

# Comparison of Diagnostic Criteria for Acute Kidney Injury in Cardiac Surgery

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### **Abstract**

Background: There is considerable controversy regarding the diagnosis of Acute Kidney Injury (AKI), and there are over 30 different definitions.

Objective: To evaluate the incidence and risk factors for the development of AKI following cardiac surgery according to the RIFLE, AKIN and KDIGO criteria, and compare the prognostic power of these criteria.

Methods: Cross-sectional study that included 321 consecutive patients (median age 62 [53-71] years; 140 men) undergoing cardiac surgery between June 2011 and January 2012. The patients were followed for up to 30 days, for a composite outcome (mortality, need for dialysis and extended hospitalization).

Results: The incidence of AKI ranged from 15% - 51%, accordingly to the diagnostic criterion adopted. While age was associated with risk of AKI in the three criteria, there were variations in the remaining risk factors. During follow-up, 89 patients developed the outcome and all criteria were associated with increased risk in the univariate Cox analysis and after adjustment for age, gender, diabetes, and type of surgery. However, after further adjustment for extracorporeal circulation and the presence of low cardiac output, only AKI diagnosed by the KDIGO criterion maintained this significant association (HR= 1.89 [95% CI: 1.18 – 3.06]).

Conclusion: The incidence and risk factors for AKI after cardiac surgery vary significantly according to the diagnostic criteria used. In our analysis, the KDIGO criterion was superior to AKIN and RIFLE with regard its prognostic power. (Arq Bras Cardiol. 2013;101(1):18-25)

Keywords: Acute kidney failure; Cardiac Surgery; Hemodialysis; Coronary Artery Bypass.

#### Introduction

Every year approximately one million heart surgeries are performed worldwide and these surgeries cause pathophysiological changes in various organs. This fact is further aggravated by the progressive aging of the general population, bringing with it an increased prevalence of chronic diseases that increase the surgical risk<sup>1</sup>.

The probability for development of acute kidney injury after cardiac surgery varies from 1% to 30%, according to the diagnostic criterion adopted and the type of cardiac surgery performed<sup>2,3</sup>. The development of AKI has a deep impact on the prognosis of patients undergone cardiac surgery, with mortality estimated at 15% - 30%. It is interesting to note that even small increases in plasma creatinine are significantly associated with mortality<sup>3-8</sup>. Furthermore, the prognostic impact is even greater in

patients requiring dialysis, increasing in approximately eight times the risk of death, even after adjusting for other comorbidities and postoperative complications<sup>4</sup>.

Despite the recognized importance of acute kidney injure, one of the major problems in conducting studies on the subject is the lack of consensus regarding the diagnosis, as there are more than 30 different definitions<sup>9</sup>. Currently, three diagnostic criteria for AKI are highlighted: RIFLE ("Risk, Injury, Failure, Loss of kidney function and End-stage renal failure")<sup>9</sup>; AKIN ("Acute Kidney Injury Network")<sup>10</sup>, and KDIGO ("Kidney Disease: Improving Global Outcomes")<sup>11</sup>. The objective of the present study was to determine the incidence and risk factors for the development of AKI in the post cardiac-surgery accordingly to each criterion, as well as to compare the prognostic power of these criteria.

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## **Methods**

### Study design

We conducted a cross-sectional study with a prospective follow-up, which included 321 patients (median age 62 [53-71] years, 140 men) undergoing cardiac surgery, including valve replacement, myocardial revascularization or both (combined surgery) at the Dante Pazzanese Institute of Cardiology (DPIC), between June 3<sup>rd</sup>, 2011 and January 23<sup>rd</sup>, 2012.

#### **Patient Selection**

We included all patients between 18 and 80 years of age, consecutively admitted for myocardial revascularization surgery, valve replacement or both procedures (combined surgery) at DPIC. Exclusion criteria were age below 18 or above 80, surgeries to repair congenital heart diseases or aortic aneurysms and patients with serum creatinine equal or greater than than 2.5 mg/dL in the preoperative period. Patients were followed from the immediate postoperative period for up to 30 days in order to identify cases of AKI, as well as need for hemodialysis, extended hospitalization and death. Serum creatinine was measured preoperatively and daily after surgery for a period of seven days, by the Central Laboratory of DPIC. Glomerular filtration rate was assessed by the Cockroft-Gault equation. The pre- and perioperative data were collected by chart / surgery description review and the researchers had no influence on the management of patients. The study was approved by the Research Ethics Committee of DPIC.

## Definition of extended hospitalization, low cardiac output and acute kidney injury

The definition of extended hospitalization was based on the median and percentiles (8 [6-14] days) of elapsed time between cardiac surgery and hospital discharge of study participants; thus we defined "patients with extended hospitalization" as those with a post-operative hospitalization period equal to or greater than 15 days. The diagnosis of low cardiac output was based on the need to use at least three vasoactive drugs or hemodynamic support by means of an intra-aortic balloon during admission in the intensive care unit (ICU)<sup>12</sup>. AKI diagnosis was based on serum creatinine variations over a period of seven days, according to the three different criteria, as follows:

AKIN: Increase in serum creatinine equal to or greater than 0.3 mg/dL, or equal to or greater than 1.5 times the baseline creatinine level at "a 48-hour moving window<sup>10</sup>.

RIFLE: Increase in serum creatinine equal to or greater than 1.5 mg/dL above baseline creatinine for up to seven days<sup>9</sup>.

KDIGO: Increase in serum creatinine equal to or greater than 0.3 mg/dL in 48 hours, or equal to or greater than 1.5 times the baseline creatinine for up to seven days<sup>11</sup>.

### **Statistical Analysis**

Variables were represented by mean and standard deviation, median and percentiles (25-75) or absolute (n) and relative (%) value, as appropriate. Comparisons of variables between two different groups were performed by the Mann-Whitney test, for continuous variables, and Pearson chi-square test, for categorical variables. We conducted uni and multivariate logistic regression analyses to determine the factors associated with the development of AKI for each of the criteria studied. Survival analyses were performed by the Kaplan-Meier survival curves and the Cox proportional hazard model, using a composite outcome (death or need for dialysis in the first thirty days after surgery or prolonged hospitalization). Statistical significance was set at the level of P<0.05; and the statistical analysis was performed with SPSS 13.0 software (SPSS Inc., Chicago IL, 2004).

### Results

## General characteristics of the study population and the incidence of AKI

The demographic and clinical characteristics of patients included in the study are summarized in Table 1. It is interesting to note that Extracorporeal Circulation (ECC) was used in all patients and AKI incidence varied from 15% to 51%, depending on the diagnostic criterion adopted.

## Phenotype of patients diagnosed according to AKI diagnoses by the different criteria

Table 2 compares the demographic and clinical characteristics of patients according to the development of renal injury for each criterion evaluated. In summary, when using the AKIN criterion patients who developed AKI were older and had a lower glomerular filtration rate (GFR), in addition to a higher prevalence of insulin use, as well as, a higher prevalence of valve and/or combined surgery and low cardiac output. By RIFLE criterion, patients who had AKI differed from the others only by an older age and a higher prevalence of low cardiac output. Finally, when KDIGO criterion was applied, in addition to older age, patients who developed acute kidney injury also had a higher prevalence of insulin use and low cardiac output.

## AKI determinants after cardiac surgery

Aiming to determine the factors independently associated with the development of AKI using the different diagnostic criteria studied, we developed a series of logistic regression analyses, which are presented in Table 3. We noted that the factors independently associated with AKI development by AKIN criterion were age, use of insulin and valve surgery (pure and combined). Under the RIFLE criterion, the risk factors identified were age, duration of ECC and presence of low cardiac output. When the diagnostic of AKI was made by KDIGO criterion, the factors associated with increased risk were age, insulin use and low cardiac output.

Table 1 – Demographic and clinical characteristics of 321 patients undergoing cardiac surgery<sup>1</sup>

	Total Population (n = 321)
Pre-operativ	e
Age (years)	62 (53 – 71)
Men (n, %)	140 (44%)
BMI (Kg/m2)	27.1 ± 5.2
Diabetes (n, %)	95 (30%)
Previous AMI (n, %)	102 (32%)
Previous Cardiac Surgery (n, %)	39 (12%)
Use of Statins (n, %)	220 (69%)
Use of Insulin (n, %)	27 (8%)
Hemoglobin (g/dL)	$13.5 \pm 3.9$
GFR (ml/min)	73.9 (58.8 – 98.1)
Perioperativ	e
Type of Surgery (n, %) MR Valvular Surgery Combined Surgery	158 (49%) 139 (43%) 24 (8%)
Time of ECC (h)	1.5 (1.2– 1.9)
Volume Balance (ml)	-240 (-855 – 339)
Post-operativ	/e
Low cardiac output (n, %)	15 (5%)
Acute Kidney Ir	njury
AKIN (n, %)	163 (51%)
RIFLE (n, %)	48 (15%)
KDIGO (n, %)	62 (19%)

<sup>&</sup>lt;sup>1</sup> Data presented as average ± SD, median (percentiles 25-75) or absolute (n) and relative values (%).

### Association between diagnosis of AKI and events

During follow-up there were eleven deaths (seven from septicemia and four from cardiogenic shock), six patients required hemodialysis and 75 had an extended hospitalization, comprising a total of 92 combined events in 89 patients (Table 2). Patients with AKI diagnosed by AKIN, RIFLE and KDIGO criteria presented an increased risk for development of events in the Kaplan-Meyer analysis (figure 1). In univariate Cox analysis, patients diagnosed with AKI by AKIN (HR: 1.99; [95% CI: 1.29 – 3.08]), RIFLE (HR: 2.15 [95% CI: 1.32 - 3.51]) and KDIGO (HR: 2.45 [95% CI: 1.57 – 3.82] presented an increased risk for the development of adverse events and this association persisted even after adjustment for age, gender, diabetes and type of surgery. However, after further adjustment for extracorporeal circulation and the presence of low cardiac output, only the diagnosis of AKI by KDIGO criterion maintained this significant association (HR = 1.89 [95% CI: 1.18 to 3.06]), as shown in Table 4.

## **Discussion**

The incidence of acute kidney injury after cardiac surgery in our population was 15% by RIFLE criterion, 19% by KDIGO criterion and 51% by AKIN criterion. To the best of our knowledge, this was the first study to compare these three criteria in this kind of population. Patients with AKI diagnosis, regardless of the criterion used, had higher mortality and a higher risk of combined events (death, need for dialysis and/or extended hospitalization); our results are in agreement and support previous evidences regarding the poor prognosis associated with the development of AKI<sup>4,13-16</sup>. It is interesting to highlight, however, that two patients with AKI diagnosed by AKIN criterion, but without renal injury by RIFLE and KDIGO criteria, required dialytic therapy.

Despite all advances in knowledge regarding the pathogenesis and treatment of AKI, it is still a relatively common complication in critically ill patients and is associated with mortality independently of other risk factors<sup>4,9,17</sup>. In addition, there are still several controversies regarding the matter, particularly about the definition of AKI<sup>18</sup>. The lack of consensus leads to inaccuracy in data regarding the actual incidence of this complication, as can be confirmed by the large variation (1% - 30%) in the reported incidence of AKI post cardiac-surgery<sup>3</sup>. In fact, the incidence in our study showed a wide variation (15% - 51%) according to the criterion adopted. In order to compare the incidence of AKI described here with that of other populations undergoing similar interventions, we looked for studies that have used the criteria that we assessed. In this regard, when the AKIN criterion was evaluated, AKI incidence ranged between 26 % and 49 %16,19,20, while with RIFLE criterion the incidence varied between 19% and 30%<sup>19-21</sup>. We found only one study that had evaluated AKI incidence through KDIGO criterion and this was 15%22. Taken together, these data show that the incidence of AKI described here is comparable to those reported in the literature; however, it is also clear that even with the use of similar criteria, AKI incidence after cardiac surgery is still quite variable.

Among the clinical variables assessed, age was associated with AKI risk regardless of the criterion used, possibly reflecting the lower tolerance of older patients to hemodynamic and electrolyte fluctuations commonly observed with this type of surgical intervention<sup>13,15</sup>. Interestingly, the presence of diabetes mellitus was not associated with a higher risk of AKI in our patients, but the use of insulin increased this risk by more than three times by AKIN and KDIGO criteria, which probably indicates the existence of different degrees of risk related to the time of exposure and seriousness of diabetes<sup>2,23</sup>. Compared with myocardial revascularization, valvular and combined surgery increased the risk of AKI in the AKIN criteria, respectively, two to four times, reinforcing previous evidence pointing out the increased risk of renal injury in this type of surgery<sup>2</sup>, 12,24,25 The augmentation on ECC time increased by nearly two-fold the risk of renal function decline according to the RIFLE criterion; in fact, an ECC time above 90 minutes is related to an increased urinary excretion of renal tubular damage markers<sup>26</sup> and evidences show that surgeries without

BMI: Body Mass Index; AMI: Acute myocardial infarction, GFR: Glomerular filtration rate; MR: Myocardial revascularization; ECC: Extracorporeal Circulation.

Table 2 – Demographic and clinical characteristics of 321 patients undergoing cardiac surgery according to the presence or absence of AKI by different criteria<sup>1</sup>

	AKIN			RIFLE			KDIGO		
	AKI (-) (n = 158)	AKI (+) (n = 163)	р	AKI (-) (n = 273)	AKI(+) (n =48)	р	AKI (-) (n = 259)	AKI (+) (n =62)	р
Age (years)	60 (51 – 68)	65 (55 – 73)	0.001	61 (52 – 71)	67 (58 – 76)	0.003	61 (52 – 71)	65 (56 – 75)	0.006
Men (n, %)	70 (44%)	70 (43%)	0.806	120 (44%)	20 (42%)	0.768	113 (44%)	27 (44%)	0.991
BMI (Kg/m²)	27.4 (±5.3)	26.9 (±5.1)	0.369	27.3 (±5.2)	26.9 (±4.9)	0.473	27.2 (±5.2)	27.1 (±5.0)	0.559
Diabetes (n, %)	49 (31%)	46 (28%)	0.584	82 (30%)	13 (27%)	0.679	75 (29%)	20 (32%)	0.609
Previous AMI (n, %)	55 (35%)	47 (29%)	0.250	87 (32%)	15 (31%)	0.932	83 (32%)	19 (31%)	0.831
Previous Cardiac surgery (n,%)	20 (13%)	19 (12%)	0.784	34 (13%)	5 (10%)	0.690	29 (11%)	10 (16%)	0.286
Use of statins (n, %)	108 (70%)	112 (70%)	0.883	185 (69%)	35 (75%)	0.475	175 (69%)	45 (74%)	0.481
Use of insulin (n, %)	7 (4%)	20 (12%)	0.011	20 (7%)	7 (15%)	0.097	17 (7%)	10 (16%)	0.013
Hemoglobin (g/dL)	13.5 ± 5.2	13.4 ± 2.0	0.416	13.7 ± 4.2	13.1 ± 1.8	0.094	13.8 ± 4.3	13.1 ± 1.7	0.074
GFR (ml/min)	81.0 (67.1 – 102.7)	65.9 ( 53.0 – 94.2)	<0.001	74.0 ( 58.9– 96.8)	71.1 ( 54.7 – 108.6)	0.674	74.4 ( 59.1 – 97.0)	70.5 ( 55.5 – 105.8)	0.959
Type of surgery (n, %) MR Valvular Surgery Combined surgery	90 (57%) 64 (41%) 4 (2%)	68 (42%) 75 (46%) 20 (12%)	<0.001	135 (50%) 121 (44%) 17 (6%)	23 (48%) 18 (37%) 7 (15%)	0.314	129 (50%) 115 (44%) 15 (6%)	29 (47%) 24 (39%) 9 (14%)	0.185
Time of ECC (h)	1.4 (1.2– 1.8)	1.5 (1.2 – 2.1)	0.071	1.4 (1.2– 1.8)	1.5 (1.2 – 2.3)	0.206	1.4 (1.2– 1.8)	1.5 (1.1 – 2.3)	0.247
Volume Balance (ml)	-250 (-895 – 300)	-226 (-820 – 397)	0.567	-240 (-895 – 300)	-250 (-789 – 560)	0.432	-240 (-903 – 295)	-240 (-775 – 553)	0.275
Low Cardiac Output (n, %)	3 (2%)	12 (7%)	0.020	9 (3%)	6 (13%)	0.005	6 (2%)	9 (15%)	< 0.001
Extended hospitalization (n, %)	30 (19%)	45 (28%)	0.086	65 (24%)	10 (21%)	0.715	57 (22%)	18 (29%)	0.246
Hemodialysis (n, %)	0 (0)	6 (4%)	0.030	2 (1 %)	4 (8%)	0.005	2 (1%)	4 (7%)	0.014
Death (n, %)	1 (1%)	10 (6%)	0.011	3 (1%)	8 (17%)	<0.001	3 (1%)	8 (13%)	< 0.001
Combined events (n, %)	31 (20%)	58 (36%)	0.002	68 (25%)	21 (44%)	0.013	60 (23%)	29 (47%)	< 0.001

BMI: Body mass index; AMI: Acute myocardial infarction; GFR: Glomerular filtration rate; MR: Myocardial revascularization; ECC: Extracorporeal circulation <sup>1</sup> Data presented as average ± SD, median (25-75 percentiles) or absolute (n) and relative (%) values.

Table 3 - Risk factors for AKI in 321 patients undergoing cardiac surgery, according to different criteria

	AKIN		RIF	LE	KDIGO		
	Univariate	Multivariate	Univariate	Multivariate	Univariate	Multivariate	
	OR (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)	
Age (years)	1.03 (1.01 – 1.05)	1.03 (1.01 – 1.05)	1.04 (1.01 – 1.07)	1.04 (1.02 – 1.07)	1.03 (1.01 – 1.06)	1.03 (1.01 – 1.06)	
Men (n, %)	1.06 (0.68 – 1.64)		1.09 (0.59 – 2.04)		1.00 (0.57 – 1.75)		
BMI (Kg/m²)	0.98 (0.94 – 1.03)		0.99 (0.93 – 1.05)		0.99 (0.94 – 1.05)		
Diabetes (n, %)	0.88 (0.54 – 1.41)		0.87 (0.44 – 1.72)		1.17 (0.64 –2.12)		
Previous AMI (n, %)	0.76 (0.47 – 1.22)		0.97 (0.50 – 1.88)		0.94 (0.51 – 1.71)		
Previous Cardiac	0.91 (0.47 – 1.78)		0.82 (0.30 – 2.21)		1.53 (0.70 – 3.33)		
Surgery (n, %)	0.01 (0.17 1.70)		0.02 (0.00 2.21)		1.00 (0.70 0.00)		
Use of Statins (n, %)	1.04 (0.64 – 1.68)		1.29 (0.64 – 2.62)		1.25 (0.67 – 2.35)		
Use of Insulin (n, %)	3.04 (1.25 – 7.40)	3.46 (1.36 – 8.83)	2.15 (0.86 – 5.41)		2.79 (1.21 – 6.45)	3.10 (1.28 – 7.51)	
Hemoglobin (g/dL)	0.96 (0.88 – 1.04)		0.89 (0.77 – 1.04)		0.90 (0.79 – 1.04)		
GFR (ml/min)	0.98 (0.98 – 0.99)	0.99 (0.98 – 1.01)	1.01 (0.99 – 1.02)		1.01 (0.99 – 1.02)		
Type of Surgery (n, %) <sup>2</sup>							
MR	1	1	1		1	1	
Valvular Surgery	1.55 (0.98 - 2.45)	1.84 (1.09 - 3.08)	0.87 (0.45 - 1.69)		0.93 (0.51 - 1.69)	0.97 (0.50 - 1.86)	
Combined Surgery	6.62 (2.16 – 20.26)	4.09 (1.20 – 13.94)	2.42 (0.90 – 6.47)		2.67 (1.06 – 6.69)	1.51 (0.48 – 4.70)	
Time of ECC (h)	1.66 (1.16 – 2.39)	1.39 (0.92 – 2.10)	1.61 (1.04 – 2.47)	1.76 (1.11 – 2.79)	1.50 (1.01 – 2.24)	1.55 (0.94 – 2.56)	
Volume Balance (ml)	1.00 (1.00 – 1.00)		1.00 (1.00 – 1.00)		1.00 (1.00 – 1.00)		
Low Cardiac Output (n, %)	4.11 (1.14 – 14.84)	3.79 (0.98 – 14.69)	4.19 (1.42 – 12.38)	3.67 (1.18– 11.38)	7.16 (2.45 – 20.97)	7.05 (2.28 – 21.78	

<sup>&</sup>lt;sup>1</sup> Patients without AKI were used as reference. <sup>2</sup> Type of surgery: Patients underwent myocardial revascularization were used as reference. BMI: Body mass index; AMI: Acute myocardial infarction; GFR: Glomerular filtration rate; MR: Myocardial revascularization; ECC: Extracorporeal Circulation.

Table 4 – Association between AKI diagnosis by different criteria and the risk of development of combined events (dialysis, death and/or prolonged hospitalization) in 321 patients undergoing to cardiac surgery

Model	Variables	AKIN HR (95%CI)	RIFLE HR (95%CI)	KDIGO HR (95%CI)
1	Univariate analysis	1.99 (1.29– 3.08)	2.15 (1.32 – 3.51)	2.45 (1.57 – 3.82)
2	1 + Age, Sex, Diabetes mellitus Type of surgery 1	1.62 (1.02 – 2.55)	1.89 (1.15 – 3.14)	2.19 (1.39 – 3.44)
3	2 + Time of ECC e Low Cardiac Output	1.41 (0.89 – 2.25)	1.58 (0.93 – 2.67)	1.89 (1.18 – 3.06)

<sup>&</sup>lt;sup>1</sup> Patients who underwent myocardial revascularization were used as reference. ECC: Extracorporeal circulation.

ECC are associated with a smaller risk of acute renal failure<sup>27,28</sup>. The presence of low cardiac output was the factor most strongly associated with AKI risk, according to RIFLE (approximately four-fold) and KDIGO (approximately seven-fold); in fact, low cardiac output is a recognized AKI predictor<sup>12,29-31</sup>. Considered all together, our results show that there is a large variation between the factors that determine AKI, according to the diagnosis criteria used. It is important to highlight this finding, as it may indicate the inaccuracy of predictive scores for AKI developed based on a single diagnosis criterion.

One of the most important contributions of our study was to compare the prognostic power of different AKI criteria. Accordingly, we demonstrated that despite the association of the three evaluated criteria with an increased risk of adverse events in univariate analysis, and ever after adjustment for comorbidities and type of surgery, only the KDIGO criterion maintained its prognostic power when hemodynamic variables were taken into consideration (i.e. time of ECC and low cardiac output); these results suggest the superiority of the KDIGO criterion in comparison to RIFLE and AKIN. However, several limitations should be taken into account when analyzing our data, including: 1) the relatively reduced number of patients, 2) the diagnosis of AKI, which was based only on variations in plasma creatinine, regardless of changes in glomerular filtration rate and urinary output, and 3) we did not take into consideration the stages of kidney injury, which could

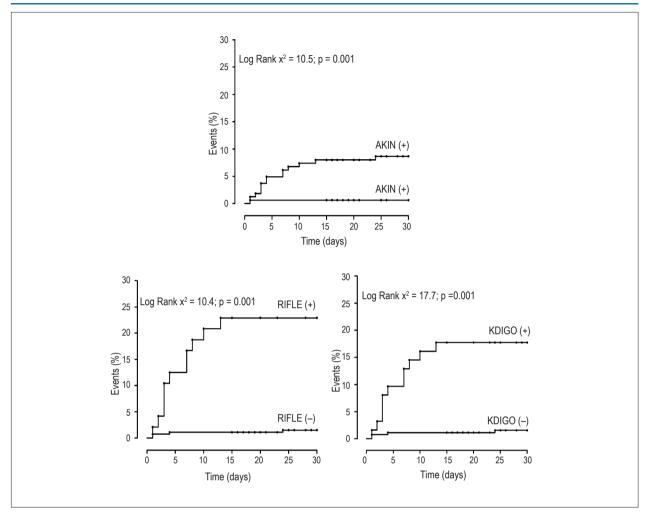


Figure 1 - Kaplan-Meyer curves for combined events in 321 patients undergoing cardiac surgery, according to different diagnostic criteria of acute renal injury.

increase the specificity of certain criteria. Our goal, however, was to compare simple diagnostic instruments based on easily accessible information, even for non-nephrologists; and thus, we believe that the present study, despite the limitations described, provides an important contribution to the medical community.

## Conclusion

The incidence of acute kidney injury after cardiac surgery and the risk factors for its development vary significantly according to the diagnostic criterion used, and this information should be taken into consideration when developing predictive scores for AKI. In our analysis, the KDIGO criterion was superior to AKIN and RIFLE with regard its prognostic power.

## **Author contributions**

Conception and design of the research, Acquisition of data, Analysis and interpretation of the data and Writing of the manuscript: Sampaio MC, Máximo CAG, Montenegro

CM, Mota DM, Fernandes TR, Cordeiro AC; Statistical analysis: Cordeiro AC; Critical revision of the manuscript for intellectual content: Bianco ACM, Amodeo C, Cordeiro AC.

### **Potential Conflict of Interest**

No potential conflict of interest relevant to this article was reported.

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