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Intercalated 4-Aminobenzenethiol between Au and Ag Nanoparticles: Effects of Concentration and Nanoparticles Neighborhood on its SERS Response

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In this work is reported the investigation of the 4-aminobenzenethiol (4-ABT) surface-enhanced Raman scattering (SERS) response for different 4-ABT concentrations as well as when this molecule is intercalated between Ag and Au nanoparticles (AgNP and AuNP). SERS substrates consisting of the individual AgNP or AuNP and bi-layer AgNP/4-ABT/AuNP or AuNP/4-ABT/AgNP were prepared on glass slides. It was observed a decreasing trend of the bands intensity ratios b_2/a_1 as a function of the 4-ABT solutions concentration. Since the b, modes are enhanced through the charge transfer (CT) mechanism, this result indicates that this mechanism is less effective for low concentration of 4-ABT. Also, a dependence between a₁ and b₂-type bands intensities could be identified for the samples where 4-ABT molecules were intercalated between AgNP and AuNP. A significantly increase in the SERS intensities of the a₁ mode around 1074 cm⁻¹ and b, mode around 1142 cm⁻¹ is observed when AgNP are present in the samples. This result indicates that AgNP promote amplifications of the Raman signal thorough the CT and electromagnetic (EM) mechanisms, whereas for the AuNP the SERS effect is related manly to EM mechanism. These results show that the 4-ABT SERS response can provide more information than the SERS substrate activity. Its SERS signal pattern is rich in information, allowing investigations about the local where the molecule is, details about the substrate surface, and also on the mechanisms present in the system under study.

Keywords: Ag and Au nanoparticles, 4-ABT, Raman/SERS, a1 and b2 modes

Introduction

Surface-enhanced Raman scattering (SERS) is the amplification of the Raman scattering signals from molecules adsorbed and/or very close to vicinity of the metallic nanostructures surface such as silver, gold, and copper.^{1,2} The nature of the Raman enhancement is caused by two contributing mechanisms.^{2,3} First, is the electromagnetic mechanism (EM), that is a consequence of the interaction of the electric field (from the incident radiation) with the electrons in the metal surface, leading the excitation of surface plasmon resonance (SPR). Unlike, the chemical enhancement is due to the interaction among the adsorbed molecules and the metal substrate during the incident radiation. In that condition, a charge transfer (CT) from the metal to the molecule adsorbed on the substrate can occur. The investigation of the mechanisms involved in the SERS effect can be performed by monitoring the Raman spectra of molecules adsorbed on metallic nanostructures which exhibit SPR.

4-Aminobenzenethiol (4-ABT) is an ideal probe molecule for SERS study equal to rhodamine 6G and pyridine. It can be strongly adsorbed onto most SERS substrates and can produce a very strong Raman signal, which is very sensitive to the substrate material. Also, the 4-ABT SERS spectral feature is dependent on the measurement conditions.⁴⁻⁷ However, there is still uncertainty and a disagreement about the correct attribution of the b₂-type bands observed in the SERS spectra of that molecule. One side support that the b₂ modes are originated due to charge transfer mechanism from the metal to the 4-ABT molecules adsorbed on the SERS substrate.⁸⁻¹¹ Others, support the idea that 4-ABT can be oxidatively transformed into 4,4'-dimercaptoazobenzene (DMAB), which gives a SERS spectra of so-called b, modes.¹²⁻¹⁵

The beginning of that debate can be dated back to the first article published by Osawa et al.,⁸ where they showed a SERS study of 4-ABT adsorbed on silver electrode. They found that the appearance of strong lines of b_2 symmetry is due to intensity, borrowing from an intense $\pi \to \pi^*$ molecular transition $({}^{1}A_{1} \to {}^{1}B_{2})$ at 300 nm. Also, they divided the 4-ABT SERS spectra in two categories. One is

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assigned to the vibrational modes with a, symmetry, mainly 1077 and 1590 cm⁻¹. Another is assigned to the vibrational modes with b_2 symmetry, including the bands at ca. 1142, 1391, 1440, and 1573 cm⁻¹. Following this pioneering work, many studies of SERS on 4-ABT have been reported, but many of them simply citing the conclusion of Osawa's work.¹⁶⁻¹⁹ Recently, Tian and co-workers²⁰⁻²² have been performed studies of SERS on 4-ABT and they have arrived at a different explanation for the appearance of b₂ modes. Those works strongly support the other side of the debate. They have been demonstrated experimental and theoretical evidence that it is DMAB which contributes to the characteristic SERS behavior in the SERS spectra of 4-ABT. They believe that the origin of the b₂ modes in the 4-ABT SERS spectra is due to dimer formation on the SERS substrates surface. Although the Tian's works are conclusive and convincing, giving an end to the current debate, other authors have shown that such transformation is strongly dependent on laser power and on characteristics of the SERS substrate.^{23,24} For example, Delafosse et al.²³ investigated the influence of the laser power on 4-ABT SERS spectrum, observing evidences of dimer formation for a laser power above 150 μ W. In the same work, they also observed a dependence on time of laser illumination.

In this context, the debate about the real origin of the b, modes observed in the 4-ABT SERS spectra is still happening, and also it is an open area to be explored. Several studies have been performed in different systems once the 4-ABT SERS spectra profile is dependent on the experimental conditions.²⁴⁻²⁷ In this work, was performed a study of the effect of the 4-ABT concentration on its SERS response in a range of 10⁻⁴-10⁻⁸ mol L⁻¹. This experimental parameter has not yet been investigated. Also, we have investigated the effect of Ag or Au nanoparticles neighborhood on 4-ABT SERS response when this molecules is intercalated into AgNP and AuNP. For this purpose, Au nanoparticles were synthesized using chitosan as reductant and capping agent and Ag nanoparticles were synthesized by the sodium citrate method. Raman measurements of 4-ABT on metal nanoparticles and of intercalated 4-ABT between Au and Ag nanoparticles were recorded using an He-Ne laser (633 nm).

Experimental

Chemicals

Chitosan powder sample (high molecular weight, 78% deacetylated) was acquired for free from C.E. Roeper (Hamburg, Germany). Tetrachloroauric(III) acid, silver nitrate, trisodium citrate, 3-mercaptopropyltrimetoxisilane (MPTMS), 3-aminopropyl-trimetoxisilane (APTMS), and 4-aminobenzenethiol were purchased from Sigma-Aldrich (St. Louis, USA). All chemicals were used without further purification. All glassware were cleaned with piranha solution (4:1 sulphuric acid:hydrogen peroxide) before using and then rinsed thoroughly with deionized water.

Gold and silver nanoparticles (AuNP and AgNP) synthesis

AuNP was prepared following the procedure reported elsewhere with some modifications.²⁸ Briefly, a solution of chitosan 1 mg mL⁻¹ was prepared by dissolving the polymer in acid acetic solution (pH 2.5). Due to the low solubility of chitosan, the mixture was kept under stirring for 8 h to obtain a clear solution. A mixture of 6 mL solution of 10^{-3} mol L⁻¹ tetrachloroauric(III) acid and 36 mL solution of 1 mg mL⁻¹ chitosan was prepared. The AuNP synthesis was carried out under stirring at 100 °C for 10 min.

AgNP were prepared following the procedure reported elsewhere.²⁹ Briefly, 250 mL of 10^{-3} mol L⁻¹ AgNO₃ solution were heated to approximately 90 °C under vigorous stirring. Then, 10 mL of 1% sodium citrate solution were quickly added into the solution. The mixture was kept at 90 °C with stirring during 1 h. After that, the solution was cooled down to room temperature (about 25 °C) under stirring.

AuNPs and AgNPs substrates preparation

Microscope regular glass slides were cut in 1.2 cm × 1.2 cm small slides, cleaned, and their surfaces were modified with MPTMS and APTMS following procedures reported elsewhere.^{28,29} The AuNP substrates were prepared by soaking the thiol groups modified glass slides into the AuNP colloidal solution for 1 h. Some AuNP substrates were soaked into 10^{-3} mol L⁻¹ 4-ABT for 10 min. Then, the substrates were washed with deionized water and dried with N₂ flow. After that, the AuNPs/4-ABT substrates were soaked into the AgNP colloidal solution for 1 h, and subsequently washed with deionized water and dried with N₂ flow, resulting in sample AuNP/4-ABT/AgNP. This procedure was repeated changing the order of the metal nanoparticles deposition, resulting in the sample AgNP/4-ABT/AuNP.

Ultraviolet-visible (UV-Vis) measurements

UV-visible absorption spectra of the colloidal solutions and of the AuNP and AgNP substrates were collected on an Agilent Cary probe 50 UV-Vis spectrometer (Santa Clara, USA).

Electron microscopy

High resolution transmission electron microscopy (HRTEM) images were obtained using a JEOL JEM-3010 microscope (300 kV, 1.7 Å point resolution) (Akishima, Japan). The samples were prepared by drop-drying the AuNP or AgNP colloidal solution on a holey carbon coated copper grid.

The scanning electron microscopy (SEM) images were obtained using a FEG, field emission gun microscope model Inspect (Hillsboro, USA), with acceleration voltage of 15 kV. Also, an energy-dispersive X-ray spectroscopy (EDS) elemental analysis was carried out. The samples were covered with a carbon thin film before analysis.

SERS measurements

SERS spectra were acquired using a confocal Jobin-Yvon T64000 Raman spectrometer system (Kyoto, Japan), equipped with a liquid N₂ cooled CCD detector. The excitation source was a laser at 633 nm. The laser power at the sample surface was about 7.2 mW. The laser was focused with a 100× focal-lens objective to a spot of about 1 µm. For all measurements, the laser exposure time was 10 s with two accumulation. 49 spectra were collected in the Raman mapping measurements in an area of $60 \,\mu\text{m}^2$ on each substrate surface, using 10 µm of step. 4-ABT compound was dissolved in ethanol to a 10⁻² mol L⁻¹ concentration. This solution was used to prepare six new solutions: from 10⁻³ to 10⁻⁸ mol L⁻¹, where an appropriate amount of 10⁻² mol L⁻¹ 4-ABT solution was diluted in deionized water. Then, an aliquot of 50 µL of each solution was dropped onto the $1.2 \text{ cm} \times 1.2 \text{ cm}$ AuNPs substrate. The films were dried in air atmosphere. After that, the samples were ready to be analyzed.

Results and Discussion

Figure 1a shows the UV-Vis absorption spectrum of the AuNP colloidal solution, where can be observed the localized surface plasmon band (LSPR) at 525 nm, which is a standard optical signature for the formation of AuNP spheres in solution.³⁰ A distinct band is observed for the AuNP substrate at 537 nm, indicating a red shift of 12 nm in the LSPR band (Figure 1b). This red shift is caused due to the increase of refractive index of the media surrounding metallic nanoparticles.³¹ Also, a little shoulder at about 660 nm is observed in the AuNP substrate UV-Vis spectrum (Figure 1b), that can be associated with the formation of AuNP aggregates during the substrate preparation as shown in the SEM image (Figure 1, inset). As can be seen in the high-resolution transmission electron microscopy (HRTEM) image in Figure 2, the AuNP are immersed into the chitosan structure forming a composite. Measuring the AuNP diameter, in different HRTEM images, is obtained an average size distribution of 5.2 nm (Figure 2b). The size distribution (1.5-12.5 nm) indicates that chitosan plays an important role of controlling the AuNP size.

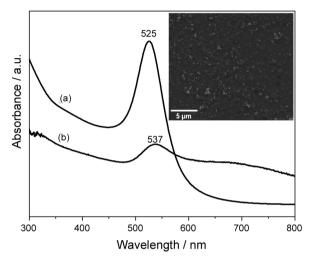


Figure 1. UV-Vis absorption spectrum in (a) AuNP colloidal solution and a representative AuNPs substrate in (b).

As can be seen in Figure 3, the SERS spectrum of 4-ABT exhibits a different spectral pattern comparing with its own normal Raman spectrum. The band assigned to the vibrational mode with a_1 symmetry ($v_{CS+}v_{CC}$, 7a) is shifted from 1088 cm⁻¹ (normal Raman spectrum) to 1078 cm⁻¹ (in SERS condition). This shift indicates that 4-ABT molecules are adsorbed on AuNP surface as thiolate after the S-H bond disruption. Also, the band at 1181 cm⁻¹ is assigned to the a_1 symmetry (δ_{CH} , 9a), and its intensity is the weakest. The broad band around 1580 cm⁻¹ is formed by two modes assigned to $v_{\rm CC}$, 8a and 8b (a₁ symmetry at 1582 cm⁻¹ and b_2 symmetry at 1570 cm⁻¹). The others bands assigned to $v_{\rm CC}$ + $\delta_{\rm CH}$ 19b (1432 cm⁻¹), $\delta_{\rm CH}$ + $v_{\rm CC}$ 3 (1389 cm⁻¹), and $\delta_{\rm CH}$ 9b (1139 cm⁻¹) are modes with b₂ symmetry.^{15,32} The most interesting about the 4-ABT Raman spectrum is that the b₂ modes are observed only in SERS condition (Figure 3). In the normal Raman spectrum of the 4-ABT (10^{-2} mol L⁻¹) only the modes with a₁ symmetry is observed at 1088 cm⁻¹ and 1594 cm⁻¹. As mentioned in the Introduction, the origin of b₂-type bands in the 4-ABT SERS spectrum has been the focus of many works,³³⁻³⁵ and this will be discussed below.

We investigated the SERS response of 4-ABT adsorbed on AuNP substrate after drop-drying aliquots of 4-ABT solutions prepared with different concentrations. For the first three 4-ABT concentrations (10^{-4} , 10^{-5} , and 10^{-6} mol L⁻¹) all a_1 and b_2 modes described above could be identified in the

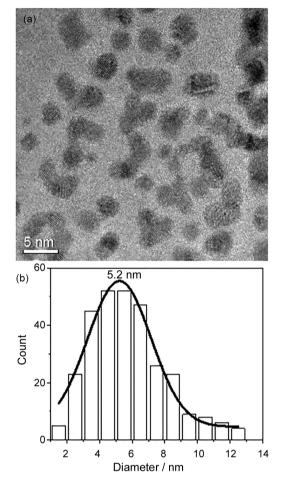


Figure 2. HRTEM image showing the AuNP into the chitosan structure (a) and the histogram of the AuNP size distribution (b).

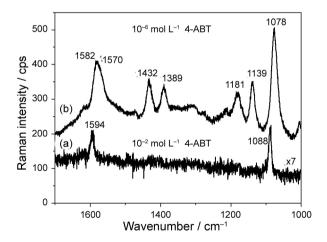


Figure 3. Raman spectrum of 10^{-2} mol L⁻¹ 4-ABT onto a glass slide without AuNP (a) and SERS spectrum of 10^{-6} mol L⁻¹ 4-ABT onto AuNP substrate (b).

SERS spectra in Figure 4. However, for the concentration of 10^{-7} mol L⁻¹ only the bands at 1582, 1139, and 1078 cm⁻¹ could be observed, appearing very weak in the SERS spectrum for 4-ABT 10^{-8} mol L⁻¹. In general, the intensities

of the a₁-and b₂-type bands are sensitive to the variation of the 4-ABT concentration, and that effect is more evident on the b_2 modes (Figure 4). For the laser power of 7.2 mW used in our Raman measurements is expected the transformation of 4-ABT to DMAB as have been reported.²⁰⁻²³ However, this dimerization is not observed with low concentration of 4-ABT as this reaction cannot occur if 4-ABT molecules are too far on from the other in diluted solutions. The concentration effect on the a_1 and b_2 -type bands could be confirmed in a more detailed analysis mapping areas on AuNP substrates. Representatives SERS spectra for the five different concentrations of 4-ABT are shown in Figure S1 (Supplementary Information), where can be seen the strong effect of the concentration on a_1 and b_2 -type bands. Even for the best SERS spectra in the Raman mapping the intensities of the a₁ and b₂-type bands decrease for diluted solutions. This result shows that there is a strong dependence between the SERS signal of the a₁ and b₂-type bands and the 4-ABT concentration. To more diluted solutions the 4-ABT SERS pattern looks very similar to the normal 4-ABT Raman spectrum showed in Figure 3.

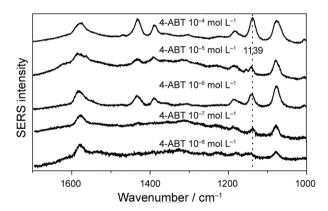


Figure 4. Representative SERS spectra of 4-ABT in different concentrations $(10^{-4}-10^{-8} \text{ mol } L^{-1})$ onto AuNP substrates.

Up to now, it can be concluded that the 4-ABT concentration effect is more evident for the b_2 modes and the behavior of the band at 1139 cm⁻¹ illustrates well this effect. The band at 1139 cm⁻¹ was observed in all 4-ABT SERS spectra for the concentrations of 10⁻⁴, 10⁻⁵, and 10⁻⁶ mol L⁻¹ (red areas in the Raman maps, R is a representative spectrum (Figure S1 of the Supplementary Information)). However, decreasing the 4-ABT concentration the Raman signal of the b_2 mode becomes increasingly rare, and it was observed only in few SERS spectrum for the more diluted solution.

As have been reported,³⁶⁻³⁸ the 4-ABT vibrational modes with a_1 symmetry are enhanced through the EM effect, whereas the vibrational modes with b_2 symmetry are enhanced through the CT effect.³⁶⁻³⁸ As an example,

calculating the intensity ratio between the modes b₂ (at 1139 cm⁻¹) and a₁ (at 1078 cm⁻¹) for all SERS spectra in the Raman maps, it is possible to demonstrate the presence and contribution of each effect. These values are drastically affected by the concentration of 4-ABT solution, as shown in Figure 5. For more concentrate solution the intensity ratio values are homogenously distributed around 1 (Figure 5a). However, for the more diluted solutions there is a decreasing trend of the intensity ratios below 1. This result indicates that the observation of CT effect is dependent on 4-ABT concentration, and consequently, shows the importance of the EM effect in SERS. For the most diluted solution, the modes b₂ and a₁ are observed only in five Raman spectra (Figure 5e), and its intensity ratio are below 1, indicating a weak contribution of the CT mechanism and low intensity of the band at 1139 cm⁻¹.

Intercalating 4-ABT molecules between AgNP and AuNP aggregates provides a practical way to distinguish between the modes that are dominantly enhanced by either EM or CT mechanism. Also, the contribution of the metallic nanoparticles neighborhood can be explored. For this purpose, we have conduced one controlled experiment where the 4-ABT molecule were intercalated between gold and silver nanoparticles aggregates. The average size of the AgNP employed in this study is ca. 48 nm as shown in Figure S2 of the Supplementary Information, and they are deposited on glass slides forming aggregates, which is very important in SERS. Figure 6a shows the UV-Vis absorption spectrum of the AgNP colloidal solution, where can be observed the typical LSPR band, with maximum at 433 nm, for spherical AgNP. As can be seen in Figure 6a, in the absorption spectrum of 10⁻³ mol L⁻¹ 4-ABT is observed only the band at 299 nm assigned to L_b in Platt's notation.^{39,40} In the UV-Vis absorption spectrum of the AgNP substrate (Figure 6b) is observed only one band at 336 nm. The AgNP LSPR band is not observed for this substrate, suggesting that the amount of silver nanoparticles in the sample was not enough to be detected by UV-Vis. Since the AuNP colloid shows absorption in the UV-Vis range, the band at 336 nm may also be a contribution from AuNP colloid. Unlike, in the UV-Vis absorption spectrum of the AuNP substrate the LSPR band is observed at 540 nm, even when AuNP are deposited on AgNP (substrate AgNP/4-ABT/AuNP). Other interesting observation is that the gold plasmon band is not suppressed when AgNP are deposited on AuNP (substrate AuNP/4-ABT/AgNP) as shown in Figure 6b.

Points-scan energy dispersive X-ray spectroscopy (EDS) was carried out onto the nanoparticles aggregates on substrates surface. For the AgNP substrate the Ag weight percentage is around 25%, and for the substrate

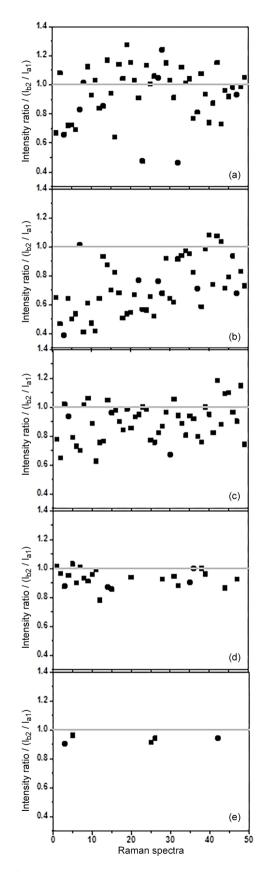


Figure 5. SERS intensity ratio between the modes b_2 and a_1 for all 4-ABT (10⁻⁴-10⁻⁸ mol L⁻¹) SERS spectra in the Raman mapping onto AuNP substrates (a)-(e).



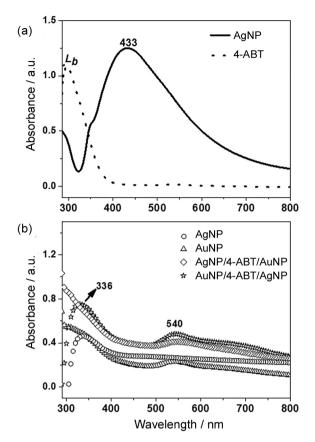


Figure 6. UV-visible absorption spectrum of (a) AgNP colloidal solution and 10^{-3} mol L⁻¹ 4-ABT solution, and of the (b) AgNP and AuNP substrates.

AgNP/4-ABT/AuNP one Ag/Au ratio ca. 1 could be obtained (Figure S3 of the Supplementary Information). For the AuNP substrate the Au weight percentage is around 8%. However, for the substrate AuNP/4-ABT/AgNP the metal weight percentage is 23% for AgNP and 13% for AuNP, resulting in a Ag/Au ratio ca. 1.77 (Figure 3d of the Supplementary Information). Although the presence of AgNP was not detected by UV-Vis analysis, it was detected by EDS. Also, the EDS analyses confirm the presence of both metal on the aggregates structures.

The spectral range of 1200-1050 cm⁻¹ was chosen to observe the behavior of the a_1 and b_2 -type bands located around 1074 and 1142 cm⁻¹, respectively, for the samples where 4-ABT molecules are intercalated between AgNP and AuNP. Raman mapping was performed in a region where is possible to focus on nanoparticles aggregates structure, obtaining 49 Raman spectra for an area of 30 µm × 30 µm. For the AgNP substrate all Raman spectra recorded show the same spectral pattern related to those bands observed and the respective position of them (Figure 7a). In general, the SERS intensity of the b_2 band is higher than the SERS intensity of the a_1 band, showing that the SERS effect is more intense for the b_2 band located around 1142 cm⁻¹. The same behavior is observed for the substrate AgNP/4-ABT/AuNP, indicating that there is no significant influence of the AuNP layer on the b₂ band SERS intensity (Figure 7b). Unlike, for the AuNP substrate the SERS intensities of the a₁ band is higher than the SERS intensities of the b_2 band, indicating that when 4-ABT molecules are attached on AuNP the SERS effect is more intense on the a₁ band (Figure 8a). However, for the substrate AuNP/4-ABT/AgNP the SERS effect is opposite of the AuNP substrate; there is an inversion in the SERS intensities between the a₁ and b₂ bands (Figure 8b). These results indicate that the AgNP layer exerts strong influence on SERS intensity of the b₂ band than the AuNP. The large intensity effect of AgNP neighborhood on b₂ band is a strong evidence of the presence of CT mechanism, as reported by Uetsuki et al.,²⁴ which is operating together with the EM mechanism.

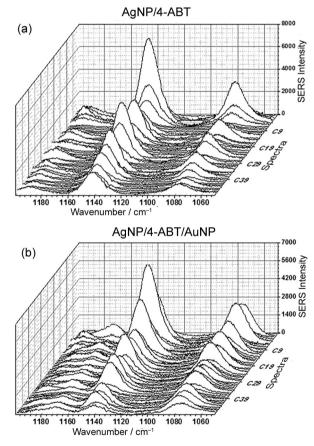


Figure 7. SERS spectra of the (a) AgNP/4-ABT substrate and of the (b) AgNP/4-ABT/AuNP substrate.

For a better understanding the changes in the a_1 and b_2 bands intensity as a function of the presence of AgNP and/or AuNP, the intensity ratios between the modes b_2 at 1142 cm⁻¹ (enhanced through CT mechanism) and a_1 at 1074 cm⁻¹ (enhanced through EM mechanism) were calculated and are plotted in Figure 9.

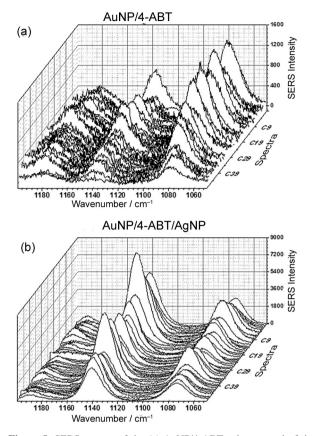


Figure 8. SERS spectra of the (a) AuNP/4-ABT substrate and of the (b) AuNP/4-ABT/AgNP substrate.

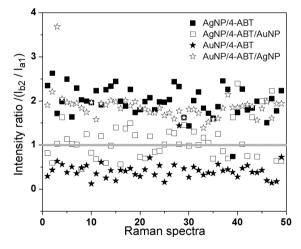


Figure 9. SERS intensity ratio between the modes b_2 and a_1 for the substrates AgNP/4-ABT, AgNP/4-ABT/AuNP, AuNP/4-ABT and AuNP/4-ABT/AgNP.

Among 49 SERS spectra collected for the AgNP substrate 48 SERS intensity ratio are above 1. However, for the sample AgNP/4-ABT/AuNP is observed a decrease of intensity ratio, resulting in intensity ratio values around 1, as can be observed in Figure 9. This result indicates that the presence of AuNP creates large localized electromagnetic field between the nanoparticles aggregates, where the 4-ABT

molecules are intercalated. Consequently, the EM effect is resulted of the contribution of both Ag and Au nanoparticles, increasing the intensity of the a₁ mode at 1074 cm⁻¹. Also, this result suggests that AuNP layer does not contribute to CT mechanism or this contribution should be very low. This conclusion appears to be true after the analysis of the intensity ratio for the AuNP substrate. As can be observed in Figure 9, all intensity ratio values for this substrate are below 1, showing the dominant effect of the EM mechanism. The CT effect is favored after adding AgNP on AuNP/4-ABT. In other words, for the sample AuNP/4-ABT/AgNP the b_2/a_1 intensity ratios are above 1, indicating a strong contribution of the AgNP for the CT mechanism. As already know in SERS literature, the CE mechanism is much less intense than EM mechanism, and both are dependent on nanoparticles size.^{41,42} Since the as-prepared AuNP are smaller than the as-prepared AgNP, this explain the lowest contribution of the AuNP to CT mechanism when comparing with the contribution of the AgNP.

Conclusions

This work has provided two practical experiments for studying the effects of 4-ABT concentration and Ag or Au nanoparticles neighborhood on 4-ABT SERS response. One experiment consists of varying the 4-ABT solution concentration which is dropped on AuNP substrates, where was observed a trend between the 4-ABT concentration and the intensities of the b₂-type bands. Varying the 4-ABT solution concentration from 10⁻⁴ to 10⁻⁸ mol L⁻¹ leads to a progressive decrease of the b₂-type bands intensities, showing a direct dependence of the quantity of 4-ABT molecules on CT mechanism. The dilution effect is less evident on a1 modes, which are enhanced through the EM mechanism. Another experiment consists of introducing 4-ABT molecules between AgNP and AuNP, where was possible to investigate the effect of the nanoparticles neighborhood on a_1 and b_2 -type bands intensities. The a_1 mode located around 1074 cm⁻¹ and the b₂ mode located around 1142 cm⁻¹ are strongly enhanced in the presence of AgNP than in the presence of AuNP, which can be associated with the CT and EM mechanisms. However, in the intercalated samples the contribution of AuNP for CT mechanisms is very low or does not exist, observing only a gain of intensity of the mode a_1 which is enhanced by the EM effect.

Supplementary Information

Supplementary information is available free of charge at http://jbcs.sbq.org.br as PDF file.

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