chi^2$ (2, N = 247) = 8.48 - p = 0.014								

Cont. Table 1 - Distribution of patients by hemodynamic and structural variables, according to CHA2DS2-VASc values

Non-thrombogenic: chicken wing; Thrombogenic: windsock, cauliflower and cactus; TIA: transient ischemic attack; ICO: coronary insufficiency; EF: ejection fraction; LAA: left atrial appendage.

vitamin K antagonists (such as warfarin, with a target INR of 2.0-3.0), or with factor XA blocking anticoagulants, such as rivaroxaban or apixaban, or the direct thrombin inhibitor dabigatran.<sup>7</sup>

#### Risk scores and stroke in patients with AF

The risk of stroke increased with the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, ranging from 0.84% (0 point), 1.75% (1 point), 2.69 (2 points) and 3.2% (3 points).<sup>2,8</sup> Patients are classified as low risk (CHA<sub>2</sub>DS<sub>2</sub>-VASc score = 0 to 1), moderate  $(CHA_2DS_2-VASc \text{ score} = 1 \text{ to } 2)$  and high risk  $(CHA_2DS_2-$ VASc score  $\geq$  3) for cerebrovascular ischemic events. In patients without risk factors, antithrombotic therapy is not recommended (Class I level of evidence A).8 On the other hand, in patients considered to be at high risk, antithrombotic therapy is recommended through oral anticoagulation.9 The benefits of antithrombotic therapy are not yet evident in patients considered to be at moderate risk. According to the latest European guideline on the management of AF (2016), oral anticoagulation is recommended for patients considered to be at moderate risk, but the risk of bleeding complications and the patients' preference should be assessed first (Class IIa level of evidence A), as also proposed by the latest guidelines of the American Heart Association/ American College of Cardiology Foundation (2011), which recommends considering the use of aspirin or oral anticoagulation (Class IIa).<sup>1,2</sup>

In clinical practice for patients presenting  $CHA_2DS_2VASc \le 2$  score, the risk of bleeding would outweigh any benefits of anticoagulation, thus reducing its net benefit, since

patients at low risk for thromboembolic complications are considered. However, there are reports that these patients have some risk and that anticoagulation should be considered. Some other type of information would be needed to characterize this individual and make anticoagulation effective. A probable explanation for the risk of some low-risk patients with stroke may be based on left atrial appendage morphology.

# Association between TEE data and the risk of systemic thromboembolism

TEE has a greater sensitivity in the detection of intracardiac thrombi, especially those located in the atria compared to the transthoracic scan. Besides, it provides a better left atrial appendage evaluation, as it evaluates its shape and determine important hemodynamic parameters such as blood flow velocity, which is an important predictor of thrombus formation, which is not possible using transthoracic echocardiography. TEE also has greater accessibility and lower investigative cost compared to magnetic resonance imaging and computed tomography.

The relationship between the findings of transesophageal echocardiography and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score has not yet been established in our country, since most studies looks into the association in the presence of thrombus with the score.<sup>10,11</sup> As patients with a higher CHA<sub>2</sub>DS<sub>2</sub>-VASc score have more comorbidities, they are expected to have more abnormalities in echocardiographic parameters, predisposing to thrombus formation and increased risk of stroke/TIA.<sup>12-14</sup>

	Atrial fibrillation					Total		
-	Yes		No		istai			
	Ν	%	Ν	%	Ν	%		
Spontaneous contrast	40	100.0%	207	100.0%	247	100.0%		
Yes	27	67.5%	7	3.4%	34	13.8%		
No	13	32.5%	200	96.6%	213	86.2%		
$\chi^2$ (1, N = 247) = 116.10 - p < 0.001								
Left atrial dilation degree	40	100.0%	207	100.0%	247	100.0%		
None	9	22.5%	179	86.5%	188	76.1%		
Mild	14	35.0%	20	9.7%	34	13.8%		
Moderate	13	32.5%	7	3.4%	20	8.1%		
Severe	4	10.0%	1	.5%	5	2.0%		
Fisher's exact test - p < 0.001								
Presence of thrombus	40	100.0%	207	100.0%	247	100.0%		
Yes	7	17.5%	0	.0%	7	2.8%		
No	33	82.5%	207	100.0%	240	97.2%		
Fisher's exact test - p < 0.001								
Stroke/TIA	40	100.0%	207	100.0%	247	100.0%		
Yes	7	17.5%	39	18.8%	46	18.6%		
No	33	82.5%	168	81.2%	201	81.4%		
$\chi^2$ (1, N = 171) = 0.04 - p = 0.842								
ICO	40	100.0%	207	100.0%	247	100.0%		
Yes	3	7.5%	11	5.3%	14	5.7%		
No	37	92.5%	196	94.7%	233	94.3%		
Fisher's exact test - $p = 0.706$								
Ejection fraction - type	40	100.0%	207	100.0%	247	100.0%		
EF < 55%	8	20.0%	12	5.8%	20	8.1%		
$EF \ge 55\%$	32	80.0%	195	94.2%	227	91.9%		
Fisher's exact test - $p = 0.007$								
Mean left atrial appendage velocity - type	40	100.0%	207	100.0%	247	100.0%		
< 0.4  m/s	24	60.0%	3	1.4%	27	10.9%		
$\geq 0.4 m/s$	16	40.0%	204	98.6%	220	89.1%		
Fisher's exact test - p < 0.001								
LAA group	40	100.0%	207	100.0%	247	100.0%		
Thrombogenic	23	57.5%	108	52.2%	131	53.0%		
Non-thrombogenic	17	42.5%	99	47.8%	116	47.0%		
$v^{2}(1, N = 247) = 0.38 - p = 0.537$								

# Table 2 - Distribution of patients by hemodynamic and structural variables, according to Atrial Fibrillation

χ<sup>2</sup> (1, N = 247) = 0.38 - p = 0.537

	LAA groups							
	Thrombogenic		Non-thro	ombogenic	Total			
	N	%	Ν	%	Ν	%		
Spontaneous contrast	131	100.0%	116	100.0%	247	100.0%		
Yes	20	15.3%	14	12.1%	34	13.8%		
No	111	84.7%	102	87.9%	213	86.2%		
$\chi^2 (1, N = 247) = 0.53 - p = 0.467$								
Presence of thrombus	131	100.0%	116	100.0%	247	100.0%		
Yes	5	3.8%	2	1.7%	7	2.8%		
No	126	96.2%	114	98.3%	240	97.2%		
Fisher's exact test - $p = 0.453$								
Stroke/TIA	131	100.0%	116	100.0%	247	100.0%		
Yes	33	25.2%	13	11.2%	46	18.6%		
No	98	74.8%	103	88.8%	201	81.4%		

#### Table 3 - Distribution of patients by spontaneous contrast, thrombus and stroke/TIA, according to LAA type

In our study, after an analysis of 247 patients without primary valvopathy who underwent transesophageal echocardiography in an outpatient setting, 207 in sinus rhythm and 40 with history of AF, there was an association of all echocardiographic data analyzed (spontaneous contrast, left atrial dimensions, ejection fraction and blood flow velocity in LAA) with the CHA<sub>2</sub>DS<sub>2</sub>-Vasc score, except for the presence of atrial thrombus (p = 0.079). These findings confirm our initial suspicion that individuals with a high-risk score, even in sinus rhythm, already have important structural and functional cardiac changes that could be the future substrate for thrombus formation.

Willens et al. retrospectively analyzed 167 patients undergoing TEE, with a mean age of 66 years and nonvalvular AF. These authors described an increase in the prevalence of risk factors for thromboembolism the higher the CHA<sub>2</sub>DS<sub>2</sub>-VASc score.<sup>15</sup> Another study, published by Parikh et al.,<sup>16</sup> presented a retrospective analysis of 455 patients with atrial flutter who underwent transesophageal echocardiography. This group found that the sensitivity for the presence of thrombus and spontaneous contrast in patients classified as high risk in the CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores was 64.8% and 88.7%, respectively (p = 0.0001), and the specificity 52.6% and 28.9%, respectively (p = 0.0001). Both the CHA<sub>2</sub>DS<sub>2</sub>-VASc and the CHADS<sub>2</sub> score are useful for the stratification of stroke risk in other clinical situations, such as atrial flutter. The CHA<sub>2</sub>DS<sub>2</sub>-VASc protocol had greater sensitivity for the detection of atrial thrombosis and spontaneous contrast at the expense of reduced specificity.<sup>16</sup>

In our study, when we considered only those with AF, we identified a greater presence of spontaneous contrast compared to the group that was in sinus rhythm (67.5% x 3.4%, p < 0.001), higher chances of mild, moderate and severe atrial dilation (35%, 32.5%, 10% vs 9.7%, 3.4% and 0.5%, respectively, p < 0.001). There was also a valid association with thrombus in the presence of this arrhythmia (17.5%, p < 0.001).

# Morphology of LAA and risk of thromboembolism and stroke

LAA is one of the main sources of cardiac thrombi responsible for cerebrovascular thrombotic events in patients with AF.<sup>3-6</sup> Di Biase et al.,<sup>3</sup> through magnetic resonance imaging and computed tomography, classified 4 different types of LAA and correlated each type with risk of stroke. We evaluated 932 patients who were candidates to ablation of AF refractory to clinical treatment. Computed tomography was done to 499 patients and magnetic resonance imaging was done in 433 patients, and all were screened for history of stroke/ TIA. Each LAA morphology was categorized into one of the following four types: chicken wing, windsock, cauliflower and cactus. The results revealed that patients with the non-"chicken wing" morphology presented three times higher prevalence of stroke/TIA than those with chicken wing morphology (12% x 4%). Compared to the chicken wing morphology, those patients with cactus, windsock and cauliflower appendages were at risk increased 4, 5 and up to 8 times, respectively.<sup>3</sup>

During our routine, it was found that the chicken wing type was easily identified by transesophageal echocardiography and although it was difficult to identify morphology alone in other morphological types proposed by Di Biase, they had multiple internal lobulations in common. Therefore, based on the studies with magnetic resonance imaging, considering the TEE imaging limitations, we propose the classification in nonthrombogenic, similar to the chicken wing morphology (smooth internal surface with just a large angle, similar to a chicken wing) and thrombogenic (irregular internal surface with several lobulations, characteristics that are present and common to the windsock, cactus and cauliflower types). In the analysis of these 2 groups classified as thrombogenic and non-thrombogenic, a higher incidence of the non-thrombogenic type was found, as in the study published by Di Biase et al.,<sup>3</sup>. No correlation was found with echocardiographic data of presence of thrombus or spontaneous contrast, but there was an association with clinical history of stroke/TIA (25.2% in the thrombogenic group x 11.2% in the nonthrombogenic group, p = 0.005). Besides, it was clear that individuals with a CHA<sub>2</sub>DS<sub>2</sub>VASc score between 0 and 1, almost half had a thrombogenic morphological aspect. This finding underscores the importance of evaluating the thrombogenic risk profile and discussing early anticoagulation in this population (see table 3).

We believe that these additional data may contribute to the evaluation and conduct of patients who are candidates to anticoagulant therapy, especially in those with  $CHA_2DS_2$ -Vasc = 1, when doubts as to treatment persist.

#### Limitations

The data analyzed in this study were taken from different echocardiographic device models (GE-Vingmed Ultrasound, Vivid 7 and S6, Horten, Norway and ESAOTE My Lab 70, Florence, Italy), but there is no warning on any international guideline on the variation in the reference values of the parameters acquired in our analysis, considering different pieces of equipment.<sup>17,18</sup>

Unfortunately, the patients in our study did not perform any nuclear magnetic resonance imaging or computed tomography for comparison purposes. Therefore, based on the models proposed by these methods, we suggest a classification using TEE: nonthrombogenic (similar to chicken wing, as it has a smooth internal surface with prominent angulation) and thrombogenic (irregular internal surface with no well-defined angulation, as seen in cauliflower, cactus and wind sock shapes). Given our results consistent with MRI, we believe that TEE helps characterizing LAAs with a higher risk of stroke/TIA, but comparative studies are required to confirm our hypothesis.

# Conclusions

There is an association between the morphodynamic abnormalities evaluated using TEE and CHA<sub>2</sub>DS<sub>2</sub>-VASc score. The higher the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, the more frequent are the abnormal echocardiographic findings, especially in patients with AF. There was a higher occurrence of stroke/TIA in patients with LAA morphology considered thrombogenic by TEE, even in those with sinus rhythm.

# **Author contributions**

Conception and design of the research: Linhares RR, Moreira DAR. Acquisition of data: Linhares RR, Peixoto LB, Cruz AP, Garcia LP. Analysis and interpretation of the data: Linhares RR, Moreira DAR, Peixoto LB, Cruz AP, Garcia LP. Statistical analysis: Linhares RR, Peixoto LB, Cruz AP, Garcia LP. Writing of the manuscript: Linhares RR, Moreira DAR, Barretto RBM, Le Bihan DCS, Silva CES. Critical revision of the manuscript for intellectual content: Linhares RR, Moreira DAR, Barretto RBM, Le Bihan DCS, Silva CES.

#### **Potential Conflict of Interest**

No potential conflict of interest relevant to this article was reported.

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#### **Study Association**

This study is not associated with any thesis or dissertation work.

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#### Ethics approval and consent to participate

This study was approved by the Ethics Committee of the *Instituto Dante Pazzanese de Cardiologia, São Paulo* - *Brasil*, under the protocol number 875.528. All the procedures in this study were in accordance with the 1975 Helsinki Declaration, updated in 2013. Informed consent was obtained from all participants included in the study.

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