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## Chronic myeloid leukemia (CML): prognostic factors and survival analysis

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The prognostic value of different factors upon diagnosis of CML was analysed in 45 Philadelphia (Ph1)-positive patients. The median survival was 48 months. Univariate analysis showed 5 poor prognostic factors (male sex, under 45 years-old, bone marrow blasts greater than or equal to 10 percent, blood basophils greater than or equal to 6 percent and blood eosinophils greater than or equal to 6 percent) which provided for the development of a clinical staging system: Stage I with none or one factor and a two-year survival rate of 100 percent; Stage II with two or three factors and two-year survival of 72.2 percent; and Stage III with four or five factors and two-year survival of 0 percent ( $p = 0.00016$ ). Multivariate survival analysis showed that combination of blood basophilia and bone marrow blasts had the strongest predictive relationship to survival time. We conclude that a combination of pretreatment factors identifies different risk subcategories in CML patients and is helpful in assessing the overall prognosis and the treatment approach.

**UNITERMS:** Chronic Myeloid Leukemia. Philadelphia Chromosome. Prognosis.

### INTRODUCTION

The analysis of prognostic factors has allowed for the elaboration of staging systems in order to plan for the treatment<sup>2,17</sup> of several hematologic diseases. In CML, these studies have been used to guide the best therapeutic approach in each case, such as bone marrow transplantation, which should be implemented as soon as possible during the chronic phase in young patients with a compatible donor.

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### OBJECTIVE

This study seeks to analyze the prognostic significance of different features detected at diagnosis in a series of CML patients from a public Brazilian hospital, in order to determine if the prognostic factors of this population were different from well-established factors detected in studies performed in other countries.

### MATERIAL AND METHODS

We are reporting on the analysis of 45 adult Ph1-positive CML patients in the chronic phase who were admitted to our hospital between September 1977 and

January 1993. The follow-up period varied from 1 to 184 months. There were 7 (15.5 percent) patients with a follow-up of less than 1 year, and 3 (6.7 percent) with less than 6 months. The diagnosis of CML was based on conventional criteria. The karyotype was evaluated by usual methods with G banding and classified according to ISCN (1985, 1991). The characterization of blast crisis was made from the analysis of bone marrow aspiration (more than 30 percent of blasts), and the type of crisis was determined by cytochemistry and immunophenotyping methods. Patients in the chronic or accelerated phase were treated with busulfan or hydroxyurea. Lymphoid blast crisis was treated with vincristine, daunorubicin and prednisone, and myeloid blast crisis with daunorubicin and cytosine arabinoside, until patients returned to the chronic phase when busulfan or hydroxyurea was restarted. At diagnosis, the following clinical and hematological data were recorded and evaluated for prognosis: 1) age, sex, race, spleen and liver size; 2) peripheral blood features: hemoglobin concentration (Hb), platelet counts, white blood cell counts (WBC) with differential counts; and blood erythroblast percentage; 3) bone marrow aspiration features: blast cells percentage and myeloid/erythroid (M/E) ratio; 4) bone marrow biopsy features: granulocytic proliferation (Gran) or granulocytic plus megakaryocytic proliferation (Gran/Meg), eosinophilia, presence of blast cells, fibrosis and megakaryocyte morphology<sup>10</sup>. For patients who discontinued follow-up sometime during this study, the survival period was defined as the date when the patient was last seen; for patients who died, the survival period was defined as the date of death; for patients who were alive in May 1993, the survival period was considered to be until that date.

For univariate analysis, the cut-off level of each quantitative variable was established based on those commonly found in the literature data. In some cases, this was established by chance, until "p" values near 5 percent were found. The different categories of qualitative variables, such as sex, race and bone marrow histology, were compared to each other. Actuarial survival probability curves were plotted according to

Kaplan and Meyer's method<sup>12</sup>. Different curves were statistically compared using the Cox-Mantel (log rank) or the generalized Wilcoxon Test<sup>13</sup>. The staging system was derived from univariate analysis using the variables associated with bad prognosis. Thus, the low-risk or Stage I group consisted of patients with zero or one factor; the intermediate-risk group or Stage II consisted of 2 or 3 factors and the high-risk group, 4 or 5 factors. Using the Cox model<sup>4</sup>, we performed a multivariate analysis of all variables previously selected by univariate analysis, which were then put into a single equation to determine the variables which were primarily important to prognosis and those which were only secondary factors.

## RESULTS

There were 25 (55.6 percent) males and 20 (44.4 percent) females. The median age was 42 (ranging from 15-77). There were 25 (55.6 percent) white patients, 18 (40.0 percent) were black, and 2 (4.4 percent) were Asian. The median survival was 48 months (Fig. 1). At the time the analysis was conducted in May 1993, 15 (33.4 percent) patients had died, 23 (51.1 percent) were alive and 7 (15.5 percent) had discontinued follow-up some time after diagnosis. Of the 23 living patients, 18 (78.3 percent) were in the chronic phase, 3 (13.0 percent) in the accelerated phase, and 2 (8.7 percent) were alive after blastic phase.

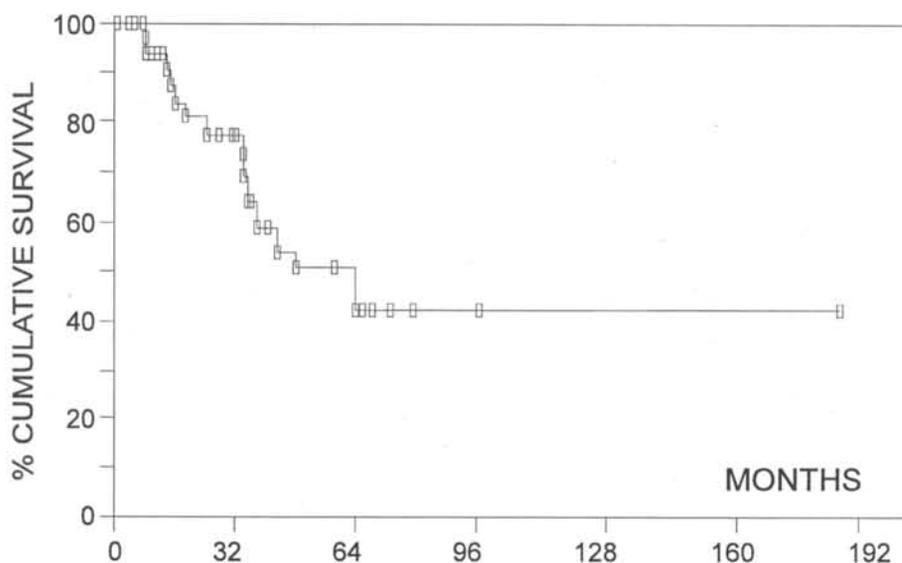


Figure 1 - General survival of 45 CML Ph1-positive patients.

**Table 1**  
**Results of the univariate analysis**

Factor	N	p	
SEX	male	25	0.04664*
	female	20	
AGE	<45 yr	24	0.00783*
	>=45yr	21	
	<60 yr	33	
	>=60yr	12	
RACE	white	25	0.73522
	black	18	
SPLEEN0-10 cm		19	0.07330
	> 10 cm	23	
LIVER	normal	17	0.52875
	enlarged	26	
Hb(FEMALE) < 12g%		15	0.63783
	>=12g%	04	
Hb(MALE) < 13g%		23	0.00783*
	>=13g%	00	
WBC < 100X10 <sup>9</sup> /l		16	0.39870
	>=100X10 <sup>9</sup> /l	26	
PLATELET < 400X10 <sup>9</sup> /l		27	0.15681
	>=400X10 <sup>9</sup> /l	14	
	150<x<500X10 <sup>9</sup> /l	11	
	<150 or >500	30	
ERYTHROBLASTS	0%	34	0.39574
	>0%	11	
PERIPHERAL BLASTS	0 - 1	22	0.56602
	> 1	20	
	<5%	35	
	>=5%	07	
	<10%	40	
	>=10%	02	
BM BLASTS	< 10%	38	0.00121*
	>=10%	02	
BLOOD BASOPHILS	< 6%	32	0.00010*
	>=6%	12	
BLOOD EOSINOPHILS	< 6%	32	0.02938*
	>=6%	10	
M/E RATIO <20		17	0.70445
	>=20	15	
	<30	22	
	>=30	10	
BM GRAN		12	0.74759
	GRAN/MEG	16	
FIBROSIS 0/+		09	0.42116
	++/+++	19	

OBS: N = number of patients; \* = p<0.05; Hb = hemoglobin; BM = bone marrow; M/E = myeloid/erythroid

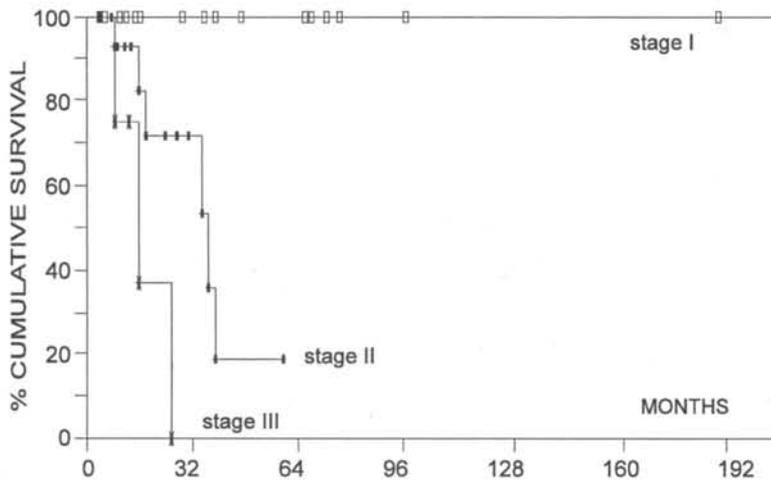
Amongst all the patients, the number of blast crises was 16, with 2 (12.5 percent) patients still alive and 14 (87.5 percent) dead. There were 6 (37.5 percent) lymphoid blast crises, 9 (56.3 percent) myeloid blast crises and 1 (6.2 percent) unclassified. The mean survival of all blast crises was 4.5 months, with 6.2 months for lymphoid and 4.1 months for myeloid, but this difference was not significant ( $p = 0.22285$ ). Death was due to blastic transformation in 14 (93.3 percent) cases, and in 1 patient (6.7 percent), to other complications. The univariate analysis demonstrated 5 variables that seemed to be associated with poor prognosis ( $p < 0.05$ ): male sex ( $p = 0.04664$ ), aged < 45 ( $p = 0.00783$ ); bone marrow blasts greater than or equal to 10 percent ( $p = 0.00121$ ); blood basophils greater than or equal to 6 percent ( $p = 0.00010$ ); and blood eosinophils greater than or equal to 6 percent ( $p = 0.02938$ ). The other variables, such as spleen size, liver size, hemoglobin level, white blood cell counts, platelet counts, peripheral blasts, circulating erythroblasts, M/E ratio and bone marrow features (Gran or Gran/Meg and fibrosis), were not statistically significant at the different cut-off levels tested (Table 1).

The staging system, derived from the univariate analysis, permitted the population to be divided into 3 groups: Stage I with 19 (42.2 percent) patients, Stage II with 16 (35.5 percent) and Stage III with 4 (8.8 percent). Six (13.3 percent) patients were excluded due to lack of data on one or more selected variables. The death rate was 0.0 percent (0/19) for Stage I, 37.5 percent (6/16) for Stage II, and 75.5 percent (3/4) for Stage III, and this difference was significant ( $p = 0.00016$ ) (Fig. 2). Multivariate analysis was performed on 38 patients who had data for 5 variables selected by univariate analysis and 4 variables of prognostic importance (hemoglobin, spleen, platelet counts and peripheral blast cells). Only two variables were identified as primarily important for prognosis: blood basophils ( $p = 0.004$ ) and bone marrow blasts ( $p = 0.042$ ). The equation derived from multivariate analysis was:

$$RR = \text{EXP}\{0.2936 (\text{BM BL} - 2.58) + 0.2647 (\text{PB BASO} - 4.21)\}$$

RR = relative risk; BM BL = % bone marrow blasts; PB BASO = % peripheral blood basophils; 2.58 = mean of bone marrow blasts (%); 4.21 = mean of blood basophils (%).

Using this analysis, patients were divided into 3 groups: low relative risk (RR) (< 1.0); intermediate RR (1.0 to 10.0); and high RR (> 10.0). The Wilcoxon test showed that there was a significant difference of survival



**Figure 2** - Actuarial survival probability curves of Stages I, II and III, according to the proposed staging system ( $p = 0.00016$ ).

in the 3 groups ( $p = 0.00000$ ) (Fig. 3). The actuarial death rate; 5.1 percent during the first year after diagnosis, 18.1 percent during the second, 23.1 percent during the third, and 18.2 percent during the fourth, achieved a "plateau" after the fifth year.

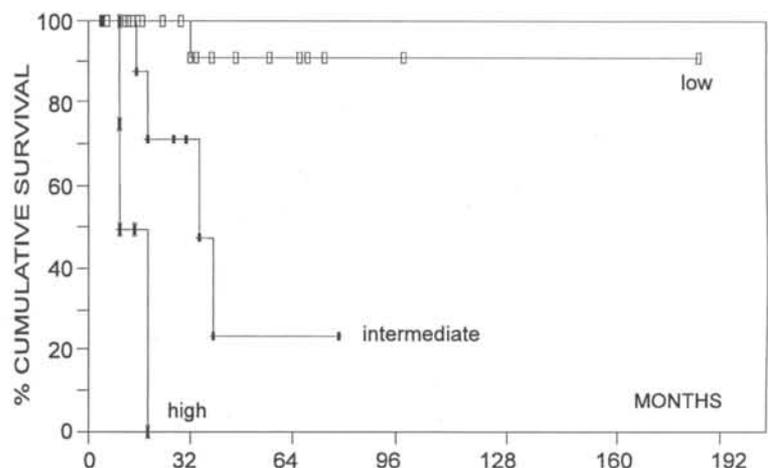
## DISCUSSION

The fatal outcome of CML has stimulated the analysis of prognostic factors. However, besides the absence of the Ph1 chromosome, which leads to a rapid evolution to the blastic phase<sup>2,6,8,10,17,18</sup>, there is no agreement as to the prognostic importance of the many different features at diagnosis.

The median age of 42 in this study was lower than expected<sup>15</sup>. The median survival of 48 months was in accordance with other authors<sup>2,8,10,16</sup>. There was no difference between survival and death rates after the onset of blast crises, although lymphoid blast crises seemed to be more responsive to conventional chemotherapy<sup>9</sup>. Male sex, as described by other authors<sup>10,17</sup>, and age under 45 were associated with an unfavorable prognosis ( $p = 0.04664$  and  $0.00783$ , respectively) in our study. In a worldwide multicentric study, SOKAL et al.<sup>18</sup> described age as a factor with a progressively unfavorable prognosis at higher values.

Therefore, this is the first significant difference between our population and others previously studied. This aspect seems highly

important, because patients under 45 years-old are those referred for bone marrow transplantation. Unfortunately, the multivariate analysis did not confirm age as an important prognostic factor, perhaps due to the small number of patients in this study. As described by others<sup>2,10,11</sup>, bone marrow blasts of 10 percent or more was another finding negatively related to survival in our population ( $p = 0.00121$ ), which might be associated with an early accelerated phase. Marked peripheral basophilia at diagnosis was described as a poor prognostic factor<sup>8,10,11,19</sup>, and was another significant negative factor in our study (6 percent or more) ( $p = 0.00010$ ). Along the same line, eosinophilia which is or is not associated with basophilia has been related to a bad prognosis<sup>8,17,18</sup>, as shown in this report ( $p = 0.02938$ ). Other factors tested in this study such as spleen and liver size, white blood cell counts, platelet counts, peripheral blast cells, blood erythroblasts, M/E ratio and bone marrow aspects (Gran or Gran/Meg and fibrosis) did not significantly affect the prognosis in our population. Some authors have described enlarged spleen<sup>2,10,11,18,20</sup> or liver<sup>2,11,20</sup>, low hemoglobin<sup>10,11</sup>, increased leukocyte counts<sup>8,19</sup>, low or high platelet counts<sup>10,11,20</sup>, high percentages of blasts in peripheral blood<sup>8,18,20</sup>, presence of erythroblasts in peripheral blood<sup>2,17</sup>, high lactic dehydrogenase level<sup>8,17</sup>, high uric acid and an increased M/E ratio<sup>8</sup> as unfavorable factors at diagnosis. SOKAL et al.<sup>18</sup> reported an actuarial death rate of 5-10 percent in



**Figure 3** - Survival of patients with low, intermediate and high relative risk (RR), according to the equation derived from multivariate analysis ( $p = 0.00000$ ).

**Table 2**  
**Number, initials, sex, age (in years), race, spleen size (left rib edge, in centimeters), liver size (right rib edge, in centimeters), % of hemoglobin (Hb), number of white blood cells (WBC) per cubic millimeter, platelets (per cubic millimeter) and erythroblasts (Erythro) in the peripheral blood of the 45 patients.**

Number	Initials	Sex	Age	Race	Spleen	Liver	Hb.	WBC	Platelets	Erythro
1	ADA	M	36	W	20	0	12	25100	750000	0
2	AFN	M	36	W	25	5	6	3200	45000	14
3	AS	M	57	W	5	2	6	140000	126000	0
4	AMMF	M	17	B	30	0	7	36000	200000	0
5	AAA	F	25	B	12	0	10	170000	350000	0
6	BAA	F	15	B	7	2	7	240000	360000	0
7	CMMP	F	72	W	7	0	10	77300	332000	0
8	CSM	F	38	B	25	2	11	96000	380000	0
9	CVS	M	56	B	15	1	13	140000	450000	8
10	CL	F	16	B	15	2	9	502000	730000	0
11	EV	M	57	W	6	0	12	141000	350000	0
12	EDN	M	62	W	8	0	11	68000	150000	0
13	ESC	F	42	W	8	3	12	126800	300000	3
14	ERC	F	64	W	25	0	6	700000	200000	4
15	FLJ	M	33	B	0	1	9	214000	160000	0
16	FBL	F	33	W	20	0	11	400000	240000	1
17	GC	M	64	W	7	5	7	37000	140000	3
18	HMA	F	53	W	20	3	11	150000	210000	3
19	JAS	M	39	B	15	0	12	84000	IGN	0
20	JMG	M	30	W	10	2	12	156000	400000	0
21	JNPF	M	62	W	15	3	9	176000	600000	0
22	JDJT	M	27	W	20	0	7	560000	150000	0
23	LE	M	61	W	20	0	10	190000	260000	0
24	LAS	M	36	W	20	4	IGN	IGN	IGN	0
25	LCNF	M	31	B	10	2	13	122000	260000	1
26	LRP	M	25	W	16	4	11	80000	470000	1
27	MSA	M	27	W	23	4	7	280000	200000	0
28	MRC	M	21	W	18	4	9	192000	500000	0
29	MACA	F	46	B	5	4	11	13500	1000000	2
30	MLNH	F	58	W	10	0	11	180900	350000	0
31	MHS	F	51	W	3	3	12	48000	420000	0
32	MCS	M	26	B	6	0	8	73000	260000	0
33	NP	F	70	W	1	0	11	15500	282000	0
34	OT	F	58	W	10	3	11	15400	350000	0
35	PBB	M	62	W	25	2	11	132900	777000	0
36	PS	F	69	W	12	4	9	276000	595000	0
37	RTY	M	30	A	IGN	IGN	IGN	IGN	IGN	0
38	STM	F	32	B	16	6	9	205800	450000	0
39	YM	M	77	A	4	4	11	58300	110000	0
40	MSS	F	65	B	20	0	10	142000	160000	6
41	MCC	F	42	B	0	0	12	80000	240000	0
42	LGNS	M	27	B	10	0	10	348000	190000	0
43	JFS	F	64	B	IGN	12	16	100000	450000	0
44	EGFR	F	34	B	IGN	IGN	IGN	IGN	IGN	0
45	JFC	M	47	B	30	8	7	700000	550000	0

Legend: M = Male; F = Female; W = White; B = Black; A = Asian; IGN = ignored

Table 3

Patient number (N), % of blasts (BLAST), promyelocyte (PM) and myelocyte (M) in the peripheral blood, % of blasts in the bone marrow (BMB), % of eosinophils (EOSI) and basophils (BASO) in the peripheral blood, bone marrow M/E ratio (M/E), overall survival (OS) in months, post blast crisis survival (PBCS) in months, bone marrow biopsy classification (BMC), degree of fibrosis in bone marrow (FIBRO), score (SCORE) and relative risk (RR)

Nº	BLAST	PM	M	BMB	EOSI	BASO	M/E	OS	PBCS	BMC	FIBRO	SCORE	RR
1	0	0	0	7	2	0	IGN	10	NC	IGN	IGN	II	1,20
2	0	3	0	5	27	4	10	27	NC	G	+	II	1,93
3	2	1	3	5	6	7	13	36	4	G+M	++	II	4,27
4	4	5	15	3	1	8	75	31	10	G+M	+++	II	3,09
5	2	0	29	0	0	0	8	13	NC	G+M	++	II	0,15
6	4	0	9	0	2	2	12	13	NC	G	+	I	0,26
7	4	8	4	0	5	0	IGN	30	NC	IGN	IGN	I	0,15
8	2	5	16	0	5	3	IGN	12	NC	G+M	++	I	0,34
9	2	2	20	0	3	4	5	16	NC	G+M	+	I	0,44
10	5	3	40	1	1	3	IGN	48	NC	G	0	I	0,46
11	3	5	5	1	4	0	19	39	NC	G	++	I	0,21
12	5	14	15	1	4	4	13	97	NC	G+M	+	I	0,60
13	14	29	30	5	7	0	11	59	3	G	0	II	0,67
14	5	6	30	IGN	4	2	IGN	1	NC	IGN	IGN	IGN	IGN
15	0	0	0	2	4	0	10	33	8	IGN	IGN	II	0,28
16	1	8	12	0	3	7	9	24	NC	IGN	IGN	II	0,98
17	0	6	6	IGN	0	0	IGN	48	NC	IGN	IGN	IGN	IGN
18	28	2	5	3	1	2	100	35	NC	G+M	+++	I	0,63
19	8	1	2	12	7	3	11	24	4	G	++	III	IGN
20	0	5	13	10	3	10	9	10	1	IGN	IGN	III	41,00
21	2	1	3	0	2	10	6	34	3	G+M	+++	II	2,10
22	5	2	4	5	5	12	30	10	5	G	++	II	16,00
23	0	0	0	1	22	4	50	13	NC	G+M	++	II	0,60
24	IGN	IGN	IGN	IGN	IGN	IGN	IGN	18	7	IGN	IGN	IGN	IGN
25	0	0	0	1	0	1	IGN	11	NC	G	+	II	0,20
26	0	0	6	0	8	10	32	16	4	G+M	+	III	2,10
27	0	25	3	0	21	26	55	14	NC	G	+	III	150,30
28	0	0	2	1	7	0	23	15	NC	G+M	++	II	0,20
29	0	0	1	1	1	5	IGN	66	NC	G+M	+++	I	0,70
30	0	4	6	1	0	0	39	35	NC	G+M	++	I	0,20
31	0	0	7	0	1	1	18	18	NC	IGN	IGN	I	0,20
32	2	0	5	IGN	1	8	IGN	33	1	IGN	IGN	IGN	IGN
33	0	1	1	0	2	2	IGN	73	NC	IGN	IGN	I	0,20
34	0	1	2	0	4	4	6	184	NC	IGN	IGN	I	0,44
35	1	1	8	8	4	0	21	78	NC	G+M	++	I	1,62
36	4	2	3	2	8	6	20	19	1	G	+++	II	1,36
37	IGN	IGN	IGN	IGN	IGN	IGN	IGN	42	1	IGN	IGN	IGN	IGN
38	4	10	9	5	11	11	31	17	6	IGN	IGN	II	12,38
39	2	0	3	3	0	2	48	68	NC	G	++	I	0,63
40	0	0	1	2	4	0	24	7	NC	G	++	I	0,28
41	0	0	2	1	3	1	22	5	NC	IGN	IGN	I	0,27
42	0	5	14	6	4	1	IGN	5	NC	G+M	+++	II	1,17
43	0	0	0	0	2	0	17	7	NC	G+M	+++	I	0,15
44	IGN	0	0	7	IGN	IGN	36	64	10	IGN	IGN	IGN	IGN
45	0	0	0	4	4	14	10	9	NC	IGN	IGN	II	20,31

Legend: NC = No blastic crisis; IGN = Ignored

a Ph1-positive population during the first year after diagnosis, and 23-28 percent per year during the third to the fifth year. In another study<sup>20</sup>, the rates were 5 percent during the first year after diagnosis, 12 percent during the second and 22,5 percent per year during the next eight years. Our data is compatible: 5.1 percent in the first year, 18.1 percent in the second, 23.1 percent in the third, 18.2 percent in the fourth, and a "plateau" after the fifth year. The staging system proposed here permits our population to be divided into three distinct groups: low, intermediate and high risk, with significant differences between mean survivals and death rates. Along the same line, the multivariate analysis permitted the population to be divided into 3 groups (low, intermediate and high risk groups) with significant difference in survival ( $p = 0.0000$ ) which we believe is more accurate than the

proposed staging system, which provides an equation that only includes prognostic factors with primary importance.

## CONCLUSIONS

We conclude that there is the possibility of identifying some high-risk factors in a CML population with the aim of recognizing patients at a high risk of acceleration so as to schedule bone marrow transplantation as soon as possible. Further studies should be carried out in order to identify other poor prognostic factors in the CML Brazilian population, and to investigate the reason for high death rates among younger patients.

## RESUMO

Avaliamos o valor prognóstico de diferentes fatores, ao diagnóstico, em 45 pacientes com LMC Ph1-positivos. A sobrevida mediana foi de 48 meses. A análise univariada identificou 5 fatores associados a pior prognóstico (sexo masculino, idade inferior a 45 anos, blastos na medula óssea maior ou igual a 10 percent, basófilos no sangue periférico maior ou igual a 6 percent e eosinófilos no sangue periférico maior ou igual a 6 percent), originando um sistema de estadiamento: estágio I com zero ou um fator e sobrevida de 100 percent em dois anos; estágio II com dois ou três fatores e sobrevida de 72,2 percent em dois anos; estágio III com 4 ou 5 fatores e sobrevida de 0 percent em dois anos ( $p = 0.00016$ ). A análise multivariada demonstrou que a basofilia no sangue periférico e os blastos na medula óssea foram os fatores que melhor se correlacionaram com o tempo de sobrevida. Concluímos que a combinação de fatores presentes no diagnóstico permite a identificação de diferentes grupos de risco na LMC, podendo ser útil na determinação do prognóstico e na abordagem terapêutica.

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