

# DNA damage-related proteins in smokers and non-smokers with oral cancer

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**Abstract:** Tobacco smoking involves a high risk of human malignancies, including oral cancer, because it contains multiple carcinogens that cause genetic instability. Thus, a worse prognosis would be expected for cancer patients who are smokers. The aim of this study was to assess the DNA damage response through the expression of checkpoint kinase 2 (CHK2), H2A histone family member X (H2AX), and P53 among smokers and non-smokers with oral squamous cell carcinoma (OSCC). Associations between immunoexpression of proteins and clinicopathological data and histopathological grading were also analyzed. A total of 35 individuals (18 non-smokers and 17 smokers) with OSCC of the tongue and/or floor of the mouth were included. Immunohistochemistry for H2AX was conducted for the identification of double-strand breaks, CHK2, and P53 to evaluate the expression of this protein in cell cycle regulation. The sample consisted of 22 males and 13 females, with a mean age of 63.9±11.8 years. OSCC of non-smokers were well-differentiated tumors in 50% of the cases, and those of smokers were equally distributed into moderately differentiated and poorly differentiated tumors (35.3% each). Overall, 31 (88.6%) cases were CHK2-positive, 27 (77.1%) were H2AX-positive, and 23 (65.7%) were P53-positive, with no difference between smokers and non-smokers ( $p > 0.05$ ). No association was found between proteins and clinicopathologic data ( $p > 0.05$ ). Similarities in CHK2, H2AX, and P53 immunohistochemical staining patterns were observed between smokers and non-smokers, and immunoexpression was not associated with clinicopathological parameters. However, the findings indicated consistent expression of these proteins in OSCC.

**Keywords:** DNA Damage; Checkpoint Kinase 2; Tumor Suppressor Protein p53; Mouth Neoplasms.

## Introduction

Oral cancer is a global problem with an annual incidence of 377,000 cases.<sup>1</sup> Oral squamous cell carcinoma (OSCC) is the most common type of oral malignant neoplasia. Genetic, epigenetic and environmental factors are involved in this multifactorial disease. Cigarette smoking is the main etiologic factor of oral cancer, and its mechanism of action involves direct DNA damage.<sup>2</sup> During carcinogenesis, some pathways



may be altered, modifying tumor proliferation and apoptosis, and inducing cell transformation and clonal expansion of tumor cells.<sup>3</sup> DNA damage is a recurrent phenomenon in metabolism that can be induced by exogenous and endogenous agents. When these factors accumulate, they can cause genomic instability, which eventually results in the carcinogenesis process.<sup>4</sup>

Cigarette smoke contains reactive oxidants that may cause macromolecular damage to exposed cells. Thus, the production of reactive oxygen species may damage DNA, occurring in the form of mutations, deletions, changes in sugar bases, cytosine halogenation or oxidation, and methylation.<sup>5</sup> This fact suggests that levels of specific proteins related to DNA damage such as checkpoint kinase 2 (CHK2), H2A histone family member X (H2AX), and P53 in smokers might serve as a measure of their risk of cancer.<sup>6</sup> H2AX is highly conserved and performs critical cellular functions.<sup>7</sup> The H2AX gene plays an essential role in repairing DNA double strand breaks and in the stability of the genome, being considered a tumor suppressor gene.<sup>7,8</sup> Meanwhile, CHK2 is involved in the DNA damage repair pathway, capable of activating *P53*, modulating repair, and blocking the cell cycle.<sup>9,10</sup> The *P53* tumor suppressor gene is essential in regulating cell cycle progression, DNA differentiation, and repair.<sup>11</sup>

The DNA damage response (DDR) is the cell's ability to restore genomic changes caused by endogenous or exogenous mutagens.<sup>4</sup> H2AX phosphorylation is a key step in the DDR, playing a role in signaling and initiation of double-strand breaks (DSBs) repair.<sup>12</sup> DSBs must be repaired quickly and precisely to avoid cell death, chromosomal aberrations, mutations and, in certain cases, initiation of pathological processes such as cancer. The rapid phosphorylation of H2AX is an early cellular response to DSBs.<sup>13</sup>

In response to DNA damage, a complex signaling network organizes cell cycle checkpoints allowing cell cycle arrest and DNA repair or activating senescence or cell death.<sup>14</sup> CHK2 is central to transducing the DNA damage signal and has been implicated in the mediation of both G1/S and G2/M cell cycle arrest in a distinct pathway through P53.<sup>15</sup> Moreover, P53 is essential in regulating cell cycle progression,

differentiation, DNA repair, and apoptosis. Almost 50% of individuals with oral cancer exhibit P53 gene mutation, which highlights its role as a tumor suppressor.<sup>11</sup>

Nevertheless, the effect of smoking on the expression of CHK2 and H2AX in OSCC has not been well explored.<sup>4,5</sup> In this study, we evaluated the immunoeexpression of CHK2, H2AX, and P53 proteins, which are associated with DNA protection and repair, among smokers and non-smokers with OSCC. Additionally, we analyzed associations of protein levels with clinicopathological data and histopathological grading.

## Methodology

### Study design and ethical approval

This retrospective and cross-sectional study evaluated 35 paraffin-embedded tissue specimens of OSCC. The cases were obtained from the archives of the Oral and Maxillofacial Pathology Laboratory of Universidade Federal de Minas Gerais (UFMG), Belo Horizonte, Brazil. The study was approved by the Institutional Ethics Committee (No. 03012618.1.0000.5149) and the patient's identity remained anonymous according to the Declaration of Helsinki.

### Patients and samples

Individuals with OSCC were analyzed according to sex, age, anatomical location (tongue and/or floor of the mouth), alcohol consumption (yes/no), and smoking habit. Tobacco consumption was determined by the number of cigarettes smoked during the lifetime, and individuals were grouped in two categories as follows: never smokers, with a smoking history of  $\leq 0.5$  pack-years, and smokers, with a smoking history of  $> 10$  pack-years.<sup>16</sup>

Previous studies have compared the levels of proteins in normal mucosa of smokers and non-smokers. For instance, Zaid et al.<sup>17</sup> carried out an analysis of P53 in normal epithelium, and non-smokers had a lower level of positive cells than smokers, (6.6% and 16.6%). Zhu et al.<sup>18</sup> revealed that the expression of  $\gamma$ H2AFX in OSCC was significantly increased compared with normal oral mucosa tissues.

In the present study, staining of adjacent mucosa was observed in some cases, but as this evaluation was unfeasible in all cases, normal tissue was not accounted for.

The histopathological diagnosis was confirmed by two independent oral and maxillofacial pathologists (V.F.B. and M.C.F.A.), who were blinded to the clinico-demographic data, through the review of the sections stained with hematoxylin and eosin (H&E) retrieved from the files. Disagreements were jointly reviewed to reach a consensus.

All samples were graded as well differentiated, moderately differentiated, or poorly differentiated according to the World Health Organization criteria.<sup>19</sup> The exclusion criterion was OSCC individuals submitted to radiotherapy, chemotherapy or other treatments before surgery.

### Immunohistochemical staining

For the immunohistochemical study, 4- $\mu$ m thick sections were obtained from paraffin-embedded tissue blocks and mounted on polarized slides (StarFrost®, Waldemar Knittel Glasbearbeitungs GmbH, Braunschweig, Germany). Monoclonal antibodies, including anti-phospho-CHK2 (clone Thr68; Rabbit; Cell Signaling Technology, Danvers, USA; 1:50), anti-phospho-histone H2A.X-Ser139 (clone 20E3; Rabbit; Cell Signaling Technology, Danvers, USA; 1:200), and anti-P53 (clone DO7, Mouse; Dako, Carpinteria, USA; 1:50) were used. The antigen-retrieval step was performed using the TRILOGY™ Concentrate (Cell Marque, Rocklin, USA; 1:100) at a temperature of 96°C in a digital water bath (DeLeo, Porto Alegre, Brazil) for 30 minutes. Next, the sections were treated with the EnVision+ Dual Link System-HRP (Dako, Carpinteria, USA). 3,3'-Diaminobenzidine was used as the chromogen (Dako, Carpinteria, USA).

### Immunohistochemical assessment

All cases were evaluated by one observer (L.F.S.) using an eyepiece grid coupled to a light microscope (Zeiss Axiostar, Ser. 48824, Oberkochen, Germany). Immunostaining was evaluated using a semiquantitative analysis of representative regions of each specimen. The slides were analyzed with a light microscope at a final magnification of

400 $\times$ . Immunostaining was scored by counting the percentage of cells expressing the above-mentioned proteins in at least 10 to 15 different fields. Semi-quantitative analysis was performed based on the proportion of positive neoplastic cells relative to all neoplastic cells in the tissue section.<sup>20</sup> For each brown unambiguous stain, CHK2 expression was defined as nuclear or cytoplasmic immunoreactivity<sup>21</sup> and H2AX<sup>4</sup> and P53<sup>22</sup> were defined as nuclear immunoreactivity. Immunoreactivity was considered negative (0%), normal (< 50%), and overexpression ( $\geq$  50%), based on the study conducted by Karpathiou et al. (2016).<sup>23</sup>

### Data analysis

Statistical tests were carried out using by the Statistical Package for the Social Sciences (SPSS) software (IBM Corp., version 23.0, Armonk, USA). Outcome data were assessed for normality using the Shapiro-Wilk test for the smoker and non-smoker groups ( $p < 0.05$ ). The Mann-Whitney test was used to compare the values of CHK2, H2AX, and P53 proteins according to clinical features (smoking habits, alcohol consumption, and anatomical location). The level of significance was set at  $p < 0.05$ .

## Results

### Demographic and clinicopathological data

The demographic and clinicopathological characteristics of the sample are shown in Table. Thirty-five OSCC were included. The sample consisted of 22 males (62.8%) and 13 females (37.2%), with a male-to-female ratio of 1.7:1. Mean age of the total sample was 63.9 years (range: 47 to 86  $\pm$  11.8 years). Of the study subjects, 18 (51.4%) were non-smokers and 17 (48.6%) were smokers. Among smokers, males ( $n = 14/82.3%$ ) in the sixth decade of life ( $n = 10/58.9%$ ) were the most affected. Regarding non-smokers, females ( $n = 10/55.6%$ ) in the eighth decade of life ( $n = 6/33.3%$ ) were the most affected. Alcohol consumption was reported by 70.6% ( $n = 12$ ) of smokers and 29.4% ( $n = 5$ ) of non-smokers.

Of the included cases, symptomatic lesions were present in seven (58.3%) non-smokers and in four (66.7%) smokers. The tongue (77.8%) was

**Table.** Demographic data and clinicopathological characteristics of the sample.

Variable	n (%)	
	Non-smokers, n = 18	Smokers, n = 17
	(51.4)	(48.6)
Sex, n = 35		
Male	8 (44.4)	14 (82.3)
Female	10 (55.6)	3 (17.7)
Age, n = 35		
40–49	2 (11.1)	1 (5.9)
50–59	4 (22.2)	10 (58.9)
60–69	1 (5.6)	6 (35.2)
70–79	6 (33.3)	-
80–89	5 (27.8)	-
Alcohol consumption, n = 34		
Yes	5 (29.4)	12 (70.6)
No	12 (70.6)	5 (29.4)
Symptoms, n = 18		
Yes	7 (58.3)	4 (66.7)
No	5 (41.7)	2 (33.3)
Anatomical location, n = 35		
Tongue	14 (77.8)	7 (41.2)
Floor of the mouth	3 (16.7)	8 (47.1)
Tongue + floor of the mouth	1 (5.5)	2 (11.7)
Type of sample, n = 35		
Incisional	12 (66.7)	8 (47.1)
Excisional	6 (33.3)	9 (52.9)
Histology grade, n = 35		
Well-differentiated	9 (50.0)	5 (29.4)
Moderately differentiated	4 (22.2)	6 (35.3)
Poorly differentiated	5 (27.8)	6 (35.3)

the most affected anatomical site in non-smokers, whereas floor of the mouth (47.1%) was the main site in smokers.

Regarding histological grading, 40% (n = 14) were well-differentiated tumors, 31.4% (n = 11) were moderately differentiated, and 28.6% (n = 10) poorly differentiated. Fifty percent of OSCC in non-smokers were well-differentiated tumors, whereas equal proportions of OSCC were moderately differentiated and poorly differentiated in smokers (n = 6/35.3% each).

### Immunoexpression of CHK2, H2AX, and P53 positive cells

Overall, most cases were positive (normal or overexpression) for CHK2, H2AX, and P53. Thirty-one (88.6%) cases were CHK2-positive, 27 (77.2%) were H2AX-positive, and 23 (65.7%) were P53-positive. Figure 1 shows the distribution of immunostaining among non-smokers and smokers.

In OSCC samples of smokers, CHK2 staining ranged from 0 to 83.3% of cells (Figure 2A). One case was negative, six cases were normal, and 10 showed overexpression. The expression in OSCC of non-smokers was similar (Figure 2B), with expression ranging from 0 to 91.2%. Three cases were negative, seven were normal, and eight showed overexpression.

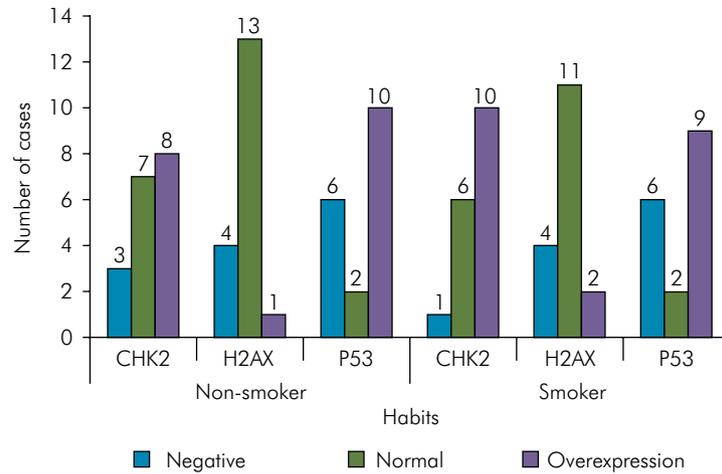
The expression of H2AX was also quite similar in smokers (Figure 2C) and non-smokers (Figure 2D). However, disparities in mean number of positive cells were observed between smokers (0 to 92.9%) and non-smokers (0 to 53.5%). Two OSCC of smokers showed H2AX overexpression, 11 showed normal expression, and four were negative. Four OSCC of non-smokers were negative, 11 were considered to have normal expression, and one showed overexpression.

The expression of P53 positive cells ranged from 0 to 91.9% in smokers and from 0 to 92.8% in non-smokers (Figure 2E and 2F). Six cases of each group were negative, and two cases of each group showed normal staining. Nine cases of smokers and 10 cases of non-smokers were showed overexpression.

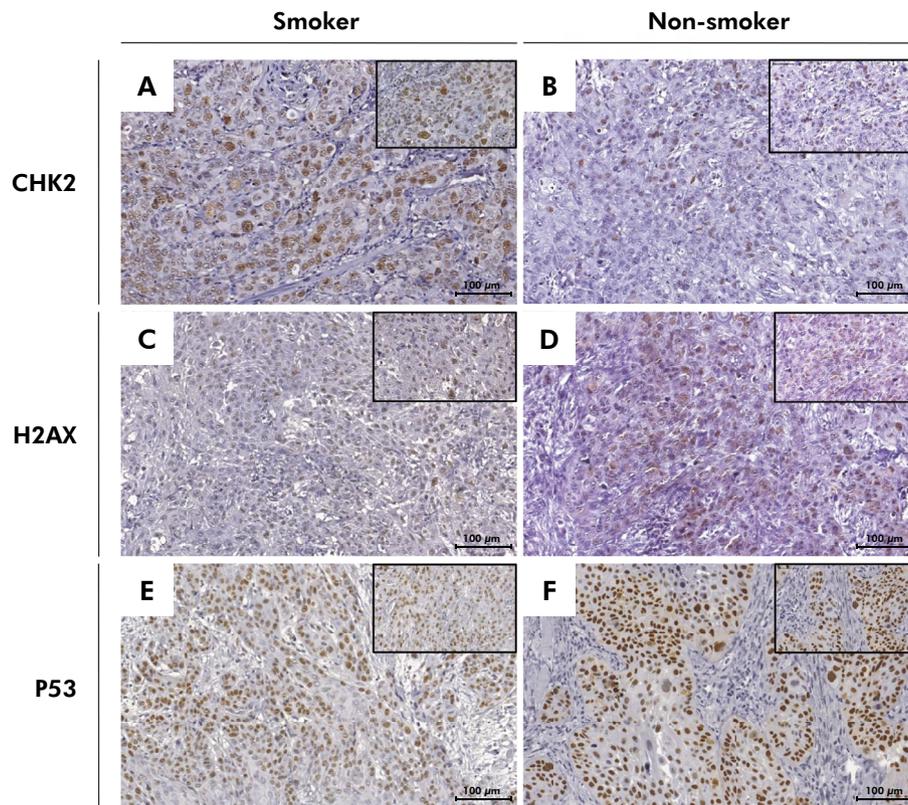
No association was found between protein immunoexpression and clinicopathological features of smokers and non-smokers (p > 0.05). Also, no statistically significant difference was observed between groups (smokers vs. non-smokers) regarding CHK2 (p = 0.909), H2AX (p = 0.807), and P53 (p = 0.546) proteins (Figure 3). No correlation was found among proteins.

### Discussion

Tobacco smoking involves a high risk for human malignancies, including oral cancer, because it contains multiple carcinogens that cause genetic instability. Therefore, a worse prognosis would be expected for cancer patients who are smokers. The



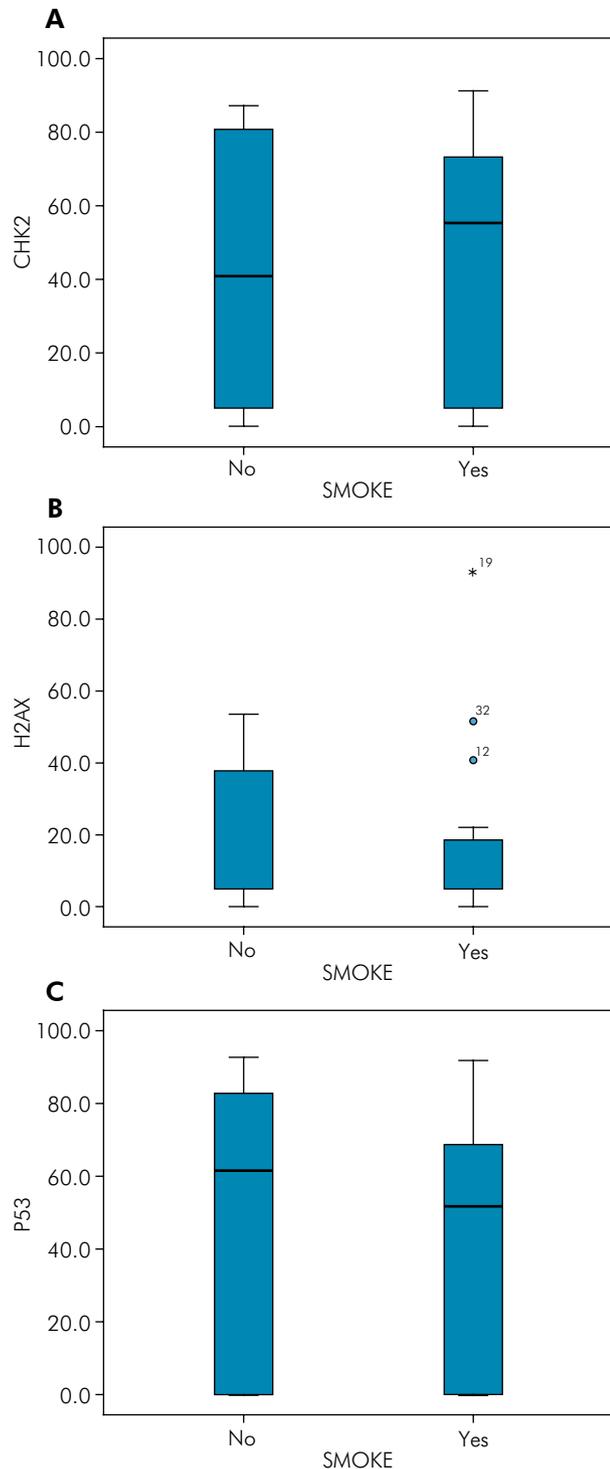
**Figure 1.** Overall distribution of immunostaining in smokers and non-smokers.



**Figure 2.** CHK2 staining in a smoker (A) and in a non-smoker (B). H2AX staining in a smoker (C) and in a non-smoker (D). P53 staining in a smoker (E) and in a non-smoker (F). Immunohistochemistry, x 200 and x 400.

present study investigated the expression of DNA damage-related molecules in smokers and non-smokers with OSCC. The selected markers participate in different stages of the DNA damage response, from

identification of DSBs (H2AX) to induction of cell cycle arrest (CHK2 and P53). The immunoexpression of CHK2, H2AX and P53 was similar in OSCC samples of smokers and non-smokers. Likewise, a previous



**Figure 3.** Immunopositivity of the proteins CHK2 ( $p=0.909$ ), H2AX ( $p = 0.807$ ) and P53 ( $p = 0.546$ ) between smokers and non-smokers.

study showed similar carcinogenic pathways and outcomes in oral premalignant lesions in smokers and non-smokers.<sup>24</sup>

CHK2 is a key regulator kinase involved in the DNA-damage response-signaling pathway. In this study, although CHK2 expression was slightly lower in non-smokers than in smokers, protein immunopositivity did not differ between the two groups and no other association with clinicopathological features was found. The influence of tobacco on DNA repair and CHK2 action has been demonstrated in other studies. An *in vitro* investigation showed an attenuation of CHK2 phosphorylation in lung epithelial cells treated with nicotine.<sup>25</sup> We hypothesized if the similarity between groups could be overridden by a large sample in that study. Accordingly, we performed a posteriori power calculation to identify the power of our sample. In addition, we also performed a simulation of power calculation with 50 cases and 200 cases in each group ([https://www.openepi.com/Menu/OE\\_Menu.htm](https://www.openepi.com/Menu/OE_Menu.htm)). Even for these larger samples, the power was maintained below 50%. Therefore, a larger sample would not change our conclusions, i.e., that there is no relevant difference in the expression of these proteins between smokers and non-smokers.

The tumor suppressor P53 has been reported to be another key target of CHK2 in response to DNA damage. P53 changes are mainly caused by exogenous factors, particularly tobacco carcinogens in cases of OSCC.<sup>26</sup> The frequency of mutations in the *P53* gene is higher in lung tumors from smokers than in lung tumors from non-smokers. Additionally, *P53* mutations in lung cancer samples from smokers has been observed to be dependent on lifetime cigarette consumption or duration of smoking.<sup>27</sup> In this work, we focused on protein expression rather than gene mutation. However, we know that almost half of patients with oral cancer have a mutation in the *P53* gene, highlighting its role as a tumor suppressor.<sup>11</sup>

Herein, we observed an overexpression of P53 in 19/35 cases (54.3%), with no difference between smokers and non-smokers. Other studies have also found a similar expression of P53 in OSCC of smokers and non-smokers.<sup>17,24</sup> In one study, all specimens were P53-positive, independent of tobacco use.<sup>4</sup> The authors stated that the main molecular alterations in

oral premalignant lesions and in lesions associated with progression to OSCC were the same for non-smokers and smokers and occurred in the early stages of carcinogenesis.

In recent years, the phosphorylated histone H2AX ( $\gamma$ -H2AX) marker has become a robust tool used to monitor DNA DSBs in cancer research and has been suggested to play a potential role in carcinogenesis and early cancer diagnosis.<sup>12</sup> Accordingly, studies have revealed a correlation between high  $\gamma$ -H2AX levels and worse prognosis and reduced disease-free survival in breast cancer,<sup>28</sup> ovarian cancer,<sup>29</sup> and hepatocellular carcinoma.<sup>30</sup> Interestingly, an association of H2AX-positive OSCC with reduced overall survival has been reported.<sup>31</sup> In the present study, no association was observed between clinicopathologic features of smokers and non-smokers and H2AX protein levels. However, a study has reported that the DNA DSB  $\gamma$ -H2AX marker exists in smoke-exposed placenta, and the cessation of smoking reduces DSB DNA damage to the levels of non-smokers.<sup>32</sup> In addition, available literature data have demonstrated that exposure of A549 (human lung adenocarcinoma) cells to tobacco smoke or of NHBE (normal human bronchial epithelial) cells to smoke condensate induced  $\gamma$ -H2AX.<sup>33</sup>

In our study, most non-smokers (70.6%) did not drink alcohol and were women in the eighth and ninth decades of life. Indeed, other OSCC series that included non-smokers also reported similar data.<sup>34</sup> Moreover, some studies that discussed differences in the genetic profile of OSCC of smoker and non-smokers have been reported elsewhere with divergent results, and the etiopathogenesis of OSCC in the non-smoking population remains unknown.<sup>34</sup>

While in some studies smoking history did not play a differential role in carcinogenesis,<sup>34</sup> most studies have reported that smokers are more affected by genetic instability. Although tobacco can cause epigenetic changes in oral epithelial cells, inhibit multiple systemic immune functions of the host, and induce oxidative stress in tissues through its toxic metabolites leading to OSCC, there is no specific mutation signature associating smoking with OSCC.<sup>2</sup> The mutation signature from smoking appears to be site-specific for lung tumors.

This study has some limitations. The evaluation of tobacco smoking was based on self-reports documented in medical records. Thus, it was not possible to assess the passive smoking of environmental tobacco and other carcinogens to which the patients may have been exposed. Furthermore, we did not consider diet, hormonal status, HPV infection, or second-hand smoking of patients. Thus, the effect of these factors on the immunoreexpression of the studied proteins remains to be investigated. Another point that needs to be considered refers to the H2AX range. Although immunohistochemical analysis can be used for this marker, caution should be used when identifying phosphorylated proteins in archival tissues, since immunofluorescence is considered the gold standard. However, due to limited resources, we have decided to maintain this analysis.

## Conclusion

In summary, the present study showed similarities in the CHK2, H2AX and P53 immunohistochemical staining pattern between smokers and non-smokers with OSCC. The results indicated consistent expression of these proteins in OSCC with marked nuclear and/or cytoplasmic labeling. It would be worth investigating if these characteristics persist in non-smokers with poorly differentiated OSCC. This study suggests that it would be interesting to investigate whether DNA damage is a factor involved only in the initial events of carcinogenesis, or whether its presence in advanced stages may contribute to more aggressive tumor behavior and a worse prognosis for the patient.

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