

Effect of virtual reality in Parkinson's disease: a prospective observational study

Efeito da realidade virtual na doença de Parkinson: estudo observacional prospectivo

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ABSTRACT

Objective: To assess the effectiveness of balance exercises by means of virtual reality games in Parkinson's disease. **Methods:** Sixteen patients were submitted to anamnesis, otorhinolaryngological and vestibular examinations, as well as the Dizziness Handicap Inventory, Berg Balance Scale, SF-36 questionnaire, and the SRT, applied before and after rehabilitation with virtual reality games. **Results:** Final scoring for the Dizziness Handicap Inventory and Berg Balance Scale was better after rehabilitation. The SRT showed a significant result after rehabilitation. The SF-36 showed a significant change in the functional capacity for the Tightrope Walk and Ski Slalom virtual reality games ($p < 0.05$), as well as in the mental health aspect of the Ski Slalom game ($p < 0.05$). The Dizziness Handicap Inventory and Berg Balance Scale showed significant changes in the Ski Slalom game ($p < 0.05$). There was evidence of clinical improvement in patients in the final assessment after virtual rehabilitation. **Conclusion:** The Tightrope Walk and Ski Slalom virtual games were shown to be the most effective for this population.

Keywords: Parkinson's disease; postural balance; quality of life; vestibular nuclei.

RESUMO

Objetivo: Verificar a eficácia dos exercícios de equilíbrio com realidade virtual (RVi) na doença de Parkinson. **Métodos:** Dezesesseis pacientes foram submetidos a uma anamnese, exames otorrinolaringológico e vestibular, ao Dizziness Handicap Inventory (DHI), Escala de Equilíbrio de Berg (EEB), questionário SF-36 e o Teste de Sentar e Levantar (TSL) que foram aplicados antes e após a reabilitação com RVi. **Resultados:** Os resultados dos escores finais do DHI e EEB foram melhores após a reabilitação. O TSL apresentou resultado significativo após a reabilitação. O SF-36 demonstrou alteração significativa da capacidade funcional para os jogos Tightrope Walk e Ski Slalom ($p < 0,05$) e da saúde mental para o jogo Ski Slalom ($p < 0,05$). O DHI e EEB apresentaram alterações significativas no jogo Ski Slalom ($p < 0,05$). Houve melhora clínica evidente dos pacientes após reabilitação virtual. **Conclusão:** Os jogos virtuais Tightrope Walk e o Ski Slalom foram os mais eficazes.

Palavras-chave: doença de Parkinson; equilíbrio postural; qualidade de vida; núcleos vestibulares.

The prevalence of degenerative diseases, such as Parkinson's disease (PD) and Alzheimer's disease, has become more and more significant¹ with the increase in life expectancy observed worldwide. Parkinson's disease is a neurodegenerative disease typically diagnosed from a combination of motor symptoms that include bradykinesia, stiffness, tremor and postural instability². The characteristic functional disorder is a dopaminergic deficit secondary to the degeneration of the substantia nigra *pars compacta*. The specific cause of this degeneration is obscure and is probably multifactorial,

including genetic predisposition, oxidative stress, and environmental factors, among others³.

The age group usually affected is between 50 and 70 years old, peaking in the 60s. The incidence in males is slightly higher than in females. Patients younger than 40 years old, and even 21-year-olds, may suffer from this disease. The latter case refers to juvenile PD and the former one to early onset PD⁴.

In the mostly-affected older PD population, there is an impairment in the ability of the central nervous system (CNS) to process vestibular signals (visual and proprioceptive)

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Conflict of interest: There is no conflict of interest to declare.

Received 02 August 2017; Received in final form 16 November 2017; Accepted 18 November 2017.

responsible for balance, with a decrease in the capacity to change postural adaptive reflexes. Symptoms such as vertigo and/or dizziness and balance problems are implicated in these disorders⁵.

Postural stability depends on the integrity of the vestibular system (labyrinth, vestibulocochlear nerve, nuclei, pathways and interconnections within the CNS), on the somatosensory system (sensory receptors located in the tendons, muscles and joints), and on vision. In PD, postural instability occurs as the disease advances, causing functional dependence, increasing the risk of falls and mortality among the elderly population^{5,6}.

The object of vestibular rehabilitation is to modify the postural control system by means of specific and repetitive physical exercises in different conditions. These exercises have been identified as acting physiologically on the vestibular system, and are considered a therapeutic device for its activation, based on the central mechanisms of neuroplasticity, known as adaptation, habituation and substitution, to obtain vestibular compensation^{7,8}. Vestibular rehabilitation, by means of virtual reality (VR) involves immersion into an imaginary world; environmental perception is altered by an artificial stimulus generating a sensory conflict, which changes the gain of the vestibulo-ocular reflex. The use of VR as an instrument of assessment and/or rehabilitation enables the therapist to go beyond the constraints of the traditional tools, strengthening the effects of the already-existing interventions and maximizing their efficiency.⁹ In Brazil, the use of virtual games in rehabilitation has been demonstrated in some studies. However, there has been little discussion on game selection, although that is essential for the recommendation of its therapeutic use.

The objective of the current study was to verify the effectiveness of balance exercises using VR in patients suffering from PD.

METHODS

Participants and study design

This study was approved by the Ethics Board – Brazil Platform, number 832.502/2014 Sociedade Evangélica Beneficente, Curitiba, Paraná, Brazil and authorized by the patients in the free informed consent form.

A prospective observational cohort study was carried out. The sample comprised 16 patients (six females and 10 males) with PD, from the Internal Medicine Department, Hospital das Clínicas, and from the Association of Patients with Parkinson's Disease in the city of Curitiba, Paraná State, Brazil. The PD diagnoses were confirmed according to the criteria of the Queen Square Brain Bank, applied by the Association. The patients' ages were between 18 and 82 years (mean age of 57.5 years and standard deviation of 18.7

years). The mean duration of the disease was 5.1 years, standard deviation of 3.2 (minimum time of one year and maximum time of 11 years).

The study inclusion criteria were adult patients with PD, absence of middle-ear diseases, no use of gait devices, and not previously given any rehabilitative therapies. Patients excluded from the study had otologic disorders, were unable to understand and respond to simple verbal commands, or had severe visual deficits or other impairments that would prevent them from performing the proposed procedures.

Procedures

All patients underwent an otorhinolaryngological assessment, anamnesis and vestibular system screening with digital vector electronystagmography (Neurograff Eletromedicina Ltda, São Paulo/SP, Brazil). Subsequently, the Dizziness Handicap Inventory (DHI)¹⁰, Berg Balance Scale (BBS)¹¹, Medical Outcomes Study 36-Item Short-Form Health Survey (SF-36)¹² and the Sitting-Rising Test (SRT)¹³ were applied. The DHI, BBS, SF-36, and the SRT were applied before rehabilitation (1st assessment), and after the 20th session of rehabilitation (2nd assessment), with the aim of observing the post-intervention changes.

Dizziness handicap inventory

The DHI (adapted for the Brazilian population) is a questionnaire comprising 25 questions, divided into physical (seven questions), emotional (nine questions), and functional (nine questions) domains. The physical domain of the DHI examines the relationship between dizziness experiences and eye, head and body movements. The emotional domain assesses the fear of going out or staying home alone, shame about the clinical symptoms, concerns with self-image, difficulty focusing, feelings of incapability, depression, and problems with family and social relationships. The functional domain detects impaired performance in professional, household, social, and leisure aspects. Each item has three possible responses: "affirmative" (4 points); "sometimes" (2 points), and "negative" (0 points). Hence, the maximum score for the DHI is 100 points.

Berg balance scale

The BBS assesses functional balance performance in 14 activities of daily life wherein the participant performs a series of timed body-balance control tasks. Each item has an ordinal five-choice response scale ranging from 0 to 4 points, where 0 indicates the lowest level of balance function, and 4 the highest. It scores low, medium and high fall risk (100% fall risk).

Medical outcomes study SF-36

Intervention efficacy is often assessed by comparing pre- and post-intervention SF-36 results, as was done here. The SF-36 is a 36-item questionnaire that assesses quality of life. It comprises eight components: functional capacity, physical

aspects, pain, general health status, vitality, social aspects, emotional aspects, and mental health. Total SF-36 scores range from 0 to 100, with a higher score representing a better general health status.

Sitting-rising test

This assesses the ability and mobility of the lower limbs during sitting and rising actions.

Vestibular rehabilitation

Vestibular rehabilitation is a therapeutic method used in body-balance rehabilitation. Nintendo® Wii equipment, Wii-Remote and a Wii Balance Board were used. This platform measures the applied strength, and records slight balance changes by means of pressure sensors. At first, patients got familiar with the game and were instructed in the movements required to perform the test.

Four balance games were carried out (Soccer Heading, Table Tilt, Tightrope Walk and Ski Slalom) with strategies involving saccadic eye movements, optokinetic stimuli, head movement, static and dynamic balance, motor coordination, eye-foot coordination, circular pelvic movements, knee flexion-extension, ankle-hip movements, back-to-front and side-to-side movements and weight transfer, aiming at balance disorders and postural instability.

All patients carried out 20 VR sessions, 50 minutes each, twice a week, and were submitted to the same assessment questionnaires before, and after the end of the rehabilitation sessions.

Statistical analysis

Data analyses were carried out by means of Spearman's correlation tests and paired Student's t tests performed in Statistica 13.1 software. The significance level was 0.05 [5%] in all cases.

RESULTS

The most reported complaints in the anamnesis were: tremor and muscle stiffness (75% each), imbalance (62.5%), gait impairment and dizziness (43.7% each), as shown in Table 1.

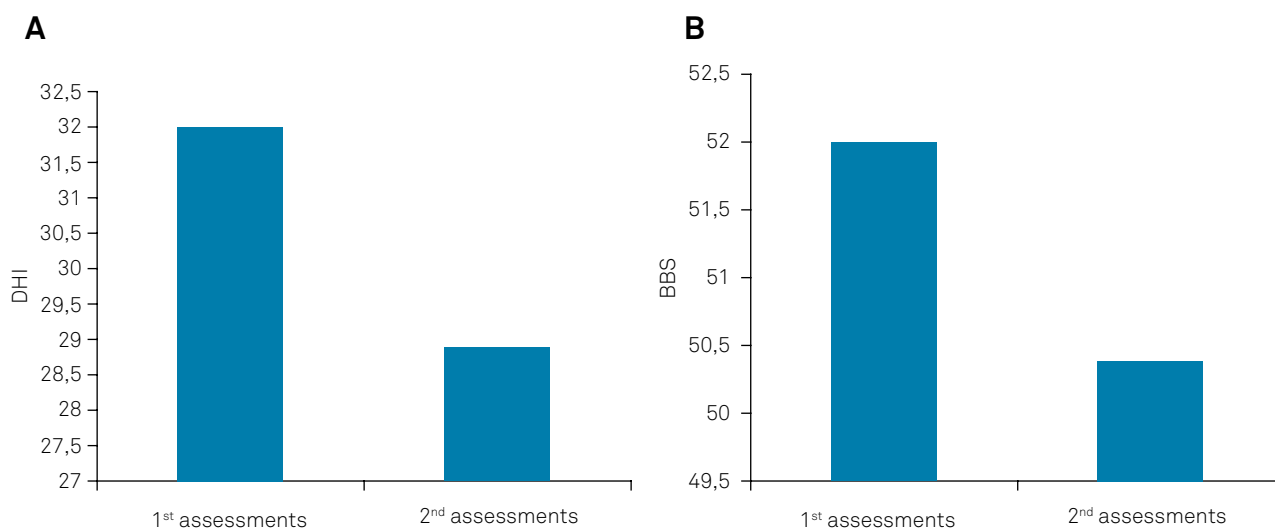
Vestibular screening was altered in five patients (31.2%), with three patients (18.7%) with peripheral vestibular deficit syndrome and two patients (12.5%) with peripheral vestibular irritated syndrome. Screening was normal in 11 patients (68.8%).

Final scores of the DHI and BBS questionnaires, comparing the 1st assessment with the 2nd assessment, are shown in Figure.

The SRT comparing the 1st assessment with the 2nd assessment, by applying the Student's t-test, showed a significant result ($p = *0.0222$), indicating an improvement in the ability and mobility of the lower limbs after training with VR.

Table 1. Symptoms in patients with Parkinson's disease (n = 16).

Signs and symptoms	n	%
Tremors	12	75.0
Muscle rigidity	12	75.0
Imbalance	10	62.5
Difficulty walking	7	43.7
Dizziness	7	43.7
Dysphagia	6	37.5
Paresthesia	3	18.7
Tinnitus	2	12.5
Dysarthria	2	12.5
Headache	1	6.2
Tiredness when speaking	1	6.2



Change both charts to read 1st assessments (not 1nd)

Figure. Final scores of the DHI (A) and BBS (B) (comparing the first assessment to the second assessment (n = 16).

The result of the correlation between the balance games (Soccer Heading, Table Tilt, Tightrope Walk and Ski Slalom) and the DHI, BBS and SF-36 in the 1st and 2nd assessments, can be seen in Table 2.

The application of the Spearman's Correlation Test (1st assessment) showed significant results for the Soccer Heading and Table Tilt games in the BBS ($p = *0.0059$) and ($p = *0.0396$) respectively, for the Tightrope Walk game in the BBS ($p = *0.0093$) and in the SF-36 – functional capacity ($p = *0.0454$); and for the Ski Slalom game in the DHI ($p = *0.0063$), in the BBS ($p = *0.0124$) and in the SF-36 – functional capacity ($p = *0.0120$).

The application of the Spearman's Correlation Test (2nd assessment) showed a significant result for the Tightrope Walk game in the SF-36 – functional capacity ($p = *0.0045$), and for the Ski Slalom game in the DHI ($p = *0.0061$), in the BBS ($p = *0.0466$), and in the SF-36 – functional capacity ($p = *0.0069$) as well as mental health ($p = *0.0116$). Comparing the results pre- (1st assessment) and post-rehabilitation (2nd assessment), there was significant improvement in the results of the applied questionnaires.

The comparative result between the virtual games (1st and 2nd assessments) can be seen in Table 3.

The Student's T-test showed a significant difference for the Tightrope Walk ($p = *0.0348$) and Ski Slalom ($p = *0.0053$) games, indicating that these games were more effective for this population in relation to the other games used in the rehabilitative therapeutics. This improvement was reflected in the daily practice reported by the patients in the face of the discomfort caused by the disease.

DISCUSSION

In the analysis of the anamnesis, a higher occurrence of tremor, muscle stiffness and imbalance was observed. Tremor is the initial symptom of PD, featuring resting tremor that affects the hands, initially unilaterally, possibly asymmetric, in about 70% of the patients. Generally, the tremor is reduced or disappears with posture and movement, but increases with stress⁴, which was observed in the current study when patients reported particularly stressful or worrisome events that made the tremor more visible. In some patients, stiffness caused painful sensations, especially in the arms and shoulders. Parkinson's rigidity is plastic or extrapyramidal (muscle hypertonia observed in extrapyramidal disorders), unlike

Table 2. Clinical assessments and virtual reality game performance (n = 16).

Assessment	Soccer heading		Table tilt		Tightrope		Ski Slalom	
	R	p-value	R	p-value	R	p-value	R	p-value
1 st assessment, pre-training								
DHI	0.3378	0.2007	0.4167	0.1083	0.4821	0.0586	0.6514	0.0063
BBS	0.6553	0.0059	0.5186	0.0396	0.6274	0.0093	0.6083	0.0124
SF36 – FC	0.0370	0.8918	0.3752	0.1522	0.5063	0.0454	0.6105	0.0120
SF36 – FA	0.2147	0.4245	0.2639	0.3233	0.3815	0.1448	0.1689	0.5317
SF36 – PA	0.2769	0.2991	0.1050	0.6987	0.2635	0.3241	0.3398	0.1979
SF36 – GHS	0.0443	0.8706	0.0544	0.8413	0.1310	0.6288	0.1903	0.4803
SF36 – V	0.1890	0.4833	0.0917	0.7355	0.1088	0.6884	0.2469	0.3567
SF36 – SA	0.1487	0.5826	0.1684	0.5329	0.2377	0.3753	0.1441	0.5945
AF36 – EA	0.2977	0.2628	0.0032	0.9907	0.3570	0.1747	0.2129	0.4285
AF36 – MH	0.2084	0.4385	0.1785	0.5084	0.0522	0.8479	0.3530	0.1798
2 nd assessment, post-training								
DHI	0.1538	0.5694	0.2877	0.2798	0.4825	0.0584	0.6534	0.0061
BBS	0.4320	0.0947	0.2097	0.4356	0.3937	0.1313	0.5039	0.0466
SF36 – FC	0.4000	0.1247	0.4446	0.0844	0.6707	0.0045	0.6455	0.0069
SF36 – FA	0.0286	0.9163	0.1809	0.5027	0.0895	0.7418	0.3622	0.1680
SF36 – PA	0.0718	0.7916	0.1330	0.6233	0.1011	0.7096	0.0329	0.9038
SF36 – GHS	0.1304	0.6303	0.1235	0.6486	0.0052	0.9847	0.2828	0.2886
SF36 – V	0.0520	0.8482	0.3779	0.149	0.1492	0.5814	0.2660	0.3194
SF36 – SA	0.1882	0.4851	0.0688	0.8001	0.3741	0.1534	0.1590	0.5564
SF36 – EA	0.3676	0.1613	0.2204	0.4121	0.0847	0.7552	0.2310	0.3893
SF36 – MH	0.1481	0.5841	0.3802	0.1463	0.3107	0.2415	0.6129	0.0116

R: Spearman's Correlation test; DHI: Dizziness Handicap Inventory; BBS: Berg Balance Scale; SF 36: Short Form; FC: functional capacity; FA: physical aspects; PA: pain; GHS: general health status; V: vitality; SA: social aspects; EA: emotional aspects; MH: mental health. Significant p-values are in bold.

Table 3. Virtual reality game performance (n = 16).

Game	1 st Assessment		2 nd Assessment		p-value
	Mean	SD	Median	SD	
Soccer heading	27.7	18.6	42.3	25.0	0.1129
Tightrope walk	19.3	6.1	25.7	10.4	0.0348
Table tilt	73.3	14.5	66.1	18.8	0.1622
Ski slalom	34.6	8.8	55.3	26.2	0.0053

SD: standard deviation; p < 0.05 was considered significant. Significant p values are in bold.

the elastic rigidity typical in injuries of the pyramidal system. It can be unilateral or bilateral, affecting all muscles indiscriminately. Rigidity and tremor are the initial symptoms of the disease in most cases². Imbalance occurs due to the loss of the postural reflexes. Muscle and joint proprioception, the vestibular system and vision are some of the input sources for the extrapyramidal system to control the postural tonus¹⁴. Meneses and Teive¹⁴ reported that these signs and symptoms are typical and result from a deficit of dopamine in the substantia nigra. This disease affects the extrapyramidal system, comprising the thalamus, cerebellum and basal ganglia. Dopamine deficiency causes nigrostriatal pathway dysfunctions and subsequent disorder in the basal ganglia circuitry, causing motor disorders due to the hypoactivity of the direct basal ganglia pathway and the hyperactivity of the indirect basal ganglia pathway, resulting in an alteration of the thalamic and cortical pathway. The aging of the sensory systems results in nerve cell loss, beginning in the 60s and progressing beyond the 70s¹⁵.

An efficient way to measure intervention success is through the systematic use of evaluation instruments. In this study, the DHI, BBS, SF-36 and SRT were applied before the intervention (1st assessment) and after the intervention (2nd assessment).

It is believed that the reduction in the total scoring of the DHI in the second assessment indicated that, through the use of VR, benefits were achieved, reported by patients who felt better – in their self-perception assessment – from the incapacitating effects of dizziness, in spite of its occurrence in 43.7% of the reported complaints. This result corroborated those of Zeigelboim et al.¹⁶, who assessed 12 patients with PD featuring peripheral vestibulopathy (83.3%) and reporting significant improvement in the total scoring of the DHI.

The BBS, which initially featured a high fall risk, showed an improvement in the fall risk after the exercises. This result conforms with researchers¹⁷⁻¹⁹, who observed the beneficial effects of cognitive-motor training by means of virtual games in patients with PD, with increased scores in the BBS and Dynamic Gait Index scales. Santos et al.²⁰ observed improvement in balance and reduction in the fall risk by applying the BBS post-VR in patients with traumatic brain injury. Zeigelboim et al.²¹ applied the BBS before and after using Wii Fit® virtual games (Bird's-Eye, Bull's-Eye, Big Top Juggling and Hula Hoop) in four cases of spinocerebellar ataxia, and

showed improvement in the high fall risk scores, which changed into low fall risk scores in three patients, with only one patient remaining a high fall risk.

One of the ways to evaluate individuals' independence and functional capacity is by means of the SF-36 questionnaire. In the current study, higher alterations in the patients' functional capacity and mental health in relation to the other assessed aspects were observed between the pre- and post-training assessments. It was also observed that there was a significant improvement in the ability and mobility of the lower limbs, shown in the SRT outcome, with a reduction of the performance time for movement, after carrying out the VR exercises. This showed that the games used acted on the muscle strength, as evaluated by the patients' improvement. We did not find any scientific literature on the SF-36 and SRT, so we could compare our findings.

By comparing the virtual games used with the results found in the DHI, BBS and SF-36, we found significant results in the 2nd assessment of the Tightrope Walk and Ski Slalom games, which used general strategies of motor coordination, static and dynamic balance, eye-foot coordination, optical kinetic stimuli, flexion and extension of ankles, knees and hip, and side-to-side and pelvic girdle movements. In light of the outcomes, we can conclude that these two games proved to be the most effective for balance training in this population, showing significant results after the 20th rehabilitative therapy session. This suggests that these games may have a high therapeutic potential, and may be recommended as an alternative for motor training in PD. Silva et al.²² reported that virtual environments are designed to be more pleasant than the conventional rehabilitative methods. The introduction of game elements and the immediate feedback on the performance increases motivation, encouraging a higher number of repetitions. In another study, Rojas et al.²³ used the Ski Slalom virtual game to identify the efficacy of this therapeutic treatment on the postural control in participants without neurological disorders. The authors used the Wii® Balance Board for data collection on pressure center displacement, as well as movement analysis. The results showed that the game could improve the range of pressure center displacement, suggesting that further studies should be carried out in patients with disorders in motor control processing. Sánchez-López et al.²⁴ used several games from the Wii® Fit Plus (Free Run, Soccer Heading, Penguin Slide, Hula Hoop, Island Cycling, Perfect 10, Table Tilt, Free Steps and Balance Bubble) in the balance rehabilitation of the elderly. These games fostered saccadic, optokinetic stimuli, smooth pursuit movements, in addition to fine tuning of the pressure center, and training of responsive ankle and hip strategies through a strength training platform, thus enhancing body balance. The authors reported that the improvement could be explained by the process of brain plasticity where there was habituation of the vestibular system due to the repetitive response to the proposed exercises. Mendes et al.²⁵ used virtual games

from the Xbox Kinect[®], Microsoft, such as Space Pop, 20,000 Leaks, Reflex Ridge and River Rush, which require movements of several body segments in tasks with different levels of cognitive and motor complexity, in seven patients with PD, and observed performance improvement in all the games performed after 14 sessions. The authors reported that the performance enhancement seen suggests that the motor and cognitive skills demanded by the games, impaired in patients with PD, may have increased the potential of their training with the visual and auditory tracks.

Other authors^{26,27} used a protocol with balance exercises from the Wii Fit[®] and observed balance improvement, which suggested that this could be an important technological tool, with the advantage of stability and convenience, and a good cost-benefit relationship, enabling training without a fall risk.

Virtual reality uses stimuli that simulate real life situations, fostering and accelerating the natural mechanisms of neuroplasticity in the CNS by means of specific eye, head and body exercises, correcting or supplying altered or absent sensory information⁷. For each stimulus, a new interaction is needed for every new learning task, and new nerve circuits are activated and new synapses formed. Nerve tissue injury makes healthy nerve cells take over the function of the faulty ones, as far as possible²⁸.

We observed in the current study that VR improved balance, generating positive effects on the patients' self-confidence, influencing their quality of life. These results corroborate several studies already reported. Virtual reality technology is an extremely important additional

resource to add to the existing conventional ones. Several possibilities for applying this technology are indicated for a diversified number of neurological diseases and physical disabilities.

In this study, attempting to overcome the limitations of conventional interventions, the technology used in VR proved to be an important neurological rehabilitative tool. This technology may overcome physical disabilities in many cases; especially through its motivational factor when patients perceive their constraints and motor gains.

According to the patients' daily reports, improvements in their proprioception and perception of their spatial body position were observed. As their body adapted to the visual stimuli, movements became better coordinated and, consequently, improvement in balance was observed.

The repetitive practice of motor and cognitive skills impaired in PD was added to the training in the current study, and showed the therapeutic advantages of VR. Repetition facilitates the production of movements by means of neurophysiological and behavioral changes arising from neuroplastic mechanisms, which are extremely important for motor skills relearning.

In conclusion, rehabilitation of the body balance by means of VR proved efficient in improving body balance and functional capacity, reducing fall risk, increasing self-confidence and enhancing the quality of life of patients suffering from PD.

The Tightrope Walk and Ski Slalom virtual games were shown to be the most effective for this population.

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