

Heart Failure with Mid-Range Ejection Fraction – State of the Art

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Abstract

In 2016, the European Society of Cardiology (ESC) recognized heart failure (HF) with ejection fraction between 40 and 49% as a new HF phenotype, HF with mid-range ejection fraction (HFmrEF), with the main purpose of encouraging studies on this new category. In 2018, the Brazilian Society of Cardiology adhered to this classification and introduced HFmrEF in Brazil. This paper presents a narrative review of what the literature has described about HFmrEF. The prevalence of patients with HFmrEF ranged from 13 to 24% of patients with HF. Analyzing the clinical characteristics, HFmrEF shows intermediate characteristics or is either similar to HF with preserved ejection fraction (HFpEF) or to HF with reduced fraction (HFrEF). Regarding the prognosis, HFmrEF's all-cause mortality is similar to HFpEF's and lower than HFrEF's. Studies that analyzed cardiac mortality concluded that there was no significant difference between HFmrEF and HFrEF, both of which were lower than HFpEF. Despite the significant increase of publications on HFmrEF, there is a great scarcity of prospective studies and clinical trials that allow delineating specific therapies for this new phenotype. To better treat HFmrEF patients, it is fundamental that cardiologists and internists understand the differences and similarities of this new phenotype.

Introduction

The classification and characterization of heart failure (HF) by phenotypes has an important relevance in clinical practice, since these phenotypes are currently based on left ventricular ejection fraction (LVEF) and have different characteristics in relation to prognosis and treatment.¹

Classically, two main HF phenotypes have been described; the HF with reduced ejection fraction (HFrEF) with LVEF < 40% and the HF with preserved ejection fraction (HFpEF), with LVEF \geq 50%.²⁻⁴ Different guidelines have proposed a new phenotype in the current decade, the HF with mid-range ejection fraction (HFmrEF).

The American College of Cardiology/American Heart Association published a new HF guideline in 2013, in which

patients with LVEF between 41% and 50% were classified as “borderline” HFpEF.² In 2016, the ESC recognized HF with LVEF between 40% and 49% as a distinct phenotype; the HFmrEF, mainly intended to stimulate studies that address epidemiology, etiology, characteristics, and prognostics of this new category.³ Finally, the Brazilian Society of Cardiology (BSC) introduced HFmrEF as a new clinical phenotype in its 2018 guideline of acute and chronic HF.⁵

With the introduction of this new classification, HFmrEF has received great attention and, consequently, has been better studied and characterized. The present review study aims to describe what is currently known about HFmrEF and discuss future perspectives that will contribute to a better approach for this group of patients.

Epidemiology

Prevalence

In the United States, it is estimated that more than 6.5 million people have HF,⁶ and the percentage of individuals with HFmrEF is between 13% and 24%.^{7,8} The prevalence of HFmrEF in studies performed with hospitalized patients ranged from 13% to 26%,^{7,9-12} while the prevalence of HFmrEF in outpatients varied from 9% to 21%.^{8,13-17}

The last census of Brazilian Institute for Geography and Statistics (IBGE) in 2010 census showed an increase in the elderly population in Brazil, and therefore a great potential for the increase of at-risk HF patients. In the DIGITALIS study performed in the city of Niterói, state of Rio de Janeiro, Brazil, a prevalence of 9.3% of HF was identified in patients from the family physician program (59 individuals among 633 volunteers),¹⁸ in which 64.2% of these patients were characterized as having HFpEF and 35% as HFrEF.¹⁸ Recently, according to unpublished data based on the DIGITALIS study database, the prevalence of HFmrEF patients in Niterói was 22%, HFrEF was 19% and HFpEF was 59%.

Diagnosis

According to the latest acute HF guideline of BSC,⁵ the diagnosis of HF is based on the combination on medical history findings, physical examination, electrocardiogram and chest x-ray results, as detailed in figure 1. An echocardiogram should be performed for diagnostic confirmation if there is clinical suspicion of HF. In low suspicion cases or if there are diagnostic doubts, the measurement of brain natriuretic peptides (BNP and/or NT-proBNP) and an echocardiogram should be performed, if available. A normal echocardiogram and/or plasma BNP levels < 35 pg/mL and/or NT-proBNP < 125 pg/mL make the HF diagnosis improbable. In the presence of BNP levels > 35 pg/mL and/or NT-proBNP > 125 pg/mL and/or altered echocardiogram results, the HF diagnosis becomes probable. The LVEF echocardiography evaluation contributes

Keywords

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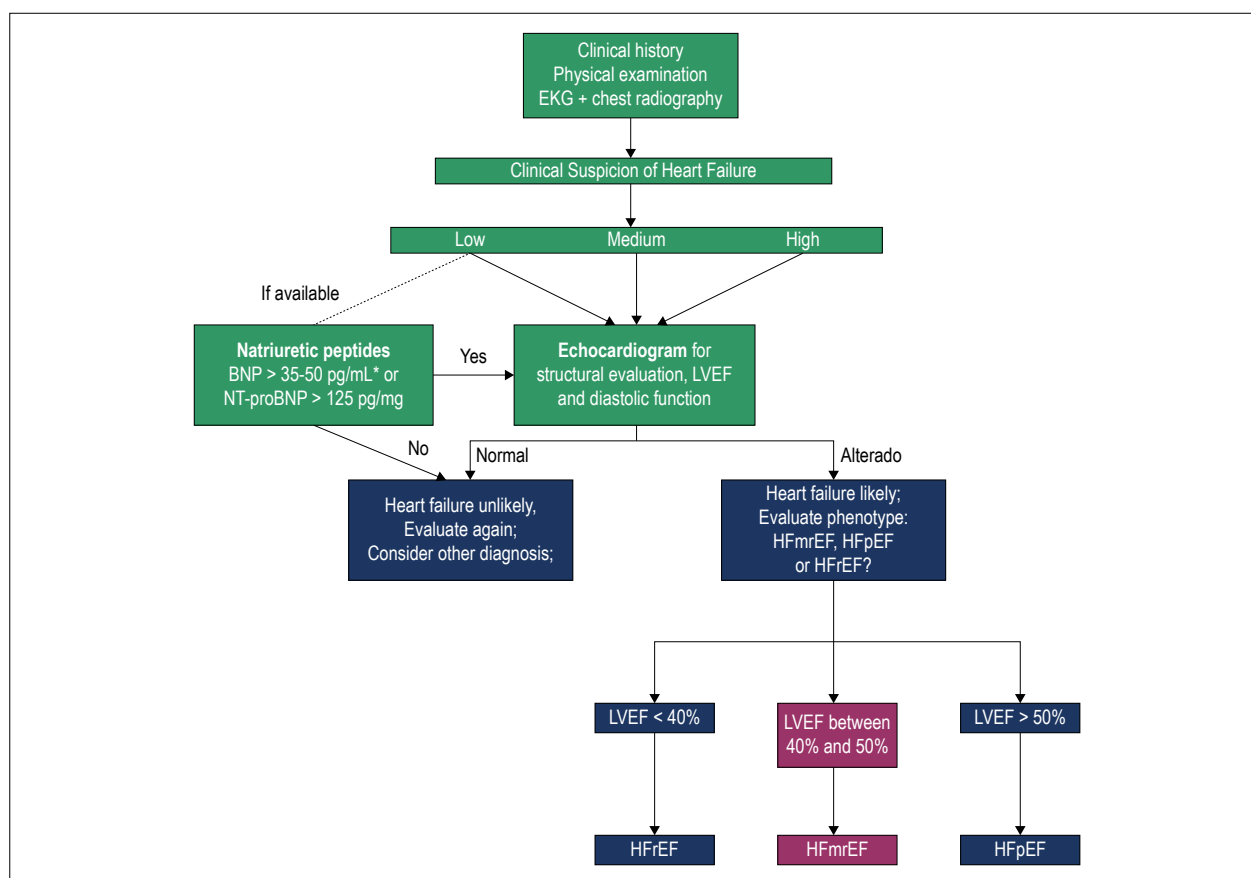


Figure 1 – Diagnostic algorithm in the clinical suspicion of heart failure. Adapted from: Brazilian Guideline for Chronic and Acute Heart Failure of 2018;⁵ HFrEF: heart failure with reduced ejection fraction; HFmrEF: heart failure with mid-range ejection fraction; HFpEF: heart failure with preserved ejection fraction; EKG: electrocardiogram; BNP: brain natriuretic peptide; NT-proBNP: amino-terminal fragment of pro-brain natriuretic peptide; LVEF: left ventricular ejection fraction.

to establishing the HF clinical phenotype, since the clinical signs and patients' symptoms with HFrEF, HFmrEF and HFpEF are similar.³

A relevant aspect regarding the HFmrEF diagnosis involves methodological aspects related to the cardiac imaging techniques. The LVEF evaluation by echocardiography has been the standard method used to categorize patients with HF; however, it is common that the values obtained are different in relation to other methods, such as cardiac magnetic resonance imaging, radioisotope ventriculography and angiocardiography.^{19,20} In addition, the ejection fraction evaluation by echocardiography shows considerable intra and inter-observer variability over time, as well as under therapeutic intervention effect.^{19,20}

Clinical-epidemiological characteristics

Previous studies have shown that patients with HFmrEF had clinical characteristics that, although intermediate between the HFrEF and HFpEF groups, were more similar to those of HFpEF.^{8,9,13,21} Nevertheless, in relation to the presence of ischemic disease, different studies have found that HFmrEF resembles HFrEF, showing a higher prevalence.^{7,22-24}

In the study by Kapoor et al.,⁷ based on the GWTC-HF (Get With The Guidelines - Heart Failure) registry, patients with HFmrEF were older (mean age of seventy-seven years) and showed a higher percentage of females (48%) when compared to patients with HFrEF, being more similar to HFpEF. Moreover, HFmrEF showed a high prevalence of comorbidities such as DM (50%), atrial fibrillation (AF) (42%), chronic obstructive pulmonary disease (COPD) (36%), anemia (27%) and renal failure (26%), "also similar to HFpEF to HFpEF. However, there was a higher prevalence of ischemic heart disease in up to two thirds of the patients, similar to what as observed with HFrEF.

However, in the meta-analysis published by Lauritsen et al.,²⁵ patients with HFmrEF had entirely intermediate characteristics, and there were significant differences between patients with HFmrEF and HFrEF and between patients with HFmrEF and HFpEF. Patients with HFmrEF were older than those with HFrEF ($p < 0.001$) but were younger than those with HFpEF ($p < 0.001$). The proportion of men and the prevalence of ischemic heart disease in patients with HFmrEF were lower than in those with HFpEF ($p < 0.001$ and $p < 0.034$, respectively), but higher than in those with HFrEF ($p < 0.001$ and $p < 0.034$ respectively). Hypertension was more frequent in patients with

HFmrEF than in those with HFrEF ($p < 0.001$), but less frequent than in patients with HFpEF ($p < 0.001$). Diabetes mellitus (DM) was significantly less frequent in patients with HFmrEF and HFrEF ($p = 0.17$) than in those with HFpEF ($p = 0.021$). AF was more frequent among patients with HFmrEF than among those with HFrEF ($p < 0.001$), but less frequent than in patients with HFpEF ($p < 0.001$). The prevalence of COPD was lower in individuals with HFmrEF than in HFpEF ($p < 0.001$), but higher when compared to patients with HFrEF ($p = 0.001$). Patients with HFmrEF had significantly better renal function than patients with HFpEF ($p < 0.001$) but worse than patients with HFrEF ($p = 0.001$).

In the RICA²⁶ registry, patients with HFmrEF showed mixed characteristics in relation to the other groups. Patients with HFmrEF were similar to patients with HFrEF regarding hypertension rates, and chronic kidney disease (CKD) history, as well as in relation to the presence of higher systolic pressure, higher blood pressure, lower frequency of New York Association (NYHA) classes III-IV, higher prevalence of AF and previous HF.

The study by Bhambhani et al.,²² which analyzed 28,829 without HF participants for an average of 12 years, found that 48% of the patients who developed HFmrEF were females. In addition, participants with HFmrEF shared some similarities with the HFrEF group, including lower body mass index (BMI) in relation to patients with HFpEF, with a lower obesity prevalence, a higher coronary artery disease (CAD) prevalence and lower levels of high density lipoproteins (HDL). Other clinical characteristics of participants with HFmrEF were intermediate between those with HFpEF and HFrEF.

The CHARM²⁷ study found that patients with HFmrEF were similar to HFpEF for most of the characteristics, including age, systolic blood pressure, percentage of women, previous myocardial infarction and AF. HFmrEF was intermediate between HFrEF and HFpEF regarding the history of hypertension, NYHA and BMI class distribution.

Some characteristics, such as DM, were simultaneously prevalent in all three categories.^{27,28}

In addition, the study by Wang et al.²³ showed no significant differences in gender between HFmrEF, HFpEF and HFrEF. The HFmrEF group was intermediate compared to the other groups regarding characteristics such as age, smoking history, DM and CKD. In contrast, the HFmrEF group was similar to HFpEF regarding the history of ischemic heart disease, with both groups showing significantly higher rates than HFpEF.

In the Swedish Heart Failure²⁴ registry, HFmrEF was intermediate in terms of age, gender, hypertension, AF, valvular and renal disease. However, the presence of ischemic disease was more common in HFrEF and HFmrEF when compared to HFpEF, and the prevalence of DM did not differ between the three groups. The BMI was lower and fewer patients had anemia in HFmrEF.

A summary of the clinical-epidemiological HFmrEF characteristics is shown in figure 2.

Biomarkers

Regarding biomarkers, HFmrEF has an intermediate profile, with inflammatory biomarkers being more common in HFpEF and heart distension biomarkers in HFrEF.¹³ In the study by Bhambhani et al.²² was found that the predictors of HFmrEF were similar to the predictors of other types of HF. However, a higher BMI was a predictor of HFpEF, but not of HFmrEF, and natriuretic peptides were more robust predictors of HFpEF than of HFmrEF.

The Swedish Heart Failure registry²⁴ concluded the median value of NT-pro BNP in HFmrEF was 1,540 pg / mL with an interquartile range of 652-3,317. This value was minimally and not significantly higher than in HFpEF but was significantly higher than in HFrEF ($p < 0.001$). The study by Moliner et al.²⁹ also concluded that NT-ProBNP levels in HFmrEF were significantly lower than in HFrEF ($p = 0.02$), but similar to

	CHARACTERISTICS*						PROGNOSIS			
	Age	Sexo gender	CAD	DM	HBP	AF	HOSP †	HOSP - HF ‡	DEATH †	CV DEATH ‡
HFpEF	+++	+	++	+++	+++	+++	?	+++	++	+++
HFmrEF	++	++	+++	+++	++	++	?	+++	++	++
HFrEF	+	+++	+++	++	+	+	?	+++	+++	++

Figure 2 – Comparisons of the clinical characteristics among the different phenotypes of HF; ? : presence of conflict between studies; CAD: coronary artery disease; DM: diabetes mellitus; HBP: high blood pressure (hypertension); AF: atrial fibrillation; HOSP: hospitalization; HOSP-HF: hospitalization for HF; DEATH: death from all causes; CV-DEATH: cardiovascular death; * Data for constructing the characteristics were taken from references;^{7,22,27,32} † Data taken from references;^{27,32} ‡ Data taken from reference.³²

HFpEF levels ($p = 0.88$). All other biomarkers were similar between HFrEF and HFmrEF. Cystatin-C and ST2 were significantly lower in HFmrEF than in HFpEF ($p = 0.01$ and $p = 0.02$, respectively). Galectin-3 and soluble transferrin receptor were relatively lower in HFmrEF when compared to HFpEF, but the difference was not statistically significant.

Pathophysiology

In the 2016 guideline, the ESC suggested that HFmrEF may have both a mild systolic dysfunction and a diastolic dysfunction contribution.³ A recent study published by Rastogi et al.,³⁰ observed that HFmrEF consists of a heterogeneous group of patients, and consists of at least 3 subgroups based on LVEF, such as: patients with previous LVEF $< 40\%$ (recovered HFmrEF), patients with previous LVEF $> 50\%$ (impaired HFmrEF) and patients with previous LVEF between 40-50% (unchanged HFmrEF).³⁰ Most patients in this study were classified as having recovered HFmrEF (73%), while 17% of patients were classified as impaired HFmrEF and only 10% were categorized as unchanged HFmrEF.³⁰

Also, in this study, the subgroup with recovered HFmrEF had a higher prevalence of male patients and a higher prevalence of patients with CAD, compatible with the characteristics of patients with HFrEF. In contrast, the subgroup with impaired HFmrEF consisted mostly of women with a history of hypertension and AF or flutter, as well as patients with HFpEF. In contrast, the subgroup with impaired HFmrEF consisted mostly of women with a history of hypertension and AF or flutter, as well as patients with HFpEF. Another important observation, in the impaired HFmrEF subgroup, patients had significantly more advanced diastolic dysfunction at the echocardiogram assessment when compared to patients with recovered HFmrEF.³⁰ A common finding in different cohorts^{13,14,31} was that HFmrEF resembled HFrEF in relation to the high prevalence of CAD and a higher risk of new CAD events. In the Swedish Heart Failure register, no difference was observed between the prevalence of CAD between HFmrEF (61%) and HFrEF (60%), while HFpEF was associated with a lower prevalence of the disease (52%).¹⁴ Chioncel et al.³¹ based on the long-term HF record of the ESC, found that ischemic etiology was present in 48.6% of patients with HFrEF, 41.8% of patients with HFmrEF, but only in 23.7% of patients with HFpEF. In the TIME-CHF study,²⁴ post-hoc analysis, the ischemic etiology was 58.2%, 56.5% and 31.3% for HFrEF, HFmrEF and HFpEF, respectively. Therefore, regarding the etiology, patients with HFmrEF are more similar to those with HFrEF than to the ones with HFpEF.

Prognosis

Both the CHARM study and the prognosis meta-analysis performed by Altaie et al.³² concluded that all-cause mortality in HFmrEF patients is significantly lower than in patients with HFrEF ($p < 0.001$ and RR 0.9; 95% CI 0.85–0.94; $p < 0.001$, respectively) and statistically similar to patients with HFpEF (HR 0.98; CI 95% 0.82 – 1.19; $p = 0.88$ and RR 0.98; 95% CI 0.86–1.12; $p = 0.82$, respectively).^{27,32}

Regarding the cardiac mortality, the meta-analysis of Altaie et al.³² concluded there was no significant difference between

HFrEF and HFmrEF (RR 0.89, 95% CI, 0.69-1.15, $p = 0.38$) while HFpEF showed significantly higher cardiac mortality (RR 1.09, 95% CI, 1.02-1.16, $p = 0.001$).

In the analysis of the prognosis by separating subgroups of HFmrEF, in the study by Rastogi et al.,³⁰ the patient cohort with recovered HFmrEF showed significantly better clinical outcomes compared to patients with HFrEF, after adjusting for age and gender. In contrast, the clinical endpoints of the subgroup with impaired HFmrEF were not significantly different from those with HFpEF after adjusted for the same factors.³⁰ By observing time to death / transplantation / cardiac hospitalization between the subgroups, the recovered HFmrEF had a significantly better prognosis compared to impaired HFmrEF ($p = 0.011$), whereas there was no significant difference between the two groups and unchanged HFmrEF.³⁰

Hospitalization

The studies differed regarding hospitalization rates. The meta-analysis of Altaie et al.,³² demonstrated that there was no significant difference in all-cause hospitalization for both HFrEF and HFmrEF, and between HFpEF and HFmrEF (RR 0.91, 95% CI, 0.18-4.59, $p = 0.9$, and RR 0.95, 95% CI, 0.84-1.07; $p = 0.38$, respectively). Regarding the HF hospitalization, the meta-analysis also did not show any significant differences between HFrEF and HFmrEF or between HFpEF and HFmrEF (RR 0.92, 95% CI, 0.84-1.01, $p = 0.08$, and RR 1.05, 95% CI, 0.83-1.33; $p = 0.69$, respectively.) However, in the CHARM study, all-cause hospitalization was significantly lower in patients with HFmrEF than in the HFpEF phenotype (HR 8.89; 95% CI, 0.81-0.98; $p = 0.02$).²⁷ When comparing the different HFmrEF in the Rastogi et al.,³⁰ cohort subgroups, the recovered HFmrEF had a better prognosis compared to HFmrEF ($p = 0.029$) when observing the time until the first hospitalization for a cardiac event. However, there was no significant difference in relation to the subgroup of unchanged HFmrEF when compared to the other two.

Pharmacological treatment and comorbidity management

In the TOPCAT study, spironolactone did not present in the primary endpoint (consisting of cardiovascular death, cardiac arrest or HF hospitalization), however, there was a reduction in HF hospitalizations in the treatment group with the greatest benefit observed in patients with LVEF from 45% to 55%.³³

On the other hand, the study by Yan-guo Xin et al.,³⁴ which evaluated spironolactone use in 229 patients with HFmrEF, showed that the drug use reduced the incidence of primiparous death from all causes (21.3% vs. 34.5%, $p = 0.014$), as well as improving quality of life. However, there was no difference between the groups receiving different doses of medication (21.8 vs 20.7%, $p = 0.861.50$ mg vs. 25 mg, respectively).

The OPTIMIZE-HF study, when evaluating the use of ACE inhibitors and ARBs, showed there was no associated benefit in patients with HFmrEF.²¹ Patients with LVEF $< 40\%$ were compared with those with LVEF $\geq 40\%$, for long-term outcomes in relation to the use of beta-blockers.²¹ In patients with LVEF of 40-50%, as in all patients with LVEF $\geq 40\%$, there was no significant influence of drug use on the outcomes.³⁵

However, the CHARM study showed that the candesartan use improved outcomes for HFmrEF to a degree comparable to improvement for HFrEF. For the HFmrEF group, the incidence rates for the primary outcome (cardiovascular death or HF hospitalization) of candesartan vs. placebo were 7.4 vs. 9.7 per 100 patients per year (HR 0.76, 95% CI, 0.61-0.96, $p = 0.02$), and the incidence rate of recurrent hospitalization for HF was 0.48 (95% CI, 0.33-0.70, $p < 0.001$).^{27,36}

The study by Cleland JGF et al.,³⁷ which included 18,637 patients, found that for patients with HF with sinus rhythm and LVEF between 40% and 49%, beta-blockers showed a reduction in cardiovascular death when compared to placebo (HR 0.048, 95% CI, 0.24-0.97, $p = 0.04$) and improvement in LV systolic function.³⁷

In the study by Gwag et al.,³⁸ maintenance therapy with β -blocker was seen to be associated with LVEF improvement in patients with HFmrEF (HR 2.021; 95% CI 1.033-3.033; $p = 0.04$). In addition, maintenance therapy with renin-angiotensin system blockers or aldosterone antagonists were significantly associated with improved survival (HR 0.309; 95% CI 0.162-0.588; $p < 0.001$; and HR 0.240; 95% CI 0.085 - 0.673; $p = 0.01$, respectively).

Digoxin use was evaluated in the study by Abdul-Rahim AH et al.,³⁹ which included 7788 patients, with 1995 patients being classified as HFmrEF. Digoxin reduced cardiovascular death or HF hospitalization (HR: 0.83; 95% CI, 0.66-1.05).³⁹

The study Chang et al.⁹ showed the comorbidities observed in patients with HFmrEF were more similar to the ones observed in patients with HFpEF, and CAD was associated with greater declines in LVEF in patients with HFpEF.⁴⁰ Therefore, the management of CAD can help prevent LV systolic dysfunction progression in individuals with HFmrEF.²¹

Non-cardiac comorbidities, such as hypertension, DM and COPD, are highly prevalent in the HF population and contribute to the general morbidity of these patients.⁴¹ In patients with HFmrEF, uncontrolled hypertension was the main precipitant factor of hospitalization for HF compared to the other HF groups.⁷ In patients with HFmrEF and hypertension, therapy with angiotensin II receptor blockers (ARB) or aldosterone antagonists has shown a reduction in hospitalizations, which suggests that such drugs can be used to control hypertension and reduce the risk of LVEF decline in patients with HFmrEF.⁷ Regarding the patients with HF undergoing treatment for DM sodium-glucose cotransporter-2 (SGLT2) inhibitors use in patients at high cardiovascular risk showed improvements in the primary outcome, consisting of death from cardiovascular causes, infarction and non-fatal stroke. (HR 0.86; 95% CI, 0.74-0.99; $p < 0.001$ for noninferiority and $p = 0.04$ for superiority). In addition, empagliflozin use showed a reduction in cardiovascular death and death from all causes (HR 0.62, 95% CI 0.49-0.77, $p < 0.001$ and HR 0.68, 95% CI, 0.57-0.82, $p < 0.001$, respectively), in addition to the reduction in hospitalization for HF (HR 0.65, 95% CI, 0.50-0.85, $p = 0.002$).⁴²

The current BSC HF guideline⁵ proposes that initially, the specific treatment of the etiology and comorbidities should be addressed, when possible. Patients with a history of HFrEF who show an improvement of LVEF, which reclassifies them as HFmrEF patients, should be treated by maintaining the therapeutic optimization for HFrEF. For patients with previous HFpEF who show worsening of LVEF and also those with persistent HFmrEF, the use of beta-blocker and angiotensin-converting enzyme inhibitor (ACEi) or ARB (if ACEi is not tolerated) is recommended. The treatment scheme proposed by the SBC is shown in figure 3.

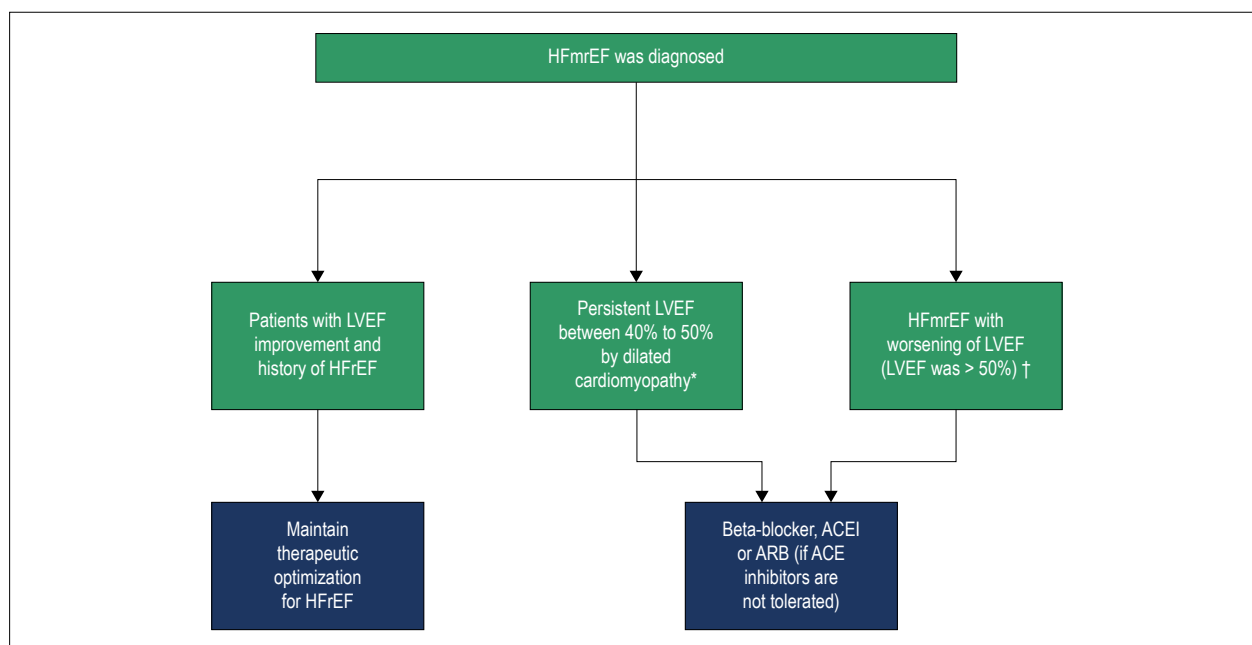


Figure 3 – Algorithm for treatment of HFmrEF according to the Brazilian Guideline for Chronic and Acute Heart Failure of 2018;⁵ ACEi: angiotensin-converting-enzyme inhibitor; ARB: angiotensin II receptor blockers; * In the absence of deposit cardiomyopathies, hypertrophic, inflammatory or infectious diseases; † Particularly for coronary heart disease and/or acute myocardial infarction.

Future perspectives

The precision medicine use in the cardiovascular area has advanced, and the identification of HF phenotypes is important for the development of new therapeutic alternatives that offer a better prognosis for the patient with HF.

Although some studies have demonstrated the efficacy of certain therapies in patients with HFmrEF, most publications are retrospective studies that perform a new analysis of previous databases. Therefore, prospective studies and randomized clinical trials including patients with HFmrEF are essential for the creation of therapies with solid evidence-based recommendations.

Conclusion

After the establishment of HFmrEF as a new HF category by national and international guidelines, there was a considerable increase in publications on this type of patients, which allowed a better understanding of their clinical profile, pathophysiological and clinical outcome. However, there is still a great shortage of prospective studies and randomized double-blind clinical trials that allow the specific therapy delineation for this new category of HF. The knowledge of HFmrEF peculiarities by cardiologists and internists is fundamental for the best diagnosis and management of these patients, in addition

to the identification of areas of uncertainty regarding the development of basic and clinical researches.

Author contributions

Conception and design of the research, Analysis and interpretation of the data, Writing of the manuscript and Critical revision of the manuscript for intellectual content: Mesquita ET, Barbetta LMS, Correia ETO; Acquisition of data: Barbetta LMS, Correia ETO.

Potential Conflict of Interest

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Ethics approval and consent to participate

This article does not contain any studies with human participants or animals performed by any of the authors.

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