## Case Report

# Lung hyperinflation after single lung transplantation to treat emphysema\*

Hiperinsuflação pulmonar após transplante unilateral por enfisema

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#### Abstract

Despite preventive measures, lung hyperinflation is a relatively common complication following single lung transplantation to treat pulmonary emphysema. The progressive compression of the graft can cause mediastinal shift and respiratory failure. In addition to therapeutic strategies such as independent ventilation, the treatment consists of the reduction of native lung volume by means of lobectomy or lung volume reduction surgery. We report two cases of native lung hyperinflation after single lung transplantation. Both cases were treated by means of lobectomy or lung volume reduction surgery.

Keywords: Lung transplantation; Postoperative complications; Pulmonary emphysema; Pneumonectomy.

#### Resumo

Apesar das medidas de prevenção, a hiperinsuflação pulmonar é uma complicação relativamente comum após transplantes unilaterais por enfisema. Quando progressiva, pode comprimir o pulmão transplantado, gerando desvio mediastinal e insuficiência respiratória. Além de estratégias terapêuticas como a ventilação independente, o tratamento consiste na redução volumétrica do pulmão nativo, seja por meio de cirurgia redutora, seja por lobectomia. São relatados dois casos de hiperinsuflação do pulmão nativo após transplante pulmonar, tratados com redução volumétrica do pulmão por meio de lobectomia ou cirurgia redutora.

Descritores: Transplante de pulmão; Complicações pós-operatórias; Enfisema pulmonar; Pneumonectomia.

#### Introduction

Lung transplantation is a well-established treatment for patients with advanced COPD. Currently, COPD is the main indication for lung transplantation, accounting for 36% of all indications in the literature. Worldwide, most of the procedures performed consist of double lung transplantation. However, single lung transplantation still accounts for 32%. In this category, COPD is responsible for 50% of indications. In Brazil, there are no centralized data on indications or types of procedures performed, although, nationwide, 50% of the procedures consist of double lung transplantation, and COPD also constitutes the leading cause of transplantation, corresponding to 28.2%. (2)

Although most postoperative complications are related to the graft, the native lung can also be a source of complications, such as infectious processes and pneumothorax.<sup>(3,4)</sup> A unique complication, which occurs particularly in COPD patients and can cause graft dysfunction, is native lung hyperinflation.<sup>(5,6)</sup>

Native lung hyperinflation is a common radiological finding in the immediate postoperative period, usually resolves within a few months and is not associated with worse disease progression. However, progressive hyperinflation can cause mediastinal shift with compression, restriction and disorders of ventilation of the graft.

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Here, we report two cases of native lung hyperinflation after single lung transplantation. Both cases were associated with progressive pulmonary dysfunction and were treated by means of procedures to reduce native lung volume.

## Case report

#### Case 1

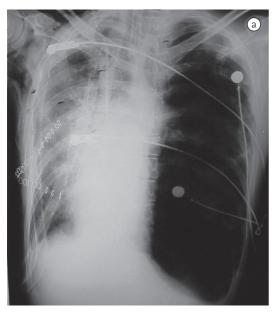
A 41-year-old male smoker (20 pack-years) presented with a six-year history of progressive dyspnea, a diagnosis of centrilobular emphysema and a history of right bullectomy. The emphysema worsened, and the patient needed home oxygen therapy. In addition, the patient experienced dyspnea on minimal exertion (functional class IV, in accordance with the New York Heart Association criteria).

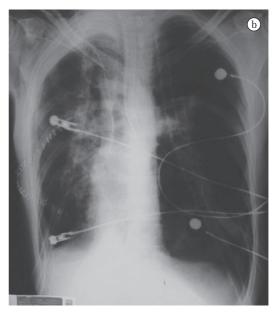
Physical examination revealed satisfactory general health, weight loss (BMI, 13.4 kg/m²), gastrostomy (for the purpose of feeding), dyspnea and diffuse wheezing upon pulmonary auscultation. Spirometry showed an FEV $_{\rm l}$  of 15% and an FVC of 42%. Quantitative perfusion scintigraphy presented values of 33% and 67% in the left and right lungs, respectively. Radiographic and tomographic findings were consistent with severe bilateral emphysema.

After 7 months on a waiting list, the patient underwent single right lung transplantation, in which the duration of ischemia was 210 min. Immunosuppression was performed with azathioprine, cyclosporine and methylprednisolone. The patient was referred to the ICU and remained intubated for 5 days. However, he developed respiratory failure and required reintubation. There was progressive native lung hyperinflation, with mediastinal shift and worsening of the respiratory pattern. Independent ventilation was employed but did not result in radiological or clinical improvement (Figure 1).

The patient underwent left lung volume reduction surgery to prevent compression of the graft. A linear cutting stapler was used, and multiple wedge resections were performed. Approximately 20% of the parenchyma in the lung apex was removed. The mechanical suture line was reinforced by interposing bovine pericardial tissue, as previously described by Cooper.<sup>(8)</sup>

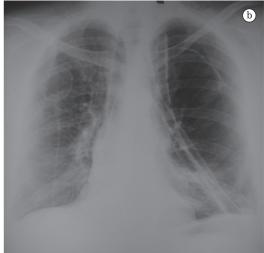
There was radiological improvement, with expansion of the graft and normalization of the diaphragmatic contour, as well as improvement in pulmonary function (Figure 1). However, the patient developed dehiscence of the anastomosis of the anterior wall of the right main bronchus, together with bronchopleural fistula. The conse-





**Figure 1** – In a), chest X-ray after single lung transplantation. Note native lung hyperinflation, mediastinal shift, flattening of the diaphragmatic dome and compression of the graft. In b), chest X-ray after lung volume reduction surgery. Note that there was normalization of the mediastinum and diaphragm with graft aeration.





**Figure 2** – In a), chest X-ray three years after right lung transplantation. Note native lung hyperinflation and mediastinal shift to the right. In b), chest X-ray after the reduction of native lung volume by means of lower lobectomy. Note the centralization of the mediastinum and the improvement in graft aeration.

quent empyema and sepsis led to his death on postoperative day 16.

#### Case 2

A 46-year-old male nonsmoker presented with a nine-year history of progressive dyspnea and a diagnosis of talcosis and pulmonary emphysema. The patient was being treated with home oxygen therapy, with maximal oxygen uptake of 19 mL/kg per min. Pulmonary function testing showed an FEV<sub>1</sub> of 13%, FVC of 25%, TLC of 110% and RV of 298%. After 2 months on a waiting list, the patient underwent single right lung transplantation, in which the duration of ischemia was 240 min. Immunosuppression was performed with cyclosporine, azathioprine and prednisone. The patient remained intubated for 28 h and stayed in the hospital for 17 days.

Three years after transplantation, there was progressive deterioration of pulmonary function, with clear signs of lung hyperinflation (FEV<sub>1</sub>, 69%; FVC, 71%; TLC, 136%; and RV, 278%). At the time, the patient experienced dyspnea on minimal exertion.

Reduction of native lung volume by means of left lower lobectomy was chosen because scintigraphy revealed lower perfusion in the left lung base (22%) than in the right lung base (78%). In addition, we considered the lower likelihood of prolonged air leak related to lobectomy. The patient underwent lobectomy. There was a favorable response and immediate improvement in

the breathing pattern. Postoperative pulmonary function testing showed an  $FEV_1$  of 81%, FVC of 76%, TLC of 99% and RV of 150%. Four years after surgery, the patient was in good health and was fully able to perform his habitual activities (Figure 2).

#### Discussion

Single lung transplantation is a well-established therapeutic option for the treatment of respiratory failure resulting from emphysema. (9) This procedure makes it possible to maximize the use of organs (a single donor for two recipients), as well as allowing relatively minor surgical trauma and satisfactory postoperative pulmonary function. However, maintaining the native lung can cause hyperinflation, with consequent mediastinal shift and compression of the graft.

One group of authors conducted a retrospective analysis of 27 patients undergoing single lung transplantation for emphysema and defined two risk factors for the occurrence of native lung hyperinflation. Hyperinflated patients with severe COPD (FEV<sub>1</sub> < 15% and RV > 200% of predicted) are candidates for hyperinflation. The second factor is associated with acute graft dysfunction, there being a need for prolonged mechanical ventilation and high positive end-expiratory pressure. Patients with pulmonary hypertension are also at increased risk for developing primary graft dysfunction,

requiring mechanical ventilation with positive end-expiratory pressure, which can lead to acute native lung hyperinflation.

The diagnosis of acute lung hyperinflation is based on a group of radiological signs (mediastinal shift and flattening of the ipsilateral diaphragm), as well as on clinical signs of hemodynamic or respiratory instability, there being need for different ventilation strategies (use of nitric oxide or use of independent lung ventilation). Since there is a difference in compliance between the native lung and the graft, hyperinflation results in severe ventilation/ perfusion mismatch, with preferential ventilation of the native lung and perfusion of the graft, causing respiratory failure and hemodynamic instability in the postoperative period. To prevent this from happening, early extubation is recommended whenever possible. One group of authors(10) recommended certain measures to prevent native lung hyperinflation: giant bullae should be resected; the VC should be greater in the donor lung than in the recipient lung; in cases of homogenous emphysema with symmetric distribution, right transplantation should be preferred, allowing expansion of the native lung toward the diaphragm rather than toward the mediastinum; early extubation should be attempted and positive end-expiratory pressure in the native lung should be avoided; and, after transplantation, the patient should be placed in the lateral decubitus position on the native lung side. Once hyperinflation has become established, independent ventilation with the use of a double-lumen orotracheal tube is one alternative. Minimal ventilation (5 mL/kg, 2-6 breaths/ min) should be maintained in the native lung. However, the need for frequent bronchoscopies to maintain the orotracheal tube in an adequate position complicates this ventilation strategy.

After hyperinflation has become established, reduction of native lung volume, by means of lobectomy or lung volume reduction surgery, becomes the treatment of choice. A number of studies have reported functional improvement in patients after surgical treatment.<sup>(11)</sup> Although this procedure can be performed simultaneously with transplantation, it can also be performed later, when hyperinflation is identified. This functional improvement is evidenced by improved exercise capacity, better pulmonary function test results and decreased dyspnea.<sup>(12)</sup>

Despite the adoption of preventive measures in single lung transplantation for COPD, such as preferential right lung transplantation and early extubation, lung hyperinflation is a relatively common and unpredictable complication. Although there is a tendency toward double transplantation, even in COPD patients, single transplantation remains the treatment of choice for COPD worldwide, and transplantation teams should be on the alert for this type of problem. In our experience, the result of the reduction of native lung volume by means of lung volume reduction surgery or lobectomy was satisfactory in both cases. The first patient had complications, but those were unrelated to the procedure.

Other methods still in the experimental phase have been considered for patients with diffuse pulmonary emphysema and for native lungs of patients undergoing lung transplantation. Chief among those methods is the creation of spiracles, by installing an intrapulmonary tube that exits the lung through a small opening in the chest wall, allowing direct air exchange between the pulmonary parenchyma and the environment.<sup>(13)</sup>

Although there is a tendency toward double lung transplantation, COPD continues to be a major indication for single lung transplantation. Therefore, the incidence of native lung hyperinflation remains a morbidity factor in this patient population. Despite preventive mechanisms and ventilation strategies, hyperinflation can develop, and the reduction of native lung volume by means of lobectomy or lung volume reduction surgery can be effective in the treatment of this type of complication.

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