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A novel method for DNA delivery into bacteria using cationic copolymers

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Abstract

Amphiphilic copolymers have a wide variety of medical and biotechnological applications, including DNA transfection in eukaryotic cells. Still, no polymer-primed transfection of prokaryotic cells has been described. The reversible addition-fragmentation chain transfer (RAFT) polymer synthesis technique and the reversible deactivation radical polymerization variants allow the design of polymers with well-controlled molar mass, morphology, and hydrophilicity/hydrophobicity ratios. RAFT was used to synthesize two amphiphilic copolymers containing different ratios of the amphiphilic poly[2-(dimethyl-amino) ethyl methacrylate] and the hydrophobic poly [methyl methacrylate]. These copolymers bound to pUC-19 DNA and successfully transfected non-competent *Escherichia coli* DH5 α , with transformation efficiency in the range of 10³ colony-forming units per μ g of plasmid DNA. These results demonstrate prokaryote transformation using polymers with controlled amphiphilic/hydrophobic ratios.

Key words: Gene delivery; Bacterial transformation; Copolymers; DMAEMA

Introduction

Amphiphilic copolymers self-assemble in water, forming various aggregates that exhibit a wide variety of technological applications (1,2). Amphiphilic copolymers may respond to diverse stimuli such as temperature. pH. or ions (3-6). Modulation of polymer solubility by pH and temperature provides an efficient way to control the delivery and release of trapped molecules (7,8). Amphiphilic cationic copolymers bind to DNA, facilitating eukaryotic cell transformation, thereby constituting an alternative to viral DNA/RNA vectors (9,10). Cationic polymers synthesized from 2-(dimethyl-amino) ethyl methacrylate (DMAEMA) with different morphologies facilitate DNA delivery to eukaryotic cells but are cytotoxic (11-13). Other polymeric materials deliver DNA into eukaryotic cells, but a polymeric system capable of transfecting bacteria has not been described. Copolymer morphology and composition may be modulated to maximize gene delivery efficiency and, hopefully, minimize toxicity. Gradient or random copolymers of poly [DMAEMA] (PDMAEMA) with few polar units could have advantages over previous DMAEMA-based copolymers tested for DNA delivery (14). Systematic changes of copolymer molar and composition ratios may permit the design of better materials for DNA delivery, eventually with reduced toxicity (15).

Here, we synthesized positively charged polymeric materials as potential DNA vectors using synthetic methods,

including free radical polymerization (FRP) (16,17), reversible addition-fragmentation chain transfer polymerization (RAFT), and a reversible deactivation radical polymerization. Polymers consisting of PDMAEMA and poly (methyl methacrylate) (PMMA) obtained via FRP or RAFT bind DNA and efficiently promoted plasmid DNA transfer into *Escherichia coli*. These observations pave the way towards developing new synthetic materials based on PDMAEMAco-PMMA copolymers, with optimized properties to carry DNA into eukaryotic and prokaryotic cells (Figure 1).

Material and Methods

Materials

Methanol, n-hexane, acetone (analytical grade), and triethylamine (TEA) (HPLC grade) were from J.T. Baker (USA). Tetrahydrofuran (THF), deuterated chloroform (CDCl₃), methyl methacrylate (MMA, 99%), and dimethyl 2-(aminoethyl) methacrylate (DMAEMA, 98%) were from Sigma-Aldrich (USA) and their polymerization inhibitor, MEHQ, was removed using De-HiBit-200 (Polysciences, Inc., USA) macroreticular ion exchange resin. 2,2'-azobisisobutyronitrile (AIBN, 98%), 1,1'-azobis(cyclohexane carbonitrile) (ACHN, 98%), 2-cyano-2-propyl dodecyl trithiocarbonate (chain transfer agent, CTA), and buffers

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Figure 1. PDMAEMA-based cationic copolymers as novel carriers for DNA delivery into bacteria. Here we show that amphiphilic copolymers containing DMAEMA deliver DNA into *E. coli* cells opening the way to the development of new DNA delivery agents.

were from Sigma-Aldrich. NaH₂PO₄, boric acid, HCl, and NaOH were from Merck (Germany). Isopropyl β -D-1-thiogalactopyranoside (IPTG) and 5-bromo-4-chloro-3-indolyl β -D-galactoside (X-Gal) were from Thermo Scientific (USA). Gel permeation chromatography (GPC) standard for average molar mass determination was Poly(methyl methacrylate) Standard ReadyCal Set, Mp 500-2,700,000, from Sigma Aldrich.

Chemical syntheses

All syntheses were carried out under argon and constant stirring at 600 rpm. The growth of the polymer chains during synthesis was monitored by GPC analysis of reaction aliquots taken at increasing reaction times.

Free radical polymerization

PMMA-co-PDMAEMA was synthesized via FRP technique using ACHN as initiator. PMMA₃-co-PDMAEMA₂₆ (FRP): 5 mL (46.7 mmol/L) of MMA, 20 mL (118.7 mmol/L) of DMAEMA, and 0.289 g (1.18 mmol/L) of ACHN were mixed in a reaction flask with 10 mL of 1,4-dioxane. The polymerization reaction was carried out for 35 min at 90°C under constant stirring. The copolymer was purified by repeated precipitation into hexane, which was oven-dried at 40°C for 48 h.

RAFT polymerizations

PMMA and PDMAEMA homopolymers and PMMA-co-PDMAEMA were synthesized via RAFT technique using 2-cyano-2-propyl-dodecyl trithiocarbonate as the chain transfer agent (CTA), chosen considering the reactivity and compatibility with MMA and DMAEMA. The CTA used in all RAFT syntheses described herein is commonly used for polymerizing styrenes, methacrylates, and methacrylamides (17). The PDMAEMA synthesis was initiated using 1,1'-azobis(cyclohexanecarbonitrile) and all other reactions were initiated by azobisisobutyronitrile (AIBN). All syntheses were controlled with 2-cyano-2-propyl dodecyl trithiocarbonate as the CTA. *PDMAEMA*₃₁₅. Initially, 100 mL (0.59 mol/L) of DMAEMA, followed by the addition of 0.2154 g (0.623 mmol/L) of the CTA and 0.038 g (0.155 mmol/L) of ACHN were mixed in the reaction flask. The reaction was carried out at 85°C for 5 h. The homopolymer product was purified by repeated precipitation in hexane and, subsequently, dried in an oven for 48 h at 40°C.

*PMMA*₆₀. Twenty milliliters (0.19 mmol/L) of MMA monomer was dissolved in 10 mL of 1,4-dioxane, followed by the addition of 0.45 g (1.30 mmo/L) of CTA and 0.0355 g (0.21 mmol/L) of AIBN. Synthesis was carried out under constant stirring for 5 h at 70°C. Purification was performed by repeated precipitations in methanol. The resulting solid was dried in an oven for 48 h at 40°C.

 $PMMA_{31}\text{-}co\text{-}PDMAEMA_{70}\text{.}$ Twenty milliters (0.186 mol/L) of MMA and 75 mL (0.444 mmol/L) of DMAEMA monomer, free of polymerization stabilizer, 1.314 g (3.8 mmol/L) of CTA and 0.104 g (0.633 mmol/L) of AlBN were mixed in a reaction flask under argon atmosphere for 3 h at 70°C. GPC was used to monitor the synthesis progress. The reaction was stopped after the desired molecular weight (M_n: 14,000 g/mol) was reached. The final product was purified by repeated precipitations in hexane and subsequently dried at 40°C for 96 h.

GPC. GPC was performed in a Shimadzu Prominence instrument (Japan) equipped with two Phenomenex (USA) columns (particle size: 5 μ m, pore sizes: 10⁶ Å and 10⁴ Å). The injected sample volume (10 mg/mL) was 10 μ L. Column temperature was kept at 35°C. Detection was based on differential refractive index (RI) (Shimadzu RID-10A, Japan). The mobile phase was THF with 0.3 % of TEA as eluent at a flow rate of 0.8 mL/min. The system was calibrated with PMMA standards (M_n ~ 800–2,000,000 g/mol) (Figure 2).

Nuclear magnetic resonance (NMR) spectroscopy

One dimensional ¹H-NMR spectra were recorded at room temperature on a Varian 300 MHz NMR spectrometer (USA). NMR samples consisted of 10 mg of polymer



Figure 2. Polymer growth with synthesis time. Gel permeation chromatography traces showing the growth of homopolymer and copolymer chains by reversible addition-fragmentation chain transfer over time. PMMA₆₀ (left); PDMAEMA₃₁₅ (middle); PMMA₃₁-co-PDMAEMA₇₀ (right). The time course of the reaction is within each panel. RI: refractive index.

dissolved in CDCl₃. PMMA and PDMAEMA ¹H chemical shift assignments were taken from the literature (18–21). PDMAEMA and PMMA ratios in the different copolymers (n/m ratios) were calculated from the relative areas under the ¹H NMR peaks corresponding to the side chain methylene group of the ester of PDMAEMA at approximately 4.0 ppm (2H, O-CH₂-, PDMAEMA, see Figure 3) and to the ester methyl group of MMA at approximately 3.6 ppm (3H, O-CH₃, PMMA), according to Equation 1:

$$\frac{n_{(\text{PDMAEMA})}}{m_{(\text{PMMA})}} = \frac{A_d \times 3}{A_c \times 2} \tag{Eq. 1}$$

where A_d and A_c refer to the area under the peak of the PDMAEMA methylene group and the PMMA methyl group, respectively. The coefficients 2 and 3 normalize the areas with respect to the number of hydrogen nuclei contributing to each NMR signal, while n and m are the number of units of DMAEMA and MMA, respectively. The total polymer mass, M_n , is given by the relative composition of the two monomers as described in Equation 2:

$$M_n = n * (PDMAEMA) + m * (PMMA)$$
 (Eq. 2)

where (PDMAEMA) and (PMMA) are the monomer molecular masses, i.e., 157.9 and 100, respectively.

Propagation and purification of pUC19

Transformation of competent *E. coli* DH5 α was carried out following standard methods (22–25). Briefly, approximately 50 ng of pUC19 were mixed with 50 µL of chemically competent DH5 α on ice for 30 min. The mixture was subjected to heat shock at 42°C for 45 s, incubated on ice for 1 min, followed by the addition of 750 µL of liquid sterile Luria broth (LB) (Sigma, USA) for cell growth at 37°C for 1 h. Cells were harvested by centrifugation at 8,000 *g* for 5 min at room temperature, suspended with 100 µL of LB, and plated on LB agar containing 100 µg/mL of ampicillin, 1 mmol/L of IPTG and 20 µg/mL of X-Gal. Bacterial cells were grown on plates overnight at 37°C. On the next day, one colony of bacteria harboring pUC19 was selected based on the blue-white screening test and incubated in liquid LB under agitation at 230 rpm and 37°C for overnight growth. pUC19 plasmids were isolated using the Plasmid Plus Maxi kit (Qiagen, USA) according to the manufacturer instructions (26,27).

Electrophoretic assays

Agarose gel electrophoretic assays were performed using 1% agarose gels in TAE (Tris-Acetate-EDTA) buffer prepared with 6 µL of SYBR Safe (Invitrogen, USA). The desired aliquots (30, 50, and 100 μ L) of a stock solution of each copolymer (1 mg/mL in THF) were transferred to plastic microtubes, and the solvent was evaporated under argon to allow the formation of polymeric films. A volume of 18 µL of a water solution of pUC19 DNA at a concentration of 78 ng/uL (determined based on the absorbance at 260 nm) was added, followed by vortexing for 10 s, and resting on ice for 5 min. An aliquot of 6 µL of DNA loading buffer (Purple 6x) (Biolabs, Inc., USA) was added to each tube, which was again vortexed for 10 s. All samples were maintained on ice in order to preserve the DNA from degradation. Electrophoresis was run in TAE buffer using 50 volts.

Transformation of *E. coli* with polymer/pDNA polyplexes

In order to verify the influence of the amount of each polymer on the bacteria transformation efficiency, desired aliquots (0.25, 0.5, 1.0, and 5.0 μ L) of polymers taken from a 1 mg/mL stock in THF were transferred to plastic microtubes, followed by evaporation of the THF under argon until the formation of a film. Non-competent *E. coli* DH5 α cells were cultured in 100 mL of LB (Sigma) without antibiotics until the absorbance reached 0.7 (λ =600 nm). Cells were harvested by centrifugation (8,000 *g*, for 5 min at 4°C), suspended into 500 μ L of 10 mM MOPS pH 6.8, and reserved on ice. An aliquot of 10 μ L of a 78.7 ng/ μ L of pUC19 DNA solution in water was added to hydrate the polymeric film in the plastic microtube, followed by vigorous vortexing for one minute. After vortexing, the mixture rested for 5 min at 37°C. A volume of 700 μ L of LB



Figure 3. Structure and nuclear magnetic resonance (NMR) spectra of the polymers. General chemical structures (**A**) and (¹H) NMR spectra (**B**) of PMMA-co-PDMAEMA copolymers. The letters "m" and "n" refer to the number of MMA and DMAEMA units, respectively. All reversible addition-fragmentation chain transfer copolymers are expected to have chain-transfer agent terminations. The (¹H) NMR peaks at 4.05 and 3.60 ppm correspond to the (O-CH₂-) of DMAEMA and the (O-CH₃) of MMA, respectively.

was added to the hydrated polymeric film, followed by the addition of 100 μ L of non-competent DH5 α prepared as mentioned above, vortexed for 1 min, and incubated at 37°C for 1 h. A volume of 50 μ L of DH5 α cells incubated with polymer and pUC19 (49 ng) were plated on LB-agar prepared with 100 μ g/mL of ampicillin, 20 μ g/mL of X-Gal, and 1 mmol/L of IPTG, and incubated at 37°C for 36 h.

Results and Discussion

Although PDMAEMA homopolymers bind to DNA (28,29), PDMAEMA-mediated DNA transfer to bacterial cells has not been reported. PDMAEMA binding to DNA

results from electrostatic interactions but bacterial transfection may depend on the hydrophobic/hydrophilic balance of the polymeric material. To test whether increasing hydrophobicity could raise the ability of the polymer to mediate DNA transfer into bacterial cells, we designed amphipathic copolymers of different sizes and compositions. Homopolymers of PMMA, PDMAEMA, and copolymers containing DMAEMA/MMA units were synthesized to determine the impact of the different DMAEMA/MMA ratios on bacterial transformation efficiency. MMA was chosen as the hydrophobic component because it has been used to synthesize biocompatible materials for a wide variety of applications (30,31). PMMA-co-PDMAEMA copolymers were synthesized either via free radical polymerization or via RAFT (see Methods), while PDMAEMA and PMMA homopolymers were synthesized via RAFT. Figure 2 shows GPC traces for all materials produced by RAFT. For this type of polymerization, average molar mass (M_n) increase with conversion is expected (17). Average molar mass growth is detected by the displacement of the elution peak to lower volumes as a function of reaction time (32). After reaching the desired molecular weight (M_w), the reaction was stopped, and the final product was purified.

¹H-NMR of all copolymers (Figure 3) agreed with the literature (33). The peaks attributed to the main chain

 Table 1. Synthesis parameters and selected characterization data.

Material	Method	M _n (kg/mol)	M _w /M _n
PMMA ₆₀ PDMAEMA ₃₁₅ (a) PMMA ₃ -co-PDMAEMA ₂₆	RAFT RAFT FRP	12.4 54.6 4.45	1.3 1.4 1.9
(b) PMMA ₃₁ -co-PDMAEMA ₇₀	RAFT	14.0	1.3

 M_n and M_w/M_n were measured by gel permeation chromatography. RAFT: reversible addition-fragmentation chain transfer; FRP: free radical polymerization.

protons ("e" and "f", Figure 3) were within the 0.7–2.0 ppm range. Peaks assigned to the PDMAEMA units were at 2.30 ppm ("a", N-CH₃), 2.58 ppm ("b", N-CH₂-), and 4.08 ppm ("d", O-CH₂-), respectively. The peak at 3.60 ppm was assigned to the PMMA side chain protons ("c", O-CH₃). The composition of the synthesized products, determined by ¹H NMR (Figure 3) as described in Methods section, using the areas of the signals "c" and "d", are presented in Table 1. As the total average molar masses were determined by GPC using PMMA standards, the molar mass units refer to PMMA molar mass / hydrodynamic ratio relationships. The polymers obtained by RAFT presented low polydispersity (M_w/M_n) characteristic of this technique (Table 1) (17).

Agarose gel electrophoresis of pUC19 demonstrated that DMAEMA-containing copolymers bind to DNA (Figure 4). pUC19 is a high-copy standard cloning vector containing the coding sequence of the alpha fragment of beta-galactosidase (*lacZ*). pUC19 electrophoretic migration in the agarose gel yielded two bands, one of them corresponding to circular DNA, migrating with an apparent size larger than 10 kb, and supercoiled DNA that migrated with an apparent size near 2 kb (Figure 4). This behavior is consistent with uncut plasmid DNA. Addition of either PDMAEMA₃₁₅ or PMMA₃-co-PDMAEMA₂₆ (FRP) and PMMA₃₁-co-PDMAEMA₇₀ led to altered pUC19 migration



Figure 4. DNA polymer binding. Copolymer binding to pUC19 shifts its migration band on agarose gel. The agarose gel electrophoresis was run for 90 min at 50 volts. The following amounts of copolymers were tested: 30, 50, and 100 μ g. Lanes A and B refer to controls corresponding to DNA only in the presence of tetrahydrofuran (A) or buffer (B) added in substitution of copolymer. The copolymers added in each experiment are indicated by numbers: 1 = PMMA₆₀; 2 = PDMAEMA₃₁₅; 3 = PMMA₃-co-PDMAEMA₂₆ (FRP); 4 = PMMA₃₁-co-PDMAEMA₇₀.

and even retention of DNA near the application slot. PMMA₆₀, on the other hand, did not affect pUC19 migration. These results confirmed the ability of PDMAEMA-containing polymers to bind to plasmid DNA. DNA binding is related to the presence of PDMAEMA, since methyl polymethacrylate (PMMA₆₀) at concentrations of 30 and 50 μ g did not affect DNA migration (Figure 4). Clearly, PDMAEMA monomers bearing a net positive charge are required for DNA binding and electrophoretic mobility shifts.

After observing that PDMAEMA-containing copolymers bind to plasmid DNA, we investigated whether these were able to mediate pUC19 delivery to non-competent *E. coli* cells, i.e., transfect. Bacterial colonies harboring pUC19 may be identified by the blue-white screening test. The expression of the *lacZ* fragment upon induction with IPTG results in a functional β -galactosidase that catalyzes



Figure 5. Demonstration of pUC19 transfection by polymers. Expression of pUC19 in *E. coli* DH5 α using the blue-white screening test. **A**, PMMA₃-co-PDMAEMA₂₆ (FRP). **B**, PMMA₃₁-co-PDMAEMA₇₀. The amount of copolymer used in these experiments was 0.5 µg (left plates) and 1 µg (middle plates). The plates on the right refer to the inoculation of a single bluish colony, from the plates incubated with 1 µg of polymer, in new agar plates containing IPTG and X-Gal. FRP: free radical polymerization.

the cleavage of the glycosidic bond in the chromogenic substrate X-Gal added to the agar plates, vielding a blue pigment and hence blue colored colonies (34). Bacteria transformed with functional pUC19 were identified as blue colonies on agar plates (Figure 5). We found that only those E. coli cells that were incubated with pUC19 in the presence of PMMA₃-co-PDMAEMA₂₆ (FRP) or PMMA₃₁co-PDMAEMA₇₀, but not in the presence of PDMAEMA₃₁₅ or PMMA₆₀ (not shown), yielded blue colored colonies in the agar plates. Controls performed in the absence of the polymer, which was substituted for THF or buffer, displayed no colonies (not shown). A single blue colony from the previous experiment was plated in a new LB-agar plate containing IPTG and X-Gal, vielding additional blue colonies after 12 h of incubation at 37°C (Figure 5). Therefore, the transformed colonies were fully functional, retaining the ability to grow and express the lacZ fragment.

E. coli cell growth was slower in the presence of copolymer. After transformation in the presence of copolymers, new colonies were visually observed on plates only after 36 h of incubation at 37°C instead of the usual growth time of 12 h. In order to quantify the copolymer transformation efficiency, we counted the number of CFU (colony forming units) per microgram of DNA (Table 2). Copolymer transformation efficiency was in the range of 10^3 CFU/µg of plasmid DNA and depended on the amount of copolymer used. The best copolymer amount was 0.5 µg under our conditions (Table 2). We did not observe blue colonies when E. coli was transfected in the presence of PMMA₆₀, in line with the observation that this polymer does not bind to DNA (Figure 4). Interestingly, PDMAEMA315 homopolymer also failed to transform E. coli even though it binds to DNA (Figure 4). The observed transformation efficiency, in the range of 10^3 CFU/µg of plasmid DNA (Table 2), was lower than that usually obtained with chemically competent E. coli cells using calcium chloride (approximately 5-20 10⁶ CFU/ug). However, various factors may affect transformation efficiency, including the amount of viable cells. The number of colonies obtained displayed large variation between different transformation trials (Table 2).

Sable 2. Escherichia coli transformation efficiency	' (10 ³	CFU/µg of DNA).
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Polymer	Transformation efficiency ^a				
	0.25 μg ^b	0.5 μg ^b	1 μg ^b	5 μg ^ь	
PDMAEMA ₃₁₅	0	0	0	0	
PMMA ₆₀	0	0	0	0	
PMMA ₃ -co-PDMAEMA ₂₆ (FRP)	0.20 ± 0.04	1.08 ± 0.09	0.63 ± 0.52	0.02 ± 0.01	
PMMA ₃₁ -co-PDMAEMA ₇₀ (RAFT)	0.08 ± 0.08	0.88 ± 0.12	$\textbf{0.98} \pm \textbf{0.47}$	0	
THF	0	0	0	0	
Buffer	0	0	0	0	
Heat shock ^a	0	0	0	0	

CFU: colony forming units; ^anon-competent cells; ^bamount of polymer added (from 1 mg/mL of tetrahydrofuran (THF) solution). Data are reported as means \pm SD of three experiments.

Although this last observation may be seen as a disadvantage of the method, the present results should be seen as a proof of principle. The contributions of all variables remain to be investigated in the future. In fact, one should keep in mind that fine-tuning the morphology of the copolymers could result in more efficient transfecting agents.

The presence of a small fraction of PMMA in the polymer chains was necessary for transfection. Note that only one homo PDMAEMA was used and that polymer was obtained exclusively by RAFT. A systematic change of those parameters is still needed in order to determine if PDMAEMA is not able to transfer DNA to bacteria no matter the variables. The data in Table 2 also indicate that [PMMA₃-co-PDMAEMA₂₆ (FRP)] obtained by FRP technique was more efficient to transform E. coli than the one obtained by RAFT (PMMA₃₁-co-PDMAEMA₇₀). These two copolymers differ by total molar mass, composition, and method of synthesis. Each one of these variables may be responsible for the difference in DNA transfer capability, as well as a combination of all. Molar mass is related to the size of the polyplex formed by combination with DNA, as well as toxicity (14,35-37). Differential composition is related to the hydrophilic/hydrophobic balance, which can certainly be a major factor to the interaction of the polyplex with the bacteria membrane. Finally, RAFT polymers present a sulphur group in one or both chain ends that can affect the DNA transfer process and toxicity. FRP polymers, on the other hand, present compositional interchain heterogeneity, making it difficult to know which chains specifically are the best transfer agent, composition-wise. All these scenarios are to be addressed in the future. We demonstrated here that copolymers of PDMAEMA and PMMA transferred DNA into E. coli. opening an opportunity to obtain more efficient and less toxic materials by varying their polymeric structure.

Transfer of foreign DNA into bacterial cells usually involves the generation of competence by a chemical

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treatment with CaCl₂ followed by a heat shock perturbation (38). Alternatively, one may use electroporation, in which a strong and short electrical pulse is applied to perturb the lipid bilayer allowing for the penetration of charged molecules such as DNA (39). Although these techniques are well-established, the transformation of other prokaryotic cells such as *Leptospira*, a pathogenic bacterium of significant public health concern, or *Xanthomonas*, a phytopathogenic bacterium that infects economically relevant crops, is not straightforward. Hence, simpler and more efficient DNA delivery methods for prokaryotic organisms are desirable and will find applications under specific situations. Here, we showed that copolymers based on MMA and DMAEMA were able to deliver foreign DNA into *E. coli* cells.

The mechanism of gene delivery promoted by the copolymers tested here is unclear. However, the composition of the polymeric material was determinant to gene delivery efficiency. Hydrophilic polymers containing only DMAEMA were unable to deliver DNA into *E. coli* (Figure 5 and Table 2). The presence of MMA units was found to be crucial for the cases studied. In summary, amphiphilic copolymers containing DMAEMA and MMA units were shown to deliver DNA into *E. coli* cells and might open the way for the development of new DNA delivery agents, which could find a variety of technological applications.

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