# Performances on the Timed Up and Go Test and subtasks between fallers and non-fallers in older adults with cognitive impairment

Performances no teste *Timed Up and Go* e subtarefas em idosos com comprometimento cognitivo, caidores e não caidores

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#### **ABSTRACT**

This work aimed to compare performances on the Timed Up and Go (TUG) test and its subtasks between faller and non-faller older adults with mild cognitive impairment (MCI) and mild Alzheimer's disease (AD). A prospective study was conducted, with 38 older adults with MCI and 37 with mild AD. Participants underwent an assessment at baseline (the TUG and its subtasks using the Qualisys ProReflex system) and the monitoring of falls at the six-month follow up. After six months, 52.6% participants with MCI and 51.3% with AD fell. In accordance with specific subtasks, total performance on the TUG distinguished fallers from non-fallers with AD, fallers from non-fallers with MCI and non-fallers with MCI from non-fallers with AD. Although no other difference was found in total performances, non-fallers with MCI and fallers with AD differed on the walking forward, turn and turn-to-sit subtasks; and fallers with MCI and non-fallers with AD differed on the turn-to-sit subtasks.

Keywords: aged; Alzheimer's disease; accidental falls; cognitive disfunction.

# **RESUMO**

O objetivo deste trabalho foi comparar o desempenho do Timed up and go test (TUG) e suas subtarefas entre idosos caidores e não caidores com comprometimento cognitivo leve (CCL) e doença de Alzheimer (DA) leve. Um estudo prospectivo foi conduzido, com 38 idosos com CCL e 37 com DA leve. Foi realizada uma avaliação inicial (TUG e subtarefas por meio do sistema Qualisys Pro Reflex) e um monitoramento de quedas por 6 meses. Após 6 meses, 52.6% pessoas com CCL e 51.3% com DA caíram. Em concordância com subtarefas específicas, a performance total do TUG distinguiu caidores de não caidores com DA, caidores de não caidores com CCL e não caidores com CCL de não caidores com DA. Embora nenhuma outra diferença foi encontrada na performance total do TUG, não caidores com CCL e caidores com DA apresentaram diferenças nas performances das subtarefas marcha ida, retornar e virar-se para sentar; e caidores com CCL e não caidores com DA diferiram na subtarefa virar-se para sentar.

Palavras-chave: idoso; doença de Alzheimer; acidentes por quedas; disfunção cognitiva.

Mobility impairment is common in older adults and one of the major causes of morbidity and mortality<sup>1</sup>. Among several mobility tests, the Timed Up and Go (TUG) test is simple, quick and widely used in clinical practice. Also, performance on the TUG can give added information about cognitive impairment and risk of falls<sup>23</sup>.

Previous studies have found differences in performance on the TUG between older people with mild cognitive impairment (MCI) and those with Alzheimer's disease (AD). However, this difference remains unclear when evaluating people with AD in the mild phase<sup>4,5</sup>. Regarding the risk of falls, the TUG is an accurate measure for screening risk of falls in community-dwelling older people<sup>2</sup>. Taylor et al.<sup>6</sup> found a relationship between worse performance on the TUG and risk of falls in cognitively impaired older people.

Prevention and understanding about falls in older people with cognitive impairment are important, since there is a high

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prevalence of falls in up to 60%, with serious consequences<sup>4,7</sup>. It is known that older people with a risk of falls and people with cognitive impairment present a worse performance on the TUG. Therefore, performance on the TUG, in people with MCI and mild AD, may be affected by the occurrence of falls. A better knowledge about these associations may be helpful for developing effective strategies to prevent mobility impairment. Also, not only the analysis of total performance on the TUG, but also performances on the TUG subtasks could facilitate the understanding of the risk of falls in older people with MCI and mild AD<sup>3,8</sup>.

The purpose of this study was to compare performances on the TUG and its subtasks between faller and non-faller older adults with MCI and mild AD. We hypothesized that the effects of MCI/mild AD on mobility are different in fallers and non-fallers.

# **METHODS**

# **Participants**

This was a six-month prospective study at the Federal University of São Carlos (São Paulo, Brazil) from 2014 to 2016. Community-dwelling older adults aged 65 years and older, who lived in São Carlos (Brazil), were recruited from health units and open universities for older adults. Inclusion criteria were the ability to walk at least 10 meters without a walking aid and a diagnosis of early-stage AD or MCI. Individuals with motor alterations after stroke, neurological disorders that interfered in cognition or mobility, advanced or moderate-stage AD and severe uncorrected visual or auditory disorders were excluded.

A neurologist confirmed the diagnosis of MCI and mild AD. For the diagnosis of MCI, we considered the following criteria: (1) cognitive complaint, reported by the participant or an informant (a person who stayed with the participant at least half a day, four days per week); (2) objective cognitive impairment not related to delirium, with a score of 0.5 on the Clinical Dementia Rating Scale<sup>9</sup>; (3) normal global cognitive function for the educational level, as assessed by the Mini-Mental State Examination; (4) preserved function, as assessed by the Pfeffer Scale; and (5) absence of clinical dementia<sup>4</sup>. The diagnosis of AD was based on the Diagnosis and Statistical Manual of Mental Disorders (fourth Edition)10. The following criteria was used for mild AD: (1) objective cognitive impairment not related to delirium, manifested by memory deficit and at least one other cognitive function (language, praxis, gnosis and executive function), as assessed by the Addenbrooke Cognitive Examination; (2) cognitive decline severe enough to interfere with functionality, as assessed by the Pfeffer Scale; and (3) a score of 1.0 on the Clinical Dementia Rating Scale<sup>4,9</sup>.

Ethical approval was obtained from the Federal University of São Carlos ethics research committee. All participants provided written informed consent. The volunteers with mild

AD signed the informed consent, in the presence of an informant (a person who stayed with the participant at least half a day, four days per week).

#### Measures

Participants underwent an assessment at baseline, including anamnesis and performance on the TUG, and a monitoring of falls at the six-month follow up. With help of an informant (a person who stayed with the participant at least half a day, four days per week), age, gender, body mass index, years of schooling, number of diseases and medication and weekly caloric expenditure (Minnesota Leisure Time Activities Questionnaire score)<sup>11</sup> were collected.

## Performance on the TUG

The volunteers performed the TUG in comfortable clothing, their usual closed shoes and, if necessary, visual or auditory aids. The Qualisys ProReflex motion analysis system with seven cameras (1280 x 1024 resolution; 1.3 megapixels) was used to assess the TUG and a single assessor (good intrarater reliability) placed the reflective markers on specific anatomic points. Markers of iliac spines, iliac crests, heel and metatarsal were used to screen the pelvis and foot. Clusters with reflective markers affixed in a non-collinear form were placed on thoracic and lumbar areas and on distal thirds of the thigh and leg to screen the trunk, thigh and leg<sup>12</sup>.

The volunteers performed the TUG test using the standardized length of the highway (three meters)<sup>2</sup> and a chair 45 cm high with trunk support, 68 cm-high armrests and with an adapted design for capturing markers by the camera. The instructions were standardized for all participants to maximize the consistency of the mobility assessment in those with varying comprehension difficulties<sup>12</sup>. The participants performed the TUG six times due to capture field limitations. The first three trials recorded sit-to-stand, walking forward and turn-to-sit subtasks and the last three trials recorded turn-to-walk and walking back subtasks. The average of these trials was analyzed and participants could rest between trials if required.

Data were processed by the Qualisys Track Manager software (Qualisys AB) (sampling frequency: 120 Hz) and the Visual3D software (C-Motion, Inc, Germantown, MD). A MATLAB routine was applied to detect, separate and analyze the TUG subtasks (sit-to-stand, walking forward, turn-to-walk, walking back and turn-to-sit)<sup>13,14</sup>. The detection of each subtask has been described previously<sup>12</sup>.

We analyzed the following measures: total time and number of steps spent on the TUG; time and average velocity of the trunk (flexion/extension movement) during the sit-to-stand subtask; gait speed, number of steps, time and length of the first step during walking forward and walking back subtasks; time, number of steps and average velocity of the trunk (rotation movement) spent on the turn subtask; and time and average velocities of the trunk (flexion/extension and rotation movements) during the turn-to-sit subtask.

## **Falls**

Falls were monitored by a falls calendar and monthly telephone calls. The fall definition was "an event that results in a person coming to rest inadvertently on the ground or floor or other lower level, other than as a consequence of the following: sustaining a violent blow; loss of consciousness; sudden onset of paralysis; or an epileptic seizure" <sup>15</sup>. The person with the MCI participant confirmed all doubtful information, and the person with AD participant filled in the calendar and gave all the information.

After the follow-up period, the participant were divided into four groups: non-fallers with MCI, fallers with MCI, non-fallers with mild AD and fallers with mild AD. We considered a faller as a person who fell at least once during the follow-up<sup>2,7</sup>.

# Statistical analysis

Data were analyzed using SPSS statistics (20.0). The Kolmogorov-Smirnov test was used to determine whether data were normally distributed. Between-group analyses were examined using the Chi square test for categorical variables and the Kruskal-Wallis Test (post hoc: Mann-Whitney U test) for quantitative variables. Also, the ANCOVA test was applied to compare the TUG and its subtasks between faller and non-faller older adults (with cognitive condition as the confounding variable). The alpha level was set at 0.05.

#### **RESULTS**

Initially, 40 volunteers with MCI and 38 volunteers with mild AD were assessed. Six older people with AD received physical therapy prior to the study and during the follow-up. Three participants (MCI = 2, AD = 1) died before the

follow-up, so their data were not analyzed. After six months, 20 (52.6%) participants with MCI and 19 (51.3%) participants with mild AD fell at least once. Among the fallers with MCI, five fell once, four fell twice, five fell three times and six fell four or more times. Among the fallers with AD, six fell once, six fell twice, three fell three times and four fell four or more times. No significant difference in descriptive data and weekly caloric expenditure (Minnesota Leisure Time Activities Questionnaire score at baseline) was found between the four groups according to fall and cognitive status (Table 1).

Regarding the time/gait speed of the TUG and its subtasks, non-fallers with MCI spent significantly less time on the TUG compared with (i) non-fallers with AD, which is consistent with performances on all subtasks except the sit-to-stand subtask; and (ii) fallers with MCI, in accordance with performances on the walking forward, turn and walking back subtasks. Moreover, non-fallers with AD took more time to perform the TUG and turn-to-sit subtask compared to fallers with AD (Table 2).

Although no other difference was found in the TUG performance between groups, non-fallers with MCI had a lower time in the turn subtask and a higher gait speed in the walking forward subtask compared to fallers with AD. Still, fallers with MCI differed from non-fallers with AD when the time of the turn-to-sit subtask was analyzed (Table 2).

Regarding other mobility parameters of the TUG and subtasks, non-fallers with MCI showed a higher average velocity of the trunk (flexion/extension) in the sit-to-stand subtask than non-fallers with AD. Also, non-fallers with MCI performed the turn subtask with a higher average velocity of the

Table 1. Descriptive data of participants.

Variable, Md (IQR)	Non-fallers with MCI (n = 18)	Fallers with MC (n = 20)	Non-fallers with mild AD (n = 18)	Fallers with mild AD (n = 19)	p-value
Age (years)	72.5 (68.7–79.5)	77.0 (71.2-82.0)	78.0 (72.7-81.0)	79.0 (73.0-84.0)	0.253
Female gender, n (%)	16 (88.9)	16 (80.0)	11 (61.1)	10 (52.6)	0.058
Body mass index (kg/m²)	30.4 (27.6-32.8)	29.0 (25.1-31.1)	27.7 (22.1-32.3)	27.3 (22.7-30.4)	0.168
Years of schooling	4.0 (4.0-10.0)	4.0 (2.0-4.7)	4.0 (2.0-6.2)	4.0 (4.0-8.0)	0.474
Diseases (number)	2.0 (2.0-3.2)	3.0 (2.0-4.0)	3.0 (2.0-4.0)	4.0 (3.0-5.0)	0.070
Medication (number)	4.0 (2.7-6.5)	5.0 (3.0-7.0)	5.0 (2.7-6.0)	5.0 (4.0-6.0)	0.659
Minnesota	1,287.2 (355.0-2,314.0)	743.4 (174.6-1724.3)	353.8 (95.6-1018.4)	289.8 (0.0-1161.1)	0.138

Md (IQR): median (interquartile range 25%-75%); n (%): number of individuals (percentage); MCI: mild cognitive impairment; AD: Alzheimer's disease; kg/m²: kilograms per meter squared; Minnesota: Minnesota Leisure Time Activities Questionnaire score.

Table 2. Time/gait speed spent on the TUG and subtasks by group.

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Variable, Md (IQR)	Non-fallers with MCI (n = 18)	Fallers with MCI (n = 20)	Non-fallers with mild AD (n = 18)	Fallers with mild AD (n = 19)	p-value
TUG, total time (s)	12.4 (11.2-14.3)#,+	14.4 (11.8-17.2)#	17.9 (13.7–21.5)+,\$	14.0 (12.4-17.8)\$	0.003
Sit-to-stand, time (s)	0.8 (0.7-1.0)	0.9 (0.8-1.0)	0.9 (0.8-1.2)	0.9 (0.7-1.0)	0.390
Walking forward, gait speed (m/s)	0.5 (0.4-0.6)#,+,\$	0.4 (0.3-0.5)#	0.4 (0.2-0.5)+	0.4 (0.3-0.5)\$	0.034
Turn, time (s)	1.7 (1.2-2.3)#,+,\$	2.2 (1.9-2.8)#	2.5 (2.1-2.8)+	2.6 (2.1-2.8)\$	0.027
Walking back, gait speed (m/s)	0.7 (0.6-0.8)#,+	0.6 (0.4-0.7)#	0.5 (0.4-0.6)+	0.6 (0.5-0.7)	0.007
Turn-to-sit, time (s)	1.8 (1.6-2.3)+	2.0 (1.8-2.7)#	3.0 (2.1-3.9)+,\$,#	2.0 (1.8-2.5)\$	0.026

Md (IQR): median (interquartile range 25%-75%); MCI: mild cognitive impairment; AD: Alzheimer's disease; s: seconds; m/s: meter/second; &.#.+: same symbols mean difference between groups

trunk (rotation) and a lower number of steps than the other three groups. In the turn-to-sit subtask, non-fallers with MCI exhibited a higher average velocity of the trunk (flexion/extension) and a lower number of steps than the other two groups with AD, and non-fallers with AD showed a lower average velocity of the trunk (rotation) than the other three groups (Table 3). In accordance with the results described, no significant difference was found in performances on the TUG and its subtasks between faller and non-faller older adults (with cognitive condition as the confounding variable).

### DISCUSSION

Our aim was to compare performances on the TUG and its subtasks in the presence of MCI/mild AD and the occurrence of falls. In accordance with specific subtasks, total performance on the TUG distinguished fallers from non-fallers with AD, fallers from non-fallers with MCI and non-fallers with MCI from non-fallers with AD. Although no other difference was found in the total performance on the TUG, non-fallers with MCI and fallers with AD had different performances on the walking forward, turn and turn-to-sit subtasks; and fallers with MCI and non-fallers with AD differed on the turn-to-sit subtask. No difference was found between fallers with MCI and fallers with AD.

The high prevalence of fallers among people with MCI and mild AD is in accordance with previous studies<sup>7,16</sup>. Fall risk in older people with cognitive impairment seems to be more influenced by impaired balance, reduced functional mobility and depressive symptoms, while controlling for age,

years of education and cognition<sup>16</sup>. In the sample, only non-fallers with MCI did not have risk of falls, using a cut-off TUG score of 12.47 seconds for Brazilian community-dwelling older people<sup>2</sup>. The understanding about the risk of falls in specific cognitive impairment groups is important for a better targeting in preventing falls.

Previous works did not find any difference in the total performance on the TUG between older people with MCI and mild AD<sup>4,17</sup>. On the other hand, a retrospective study found significant differences in the TUG between MCI and mild AD community-dwelling older people comprising fallers and non-fallers<sup>18</sup>, with a cut-off score of 11.39 seconds (sensibility: 65%, specificity: 69%). Maquet et al.<sup>19</sup> verified a lower gait speed at usual pace in older people with mild AD compared to those with MCI, but none of the participants had reports of a fall in the previous six months. In the present study, non-fallers with MCI and non-fallers with mild AD showed differences in performances on the TUG and all subtasks, however fallers with MCI and fallers with mild AD had similar performances on mobility. Thus, the risk of falls should be taken into account when assessing differences in mobility between these two conditions.

In agreement with our results, Allali et al.<sup>20</sup> did not find an association between falls and gait speed at usual pace in older people with mild AD. In the present study, the difference in time spent on the TUG between non-fallers and fallers with AD was probably due to the performance on the turn-to-sit subtask. The turn-to-sit subtask can be complex and challenging for fallers with mild AD, as it involves transition and turning activities and requires a high level of executive function, attention, perception and orientation in space<sup>21</sup>.

Table 3. Other mobility parameters of the TUG and subtasks by group.

Variable, Md (IQR)	Non-fallers with MCI (n = 18)	Fallers with MCI (n = 20)	Non-fallers with mild AD (n = 18)	Fallers with mild AD (n = 19)	p-value
TUG					
Steps (number)	17.0 (14.2-18.0)	18.0 (16.0-21.0)	20.5 (15.5-24.0)	17.5 (15.0-22.2)	0.185
Sit-to-stand					
V of trunk, F/E (°/s)	42.6 (40.4-51.0)+	41.9 (32.4-50.8)	35.6 (27.6-40.7)+	41.0 (37.1-48.6)	0.012
Walking forward					
First step - time (s)	0.6 (0.5-0.6)	0.6 (0.6-0.7)	0.7 (0.6-0.7)	0.7 (0.6-0.7)	0.142
First step - length (m)	0.2 (0.2-0.3)	0.2 (0.1-0.3)	0.1 (0.1-0.3)	0.2 (0.1-0.2)	0.139
Steps (number)	4.7 (4.0-5.0)	5.0 (5.0-7.0)	5.8 (4.9-7.7)	5.3 (5.0-6.0)	0.073
Turn					
V of trunk, R (°/s)	76.0 (63.9-89.3)#,+,\$	62.4 (50.8-72.6)#	56.1 (44.6-65.0)+	58.8 (54.2-70.0)\$	0.003
Steps (number)	3.3 (3.0-4.3)#,+,\$	5.0 (3.6-5.0)#	5.0 (3.6-5.0)+	5.0 (4.3-5.0)\$	0.007
Walking back					
First step - time (s)	0.6 (0.6-0.8)	0.6 (0.6-0.7)	0.6 (0.6-0.8)	0.6 (0.5-0.8)	0.553
First step - length (m)	0.3 (0.2-0.4)	0.2 (0.2-0.3)	0.2 (0.2-0.3)	0.3 (0.2-0.4)	0.254
Steps (number)	4.0 (3.0-4.9)	5.0 (4.0-6.0)	5.0 (3.9-6.0)	4.3 (3.6-5.3)	0.146
Turn-to-sit					
$V$ of trunk, $F/E$ ( $^{\circ}/s$ )	38.3 (30.1-45.8)+,\$	31.6 (25.1-37.5)	26.3 (19.2-35.1)+	30.1 (23.6-37.0)\$	0.013
V of trunk, R (°/s)	41.1 (34.6-49.2)+	40.7 (35.5-43.8)#	29.3 (26.6-36.6)+,\$,#	38.9 (33.1-44.8)\$	0.014
Steps (number)	3.8 (3.0-4.0)+,\$	4.0 (3.7-4.7)	4.5 (4.0-5.8)+	4.0 (4.0-4.8)\$	0.016

Md (IQR): median (interquartile range 25%-75%); MCI: mild cognitive impairment; AD: Alzheimer's disease; o: degrees; s: seconds; m: meter; V: average velocity; F/E: flexion/extension movement; R: rotation movement; a.s., same symbols mean difference between groups.

Among older people with MCI, fallers and non-fallers had different performances on the TUG walking forward and turn subtasks. The results of the walking forward subtask are in accordance with previous studies, which found an association between falls and slow gait speed at usual pace in older people with MCI<sup>20,22</sup>. Altered performance on the turn subtask is associated with poor executive function in older people with MCI<sup>12</sup>. In addition, executive function is strongly associated with risk of falls among older adults<sup>23</sup>, which could justify the worse performance on the turn subtask in fallers with MCI. Other points could have influenced these results, such as different MCI subtypes, functional status and depressive symptoms<sup>24</sup>.

Non-fallers with mild AD had the worst performance on the turn-to-sit subtask and, in general, they took more time to perform the TUG compared to other volunteers. Fallers with mild to moderate AD seem to have more cerebral lesions and alterations in cognition than non-fallers<sup>25</sup>. Therefore, besides differences in performance on the TUG according to cognitive status, non-fallers with mild AD may have better perception of their own abilities and awareness of their alterations than fallers with mild AD, which could be associated with the longer time taken on the mobility performance.

The assessment of the TUG subtasks is especially important when analyzing both fall and cognitive status. In this work, non-fallers with MCI and fallers with AD, as well as fallers with MCI and non-fallers with AD, had differences only in specific subtasks and not in total performance on the TUG. Mancini et al. <sup>26</sup> found that the quality of turning mobility during daily life is associated with fall status and specific cognitive domains, including visuospatial and memory functions. More studies taking into account both fall and cognitive status are needed to better understand mobility impairment in older people.

The present study had some limitations, including the small convenience sample, physical limitation of the capture field and the non-application of the Minnesota Leisure Time Activities Questionnaire after the follow-up. Although all participants were able to walk at least 10 meters without a walking aid, rheumatologic/orthopedic conditions and absence of physical therapy treatment were not included as selection criteria because of difficulty of recruiting older people with MCI and mild AD in Brazil. Nevertheless, we used standardized procedures regarding the TUG (such as the length of the walkway and the dimensions of the chair) and reliable and reproducible tools to assess mobility, and we conducted a prospective analysis of falls. The present study gives new information on the associations between mobility impairment, falls and the presence of MCI/mild AD, which can be useful for developing effective strategies to screen and prevent mobility impairment and risk of falls in older people with cognitive impairment. The gait speed/time spent on the TUG and its subtasks distinguished older people's profiles according to fall and cognitive status, which are easier variables to collect than other mobility parameters analyzed in this study. New studies that combine diagnostic status and temporal parameters of each TUG subtask are needed to better predict the occurrence of falls in clinical practice.

In accordance with specific subtasks, total performance on the TUG distinguished fallers from non-fallers with AD, fallers from non-fallers with MCI and non-fallers with MCI from non-fallers with AD. Although no other difference was found in total performance on the TUG, non-fallers with MCI and fallers with AD showed different performances on the walking forward, turn and turn-to-sit subtasks; and fallers with MCI and non-fallers with AD differed on the turn-to-sit subtask. Fallers with MCI and fallers with AD had similar performances on the TUG and subtasks. The assessment of fall status is helpful for better understanding of mobility impairment in older people with MCI/mild AD. The analysis of the TUG subtasks is important in the differentiation of profiles according to both fall and cognitive status.

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