



Local Anesthetic Activity from Extracts, Fractions and Pure Compounds from the Roots of *Ottonia anisum* Spreng. (Piperaceae)

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ABSTRACT

Piperaceae species can be found worldwide in tropical and subtropical areas and many of them have been used for centuries in traditional folk medicine and in culinary. In Brazil, species of Piperaceae are commonly used in some communities as local anesthetic and analgesic. Countrified communities have known some species of the genus *Ottonia* as “anestesia” and it is a common habit of chewing leaves and roots of *Ottonia* species to relief toothache. The purpose of this study is to report our findings on new molecules entities obtained from the roots of *Ottonia anisum* Spreng, in which local anesthetic activity (sensory blockage) is demonstrated for the first time *in vivo* guinea pig model. Phytochemical investigation led to the isolation of three amides (pipercollosidine, piperine and valeramide) and in an enriched mixture of seven amides (valeramide, 4,5-dihydropiperlonguminine, *N*-isobutil-6-piperonil-2-hexenamide, piperovatine, dihydropipercollosidine, pipercollosidine and pipercollosine). Our findings demonstrated the anesthetic potential for the methanolic extract from roots, its *n*-hexane partition and amides from *O. anisum* and it is in agreement with ethnobotanical survey.

Key words: Piperaceae, *Piper*, *Ottonia*, amides, anesthetic properties, sensory blockage.

INTRODUCTION

Brazil, a megadiverse country, has about 500 Piperaceae species distributed in the genera *Piper*, *Peperomia*, *Zipelia* and *Manekia* (Jaramillo and Manos 2001). Many species of the genus *Piper*, the

most representative of the Piperaceae, have been used for centuries in traditional folk medicine and in culinary (Parmar et al. 1997, Oliveira et al. 2013, Bezerra et al. 2013, Picard et al. 2014). Piperine was the first amide isolated from *P. nigrum* (black pepper) and is responsible for the characteristic pungency of this plant (Ahmad et al. 2012, Qiu et al.

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2014). Many bioactive amides from *Piper* species were already chemically and pharmacologically investigated (Parmar et al. 1998, Ahmad et al. 2012, Bezerra et al. 2013).

Ethnobotanical studies in South and Central Americas have showed the local anesthetic potential of many Piperaceae species. In Brazil *Piper* species is commonly used in some communities as local anesthetic and analgesic (McFerren and Rodriguez, 1998, McFerren et al. 2002, Agra et al. 2007). The rural communities have known some species of the genus *Ottonia* as “anestesia” and it is common to chewy leaves and roots of *Ottonia* species to relief toothache (Gottlieb 1982, Makapljgay et al. 1983, Colvard et al. 2006). *Ottonia anisum* Sprengel is a shrub commonly found in Southeast of Brazil. This species is known as “jaborandi” or “joão-borandi” and it is commercialized in open-air markets in the State of Rio de Janeiro. *O. anisum* is used in the traditional medicine and in religious rituals (Parente and Rosa 2001, Azevedo and Silva, 2006, Leitão et al. 2014). Previous phytochemical investigation of *O. anisum* resulted in the isolation and identification of amides piperovatine and (2*E*, 4*E*)-*N*-isobutyl-9-piperonyl-nona-2,4-dienoic from the leaves, 1-butyl-3,4-methylenedioxybenzene from the leaves and roots as well as aristolactams from the roots (Marques et al. 2008, 2011, Moreira et al. 1997, Giesbrecht et al. 1981).

Local anesthetics (LAs) reversibly block the generation and propagation of action potentials, preventing the transient increase in the permeability of excitable membranes to sodium (Lipkind and Fozzard 2005, Culp and Culp 2001). Exposure of nerve fibers to LAs causes reversible pain sensation relief without affecting the consciousness. Topical and regional anesthesia, prevention or treatment of pain and control of the ventricular arrhythmias are the main uses of LA's (Nau and Wang 2004). Cocaine, the active compound isolated from the leaves of *Erythroxylum coca* Lam., was introduced for clinical use by Carl Koller in 1884. Despite the

identification of systemic toxic effects, including drug dependency, cocaine was clinically used until 1914 (De Araújo et al. 2003), when it was replaced by the first synthetic LA, procaine, synthesized by Einhornin in 1905. With higher potency and duration of effect than procaine, tetracaine, also an ester local anesthetic, was introduced for clinical use in 1935 (Ernst et al. 1995). However, animal and human study showed the potential systemic toxicity induced by tetracaine (Kim et al. 2001). The new generation of local anesthetic started with lidocaine synthesized in 1943 by Löfgren and Lundquist, in which the main novelty was the replacement of ester moiety to amide (Dippenaar 2007). Systemic safety was another feature of lidocaine, however, with the disadvantage of causing a short duration of action. After 20 years, bupivacaine was clinically introduced and it became the most commonly used LA due to high potency and prolonged duration of effect. However, clinical reports showed severe systemic reaction including cardiac arrhythmias and death with the use of bupivacaine (Karya et al. 2012). A safer local anesthetic, ropivacaine, with less cardiac toxicity effect and same potency in comparison with bupivacaine was introduced in 1985 (Ruetsch et al. 2001). In spite of large efforts to find new molecules with local anesthetic activity with low toxicity, any new substance was introduced for clinical use in the past 30 years. The purpose of this study is to report our findings on new molecules entities obtained from the plant *Ottonia anisum* Spreng., in which local anesthetic activity is demonstrated for the first time *in vivo* animal model.

The crude methanolic extract (OAR-MeOH) as well as the non-polar *n*-hexane partition (OAR-PH) were previously tested for anesthetic activity. The non-polar partition OAR-PH was chromatographed over silica gel column guided by the promising anesthetic potential. Phytochemical investigation resulted in enriched mixture of seven amides (**MIXAMD**, **valeramide**,

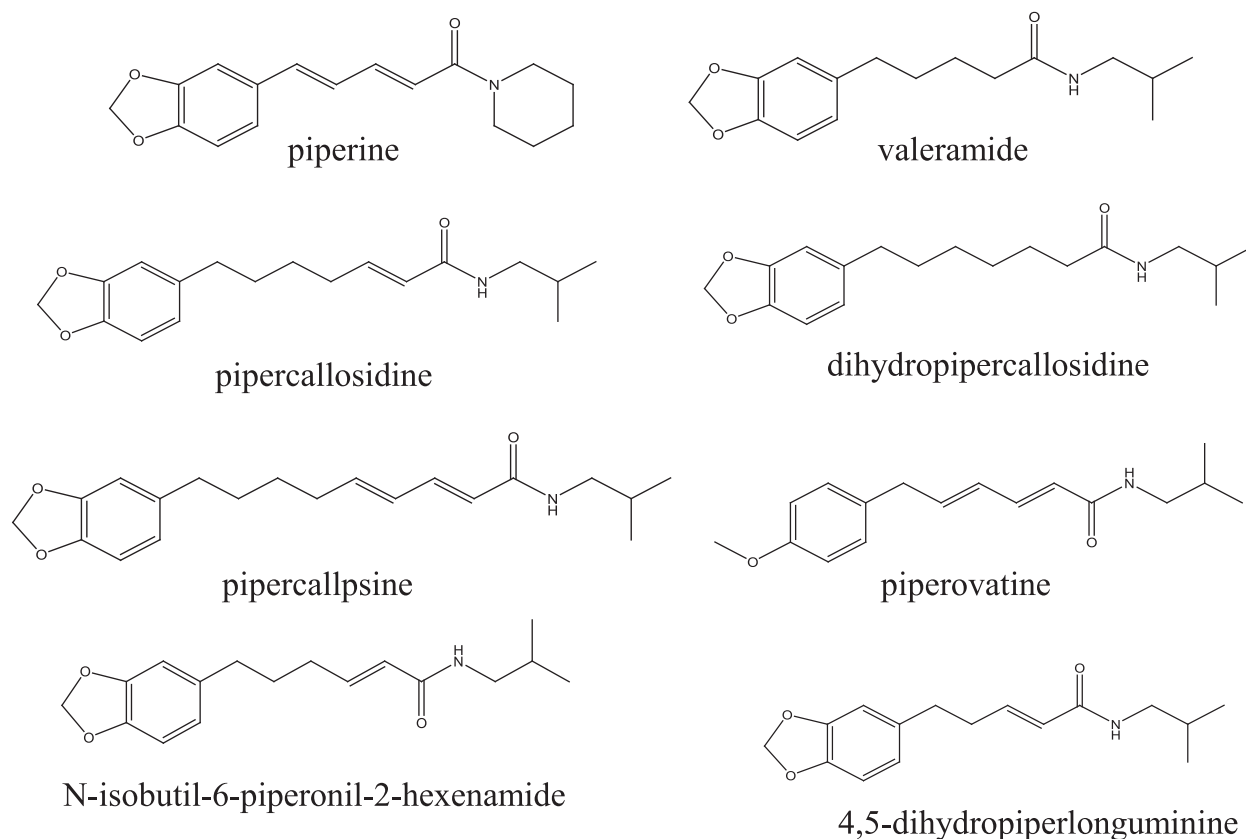


Figure 1 - Characterized amides from roots of *O. anisum* Sprengel.

4,5-dihydropiperlonguminine, N-isobutyl-6-piperonyl-2-hexenamide, piperovatine, dihydropipercallosidine, pipercallosidine and pipercallpsine) as well as in pure compounds **pipercallosidine (AMD1), piperine** and **valeramide**, Figure 1. Only pipercallosidine of all three isolated amides could be assayed due to the affordable amount. The characterized metabolites found in *O. anisum* root extract were in agreement with those from literature data for Piperaceae species (Parmar et al. 1997, Ahmad et al. 2012, Greger 2016) but just piperovatine and the amide (2*E*, 4*E*)-*N*-isobutyl-9-piperonyl-nona-2,4-dienoic have been described for *O. anisum* (Giesbrecht et al. 1981). Species of the genus *Piper* and *Ottonia* have been related as natural sources for alkylamides. From roots of *O. corcovadensis* Costa and Mors (1981) isolated 5 amides (piperovatine, piperlonguminine, isopiperlonguminine,

corcovadine and isocorcovadine). From aerial parts of *O. martiana* it was isolated the amides piperovatine and piperlonguminine (Cunico et al. 2005). In addition, literature data reported that the amide piperovatine has been isolated from *Piper piscatorum*, *Piper callosum*, *P. hancei*, *P. alatabaccum*, *Ottonia anisum*, *O. corcovadensis*, *O. ovata*, and *O. vahlii* (Colvard and Cordell 2008). Many *N*-alkylamides have been isolated from Piperaceae species. The structural similarity of these amides is a challenge to the isolation of them from the mixtures. By this mean, GC-MS is a power tool to identify known amides from mixtures. *N*-alkylamides from Piperaceae, generally, have a straight chain moiety with or without aromatic group in the end of the chain. In the case of aromatic ring, it is often a 3,4-methylenedioxy substituted and the acid chain has an uneven number of carbon atoms ($n = 1, 3, 5, 7, 9, 11, 13$ and 15). But it is

also possible an even number of carbon atoms such as piperovatine ($n = 6$) and retrofractamide D ($n = 10$) from *P. retrofractum*. Those *N*-alkylamides without an aromatic group in the end of the chain have an uneven number of carbon atoms such as filifiline ($n = 22$) from *P. officinarum*. The most common *N*-moiety groups are isobutyl, *n*-pentyl, isopentyl, piperidine, pyrrole and pyrrolidine (Parmar et al. 1997, Boonen et al. 2012, Greger 2016). Considering the amides isolated from *O. anisum*, here presented, six of them have an acid chain with uneven number of carbon atoms ($n = 5, 7$ and 9), being five with isobutyl *N*-moiety (exception for piperine). Only piperovatine and *N*-isobutyl-6-piperonyl-2-hexenamide have even carbon atoms in the acid chain ($n = 6$). In relation to the aromatic ring substitution pattern, seven have a 3,4-methylenedioxy substitution. Again, exception for piperovatine that has a methoxy as substituent. This chemical pattern is in agreement with those from literature records for *N*-alkylamides from *Piper* species (Parmar et al. 1997, Boonen et al. 2012, Greger 2016). Besides, some other amides isolated from the genus *Ottonia*, such as piperlonguminine, corcovadine and isocorcovadine fit in this profile (Costa and Mors 1981).

The same concentration of lidocaine, crude methanolic extract (OAR-MeOH) and *n*-hexane partition (OAR-PH) as well as the pure pipericallosidine (AMD1) and amide-rich-fraction (MIXAMD) were administered by intradermal route. The figure 2 shows that the duration of sensory blockage increased in a dose-dependent manner for all tested samples and, at higher concentration (940 $\mu\text{g/ml}$), the blockage induced by lidocaine, OAR-MeOH, OAR-PH, AMD1 and MIXAMD was 55.6 ± 1.4 , 41.5 ± 0.7 , 41.5 ± 2.3 , 23.0 ± 1.1 and 25.3 ± 0.6 minutes, respectively. This result reveals the presence of compounds with local anesthetic activity in all *O. anisum* samples. The duration of sensory block induced by *O. anisum* samples was significantly lower ($p < 0.05$) than lidocaine,

except, for OAR-PH at the lowest concentration (60 $\mu\text{g/ml}$), in which the time of blockage was as 15.2 ± 1.2 and 14.5 ± 1.9 minutes, respectively. This finding is very relevant since OAR-PH is a rich amide fraction (see experimental). Considering OAR-MeOH, that comprises a mixture of *O. anisum* roots constituents (non-polar, medium polar and high polar compounds), the maximum activity is shown at 470 $\mu\text{g/ml}$ (38.7 ± 1.9 min) and there is dose-dependent decrease effect from this concentration to 120 $\mu\text{g/ml}$ (23.6 ± 0.8 min). Regarding to the non-polar constituents present in the OAR-PH, the maximum activity is reached at the higher tested concentration (940 $\mu\text{g/ml}$) and it is evident a dose-dependent effect comprising from this concentration to 240 $\mu\text{g/ml}$ (15.3 ± 1.1 minutes). The observed anesthetic activity was less pronounced for the isolated amide pipericallosidine (AMD1) and for the mixture of seven amides (MIXAMD). The maximum activity is shown at 470 $\mu\text{g/ml}$ (20.5 ± 0.9 min) for pipericallosidine and 240 $\mu\text{g/ml}$ (20.8 ± 0.5 min) for the mixture of amides. This difference in the anesthetic effect suggests a more efficient action induced by combination of seven amides present in the sample of MIXAMD versus only one in the pipericallosidine (AMD1). It is interesting to note that at 60 $\mu\text{g/ml}$, the equivalent molar concentration of the amide pipericallosidine (0.19 mM) and lidocaine (0.26 mM) caused a blockage of 9.3 ± 0.8 and 15.2 ± 1.2 min, respectively.

Species from the genus *Ottonia* have been described as plants popularly used for the treatment of oral pain due to their analgesic properties. In 1985 the amide piperovatine was first isolated from *O. vahlii*, a traditionally plant species used as analgesic for oral proposes in Trinidad and Tobago. McFerren and Rodriguez (1998) studied the species *Piper piscatorum* (Piperaceae), which is used in Brazil as a fish stunning plant. Roots of *P. piscatorum* are used in Brazilian traditional medicine as an oral mucosa pain remedy due to

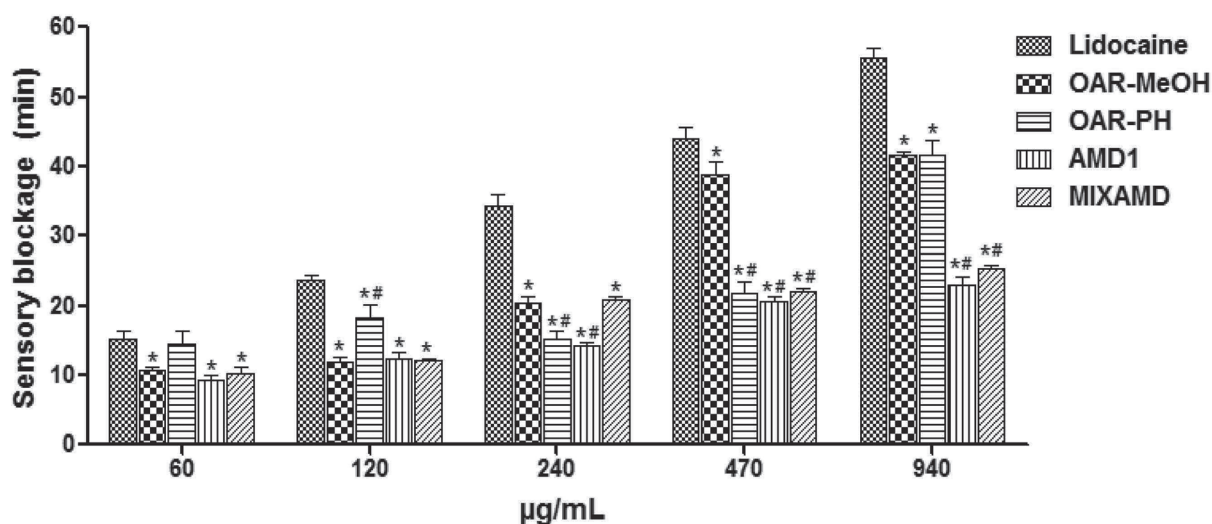


Figure 2 - Duration of anesthesia induced by intradermal administration in guinea pigs of lidocaine (n= 5), OAR-MeOH (n= 4), OAR-PH (n= 4) and amides AMD1 (n= 4) and MIXAMD (n= 4). The bar represents the mean \pm SEM. * p <0.05 vs. lidocaine; # p <0.05 vs OAR-MeOH.

the presence of piperovatine. Investigations at the University of Illinois at Chicago confirmed the analgesic principle of the roots and stems of *Ottonia frutescens* (Piperaceae) as the amide piperovatine (Makapljay et al. 1983).

Possibly, the mechanism of action of the mixtures of amides and pipercollosidine is through voltage-sensitive sodium channels. It was shown that piperovatine is responsible to affect the voltage gated sodium channels of the *Periplaneta americana* (cockroach) neuronal cell cultures, as well as for the mechanism of sialogoge activity of *Piper piscatorum* (McFerren et al. 2002). Also, it was demonstrated that isobutylamides act in these channels and the length of the alkenyl chain and the location of unsaturation in the structure influence the activation and sodium uptake. Isobutylamides with seven carbon atoms in the acid chain and an aromatic portion at the end of the chain (same as pipercollosidine) were active only on sodium channels but not in the sodium uptake (Ottea et al. 1990). Since Ottea and co-workers assayed only nine different isobutylamides, it is crucial the synthesis of all pure compounds (valeramide and

pipercollosidine) as well as the other amides from the mixture (MIXAMD) to: (a) proceed to tests in voltage-sensitive sodium channels and sodium uptake; (b) increase the number of amides and animals in the local anesthetic experiment. Thus, it will be possible to propose a better correlation between chemistry and activity and to perform toxicological evaluations.

The search for new local anesthetics of natural resources with features such as prolonged effect, low latency, high power selective blocking of sensory fibers and local or systemic low toxicity aroused our interest in Piperaceae species and its use in folk medicine as anesthetic. The anesthetic potential demonstrated for the methanolic extract from roots, its *n*-hexane partition and amides from *O. anisum* is in agreement with ethnobotanical survey since confirm the popular knowledge in the traditional use of plants of the genus *Ottonia* as pain relief, specially related with toothache. Our findings confirm for the first time the local anesthetic properties of *O. anisum*. Besides, this ethnobotanical survey led to the isolation and chemical characterization of eight *N*-alkylamides

that contributes to enrich the chemistry of *O. anisum*, a Piperaceae species from the Brazilian Atlantic forest.

MATERIALS AND METHODS

PHYTOCHEMICAL INVESTIGATION

General Procedure

Silica gel (Merck, 60-200 mesh) was employed for column chromatography separation, whilst analytical TLC was performed using silica gel 60 PF254 layers (Merck). Solvents for column chromatography separation as well as for GC-MS and NMR analysis were from Tedia (Brazil).

Plant Material

The plant material was collected in Xerém, Duque de Caxias, in Rio de Janeiro State (Brazil), in February 2012. The botanical voucher was identified by Dr. Elsie Franklin Guimarães as *Ottonia anisum* Spreng. and a sample was deposited at the Herbarium (HB) of the Rio de Janeiro Botanical Garden (JBRJ), registered under number RB 393494.

Extract Preparation and Isolation of Pure Compounds

Dried and powdered roots (100 g) of *O. anisum* were extracted by static maceration with methanol (MeOH) at room temperature. The resulting solutions were filtered and the solvent was evaporated under reduced pressure yielding a crude methanolic extract from roots (OAR-MeOH, 8 g). A small amount of this extract (10 mg) was tested for local anesthetic activity. Once a local anesthetic activity was detected, the crude methanolic extract was fractionated by liquid-liquid partition with solvent gradient system affording partitions in *n*-hexane, dichloromethane, ethyl acetate and *n*-butanol. A GC-MS analysis of the *n*-hexane

partition (OAR-PH) showed a rich amides content (*m/z* signals compatible with known amides from Piperaceae species). The *n*-hexane partition of the methanolic extract from roots of *O. anisum* (OAR-PH) was submitted to column chromatography over silica gel, with gradient of *n*-hexane–ethyl acetate-methanol as mobile phases, at increasing polarities. In total, 60 fractions were obtained. A wide content range of amides was found in the OAR-PH. The fractions 71-73 (50 mg) were analyzed by GC-MS allowing the characterization of a mixture of seven amides: **valeramide**, **4,5-dihydropiperlonguminine**, ***N*-isobutyl-6-piperonil-2-hexenamide**, **piperovatine**, **dihydropipercollosidine**, **pipercollosidine** and **piperallsine**. An amount of 35 mg of the amide mixture was purified by column chromatography over silica gel, using mixtures of *n*-hexane and ethyl acetate as mobile phases. A total of 65 fractions were collected that were re-united in accordance with TLC profile. This chromatography procedure afforded pure **valeramide** (3 mg, fractions 29-32), **piperine** (2 mg, 16-19) and from fractions 22-26, that were re-crystallization with MeOH, **pipercollosidine** (12 mg). Piperine was not identified in the amide mixture probably due to co-elution. The structures of the pure compounds were established by MS fragmentation pattern (GC-MS analysis), and ¹H and ¹³C RMN analysis with data comparison with literature records (Parmar et al. 1997, McFerren and Rodriguez 1998). The structures of the identified compounds are reported in figure 1.

CHEMICAL ANALYZES

GC-MS Analysis

Qualitative analyses were carried out on a GC-QP2010 PLUS Shimadzu equipped with a ZB-5MS fused silica capillary column (30 m x 0.25 mm i.d. x 0.25 μm, film thickness). The operating temperatures used were: injector 270 °C, detector

290 °C and column oven 60 °C up to 290 °C (10 °C/min). Helium at 1.0 ml/min was used as carrier gas. The amides were identified by comparison of their mass spectra and fragmentation pattern with published data (Parmar et al. 1997) and computer matching with WILEY 275 and National Institute of Standards and Technology (NIST 3.0) libraries provided with the computer controlling the GC-MS system.

Nuclear Magnetic Resonance Spectroscopy

The pure compounds obtained from leaves and roots of *O. anisum* were analyzed by ¹H, ¹³C-NMR and recorded on a Varian VNMRS 500 spectrometer. The chemical shifts were determined in DMSO-d₆, using TMS as the internal standard. The signals of the NMR analyses were compared to the literature data (Parmar et al. 1997, McFerren and Rodriguez 1998).

BIOLOGICAL INVESTIGATION

Animals

Tests were performed in male guinea pigs (*Cavia porcellus*) (400-500 g), maintained in special cages and housed in a temperature (24°C) and humidity (60%) controlled environment. Water and food were available *ad libitum*. The experimental protocol used in this study was approved by the Ethics for Animal Welfare and Use Committee of the Centro de Ciências da Saúde (CEUA-CCS) of Universidade Federal do Rio de Janeiro (Application #DFBCICB058).

Local Anesthetic Activity

All assays for testing local anesthetic activity was based on publications of Bülbring Wajda and Henn (1945) (Bülbring and Wadja 1945), in which the guinea pigs were submitted to trichotomy on the dorsal region at day before testing. Seven round

areas of approximately of 1.5 cm in diameter were drawn. Six of these were considered testing area in which 0.1 ml the solutions were injected by intradermal (i.d.) route. Saline was injected (0.1 ml) in the remaining spot. Decreasing concentrations of the extracts and amides from 940 µg/ml to 60 µg/ml were administered in triplicate. Therefore, each animal received two different concentrations and four different animals (n= 4) were used to average one concentration. The results obtained from the isolated plant material were compared to the standard local anesthetic, lidocaine. The test was performed in the following samples isolated as above: OAR-MeOH, OAR-PH, pipercallosidine (AMD1) and amides rich fraction of 4,5-dihydropiperlonguminine, valeramide, pipercallosidine, dihydropipercallosidine, piperallpsine, piperovatine and *N*-isobutil-6-piperonil-2-hexenamide (MIXAMD). Inhibition of the motor reflex in response to pinch of the delimited region of the skin carried out by a sharp forceps was used as a parameter of local anesthetic activity. The parameter evaluated was the duration of anesthesia, defined as time between the beginning and ending of the blockade to painful stimuli. This classic protocol is in accordance with the Pharmacopoeia (Ludena 1969). The data are presented as mean ± standard error of mean (SEM) (n= 4 for samples obtained from the plant, and n= 5 for lidocaine). Effect of each sample was separately compared to lidocaine or OAR-MeOH group using analysis of variance (ANOVA) to verify significance between two means. The statistical level of significance was for $p < 0.05$.

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