

## **Functional Behavior of Patients with Conventional Pacemakers Undergoing Cardiac Resynchronization**

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#### Summary

Background: Cardiac resynchronization therapy (CRT) is an efficient treatment for patients with heart failure (HF), severe ventricular dysfunction and intraventricular block. Conventional pacemakers (CPM) implanted in the right ventricular apical area cause alterations in the normal sequence of cardiac activation similar to those induced by LBBB (left bundlebranch block). Therefore, patients with CPM and advanced HF could be candidates to undergo CRT, but as only small numbers of patients have been evaluated so far, definitive conclusions are lacking.

Objective: To assess the clinical and functional outcome of cardiac resynchronization therapy (CRT) in patients with conventional pacemakers.

Methods: Patients with CPM, who were in NYHA HF functional class III/IV class refractory to drug therapy, and left ventricular ejection fraction (LVEF) < 35% underwent CRT. Patients' clinical-functional behavior was assessed prospectively six months after the procedure. The improvement of one HF-functional class was set as an effective response to the procedure. The following was assessed: QRS duration (ECG), diastolic diameter (LVDd), left ventricular systolic diameter (LVSd) and LVEF seen on the echocardiogram. For the statistical analysis, Student's paired t test and Spearman's correlation were used.

Results: Twenty-nine patients (mean age 61.5) were evaluated. Of these, six were females, and chagasic cardiomyopathy was predominant. During the clinical follow-up of 22.7±13 months, 86.2% of the patients benefited from CRT. Within this group, the mean LVEF increased by 18% (p=0.013), QRS duration dropped by 11.8% (p=0.002) and no significant reduction in left ventricular intracavitary diameters was observed.

Conclusion: CRT is effective for patients with CPM and advanced HF as it yields a high rate of response (86.2%), significantly improves LVEF and reduces QRS duration. (Arg Bras Cardiol 2008; 90(2):138-143)

Key words: Pacemaker, artificial; bundle-branch block; heart failure, congestive; cardiac pacing, artificial.

#### Introduction

Intraventricular conduction abnormalities are frequent manifestations in heart failure (HF) patients<sup>1</sup>. In general, they are associated with the severity of impairment of cardiac function, and, in an independent manner, with higher rates of morbidity and mortality in this population<sup>2,3</sup>. Approximately 25% to 50% of advanced HF patients have increased QRS duration and most have a left bundle-branch block (LBBB) morphology<sup>1,3</sup>. LBBB is known to cause alterations in the left ventricular activation sequence, thus causing a delay between the interventricular septum and lateral wall contraction<sup>3</sup>.

Artificial right ventricular apical (RVA) pacing by conventional

pacemakers (CPM) triggers a ventricular activation sequence similar to that occurring in spontaneous LBBB<sup>4-6</sup>. Depending on the degree of myocardial impairment, this induced electrical dyssynchrony can cause mechanical ventricular dyssynchrony and aggravate progression of the underlying heart disease. This is due to the fact that, in the presence of a significant systolic dysfunction, the following occur: acceleration of myocardial cell injury; changes in ventricular geometry; atrial enlargement and worsening of mitral regurgitation with consequent hemodynamical deterioration<sup>6</sup>. The recent publication of the DAVID study<sup>7</sup>, MADIT-II<sup>8</sup>, Mode Selection Trial<sup>9</sup> and PAVE Trial<sup>10</sup> has shown clinical evidence of this. Subanalyses of these studies have linked artificial cardiac pacing with higher rates of hospital admissions due to HF, a larger number of cases of atrial fibrillation and mitral failure.

In the past few years, cardiac resynchronization therapy (CRT) has proven to be efficient to manage patients with advanced systolic HF, severe ventricular dysfunction and

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intraventricular block (QRS > 120 m). However, this scientific evidence is based on large-scale studies which, invariably, did not include patients with CPM<sup>11-14</sup>. In a study conducted with 10 patients with HF and CPM, Höijer et  $al^{15}$  have shown that the implantation of a biventricular system yields beneficial effects in clinical, functional and neuroendrocrine parameters. Other studies conducted with limited groups of patients have also shown similar results<sup>1,4</sup>.

The aim of this study is to assess the clinical and functional outcome of CRT in patients with HF and apical right ventricular CPM.

# Method Patients

Subjects for this study were selected from the 484-patient cohort using cardiac resynchronizer (**C**R) and being prospectively followed at the *Unidade de Estimulação Cardíaca Artificial do Instituto do Coração – HCFMUSP*, (Unit of Artificial Cardiac Stimulation of the Heart Institute of the Medical School of University of São Paulo) up to December 2004.

The inclusion criteria were based on the patients' clinical-functional characteristics before implantation of the CR: 1) LBBB induced by CPM; 2) dilated cardiomyopathy (NYHA functional class III/IV); 3) left ventricular ejection fraction (LVEF) ≤35%; and 4) refractoriness to optimized clinical treatment consisting of at least an angiotensin conversion enzyme inhibitor or an angiotensin receptor blocker, a betablocker and spironolactone, all administered at maximum doses, provided there was no contraindication. Besides the above mentioned drugs, diuretics, vessel dilators, digitalis, calcium-channel blockers and antiarrhythmic, and other drugs were also administered, according to the needs and tolerance of each patient.

Exclusion criteria were use of implantable cardioverter defibrillators, dysfunctional pacemakers, post-cardiac transplant, end-stage disease with survival expected to be less than one year, recent cardiovascular events (<3 months), severe obstructive pulmonary disease and patients who were being followed at other institutions.

The endpoint used to assess the clinical response to CRT, after a minimum period of six months of follow-up, was the improvement of at least one NYHA HF functional class. The following were also analyzed: 1) variations in QRS complex duration; 2) variations in LV diastolic and systolic diameters (LVDd and LVSd); and 3) LVEF behavior.

The assessments performed before implantation of the biventricular system were: full physical examination; determination of NYHA HF functional class; 12-lead electrocardiogram; transthoracic echocardiogram and digital phlebography of the upper limbs. The first clinical follow-up visits were carried out at the unit of artificial cardiac stimulation of the Heart Institute on the 10<sup>th</sup> and 30<sup>th</sup> days after CR implantation, and from then on, at three-month intervals. During the follow-up visits, patients underwent full clinical evaluation, electrocardiography and electronic checking of the pacing system.

#### Implantation of the cardiac resynchronizer

Before surgery, all patients underwent digital phlebography of the upper limbs for evaluation of vein patency and planning of the surgical strategy. Previous leads were maintained whenever possible provided they were working properly. The additional lead for stimulation of the LV was implanted preferably by intravenous access through the coronary sinus and positioned in veins that drain into the LV lateral or posterolateral walls. Subclavian/innominate vein stenosis associated with the previously implanted device was not a criterion for exclusion. When this was the case, a new biventricular stimulation system was implanted on the contralateral side; when the pacemaker generator was already implanted in the left thoracic area, patients underwent a minimally invasive left lateral thoracotomy to have the additional lead implanted in the left ventricle.

All pulse generators were replaced with resynchronization devices available on the market at the time of the study. For the first procedures performed, a Y-adaptor was used to connect the RV and LV leads. Later on, independent channel systems were used.

Biventricular pacing programming was done at the discretion of the clinical team in charge of artificial cardiac pacing. Atrioventricular and interventricular pacing intervals were programmed for each patient and guided by echocardiography.

#### Transthoracic echocardiography

Echocardiographic analysis was performed using M-mode to measure LVDd and LVSd in millimeters (mm), and bidimensional mode to assess LVEF percentage (%). The echocardiography unit of the Heart Institute was responsible for performing all exams in accordance with the guidelines set by the Brazilian Society of Echocardiography, and at the request of the team responsible for the outpatient follow-up. Post-CRT echocardiography was performed with a minimum six-month interval after the surgical procedure for the implantation of the biventricular system.

#### Twelve-lead electrocardiogram

The duration of the paced QRS complex (milliseconds) was assessed by a surface 12-lead electrocardiogram, calibrated at 0,1 mV/mm and chart speed of 25 mm/sec. The electronic measurement performed by the device, or done manually, was the smallest time interval from one pacemaker spike to the end of the QRS complex in any of the leads.

#### Statistical analysis

The rate of effective response to CRT was assessed by the calculation of the percentage of patients who had an improvement of one HF NYHA functional class. Spearman's rank correlation test was used to analyze the classificatory variables, and the paired Student's t test to analyze the variation in QRS complex duration and the behavior of echocardiographic parameters pre- and post-CRT. The *P* value was considered statistically significant when smaller than 0.05.

#### Results

Twenty-nine patients with LBBB induced by CPM were submitted to CRT timing optimization from March 1997 to December 2004. Table 1 displays the clinical-functional characteristics of the patients. The mean age of the group was 61.5 years and 79% were male patients. The predominant forms

Table 1 - Patient characteristics

Total number of patients	29
Age in years (SD)	61.5±17
Gender (%)	
Female	6 (21%)
Male	23 (79%)
Underlying heart disease (%)	
Chagasic	15 (52%)
Idiopathic	6 (21%)
Ischemic	5 (17%)
Valvar	2 (7%)
Congenital	1 (3%)
Primary indication for CPM (%)	
SND+AVB 1°	2 (7%)
AVB 2°	1 (3%)
AVB 3°	26 (90%)
NYHA HF functional class (%)	
III	25 (86%)
IV	4 (14%)
Cardiovascular medication (%)	
ACEI/ARA	25 (86%)
Betablocker	22 (76%)
Spironolactone	22 (76%)
Diuretics	25 (86%)
Digitalis	18 (62%)
Amiodarone	12 (41%)
Echocardiographic parameters (SD)	
LVDd (mm)	69.7±10
LVSd (mm)	63.8±7
LVEF (%)	27.7±4.8
Stimulation mode (%)	
Single-chamber (VVI)	11 (38%)
Dual-chamber (DC)	18 (62%)
Basal stimulated QRS	
Duration (ms)	185±34

SD - Standard deviation; SND - sinus node disease; AVB - atrioventricular block; ACEI/ARA - angiotensin conversion enzyme inhibitor/angiotensin receptor antagonists; LVDd - left ventricule diastolic diameter; LVSd - left ventricule systolic diameter; LVEF - left ventricular ejection fraction; HF - heart failure; ms - milliseconds.

of cardiomyopathy were chagasic (52%) and idiopathic (21%). As to heart failure functional class (HF-FC), 86% of the patients in the group were classified as being FC III. Cardiovascular drug therapy was optimized and over 75% of the patients were concomitantly on ACEI/ARA, betablockers and spironolactone. The mean values of ecocardiographic parameters were: LVEF: 27.7%; LVDd: 69.7 mm and LVSd 63.8 mm. Eighteen patients were in synchronized pacing mode (DDD) and the mean stimulated QRS duration was 185 ms. Time elapsed between implant of the first pacemaker and optimization for CRT was 114.3±80.3 months. Clinical follow-up after the surgical procedure was carried out over 22.7±13 months.

Coronary sinus catheterization for LV lead implantation was performed in 26 patients (89.6%); in 25 patients (86.2%), the device was successfully implanted through a transvenous access. In one patient, despite coronary sinus catheterization, the small number of tributary veins precluded lead fixation. This patient already had a previously implanted device in the left thoracic region, which required conversion to minimally invasive thoracotomy. Failure in coronary sinus endovenous catheterization occurred in three patients; in these patients, the LV lead was also implanted through a left lateral minithoracotomy.

The rate of subclavian/innominate vein stenosis that precluded the passage of the additional lead was 6.8% (two patients); decision was taken to implant a new pacing system on the contralateral side and discontinue the old device. There were no complications from the surgical procedure. Over the clinical follow-up (22.7 months), 86% of the patients had an effective clinical response to CRT. Moreover, most of them (82.7%) remained in NYHA functional class I/II (Figure 1). No significant changes were made in the drug therapy during the follow-up period.

The analysis of the echocardiographic parameters showed an 18% improvement in the patients' mean LVEF after CRT (P=0.013). No significant reduction of LV intracavitary diameters was observed; with CR, there was a variation of 3.5 mm in the LVDd (P=0.29) and 4.3 mm in the LVSd (P=0.15) (Figure 2). The mean time elapsed from CTR and the echocardiography performed after the procedure was 10.8 months.

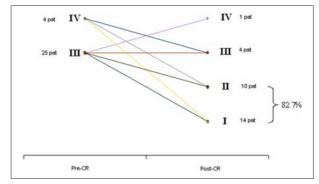


Fig. 1 - Behavior of patients's NYHA-HF functional class pre-and post-CR. After CR, 82.7% of the patients remained in HF-FC I/II. CR - cardiac resynchronization; HF - heart failure; FC - functional class; NYHA - New York Heart Association.

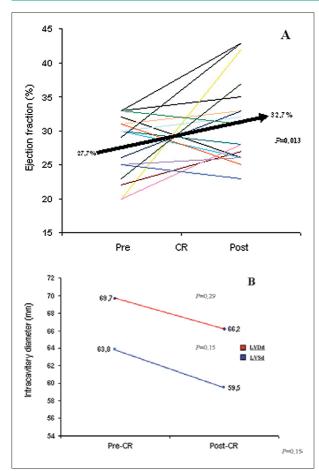


Fig. 2- Analysis of echocardiographic parameters pre- and post-CR. Chart A shows individual LVEF variations before and after CR. An 18% improvement in the group's mean LVEF was observed after CR (P=0.013). Chart B shows the variation (non-significant) in intracavitary diameters (LVDd/LVSe) after CR. LVEF - left ventricular ejection fraction; CR - cardiac resynchronization; LVDd - left ventricule diastolic diameter; LVSd - left ventricule systolic diameter; HF - heart failure; mm - millimeters.

After biventricular pacing, a significant reduction (11.8%) in the duration of QRS complex was observed (P=0.002). However, there was no correlation between the reduction in QRS duration and the clinical improvement or echocardiographic parameters.

#### **Discussion**

The findings in this study show that CRT is effective for patients with dilated cardiomyopathy refractory to clinical treatment and LBBB induced by CPM. This was one of the largest groups of patients with this type of profile described in literature, characterized by the high prevalence of chagasic cardiomyopathy (52%) and the long period of clinical follow-up after implantation of CR (22.7 months). These findings differ from similar studies in which idiopathic dilated cardiomyopathy was predominant and clinical follow-up was much shorter (6-12 months)<sup>11-13</sup>.

Over the past few years, CRT has been intensively evaluated in patients with spontaneous LBBB and advanced HF11-14.

However, ventricular dyssynchrony induced by CPM in the RV apical area still lacks scientific backing.

LBBB induced by CPM results in interventricular dyssynchrony and causes 30-180 ms delays in LV activation, as well as intraventricular dyssynchrony following variations in the mechanical activation sequence (apex-to-base rather than base-to-apex). Moreover, RV apical pacing leads to heterogeneous distribution of myocardial strain, thinning of the early-activated regions as opposed to the late-activated ones that become thicker. This regional heterogeneity results in LV remodeling, which ultimately leads to contractile and hemodynamic alterations, reducing its functional efficiency. Additional mechanisms, such as neuroendocrine alterations, alterations in L-type calcium channels, in expression of proteins, myofibrillar disarrangement, fibrosis, deposition in fat tissue, tissue perfusion defects and a varied degree of mitral regurgitation all directly contribute to impairment of cardiac function<sup>16</sup>.

A few drugs were initially used to correct these disturbances, especially beta-blockers; however, they did not show sustained effects<sup>17</sup>.

CRT began to be employed in 1996 as an invasive therapeutic alternative performed through lateral left thorocotomy and, therefore, did not stir much enthusiasm at first. The advent of the transvenous technique through catheterization of the coronary sinus and the development of LV leads made the procedure less invasive, and its indication has gradually increased worldwide. In this group of patients, the rate of severe stenosis of the venous system associated with the previously implanted device was low (6.8%), resulting in a high rate of success of the transvenous technique through catheterization of the coronary sinus (86.2%). Currently, approximately 95 cardiac ressynchronizers are implanted per year at the *Instituto do Coração – FMUSP*, with and without cardiac defibrillators, and 85% are performed by transvenous coronary sinus approach.

The classical trials that corroborated CRT as a supporting therapy for patients with severe HF and intraventricular conduction disturbances invariably report clinical and functional improvement11-14. In our study, the rate of clinical response to CRT was 86.2%, a value higher than those reported by Reuter et al (82.4%)18 and Molhoek et al (68%)<sup>19</sup>. All these studies used similar criteria. In our study, there was an improvement of 1 (one) NYHA HF functional class in 55.1% of our patients, and after CRT, 82.7% remained in functional classes I/II. Despite the apparent subjectivity of the method used for the evaluation of the clinical response, its validation is shown in the profile of the patients with limiting symptoms<sup>20-22</sup>. Other measurements of post-CRT clinical assessment, such as quality of life questionnaire, the six-minute walk or the ergoespirometric tests have not been used, and this constitutes a limitation of the study.

Most studies conducted to evaluate CRT effects considered the presence of intraventricular conduction disturbance seen on the surface ECG as a specific criterion to document dyssynchrony<sup>11-14</sup>. In these studies, the rate of non-response to cardiac resynchronization ranges from 20% to 30%<sup>23</sup>.

In our study, the rate of non-response to CRT was much lower (13.8%), and this may be explained by the homogeneity of the cardiac depolarization pattern. Indeed, right apical CPM induces, in a uniform manner, the apex-to-base/RV-LV activation. This modification undoubtedly accounts for the maximum degree of ventricular dyssynchrony, thus ensuring a significant favorable impact through CRT. In this sense, we observed that the mean QRS duration on the surface ECG (pre-CRT) was 179 ms, a mean value higher than that of all classical trials: 174 ms in MUSTIC<sup>11</sup>; 167 ms in MIRACLE<sup>12</sup>; 159 ms in COMPANION<sup>13</sup> and 160 ms in CARE-HF<sup>14</sup>. It is worthy mentioning that although this is not the reference pattern to detect ventricular dyssyncrony, there is a direct correlation between the latter and QRS duration<sup>1</sup>.

In our study, the post-CRT reduction in stimulated QRS complex (21.4 ms) did not correlate with the clinical response rate or with the improvement in echocardiographic parameters. This data is similar to that of several studies that evaluated reduction of stimulated QRS after CRT<sup>24</sup>. However, a different result is shown in an isolated trial by Horwich *et al.*<sup>1</sup>, in which a correlation was found between reduction in QRS duration after CRT (23.08 ms) and echocardiographic parameters.

In our study, the occurrence of reverse remodeling, as observed on echocardiographic modifications, was similar to that in other studies conducted with patients with both spontaneous and pacemaker-induced LBBB<sup>1,4,12,14,15</sup>. The most relevant finding was the 18% improvement in LVEF (*P*=0.013), which corresponds to a mean absolute increase of 5% in this parameter. In studies MIRACLE<sup>11</sup> and CARE-HF<sup>14</sup>, the mean absolute increase in LVEF was 4.6% and 6.9%, respectively, whereas Baker et al.<sup>3</sup> and Horwich et al.<sup>1</sup> reported a mean increase of 6%-7% in their evaluation of CPM patients who underwent CRT.

The reduction in intracavitary diameters observed in our study (3.5 mm in the LVDd and 4.3 mm in the LVSd), despite not being statistically significant, corroborates the effects of CRT on reverse remodeling. In this sense, taking into consideration a larger group of patients, in the subanalysis of echocardiographic parameters in the MIRACLE<sup>25</sup> study, a significant mean reduction of 3 and 6 mm in LVDd and LVSd was observed six months after CRT.

Clinical trials with patients with HF, ventricular dysfunction and post myocardial infarction have shown that the size and volume of the LV has prognostic value for the occurrence of adverse cardiovascular events, including worsening of HF-FC and sudden cardiac death. In these trials, attenuation of LV dilation or reverse remodeling seems to reduce the rates of these adverse events during clinical follow-up<sup>23</sup>. Therefore, reverse remodeling promoted by CRT can be one of the mechanisms associated with the benefits of this therapy.

However, new prospective larger-scale studies and with control groups are needed to further add to the results of this study. Moreover, with the continuous evolution of technology, relevant diagnostic tools such as tissue echocardiography and cardiac nuclear magnetic resonance should make criteria used for the selection of patients even more rigorous, and therefore, improve the cost-efficacy of CRT.

#### Conclusion

Patients with dilated cardiomyopathy, severe HF refractory to clinical therapy and pacemaker-induced LBBB (RV apical stimulation) benefit from cardiac resynchronization therapy. The rate of clinical responsiveness is high (86.2%), and a significant improvement of LVEF is observed on echocardiography (18%). Therefore, despite the need for larger-scale studies, our results show that, in this specific population, cardiac resynchronization is a feasible and highly effective therapy, and is not associated with surgical complications.

#### **Potential Conflict of Interest**

The author reports having received financial aid to attend conferences; financial support for other research projects from all pacemaker manufacturers (Biotronik, St. Jude, Medtronic); consulting and lecture fees from St. Jude.

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There were no external funding sources for this study.

#### **Study Association**

This study is not associated with any graduation program.

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