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Ti-6Al-4V alloy is employed in implants because of its good mechanical strength, excellent biocompatibility, and good resistance to corrosion in biological environments. Herein, a composite (HAp-TiO₂, 50% by volume of both components) coating surface employing Ti-6Al-4V was characterized. Samples were analyzed post fabrication and post heat treatment to analyze the coating recrystallization, phases, crystallinity, porosity, and roughness. The coating showed rutile crystalline and amorphous Hap phases with crystallinity, porosity, and roughness of 55.6%, 13.6 \pm 1.0%, and 4.2 \pm 0.6 μ m; whereas, after heat treatment, it showed a rutile hydroxyapatite phase and β -TCP with values 75.6%, 13.9 \pm 1.9%, and 3.8 \pm 0.2 μ m, respectively. The composite exhibited 874 \pm 26 HV₁₀₀ hardness and 30 \pm 2 MPa adhesion strength after heat treatment, which agree with previously reported data on other bioactive coatings. Therefore, this composite becomes much more crystalline after heating at 750 °C for 1 h.

Keywords: Hydroxyapatite-titanium oxide coating, Ti-6Al-4V alloy, plasma thermal spraying, surface characterization.

1. Introduction

Metallic materials have been used in implants to replace or repair human body parts over the last few decades. This is mainly due to two factors: higher life expectancy and a larger number of accidents involving means of transportation and extreme sports¹.

Titanium alloys have been used as implant materials because of their desirable characteristics such as a low specific mass (as compared to stainless steel and cobalt-chromium alloys), high resistance to biocorrosion, biocompatibility, and outstanding mechanical properties. Titanium and its alloys stand out among the main metallic alloys typically used in implants, mainly the alpha-beta alloys with high mechanical strength such as Ti-6Al-4V (ASTM F67 and F136 or Ti-6Al-4V ELI). These alloys are now widely used for a number of clinical applications^{2,3}.

One of the limitations of this alloy is the potential release of Al and V ions into the human body, because these ions can eventually cause long-term health issues¹⁻³. Recent research has focused on finding ways to prevent the release of these alloying elements and to increase the osseointegration of these metal alloys³⁻⁶. Coating these alloys with bioactive ceramics is a common practice in orthopedics and dentistry, because it combines high mechanical strength, corrosion resistance, and ease of manufacturing metal implants with enhanced biocompatibility associated with bioactive ceramic films such as hydroxyapatite (HAp)¹⁻³.

Coatings are applied to metal substrates through various methods such as sol-gel⁷, biomimetic¹, electrolytic¹, sputtering ion coating¹, physical vapor deposition⁸, and plasma thermal spray methods⁹⁻¹¹. The plasma spray process has the best chemical control, resistance to biocorrosion, and process efficiency among the aforementioned methods⁹⁻¹¹.

The main concerns regarding the use of hydroxyapatite as a coating are related to substrate/coating interface instability (adhesion) and coating longevity in the physiological environment; studies have only assessed the coating efficiency over a short term (maximum of three years)^{10,12}.

Therefore, recent studies have sought to develop bioactive ceramic coatings with improved adhesion between the coating and metal implants to thus increase the service life of implants^{6,9,10,11-14}.

The aim of the present study was to characterize the surface of 50% - 50% by volume HAp-TiO₂ coatings applied to the Ti-6Al-4V alloy by plasma thermal spraying.

2. Materials and Methods

2.1 Hydroxyapatite Powder (HAp)

The hydroxyapatite (HAp) used in this work was kindly provided by Inside Materiais Avançados Ltda., Belo Horizonte County, Minas Gerais State, Brazil. X-ray fluorescence assays (XRF) were conducted to identify the chemical composition in the aforementioned powder. The XRF assay was performed with the Rigaku RIX 3100 X-ray fluorescence spectrometer in the Materials Engineering Department (DEMA) at the Unicamp Mechanical Engineering School (FEM) - Campinas County, São Paulo State, Brazil. The XRF assay results are presented in Table 1.

Table 1. XRF data of the as-received hydroxyapatite powder.

Mass quantity (%)
45.428
37.168
17.018
0.131
0.083
0.051
0.045
0.022
0.019
0.017
0.013
0.005

The hydroxyapatite used in this work had a mean particle diameter of $8.67 \pm 0.14 \ \mu m$ and $D_{_{90}} = 17.34 \pm 0.58 \ \mu m$.

2.2 Titanium oxide (TiO)

The titanium oxide used was Metco 102 (Sulzer Metco), which was kindly provided by the Mechanical Engineering School of Unicamp. This titanium oxide is intended for plasma spraying applications, and its particle size ranges from 7.8 to 88 μ m (nominal value). The TiO₂ was characterized by XRF, particle size analysis, morphology, and scanning electron microscopy (SEM) prior to plasma spraying. The observed characteristics of TiO₂ are presented in Table 2.

Powder granulometry is one of many variables that influences the quality of the plasma-sprayed coating due to the high heat extraction that is inherent to plasma thermal spraying. Very fine particles, of the order of 10^6 °C/s, can solidify before they touch the substrate. These particles are

Table 2. Characteristics of the titanium oxide powder (Metco 102).

Characteristic	Quantity/Quality
TiO ₂ chemical composition	99%
Particle size	$\begin{array}{c} 27.7 \pm 0.89 \ \mu m \ (on \ average) \\ D_{90} {=} \ 47.97 \pm 0.41 \ \mu m \end{array}$
Morphology	Angular

then incorporated and encapsulated by the lamellar structure of the coating after they solidify, leading to a possible drop in the adhesion strength of the coating¹¹. Therefore, it is recommended to use powders with a particle size ranging from 20 to $100 \ \mu m^{16}$. However, issues concerning the incorporation of solid particles by the coating can be addressed by adjusting operational variables such as the distance from the torch to the substrate, primary/secondary gas flow, and electric current in the plasma.

2.3 Ti-6Al-4V alloy

The Ti-6Al-4V alloy substrate was manufactured by the National Institute of Science and Technology in Biofabrication (BIOFABRIS - Brazil) by direct metal laser sintering (DMLS). The substrate had a diameter of 25.4 mm and height of 4.0 mm.

The chemical composition data obtained by XRF were provided by the alloy powder supplier as well as the ASTM F136 standard (which specifies the Ti-6Al-4V composition for application in surgical implants), and are presented in Table 3.

Table 3. Chemical composition of Ti-6Al-4V.

C	Chemical composition (%)		
Source -	Ti	Al	V
ASTM F136	Balance	5.5 - 6.75	3.5 - 4.5
XRF	Balance	5.9	4.2

2.4 Determination of the composition of the HAp-TiO, composite

Initially, the composition HAp-TiO₂ was chosen because it was expected to adhere well to the metallic substrate. The ratio between Hap and TiO₂ took into account the variation between the coefficient of thermal expansion of the coating and that of the substrate. Equations (6.8) and (6.9) by Ashby and Jones were applied for the elasticity modulus, whereas Equations [1] and [2] by Garmong and Shepard were applied for the elasticity modulus¹⁷:

$$\alpha_{cu} = f \cdot \alpha_{HAp} + f \cdot \alpha_{TiO_2} \tag{1}$$

$$\alpha_{cl} = \frac{1}{\left(\frac{f}{\alpha_{HAp}} + \frac{f}{\alpha_{TiO_2}}\right)} \tag{2}$$

where α is the coefficient of thermal expansion, indices cu and cl represent the upper and lower limits of the composite, HAp represents hydroxyapatite, TiO_2 represents titanium oxide, and f represents the volume fraction of the assessed material. The coefficient of thermal expansion and density of hydroxyapatite and titanium oxide are presented in Table 4.

 Table 4. Thermal expansion coefficient and density of hydroxyapatite and titanium oxide.

Material	Coefficient of thermal expansion (°C ⁻¹)	Specific gravity (g/cm ³)
Ti-6Al-4V	9.2.10-6 [18]	4.43 [18]
TiO ₂ rutile	7.1.10-6 [19]	4.20 [20]
HAp	11.10-6 [21]	3.16 [21]

2.5 Thermal plasma spraying process

The HAp-TiO₂ composite was mixed by tumbling. Prior to coating, the substrate specimens were prepared by grinding with coarse alumina (Al₂O₃) particles (grain size of 60 mesh at 75 psi pressure, 90° angle, and grinding time 30 s) in order to improve substrate roughness, eliminate possible oxides that can influence coating adhesion, and facilitate mechanical anchoring of the HAp-TiO₂ composite. The specimens were cleaned with compressed air after blasting to remove loose alumina from the blasted surface and to prepare them for thermal spraying.

The composite was sprayed onto the Ti-6Al-4V substrate using a plasma thermal spray gun (9MBII METCO), and the coatings were manually applied in passes. This pistol model used herein has a radial dust feed, works at low to medium power levels, which can reach a maximum of 40 kW (500 A and 80 V). The operational deposition conditions that were used are presented in Table 5. After the aspersion thermal process, some samples underwent heat treatment at 750 °C for one hour, in order to recrystallize and release stress.

Table 5. Parameters in the thermal plasma spray process.

Variable	Value
Current (A)	400
Voltage (V)	74
H ₂ flow (L/min)	18
Air flow (L/min)	90
Powder flow g/min (L/min)	10
Gun to substrate distance (mm)	150

2.6 Coating characterization

Optical microscopy (OM), SEM, and X-ray diffraction (XRD) were used to characterize the coating. Samples were prepared by sanding, polishing, and etching, as well as sanding with silicon carbide (SiC) meshes with granulates ranging from 220 to 1200. Next, mechanical polishing was performed using a nylon cloth soaked in 1 μ m granulation diamond paste, followed by washing with acetone in an ultrasonic bath for 20 min. Finally, the Keller's reaction was performed. The diffractograms presented herein were collected with a voltage of 40 kV and a current of 10 mA in steps of 0.05° over an observation range of 20° $\leq 2\theta \leq 90^\circ$.

The crystallinity of the deposited coatings was assessed with Difract Suite Eva® 2010 software, version 1.3, which automatically calculated the crystallinity by simply selecting the boundary between the typical broad peak of an amorphous pattern and the discrete diffraction peaks. The crystallinity index that was calculated by the software is based on Equation (3), wherein A_{cris} is the area of the crystalline peaks of the coating, because the XRD background represents the amorphous pattern area.

$$I_c = \left(\frac{A_{cris}}{A_{cris} + A_{amor}}\right) \cdot 100\% \tag{3}$$

The coating roughness and porosity were evaluated to assess the physical properties of the coating. Porosity images were recorded with OM and captured with a digital camera coupled to the microscope. Next, these images were edited in the Adobe Photoshop® CS2 software to increase the contrast between the coating and pores. The porosity was assessed with a GSA (Semi-Automatic Granulometer) Image Analyzer. This software operates by counting pores at the intersection of lines that are pre-established by the analyzer.

2.7 Hardness tests

Coating hardness was assessed with the micro-hardness method according to the Vickers scale. A Shimadzu hardness tester, model HMV-2000, was used for the analysis at a 100-g load for 15 s. Five measurements were performed per sample, and the mean coating hardness of these measurements was considered.

2.8 Adhesion tests

The adhesion test was performed according to the ASTM C633-08 standard. Ten cylindrical specimens with a diameter of 25.4 mm and a length of 25.4 mm, as well as a self-aligning device, were produced. The self-aligning device had two degrees of freedom in order to avoid shear stress during the test. The device shown in Figure 1 was manufactured according to ASTM C633-08 recommendations. High-adhesion Scotch-Weld 2214 3M epoxy resin was used to bond the two metallic cylindrical pieces.

The set was drawn into an EMIC conventional tensile testing machine with a 100 kN capacity. A 100 kN load cell was used in the study and the deformation rate was found to be 0.02 mm/s.



Figure 1. Fixing device used in the adhesion test. Adapted from ASTM C633-08.

3. Results and Discussion

3.1 Determination of volumetric composition of the composite

The plot in Figure 2 illustrates the influence of titanium oxide addition on the thermal expansion coefficient of the formed composite by applying the values shown in Table 3 to Equations (1) and (2).



Figure 2. Influence of TiO_2 on the thermal expansion coefficient of the HAp-TiO₂ composite.

Figure 2 shows that the addition of titanium oxide to hydroxyapatite reduced the thermal expansion coefficient of the formed composite. The range of TiO_2 volume varied from 47% to 57% near the thermal expansion coefficient of the substrate (Ti-6Al-4V), which was $9.2.10^{-6} \,^{\circ}\text{C}^{-1}$. A composition with a higher volumetric fraction of hydroxyapatite was chosen for better composite biocompatibility and lesser difference in thermal expansion between the substrate and coating. This is because hydroxyapatite is a bio-active material whereas titanium oxide is bioinert^{14,22}.

3.2 Characterization of ceramic powder before deposition

Figure 3 shows the morphology of the ceramic powders used in the present study, which exhibits granulometric dispersion as well as natural agglomeration of the particles. Pawlowski²³ emphasized the importance of assessing the powders through SEM, since information about particle agglomeration is not recorded in the laser light scattering (LLS) process; accordingly, the two trials were performed. Titanium oxide, on the other hand, had an angular morphology with a well-defined shape and size.

3.3 Microstructural assessment and coating crystallinity

Microscopical analysis of the coatings formed through thermal spraying allowed for verification of several important structural features such as thickness and coating adhesion to the substrate. The thickness of the deposited coating was uniform and observed to be $66.7 \pm 1.8 \mu m$.

Complete overlap between the parts was observed in the substrate-coating interaction, without voids or cracks on the interface. There were fine lines parallel to the substrate in the structure, which indicates that the coating was formed by lamellar deposition, and also signifies the possible presence of diffusion processes that resulted in better coating adhesion (Figure 4 (a)).

Figure 4 (b) shows the microstructure formed by the flattened, solidified HAp-TiO₂ droplets which were arranged in successive layers forming the coating. It also shows the addition of well-scattered lamellae, which suggests a higher composite plasticity state at the moment they reach the surface. The mechanism responsible for film formation is triggered by the accumulation of successive layers of bioceramic powder droplets that existed in the plasma torch environment. Finally, these droplets were launched against the substrate (at a speed in excess of 400 m/s) which resulted in the liquid coating. The coating was flattened and experienced partial elastic recoil at the moment of impact, subsequently solidifying to form the lamellar microstructure¹⁵.

The coating phases are shown in Figure 5 (a). Only peaks characteristic of the high-crystallinity rutile phase were observed before heat treatment. There were no expected Hap peaks or peaks in phases derived from hydroxyapatite decomposition. Amorphous poplars at angles 29°, 32°, 33°, 34°, and 39° were observed, and these angles correspond with the angles where Hap peaks are expected; this indicates a low crystallinity index in the Hap portion of the composite.

The distinct behavior that was observed in the two ceramics after the thermal process in relation to crystallinity seems to be associated with the difference in the lattice parameter of the HA phase (a = 9.4 Å), which was significantly higher than that of TiO₂ (4.6 Å). Since TiO₂ has lower network parameter, it also has a lower tendency than HAp to become amorphous, due to the higher network parameter of HAp as compared with that of titanium oxide.

However, in addition to β -TCP formation and the presence of rutile, hydroxyapatite recrystallization was observed after the thermal treatment (Figure 4 (b)). No phase was formed from the reaction between HAp and TiO₂.

Thus, there was a greater amount of the amorphous phase after deposition without heat treatment. In quantitative terms, the crystallinity under the deposited condition was 55.6%, whereas that after heat treatment was 75.6%.



Figure 3. Ceramic morphology assessment. (a) Titanium oxide powder; and (b) Hydroxyapatite powder.



(a)

(b)

Figure 4. Micrographs of Ti-6Al-4V alloy (a) substrate/coating interaction; amorphous and crystalline HAp-TiO₂ phases after plasma spraying (500X), without etching (optical microscopy); (b) SEM image of the morphological aspect of the coating surface with splash (white arrows), and the resulting lamellae as a result of molten projection or semi-cast droplets (1000X), without etching.



Figure 5. Diffractogram of HAp-TiO₂ composite showing (a) the phases before the heat treatment; (b) the phases after the heat treatment.

3.4 Physical properties of coating

The rough surface on Ti-6Al-4V was necessary to impart good mechanical anchoring of HAp-TiO₂ to the substrate. Roughness was generated by an abrasive alumina blasting process. Table 6 presents the surface roughness of the substrate and ceramic coating.

Table 6. Surface roughness of substrate and ceramic coating

Material	Roughness RA (µm)
Substrate after blasting	$4.2\pm0.6~\mu m$
Coating	$3.8\pm0.2\;\mu m$

The substrate and coating roughness were similar, because the ceramic-composite deposition resulted in successive lamellar layers. Apart from promoting good mechanical coating anchoring, the substrate roughness promoted roughness in the coating. Surface roughness is known to have a significant positive influence on bone growth²⁴.

Our results corroborate preliminary studies²⁵; 1) An HAp coating roughness of $3.8 \pm 0.4 \,\mu\text{m}$ was recorded for a blasted surface and $4.23 \pm 0.46 \,\mu\text{m}$ for the coatings, 2) a TiO₂ film roughness of $2.8 \pm 0.6 \,\mu\text{m}$ was recorded for a blasted surface, and 3) $4.6 \pm 0.4 \,\mu\text{m}$ for the coatings. All the cited authors used plasma thermal spraying for coating deposition²⁶.

The results for coating porosity are presented in Table 7. These values are similar to those recorded for the porosity of HAp coatings²⁷. Lower porosity levels are a desirable property for coatings, because low porosity is known to minimize toxic metal ion dissolution and release from the substrate into the human body²⁷.

Table 7. Coating porosity data.

Material	Porosity (%)
As deposited	13.6 ± 1.0
After heat treatment	13.9 ± 1.9

Porosity levels close to those recorded in this study have been reported in the literature. Khor et al.²⁹ studied HAp coatings on Ti-6Al-4V alloys, and reported a coating porosity of 19%. Sun et al.³⁰ investigated the microstructure, structure, and phases of different HA coatings, and obtained coating at a porosity of up to 12%. The aforementioned authors attributed this result to the low power of the plasma equipment used in the study (27.5 kW). The power of the equipment was increased to 42.0 kW, which reduced the porosity level to 7%. The explanation for the porosity reduction to 7% is related to the incorporation of unfused particles, which decreases the coating porosity level. A plasma power of 28.8 kW was used in the present study and may have contributed to the observed increase in porosity.

3.5 Hardness

The developed composite exhibited a hardness of $523 \pm 10 \text{ HV}_{100}$ before and $874 \pm 26 \text{ HV}_{100}$ after the heat treatment.

The higher hardness recorded after heat treatment is due to the enhanced crystallinity of the coating. A comparison with previous studies showed that the addition of titanium oxide to hydroxyapatite provided a significant enhancement in coating hardness, as shown in Table 8. The authors therein used deposition variables similar to those used in the present study, including the same metallic substrate.

3.6 Adhesion strength

Tensile tests were performed to analyze the adhesion strength of the coating. The composite showed adhesion strength of 16.6 ± 2.0 MPa prior to heat treatment and 30.0 ± 2.0 MPa after thermal treatment. The coating herein presented better adherence than previously reported coatings which contained only hydroxyapatite. Table 9 presents the results from preliminary studies conducted over the last ten years.

A mixed fracture, indicative of adhesive failure of the coating, was observed in the non-heat-treated coatings. However, cohesive failure was also observed in the adhesive. Figure 6 (a) shows the fracture; the region representing the failed adhesive is labeled with the letter A whereas that representing cohesive failure in the coating is labeled with the letter B. A fracture showing predominantly cohesive failure in the adhesive failure between the coating and substrate, was observed in the specimens subjected to thermal treatment. Figure 6 (b) shows the adhesive failure region marked by the letter A.

Coating material	Hardness (HV)	Reference	
HAp rich coating	234.5	[27]	
TiO ₂ rich coating	363.9	[27]	
HAp coating	366	[29]	
HAp coating	320	[30]	

Table 8. Hardness of HAp-TiO₂ compared to values reported in the literature.

Table 9. Adhesion strength of HAp-TiO₂ compared to those reported in the literature

Substrate	Coating	Properties	References
Titanium	HAp	HAp dense coating, adhesion strength 20 MPa	[31]
Ti-6Al-4V alloy	HAp	Adhesion strength ranging from 16 to 25 MPa	[32]
Ti-6Al-4V alloy	YSZ/HAp	Hydroxyapatite stabilized through YSZ resulted in adhesion strength ranging from 23 to 30 MPa	[28]
Ti-6Al-4V alloy	HAp	Coating adhesion 24.5 MPa	[33]
Ti-6Al-4V alloy	НАр	Plasma spray deposition by using the C633 standard led to adhesion strength ranging from 2.1 to 9.2 MPa	[34]
Ti-6Al-4V alloy	НАр	Bonding strength varying from 2.10 to 9.18 MPa with Hap sprayed on various temperature substrates and by using different cooling media	[35]
Ti-6Al-4V alloy	TiO ₂ /HAp	Alternating TiO ₂ and HA layers, with adhesion strength ranging from 14.5 to 17.3 MPa	[36]
Ti-6Al-4V	HAp	Coating thickness 400 mm, adhesion strength ranging from 12 to 16 MPa	[8]



Figure 6. (a) Non-heat-treated coating after failure; (b) Heat-treated coating after failure.

4. Conclusions

The best range for the HAp-TiO, composition is between 47% and 57% for the addition of TiO, to hydroxyapatite. This is so that the coefficient of linear thermal expansion of the composite is close to that of the Ti-6Al-4V alloy substrate. Thus, the HAp-TiO₂ composite with 50% by volume of both components was chosen because it displayed the crystalline phase of amorphous TiO, and HAp after spraying. Moreover, the rutile, recrystallized hydroxyapatite and β-TCP phases after thermal treatment showed no phases resulting from the reaction between hydroxyapatite and titanium oxide. Without heat treatment, 55.6% crystallinity was observed in the as-deposited specimen, whereas 75.6% crystallinity was observed after the heat treatment. Thus, heat treatment enabled an approximately 36% increase in crystallinity in the composite. There was no significant variation in roughness and porosity, which indicates that these properties were not influenced by the heat treatment. The composite had a HV_{100} hardness of 874 \pm 26 and adhesion strength of 30.0 \pm 2.0 MPa after the heat treatment. Both these values are higher than those described in the literature.

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