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Mobile Emergency Care Service: analysis of Brazilian policy

ABSTRACT

OBJECTIVE: To analyze the configuration of mobile emergency health care policy in Brazil.

METHODOLOGICAL PROCEDURES: The study was based on public policy analysis. Bibliographic and document review, analysis of official data and interviews with federal administrators related to formulation and implementation of the Mobile Emergency Care Service (SAMU) in Brazil in the 2000s were performed.

ANALYSIS OF RESULTS: Priority was given to SAMU at the federal level since 2003. During the first years of implementation, municipal level services predominated; in 2008, services with regional scope became more significant. Estimated coverage reached 53.9% of the population in 2009, in 20.5% of Brazilian municipalities. Implementation varied between States, and there were less advanced support ambulances than recommended, both nationally and in several States.

CONCLUSIONS: SAMU was adopted nationwide since 2003 upon development of federal norms. Implementation of the policy involves challenges, including adequate investment, integration of the service into an established urgent care network, arrangement of appropriate information systems and personnel capacity. Addressing these challenges will allow SAMU to become a key health care strategy in the unified health system.

DESCRIPTORS: Emergency Medical Services. Ambulances. Health Priorities. Unified Health System. Health Policy.

INTRODUCTION

The burden on emergency services has increased with demographic, epidemiologic and social changes. Therefore, many countries organize urgent care systems under different models.^{17,21,a} In general these systems show good results in terms of decreasing morbidity and mortality, and none of these models are proven more effective.^{17,21,16}

The French model allows for early initiation of treatment, critical in clinical emergencies, but has been criticized for trauma care delays in transportation to the final care location.²¹

The United States model, which is internationally influential, proposes rapid removal of the patient from location of care.^{17,21} Intervention is performed by emergency medical technicians and paramedics.

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Received: 6/9/2010

Approved: 11/14/2010

Artigo disponível em português e inglês em:
www.scielo.br/rsp

^a Emergency Medical Services Systems in the European Union: report of an assessment project coordinated by the World Health Organization. Copenhagen: Who Regional Office for Europe; 2008. [cited 2009 July 8]. Available from: http://www.euro.who.int/__data/assets/pdf_file/0016/114406/E92038.pdf

In Brazil, a large part of the population seeks care in hospital emergency rooms, despite the increased supply of primary care services since 1990. Therefore, hospitals are still important entry points for medical assistance, which can be explained by difficulties in access to primary, specialized and diagnostic services. Nonetheless, the sense of urgency for the patient may not be the same as for health professionals.⁸

According to Carret et al⁴ inadequate utilization of emergency services is harmful for seriously ill patients, who require timely care, and for non-critical patients, who are not guaranteed continuity of care when using hospital-based care. Access to primary health care reduces inappropriate use of emergency services only if the patient has rapid access to emergency services in primary care.⁴

A study to monitor primary care sentinel events in emergency rooms concluded that opportune medical assistance is fundamental and emphasized the need to overcome infrastructure deficiency, poor organization and insufficient resources for this level of care.¹⁸

A review of international literature on interventions for overburdened emergency services reports that efforts to resolve the current model of emergency care services in Brazil should be systematic and focus on users, with redefinition and integration of assistance, reorganization of schedules and new agreement of work processes.²

In Brazil, emergency care reveals structural deficiencies in the health system, such as: difficulties at various levels of care, lack of specialized beds, lack of knowledge of reference mechanisms and inadequate training of health professionals. In the beginning of the 2000s, the Ministry of Health began structuring a specific policy in this area.

Given the importance of the federal executive in defining health policy in Brazil,¹² we studied the factors that influence formation of national emergency care policy and its principal characteristics.

The current study objective was to analyze the formation of policy for emergency prehospital care in Brazil in 2000, with attention to the Serviço de Atendimento Móvel de Urgência (SAMU – Emergency Mobile Care Service).

METHODOLOGICAL PROCEDURES

The study was based on referential public policy analysis,^{9,19} and focused on SAMU policy formulation, content²⁴ and implementation in Brazil.

Our analysis of the introduction of SAMU into the federal agenda came from the approach by Kingdon,¹⁰ in which “agenda” includes a combination of themes

that seriously mobilize the attention of governmental agencies or associated people at a given moment. The study considered three relevant themes to define the agenda: problems (related to the recognition by governments of an issue as a problem), solutions (concerning the formation of alternatives by specialists) and policy (related to variables such as governmental or team changes). According to this approach, the convergence between the schedules opens a window of opportunity so that the theme enters the governmental agenda and translates to public policy.

The categories for analysis were defined as: introduction of SAMU in the federal agenda (precedents, influences, motives); policy content (proposal design, relation with other strategies); and implementation of SAMU (national development of services from 2004 to July 2009).

The methodological strategies were: bibliographic review; analysis of documents and federal norms; analysis of data provided by the Ministry of Health; and interviews with seven federal managers, selected according to official position in the Ministry and period (between 2003 and 2010), including two ministers of health, two federal secretaries from the highest level and three federal emergency coordinators. In the presentation of the results, participants are described only by type of position (respectively: minister, secretary and coordinator).

The term “urgency” is utilized generically in the article without differentiation from “emergency”, as done in federal documents.

The research project was approved by the Research Ethics Committee at the Escola Nacional de Saúde Pública Sérgio Arouca/FIOCRUZ (Process number 022.0.031.000-07).

ANALYSIS OF RESULTS

Policy formulation: entrance on agenda and design of SAMU

The construction of federal policy for attention to emergencies in Brazil involved three main moments: 1998-2002—first initiatives for regulation; 2003-2008—formulation and implementation of National Emergency Care Policy with prioritization of SAMU; and since the end of 2008—continuity of SAMU and implementation of *Unidades de Pronto Atendimento* (UPA – Emergency Care Units). Table presents the main federal norms regarding the design of emergency care policies and SAMU, from 1998 to 2009.

The first period (1998-2002) was characterized by the issuance of organizational norms for the implementation

of state systems for emergency care and publication of the first norm concerning mobile prehospital care. Although national policies for this issue did not exist, debates supported their formation with discussion of international experiences, specific municipalities and firemen involved in emergency care in Brazil.

Communities of specialists were involved in the formulation of alternative proposals for the field, including professional societies (medicine and nursing) and the Brazilian Network for Cooperation in Urgency and Emergency. Official documents and interviews mentioned the congress organized by this Network in 2000:

“The Health Care Secretary (...) came to the opening of the congress and said that there really was no established policy for emergency care in the Ministry and that he was open to discussion (...) we worked enthusiastically at the congress to make a report and create a national proposal to take to the Ministry.” (Coordinator)

The congress resulted in debates over the creation of federal policy in the following years, involving professionals in the field and the support of managers. It resulted in the publication of the Regulation of Emergency Care of State Systems in 2002, the basis for subsequent policy structure. There was not yet an area within the Ministry responsible for emergency care policy, nor financial instruments for operationalization.

The second period (2003-2008) was marked by the formulation of the National Emergency Care Policy and by the priority given to the SAMU, to be implemented as a first component of this policy. The main institutional changes in the period were: the formalization of a Emergency Care office by the ministry, the formulation of national rules for SAMU and the institution of specific financing mechanisms.

The National Emergency Care Policy of 2003 proposed the creation of state, regional and municipal emergency care systems guided by the principles of the *Sistema Único de Saúde* (SUS – National Unified Health System). This policy was structured in five categories: promotion of quality of life, organization of the services network, operation of regulatory centers, ongoing capacity-building and education and humanization of care.

The following components were considered in the organization of emergency care networks to assure continuity of care: fixed prehospital (basic health units and family health units, teams of community health agents, specialized ambulatory, diagnostic and therapy services and non-hospital emergency care units); mobile prehospital (SAMU); hospital; and post-hospital (household care, day hospital and rehabilitation projects).

According to participants, the introduction of SAMU on the agenda of federal priorities in 2003 was supported by previous discussions of specialists, by the existence

of municipal experiences and prior national regulation. Support of new federal directors, including the Minister of Health and the President was decisive:

“Minister Humberto Costa knew the prehospital service because he implemented it in Recife (...) defended the proposal for it to be the first component for the Ministry to implement in the emergency care field (...) It was a spectacular job by the director, secretary and the minister together with President Lula so that this project was prioritized by the coordinators and so we had resources to implement this service in Brazil. It was very gratifying when President Lula became very enthusiastic.” (Coordinator)

“There was some idealism by the president who said: I want this for the country. I had political support, and not just technical support that had been discussed, so it could be implemented at the national level.” (Coordinator)

SAMU remained on the federal agenda in subsequent ministerial administrations, which is demonstrated by: attention as a presidential goal; inclusion in presidential and ministerial speeches; expansion of services in the country; and recognition by study participants.

“The President asked me: ‘you do something to reach the goals established for SAMU’ (...) It was a continuing priority for the administration.” (Minister)

Justification for implementation of SAMU as a first step of the new policy was based on the argument that regulation centers would be important for organization of continuity of care in emergencies, since they could observe the care network of the health system. Performance data would be relevant for planning actions to increase and standardize access to emergency care in the country. Due to its mandate SAMU constituted a point of entry to the system, receiving population demand and demonstrating system deficiencies.

Due to the priority given to SAMU, the strategy involved tools of persuasion, such as federal norms and financial mechanisms. The SAMUs consist of regulatory centers and a team of ambulances, and they require qualified professionals and adequate equipment for emergency care. The centers can be activated by the 192 telephone number, and regulatory medics designate the appropriate ambulance for each case. In the Brazilian model, two main types of ambulances were adopted. Basic support ambulances include a driver, nurse technician and basic material for emergency first aid in cases without immediate risk of death, under the orientation of medics from the centers. Advanced support ambulances include a driver, medic and nurse, in addition to intensive care equipment for situations of imminent risk of death. Alternative modes of transportation were also proposed, such as ambulance boats, for riverside populations; motorcycle ambulances for

Table. List of the main federal norms for the National Urgencies Policies and the Emergency Mobile Care Services in Brazil.

Instrument/Year	Content
Norm 2923/1998	Institutes the support program for implementation of state systems of reference hospitals for urgent and emergency care.
Norm 479/1999	Creates mechanisms to implement state systems of reference hospitals in urgent and emergency care.
Norm 824/1999 ^a	Approves the standardization of pre-hospital care.
Norm 814/2001 ^a	Establishes concepts, principles and mandates in the medical regulation of urgencies. Establishes the standardization of Emergency Pre-Hospital Mobile Care Services in existence, as well as ones to be created.
Norm 2048/2002	Regulates the care of the State Systems of Urgency and Emergency; establishes principles and mandates; defines norms, criteria for functioning, classification and enrollment of emergency hospitals.
Norm 1863/2003	Institutes the National Emergency Care Policy (PNAU) to be implemented in all federal units, respecting the competencies of the three spheres of management.
Norm 1864/2003	Institutes the mobile pre-hospital component as a first step of PNAU, through the implementation of the Emergency Mobile Care Service (SAMU) in municipalities and regions in all of Brazil, under the domain of SUS.
Norm 2072/2003	Institutes the National Emergency Care Administration Committee and defines its assignments and responsibilities.
Decree 5055/2004	Institutes SAMU in municipalities and regions throughout Brazil and establishes the adherence process for these services.
Norm 1828/2004	Institutes financial incentive for adequate physical structures of the Centers for Emergency Medical Regulation in states, municipalities and regions across the country.
Norm 1927/2004	Establishes financial incentive for states and municipalities, enrolled in SAMU and accredited by the Ministry of Health, for adequate physical areas in the Centers for Emergency Medical Regulation in the country.
Norm 2420/2004	Establishes the Technical Group to evaluate and recommend intervention strategies for SUS to address sudden deaths.
Norm 2657/2004	Establishes the assignment of the Centers for Emergency Medical Regulation and the technical dimensions to structure and operate the SAMU Centers.
Norm 3125/2006	Institutes the QualiSUS Program and defines competencies. Establishes the mandate for structure and organization of emergency health care with a focus on stationary pre-hospital and hospital components of the Emergency Care Network.
Norm 491/2008	Institutes the Technical Assistance Assembly for CGUE/DAE/SAS/MS, to perform research, develop technical descriptions and terms of reference for the public procurement announcement of items related to SAMU.
Norm 2922/2008 ^a	Establishes mandates for the organization of regional networks for emergency care. Defines concepts, responsibilities and requirements for implementation of UPA in strategic locations to structure these networks.
Norm 2970/2008	Institutes technical and financial mandates to support the regionalization of the National SAMU Network.
Norm 2971/2008	Institutes and implements the motorcycle as a frontline intervention in all the SAMU Network and defines criteria and parameters for acquisition, utilization, financing and costs.
Norm 2972/2008	Guides the continuity of the QualiSUS Program, prioritizing the organization and qualification of regional networks for emergency care.
Norm 1020/2009	Establishes mandates for implementation of the pre-hospital component – UPA and SE – to organize regional networks of emergency care.

Source: SaudeLegis System. Available from: <http://portal2.saude.gov.br/saudelegis/LEG_NORMA_PESQ_CONSULTA.CFM>. Adapted by the author.

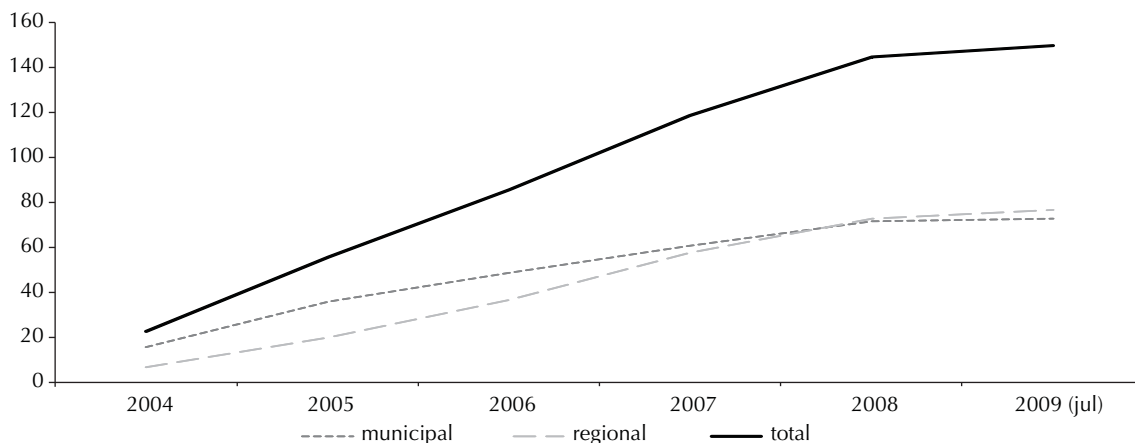
^a The indicated norms were revoked/substituted by subsequent norms.

QualiSUS: Qualification Program for Emergency Hospital Care in the National Unified Health System. CGUE/DAE/SAS/MS: Emergency and Urgent Care Coordination/ Department of Specialized Care/Department of Health Care/ Ministry of Health. UPA: Urgency Care Units. SE: Stabilization Rooms.

remote areas or with intense traffic; and air transportation for specific situations.

According to participants, although it was inspired by international experiences, the Brazilian SAMU model acquired its own characteristics:

“The French model is 100% medicalized, but we do not have the conditions to do this (...) we mirrored the French model a lot, but our SAMU is a Brazilian model: it is made in accordance with our conditions.”
(Coordinator)



Source: Urgency and Emergency Coordination/ Health Care Department/ Brazilian Ministry of Health.

Figure 1. Annual number of SAMU by coverage type. Brazil, 2004 to July 2009.

The idea of a first responder, although debated in Brazil at the end of the 1990s, was rejected when defining the strategy for SAMU, following resistance from professional societies and confirmation that the profession does not exist in the country:

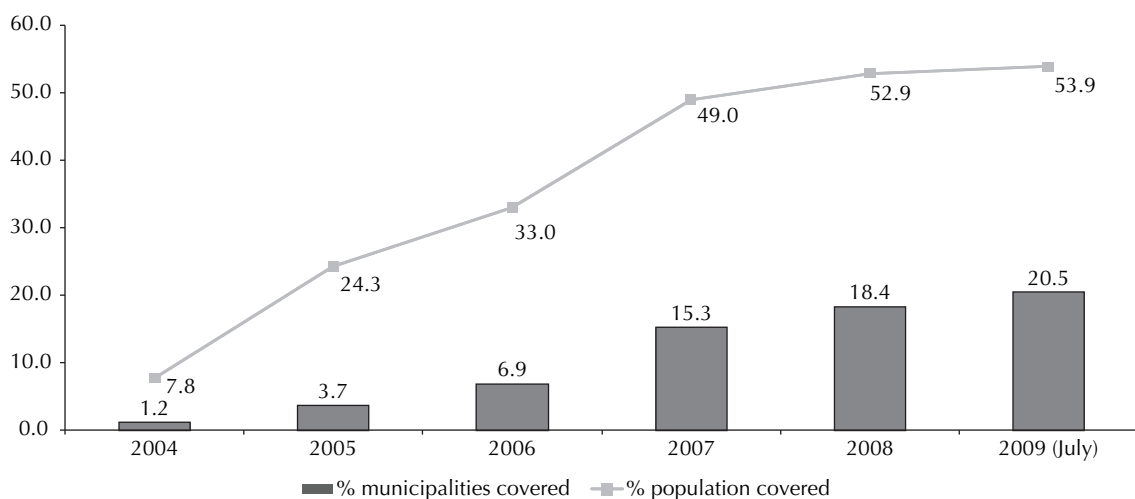
“We have nurses, nurse assistants, technicians (...) who have their fields and legislation. We do not have here legislation for first responders, as in the United States.” (Coordinator)

The design of the SAMU strategy planned on a federative arrangement for financing and management. Federal incentives were adopted for investment and costs, with a proposal for co-financing by other spheres of government whose adherence is fundamental for implementation of SAMU. Responsibility for management of the regulation center can be municipal or state,

and the coverage area can be municipal or regional, depending on institutional conditions and agreements in each state. During the beginning, adherence of isolated municipalities predominated, but later, preoccupation with the regional management of SAMU grew:

“Cities with a greater capacity to organize themselves, those with larger populations, ended up having the service. The smaller municipalities did not have the strength to organize (...) The states that had vision and were able to assume control of these networks had the most success (...) Today we have many regional SAMU.” (Coordinator)

“The limitation for SAMU is its municipal base. If it had a more established regional base with regional management...” (Secretary)



Source: Urgency and Emergency Coordination/ Health Care Department/ Ministry of Health and the Instituto Brasileiro de Geografia e Estatística.

Figure 2. Proportion of the population and municipalities covered by SAMU. Brazil, 2004 to July 2009.

“From now on, no SAMU project will begin unless it is regional.” (Coordinator)

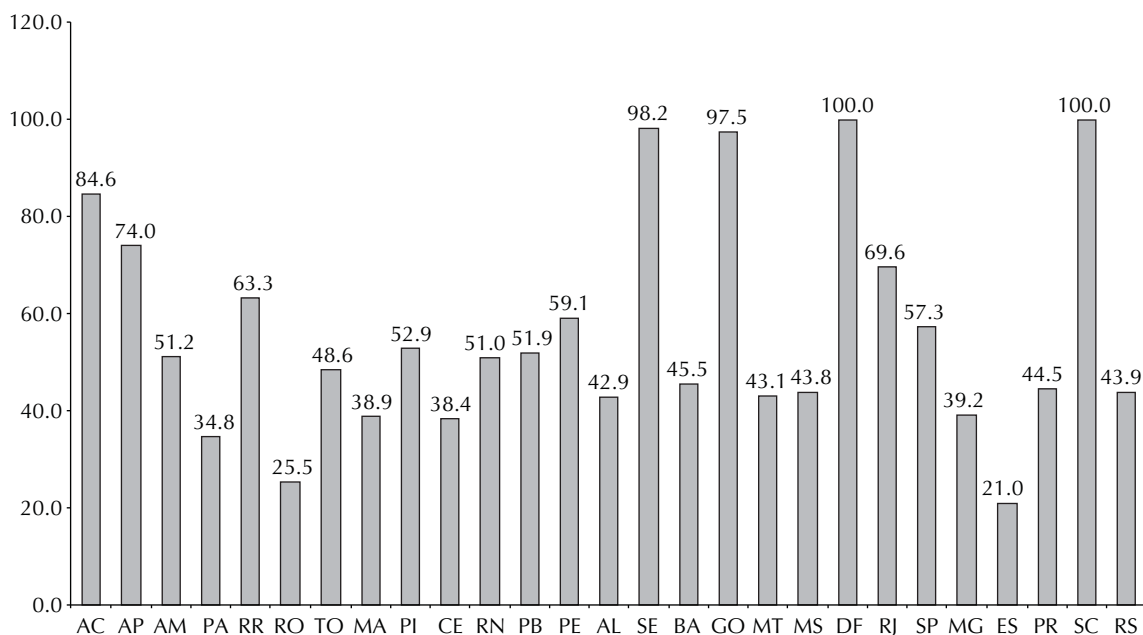
Management committees were also proposed, with the involvement of police, firemen, health managers and education managers, although participants recognized that inter-institutional capacity for planning is variable nationally.

An understanding of SAMU as a component of an emergency care network with defined service systems for given areas, has existed since 2002 and was again emphasized at the end of 2008 by federal norms. The policy focus shifted to other strategies, with emphasis on UPA:

“In national policy for emergency care, there are two other important components. QualiSUS finances emergency rooms in SUS, and verifies the quality of care. (...) Although there are non-hospital units that provide 24 hour emergency care throughout Brazil, since norm 2048 from 2002 we have described the non-hospital emergency care units (...) in December [of 2008] it materialized as the 24h UPA, emergency care unit”. (Coordinator)

A third moment appears to have begun since late-2008, when the UPA again gained attention as a fixed care prehospital component, mobilizing federal action in the normative, financial and policy spheres.

National implementation of SAMU



Source: Urgency and Emergency Coordination/ Health Care Department/ Brazilian Ministry of Health and the Instituto Brasileiro de Geografia e Estatística.

Figure 3. Proportion of the population covered by SAMU according to federal unit. Brazil, July 2009.

The number of SAMU inaugurated in Brazil increased progressively between January 2004 and July 2009, with slower increases the last year (Figure 1).

Regarding the type of coverage, regional SAMU surpassed municipal SAMU in 2008. This may indicate earlier adherence by large municipalities, as well as the subsequent concern of the Ministry of Health to stimulate the participation of states and the regional organization of SAMU in order to include smaller municipalities in the emergency care networks.

Figure 2 supports these hypotheses by showing that the proportion of the population covered is always greater than the proportion of municipalities with SAMU. Between 2007 and 2008, variation in the proportion of municipalities covered was greater than variation in population coverage, suggesting that smaller municipalities were incorporated. In 2009, the strategy already reached more than 100 million people or more than half the population residing in 20.5% of municipalities.

The population covered by SAMU is non-uniformly distributed across the national territory (Figure 3). This is related to the decentralized implementation of the strategy, dependent on adherence by states and/or municipalities, which have different situations in regards to: population distribution; previous supply of services and health system organization; and implementation capacity and prioritization for SAMU, considering responsibilities of management, co-financing and operationalization.

In 2009, the majority of ambulances in Brazil were for basic support; 21.5% were for advanced support. This proportion approximates the rate specified in the national regulation, which foresaw a ratio of one advanced support ambulance to four basic support ambulances. Nonetheless, considering the national recommendation of at least one advanced support ambulance per 450 thousand residents, the national average and the average in many states is below this parameter (Figure 4).

DISCUSSION

Before the 2000s, various municipalities recognized emergency care as a problem for SUS to address. These municipalities, under policy and administrative decentralization, sought to expand care and instituted local experiences.^{6,20,22}

Communities of specialists (societies and professional networks) developed alternatives for emergency care and found influence with the Ministry of Health in the beginning of the 2000s, resulting in normative principles for the field.

Nonetheless, the change of government in 2003 was decisive for SAMU to become a priority in the federal agenda. A window of opportunity opened for the proposals being debated to become national policy, with adoption of formal implementation tools (norms and incentives).

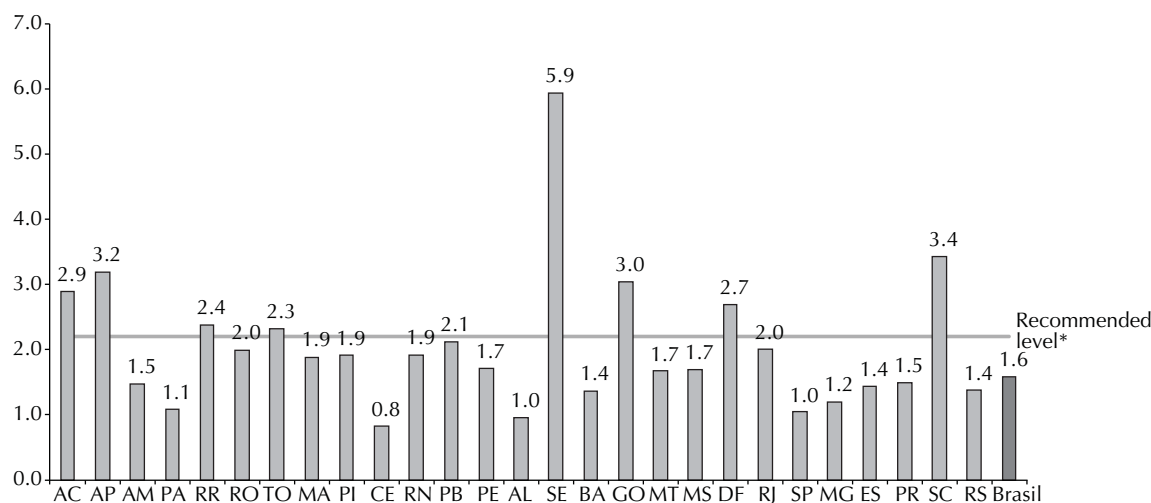
The large scope of national emergency policy launched in 2003 reveals a path dependency trajectory,¹⁵ since it

complies with the SUS directives and was supported by the existence of a 2002 norm that resulted from the maturation of debate over the previous years. In summary, institutional variables (national rules, local experiences) as well as policies (such as adoption of the policy by the government, high value by stakeholders) were important for SAMU to assume high priority on the federal agenda.

Given the major importance of the federal Executive Power¹ and the Ministry of Health in the establishment of policies in Brazil,¹² implementation of SAMU was significant. Nonetheless, as expected in the federative context, there are differences between states in population coverage and the characteristics of SAMU implementation. The diversity in implementation requires specific studies to understand the results of the strategy, opportunities and limitations.

Several countries adopted mobile prehospital care strategies as components of their emergency care system.^{17,a} Medical regulation of prehospital care can contribute to structure the relationships between services and patients, as well as identify determinants of problems and help to plan emergency care.²⁰ In Brazil, SAMU can support the organization of care networks, since it requires regulatory centers, which could stimulate strategies to regulate care in other areas.

Recent studies of implementation of SAMU show advances and problems concerning structural conditions,^{5,11,13} management,^{3,5,7,11} network integration,^{7,11,13} capacity-building for professionals⁵ and care practices.^{14,23} These studies suggest the persistence of limitations cited by participants of this study.



Source: Data provided by the Urgency and Emergency Coordination/ Health Care Department/ Ministry of Health and the Instituto Brasileiro de Geografia e Estatística.

Note: The national norm recommends an advanced support unit for each 450 thousand residents, corresponding to 2.2 advanced support units per 1,000,000 residents.

Figure 4. Number of advanced support ambulances per 1,000,000 residents, according to federal unit. Brazil, July 2009.

The organization of an integrated emergency care system requires greater public investment at various levels (basic, specialized and hospital care), development of services throughout the country and effective mechanisms for public regulation. The emphasis on specific policy components – SAMU, UPA – appears insufficient given the complexity of the problem.

Currently, different information systems exist in states and municipalities, but there are no consolidated data on the profile and outcomes of care provided by SAMU. This would be useful for planning actions to improve emergency care.

Another limitation is related to the training of professionals that work in regulatory centers and ambulances, due to the specificity of this type of care. Although they were specified by the policy framework, formation initiatives still have limited reach.

Addressing these limitations is fundamental for consolidation of SAMU as a structural strategy within SUS and a component of an integrated policy for emergency care, rather than just a high visibility program with a risk of limited effectiveness to resolve the health problems of the population.

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The research was funded by the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq - Process n° 565982/2008-7) and by the Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro (FAPERJ - Process n° E-26/102.283/2009).

Salvador FGF was supported by the CNPq (Scientific Initiation Grant).

The authors declare that there are no conflicts of interest.