# Risk factors for alloimmunization by patients with sickle cell disease

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### Abstract

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Blood transfusion in patients with sickle cell disease (SCD) is limited by the development of alloantibodies to erythrocytes. In the present study, the frequency and risk factors for alloimmunization were determined. Transfusion records and medical charts of 828 SCD patients who had been transfused and followed at the Belo Horizonte Blood Center, Belo Horizonte, MG, Brazil, were retrospectively reviewed. Alloimmunization frequency was 9.9% (95% CI: 7.9 to 11.9%) and 125 alloantibodies were detected, 79% of which belonged to the Rhesus and Kell systems. Female patients developed alloimmunization more frequently (P = 0.03). The median age of the alloimmunized group was 23.3 years, compared to 14.6 years for the non-alloimmunized group (P < 0.0001). Multivariate analyses were applied to the data for 608 hemoglobin (Hb) SS or SC patients whose number of transfusions was recorded accurately. Number of transfusions (P = 0.00006), older age (P = 0.056) and Hb SC (P = 0.02) showed independent statistical associations with alloimmunization. Hb SC patients older than 14 years faced a 2.8fold higher (95% CI: 1.3 to 6.0) risk of alloimmunization than Hb SS patients. Female Hb SC patients had the highest risk of developing alloantibodies. In patients younger than 14 years, only the number of transfusions was significant. We conclude that an increased risk of alloimmunization was associated with older patients with Hb SC, specially females, even after adjustments were made for the number of transfusions received, the most significant variable.

### **Key words**

- Sickle cell disease
- Red cell alloimmunization

- Blood cell transfusion
- Transfusion reactions

# Introduction

Sickle cell anemia is one of the most common hereditary diseases in Brazil (1). Although its true incidence is unknown, it is estimated that 2 to 8% of the Brazilian population harbor the sickle cell trait, according to the intensity of the migratory flow of the black population in each region. It is estimated that more than two million Brazilians carry the sickle cell trait and more than 8,000

present the homozygous hemoglobin (Hb) SS disease (1).

Due to the high morbidity of sickle cell disease (SCD) and limitations of the therapeutic options, many patients receive transfusions for the various complications that ensue. Hence, transfusion therapy has been widely used, integrating the treatment ever since the 1930's (2). With the recognition of the efficacy of transfusion therapy for the prophylaxis and treatment of some SCD

complications, the number of patients receiving intermittent transfusion continues to increase (2-7).

One of the main complications of red blood cell (RBC) transfusion is the development of alloimmunization to RBC antigens, with a frequency ranging from 2.6 to 76% in several studies (3,5,8-17).

Alloimmunization may limit the availability of compatible blood for future transfusions and can contribute to perinatal morbidity due to hemolytic disease in the newborn (2,6,9,18). The probability of the occurrence of alloimmunization is related to several factors such as age, number of transfusions, phenotypic differences between the donor and the receptor, and genetic factors related to the antigenic response (2,3,5,8-15,19-21).

The antigens most frequently involved include the Rhesus, Kell, Kidd, Duffy, Lewis, and MNS systems (3,8-15,20,22). The objective of the present study was to determine the frequency of alloimmunization to RBC antigens in patients with SCD who had been transfused at a large Brazilian Blood Center located in Belo Horizonte, MG, Brazil, and the possible association between alloimmunization and the number of transfusions, age, gender, and Hb phenotype.

# **Patients and Methods**

### **Patients**

All 1,780 patients with SCD (Hb SS, Hb SC, Hb Sß+thal, Hb SD) enrolled at the Blood Center of Belo Horizonte up to December 31st, 2000 were investigated. Deceased patients, those who did not maintain periodic control in the Service, and those for whom accurate data about previous transfusions were not available before they were admitted to the Blood Center were excluded. Of the remaining 1,544 patients, 645 (41.8%) had not been submitted to blood transfusion. Screening tests for antibodies after last trans-

fusion were not performed in 71 of the 899 patients selected for the study who did not show up on recall, resulting in a loss of 7.9% patients. The group analyzed in the present study comprised 828 patients phenotypically distributed as follows: 81.8% with Hb SS or Sß<sup>0</sup>-thal, 15.9% with Hb SC, 2.2% with Hb S+thal, and 0.1% with Hb SD. There were 405 (48.9%) males and 423 (51.1%) females. Median age was 18.4 years (1.2 to 78.8 years). Median age on the day of antibody screening was 15.4 years (0.5 to 76.1 years).

The diagnosis of SCD was confirmed by standard Hb electrophoresis, isoelectric focusing, determination of Hb  $A_2$  and Hb F, and family studies, when necessary.

### Data collection

The records of all patients were reviewed. The number of transfusions was obtained from the transfusion history recorded in medical charts, including those before admission, from information given by the patient or relative, and from the Blood Center form for transfusion prescription. For 16 patients on a chronic transfusion regimen, only transfusions administered before the study cut-off date of April 31st, 2001 were considered. Information from patients or relatives regarding the number of transfusions received was considered unreliable in 208 cases (25.1%). It was thus possible to determine the number of blood transfusions for 620 (74.9%) patients. Of these, 297 were females and 150 were 14 years or older. For 76, obstetric history was not available in the medical records; for the remaining ones, 18 had never been pregnant and 56 had at least one pregnancy. The high percentage of missing data precluded valid statistical tests for this variable.

## Laboratory protocol

Antibody screening. Detection of alloantibodies was performed in fresh blood Alloimmunization and sickle cell disease 677

samples using the indirect human antiglobulin test (indirect Coombs) in low-ionic-strength solution (LISS) by the tube method up to July 1991 and thereafter by the column agglutination method, employing phenotyped "O" RBC. For the column agglutination method, the gel centrifugation technique was used (DiaMed AG, Cressier sur Morat, Switzerland). All patients were screened before any transfusion. Starting in 1995, every sickle cell patient registered or scheduled for a new appointment at Hemominas Foundation had his/her RBC immunophenotyped and serum screened for alloantibodies.

Antibody identification. Antibody specificity was determined with a standard panel of red cells reacting to known antigens using column agglutination and gel centrifugation (ID-Diapanel and ID-DiaPanel-P, DiaMed AG). The indirect Coombs test using LISS as a potentiator at 37°C, the enzymatic papaintreated RBC test at 37°C and the indirect Coombs test at 4°C were always included. A positive serum that became negative after incubation with 2-mercaptoethanol was considered evidence for an IgM subtype. When necessary, the monospecific direct Coombs test, adsorption and elution of antibodies, and the indirect Coombs test with selected RBC (specific antigens) were also employed. Natural antibodies were considered those of the IgM type and of low titer.

### Statistical analysis

For univariate analysis of the association between alloimmunization and age at antibody screening, gender, number of transfusions and type of hemoglobinopathy, the chisquare test was used for discrete variables and the Mann-Whitney test was used for continuous variables with non-Gaussian distribution. Multivariate logistic regression analysis was done with the MINITAB software, version 13.20 (State College, PA, USA). Alloimmunization (positive or negative) was the dependent variable and the remaining

explanatory variables were the covariables. The continuous variables age and number of transfusions, given their non-Gaussian distribution, were included in the model after being transformed into ordinal variables, classified into ranks. Only variables with P < 0.1 were kept in the final multivariate model.

The study was approved by the Ethics Research Committee of Hemominas (resolution 057/2000).

### Results

IgG alloantibodies were detected in 82 of the 828 patients who received transfusions (9.9%; 95%) confidence interval, CI = 7.9 to 11.9%). Of these 82 patients, 29 tested positive before their first blood transfusion at Hemominas. A hundred and twenty-five IgG alloantibodies were detected. In one patient the antibody identification was not performed. Twenty-nine patients (35.4%) presented two or more alloantibodies; the presence of an autoantibody of the IgG class was also detected in 14 (17.1%). Cold antibodies detected in 3 patients were not included in the study. The specificities and frequencies of the alloantibodies are shown in Table 1. They did not differ significantly when patients who tested positive before first transfusion at Hemominas were compared with those who were negative. Of the 124 alloantibodies identified, 69.4% were directed against antigens of the Rhesus group and 9.7% were directed against antigens of the Kell group, corresponding to 79.1% of the alloantibodies detected.

Of the 82 alloimmunized patients, 37.8% were males and 62.2% females (P = 0.03); 78% were Hb SS or S $\beta$ 0-thal, 20.7% Hb SC and 1.2% Hb S $\beta$ 4-thal patients. The median age at antibody screening was 23.3 years in the alloimmunized group and 14.6 years in the non-alloimmunized group (P < 0.0001; Table 2).

To analyze the risk of alloimmunization dependent on the variables gender, age, type

of hemoglobinopathy, and number of transfusions, 620/828 patients whose number of transfusions was known were separated. The difference in the frequency of alloimmunization between this group and the group whose number of transfusions was unknown was not statistically significant (P = 0.24). The frequency of alloimmunization found in the group of patients with a known number of transfusion was 9.2% (57/620) with a 95% CI of 6.9 to 11.5%. Median age was 21.5 years for alloimmunized patients and 11.8 years for non-alloimmunized patients (P = 0.0001). Despite the higher frequency in females (11.1% as opposed to 7.4% for

males), this difference was not statistically significant (P = 0.11). Median age was 25.3 years for the alloimmunized female patients and 15.1 years for the male patients. Alloimmunization was more frequent in Hb SC patients than in Hb SS patients (14 vs 8.1%; P = 0.048). Alloimmunized patients received a median number of 7 blood transfusions, as opposed to 3 transfusions for non-alloimmunized patients (P < 0.0001, Figure 1). Table 3 lists the number of transfusions by age group and alloimmunization.

After multivariate adjustment (N = 608, since 11 patients with Hb S $\beta$ +-thal and one with Hb SD were excluded from this analy-

RBC antibody specificity	Single		Multiple			Total (%)	
	Male Fe		nale	Male	Female		
	All	<14 years	>14 years	All	<14 years	>14 years	
Anti-C	2	1	2	7	1	10	23 (18.5)
Anti-D	1	1	2	5	-	2	11 (8.9)
Anti-E	10	2	12	3	2	12	41 (33.1)
Anti-c	1	-	3	1	-	4	9 (7.3)
Anti-e	1	1	-	-	-	-	2 (1.6)
Anti-K	2	-	2	2	1	5	12 (9.7)
Anti-Fy <sup>a</sup>	2	-	-	2	-	3	7 (5.6)
Anti-Le <sup>a</sup>	1	-	-	-	-	2	3 (2.4)
Anti-Jk <sup>a</sup>	1	-	3	1	-	-	5 (4.0)
Anti-Jk <sup>b</sup>	-	-	-	-	-	1	1 (0.8)
Anti-M	-	-	1	1	-	2	4 (3.2)
Anti-S	-	-	1	1	-	3	5 (4.0)
Anti-P	-	-	-	1	_	_	1 (0.8)
Total	21	5	26	24	4	44	124 (100)

Table 2. Distribution of 828 patients with sickle cell disease according to median age, hemoglobin (Hb) phenotype, and gender.

Patients	Number	Median age <sup>1</sup> (range)		Type of Hb <sup>2</sup>		Gender <sup>3</sup>	
		(range)	SS*	SC/Sß+-thal/SD	Male	Female	
Non-alloimmunized	746	14.6 (0.5 to 76.1)	613	133	374	372	
Alloimmunized	82	23.3 (2.2 to 48.3)	64	18	31	51	
Total	828	18.4 (1.2 to 78.8)	677	151	405	423	

<sup>\*</sup>Included S $^0$ -thal.  $^1P < 0.0001$  (Mann-Whitney test);  $^2P = 0.36$  (chi-square test);  $^3P = 0.03$  (chi-square test).

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sis), gender was not statistically significant (P = 0.35). Explanatory variables that remained statistically significant for alloimmunization were: higher number of transfusions received (P = 0.00006), older age (P = 0.056) and SC compared with SS disease (P = 0.02).

Because obstetric history at the time of alloantibody detection was not available for almost 50% of females older than 14 years, separate analyses were performed for patients under or above this cut-off point. For those below 14 years, only the number of transfusions remained statistically significant in multivariate analysis (P = 0.0001). For those above 14 years, number of transfusions (P = 0.04) and type of SCD (P =0.008) remained significant in multivariate analysis. These Hb SC patients were at a 2.8fold higher risk of alloimmunization than SS patients (95% CI = 1.3 to 6.0). The median numbers of blood transfusions for Hb SC and SS patients were 3 and 6, respectively (P < 0.0001). Male and female patients received the same median number of transfusions (5; P = 0.87). The frequency of alloimmunization for patients younger or older than 14 years according to sex and type of SCD is presented in Table 4. The frequency of alloimmunization was higher for Hb SS children (<14 years old), although not statistically significant (P = 0.24); no significant difference was observed between males and females. Hb SS children, however, received more blood transfusion than SC children: 12% of SS children received more than 15 transfusions whereas no SC child received this number of transfusions. A double positive gradient from males to females and from Hb SS to SC was observed for patients older than 14 years. The highest frequency was observed in Hb SC female patients (12/46 =26.1%).

# Discussion

Of the 1,544 patients initially studied, 899

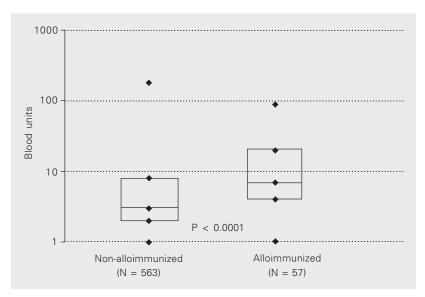


Figure 1. Number of transfusions received by alloimmunized and non-alloimmunized patients with sickle cell disease. Higher to lower, the points indicate maximum number, 75th percentile, median, 25th percentile, and minimum number of transfusions (logarithmic scale).

Table 3. Number of blood transfusions by age group and red blood cell alloimmunization among 620 patients with sickle cell disease.

Age group (years)	Number of patients		Positive tests for alloimmunization		Number of transfusions	
		N	%	Median	Range	
0 to 9.9 10 to 19.9 20 to 29.9 30 or more	254 170 100 96	12 15 17 13	4.7 8.8 17.0 13.5	3 4 5 5.5	1-140 1-178 1-111 1-110	

Table 4. Frequency of alloimmunization for patients younger or older than 14 years according to gender and type of sickle cell disease.

	Patients unde of age (N	,	Patients above 14 years of age (N = 275)		
	Male	Female	Male	Female	
Hb SS patients Hb SC patients	6.6% (11/167) 0% (0/22)	4.8% (6/125) 0% (0/19)	9% (9/100) 14.8% (4/27)	13.7% (14/102) 26.1% (12/46)	

The analysis was performed only for 608 hemoglobin (Hb) SS or SC patients for whom the accurate number of blood transfusion was known.

(58.2%) had received blood transfusions. This transfusion rate among SCD patients is similar to those reported in other studies (3,15,23).

The rate of alloimmunization observed in the present study was 9.9%. Other studies have reported frequencies ranging from 2.6 to 47% (3,9-13,15-17,19,20,24). Studies presenting lower rates of alloimmunization (7.8 (8) and 6.0% (14)) included patients who were predominantly in the pediatric group. In Brazil, rates of 12.9 (15), 20.8 (16), and 3.2% (24) have been reported. Again the lowest figure corresponds to a population of 83.9% of children aged less than 15 years. In the present study, 403/828 patients were less than 15 years old.

The relatively low rate found in the present study may have been influenced by several factors. Patients were not monitored for RBC antibodies after each transfusion and hence transitory antibodies were probably not detected. Antibody screening was performed employing the tube method up to July 1991 and then replaced by gel centrifugation. Hence, antibodies present at low titers were probably not detected during that period.

Another factor that could contribute to the low frequency found in the present study may be the high phenotypic compatibility between patients and blood donors. Further studies are necessary to confirm the influence of this factor on the frequency of alloimmunization. In Brazil, only one study (15) compared RBC phenotype between blood donors and patients with SCD. A higher frequency of C antigen in donors was reported (66.7% compared with 46.0% in patients; P < 0.01), but no difference was detected for other RBC antigens, thus demonstrating high compatibility between donors and patients with SCD in the study.

The specificities of the antibodies found in the present study are similar to those found in other reports of alloimmunization in SCD. This is certainly due to the high immunogenicity of antigens C, D, E, and K (22), and also to the fact that RBC matching was not routinely employed for all of these antigens, except for antigen D.

Of the 124 alloantibodies identified, 8.9% were anti-D (7 associated and 4 isolated), a figure higher than reported in most international studies (8,11). A Brazilian study (15) reported 20% anti-D antibody among a total of 15 alloantibodies detected. The comparatively high frequency of anti-D antibodies found in the present study may be attributed to several factors. Since the obstetric history of the patients was not always available in their medical files, secondary alloimmunization related to pregnancy is to be considered. Many patients had been transfused before they were admitted to Hemominas Foundation and even after that, many were transfused in small hospitals in which blood transfusion is not adequately supervised.

Although alloimmunization is more frequent in females, in the present multivariate analysis gender was not a risk factor for alloimmunization (P=0.29), similarly to data reported by others (3,25). Some investigators have reported a higher risk for females (19,21).

Older patients were at a higher risk to develop alloimmunization, even after controlling for other significant variables. In another study (3), the rate of alloimmunization in patients younger than 10 years was lower than in other age groups, even after adjusting for the number of transfusions, with similar results observed by others (8,14, 26). Decreased immunologic response to antigens, lower frequency of blood transfusions and impossibility of pregnancy are factors that probably justify the low rate of alloimmunization in the pediatric group (9).

In the present study, the risk of developing alloimmunization was associated with the number of transfusions received, in agreement with the literature (3,8,9,11,21). In the multivariate analysis, this variable remained statistically significant both in patients younger and older than 14 years of age.

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A significant association between Hb phenotype and alloimmunization was observed even after multivariate adjustment in patients older than 14 years. Patients with Hb SC presented a risk of alloimmunization almost three times as high as that for patients with Hb SS, an association hitherto unreported. In the Cooperative Study of Sickle Cell Disease (3) such association was not found, and a rather lower rate of alloimmunization was observed in patients with Hb SC phenotype. This was attributed to a lower number of transfusions received by that group, as also observed by others (20,21). In the present study, the association between Hb SC phenotype and alloimmunization was observed only for patients older than 14 years. For those below this cut-off point alloimmunization frequency was higher for SS children, although not statistically significant. Patients older than 14 years with Hb SC disease received significantly fewer blood transfusions than those with the SS type. The number of transfusions for male and female patients was closely similar. Two non-excluding hypotheses for explaining the double positive gradient shown in Table 4 for patients above 14 years of age are possible: 1) older female patients and especially those with Hb SC disease developed alloimmunization at a higher frequency because previous pregnancy and not blood transfusion was an induction factor. Menarche occurs significantly earlier in Hb SC than SS patients (27). Additionally, sexual activity, which is lower among SCD females compared with controls (28) may be higher for Hb SC than for

SS females because their clinical status is usually better; 2) it is conceivable that there is a span of many years before the first transfusion is needed for Hb SC male or female patients. Wayne et al. (2) suggested that alloimmunization in patients with thalassemia may occur more frequently if the blood transfusion is administered intermittently and started after childhood. Since patients with Hb SC are faced with this situation, it is possible that a similar mechanism underlies the phenomenon observed in the present investigation.

Based on the present results and on literature data (3,6,11-14,29), RBC should be matched for C, E and K antigens in transfusions administered to patients with hemoglobinopathies. The relevant roles of patient age and number of administered transfusions in the risk of alloimmunization were confirmed. In contrast to the international literature, older patients with Hb SC disease - and especially females - were at a higher risk to develop RBC alloimmunization, requiring further studies for verification and explanation of the observed phenomenon. Cohort studies based on neonatal screening and careful prospective obstetric data would be very helpful.

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