

## REVISÃO DE LITERATURA

# BIOCHAR: PYROGENIC CARBON FOR AGRICULTURAL USE - A CRITICAL REVIEW

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### ABSTRACT

Biochar (carbonized biomass for agricultural use) has been used worldwide as soil amendment and is a technology of particular interest for Brazil, since its “inspiration” is from the historical *Terra Preta de Índios* (Amazon Dark Earth), and also because Brazil is the world’s largest charcoal producer, generating enormous residue quantities in form of fine charcoal and due to the availability of different residual biomasses, mainly from agroindustry (e.g., sugar-cane bagasse; wood and paper-mill wastes; residues from biofuel industries; sewage sludge etc), that can be used for biochar production, making Brazil a key actor in the international scenario in terms of biochar research and utilization). In the last decade, numerous studies on biochar have been carried out and now a vast literature, and excellent reviews, are available. The objective of this paper is therefore to deliver a critical review with some highlights on biochar research, rather than an exhaustive bibliographic review. To this end, some key points considered critical and relevant were selected and the pertinent literature “condensed”, with a view to guide future research, rather than analyze trends of the past.

**Keywords:** soil amendments, carbon sequestration, greenhouse gases.

## RESUMO: BIOCHAR: CARBONO PIROGÊNICO PARA USO AGRÍCOLA - UMA REVISÃO CRÍTICA

O biocarvão (biomassa carbonizada para uso agrícola) tem sido usado como condicionador do solo em todo o mundo, e essa tecnologia é de especial interesse para o Brasil, uma vez que tanto a “inspiração”, que veio das Terras Pretas de Índios da Amazônia, como o fato de o Brasil ser o maior produtor mundial de carvão vegetal, com a geração de importante quantidade de resíduos na forma de finos de carvão e diversas biomassas residuais, principalmente da agroindústria, como bagaço de cana, resíduos das indústrias de madeira, papel e celulose, biocombustíveis, lodo de esgoto etc. Na última década, diversos estudos com biocarvão têm sido realizados e atualmente uma vasta literatura e excelentes revisões estão disponíveis. Objetivou-se aqui não fazer uma revisão bibliográfica exaustiva, mas sim uma revisão crítica para apontar alguns destaques na pesquisa sobre biochar. Para isso, foram selecionados alguns temas-chave considerados críticos e relevantes e fez-se um “condensado” da literatura pertinente, mais para orientar as pesquisas e tendências do que um mero olhar para o passado.

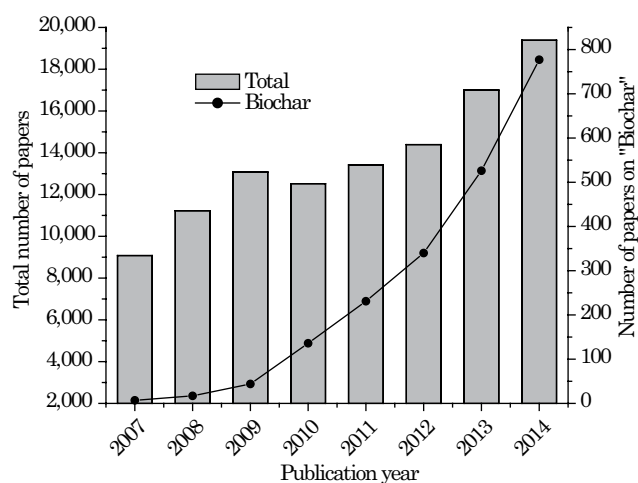
*Palavras-chave:* condicionadores de solos, sequestro de carbono, gases do efeito estufa.

## INTRODUCTION

In recent years, the interest in pyrogenic carbon (C) for agricultural use (biochar) has sharply increased (Figure 1). The focus of this growing interest recently shifted from C sequestration and climate change mitigation to: soil fertility improvement and crop growth; water retention and movement in the soil; and soil pollution control, as indicated as a new tendency in a recent article in the journal *Nature* (Cernansky, 2015). It is however noteworthy that this discourse change, from *Terra Preta de Índios* and climate change to biochar technology, mainly towards “soil fertility improvement” and “improving crop residue management”, had already been preconized by the Brazilian Research Network of Biochar since 2006 (Maia et al., 2011; Madari et al., 2012; Novotny et al., 2012; Paiva et al., 2012; Rittl et al., 2015a).

However, the term biochar has been used indiscriminately, even in situations where classic and well-defined terms, such as char(coal) (Figure 1), would be more appropriate (see review of Qian et al., 2015) in which, in our opinion, the term is used erroneously). The term biochar was coined in 2005 by Peter Read, a research fellow at Massey University in New Zealand, to describe finely divided pyrolysed biomass **prepared specifically for soil improvement** (Read, 2009, emphasis added). When solid pyrogenic C “is produced by thermal decomposition of biomass under limited or absent oxygen (O) and used as soil amendment to increase fertility or sequester atmospheric CO<sub>2</sub>, it is named “biochar” (Mukherjee et al., 2011, emphasis added). Distinct from char in general, biochar “is considered to comprise biomass-derived char **intended specifically for application to soil, that is, according to its purpose**” (Sohi et al., 2010, emphasis added).

In addition to these misuses, concerning the objectives of biochar, of terms dissenting from the definition, the expression “biochar in *Terra Preta de Índios* (Amazonian Dark Earth)” presupposes that the pre-Columbian Native Americans treated and improved soil intentionally, which is definitely not a consensus in the literature (Novotny et al., 2007). The use of the term biochar for the pyrogenic C found in the *Terra Preta de Índios* must therefore be completely avoided, to prevent the common mistake of assuming that these soils resulted from intentional treatments. The same is true for pyrogenic C in other soils where the intentionality of soil improvement is not confirmed, e.g.: Chernozems (Schmidt et al., 1999; Ponomarenko and Anderson, 2001), Mollisols (Glaser and Amelung, 2003) and



**Figure 1.** Number of scientific articles per year for the queries: “black carbon/black C or pyrogenic carbon/pyrogenic C or charcoal” = Total (columns and left axis) and for the query “biochar” (line and symbol, right axis). Search on April 10, 2015.

soil charcoal derived from wildfire or anthropic fires (Knicker, 2011; Vlemingckx et al., 2014).

As it seems unnecessary and even useless to substitute a new name (biochar) by a well-established term, i.e. charcoal; and striving for scientific rigor and coherence with the definition, in this text, biochar is understood exclusively as pyrolyzed biomass, prepared specifically for the use as soil amendment, i.e. for the purposeful soil application of pyrogenic C, to increase fertility and alleviate anthropic greenhouse gas emissions (e.g. sequester CO<sub>2</sub> or decrease N<sub>2</sub>O emissions).

### Biochar production

Biochar is a C-rich product distinct from charcoal and similar materials in that biochar is produced for the purpose of soil application (IBI, 2013) as a means to improve its quality; to prevent nutrient leaching; to improve C storage; or to deplete the soil from pollutants. The traditional way to produce biochar is by thermal decomposition of biomass at an oxygen-depleted atmosphere in a wide range of temperatures (300 to 1,000 °C, Zhang et al., 2015a), a process generally known as pyrolysis.

An important aspect of biochar production is the close association to energy production. The choice of energy from biomass is usually due to an environmental need to dispose wastes or to reduce C dioxide emissions from a given system. Therefore, biochar production can be seen as a threefold strategy of integrated environmental benefits, for being associated to clean-energy production, involving waste recycling and allowing soil C storage in the proper biochar.

### Chemical composition of feedstock

A wide range of organic materials are suitable as feedstock for thermal processing: from agricultural and wood biomass to any available agricultural, and industrial wastes (bark, husks, straw, seeds, peels, bagasse, nutshells, sawdust, wood shavings, animal beds etc) or municipal wastes.

Plant biomass, henceforth biomass, is defined here as the photosynthetic product resulting from CO<sub>2</sub>, water and sun energy, whereas animal biomass results from the plant biomass consumed by animals. About 90 % of the biomass consists of C and O, with a typical average elementary composition of ~50 wt.% C and ~40 wt.% O. Other important elements are hydrogen (H, ~5 wt.%) and nitrogen (N, ~1 wt.%) and trace elements such as sulfur and chlorine.

Biomass is composed mainly of cellulose, hemicelluloses and lignin polymers (Zhang, 2010; Sullivan and Ball, 2012). Other components are minerals, proteins, starches, nucleic acids, oils, and resins (Sullivan and Ball, 2012). Cellulose is the major constituent of most plant-derived biomass,

but lignin is also important in woody biomass. In animal biomass, lipids and proteins are relevant constituents (Zhang, 2010) besides bones (Novotny et al., 2012).

Cellulose is a very stable non-branched polysaccharide formed by D-glucose units linked by β-1,4 bonds. The typical amount of cellulose in biomass ranges from 40 to 60 wt% (Zhang et al., 2010a). Closely associated to cellulose are the hemicelluloses, which are heterogeneous polysaccharides structured mainly of hexoses and pentoses, arranged in shorter and branched chains (Zhang, 2010). Hemicelluloses are less stable than cellulose and form 20 to 40 wt% of the biomass (Zhang et al., 2010a). Lignin is a structurally more complex biopolymer than polysaccharides and very resistant to thermal degradation. It is a polyphenol compound formed by apparently random-organized phenyl-propane units, linked by strong covalent bonds (alkyl-aryl ether and C-C bonds). Lignin is the second most abundant component of biomass and accounts for 18 to 40 wt% of the biomass (Tuomela et al., 2000; Amen-Chen et al., 2001). Lignin is mainly derived from three p-hydroxycinnamyl alcohols: p-coumaryl, coniferyl and sinapyl. The proportion of these aromatic units in the macromolecular structure depends on the morphological parts and the botanical group of a plant (Thevenot et al., 2010). Therefore, different species produce their specific types of lignin, where gymnosperms and angiosperms represent distinct groups: the guaiacyl type is found predominantly in softwoods and guaiacyl-syringyl in many hardwoods (Mohan et al., 2006).

For biochar production, it is decisive to know the chemical composition of biomass (cellulose or holocellulose - the total polysaccharide fraction, lignin, ash and extractives) since the thermal degradation dynamics depends on it. Lignin-rich biomass is considered to produce better charcoal (higher calorific value) and Lee et al. (2013) showed that the higher lignin content, the higher is the biochar yield. Gani and Naruse (2007) stated that biomass with higher cellulose content pyrolyzes faster than biomass with higher lignin content.

### Temperature controls biomass thermo-decomposition

According to Bridgwater (2003), biomass can be converted into a more useful energy form through a thermal process and hence, with the strong current trend of declining investment in fossil fuels, thermal conversion of biomass to clean energy has never been studied more thoroughly. Despite the wide variability of biomass compositions, reactors, and experimental conditions and methods, there is a general trend for the distribution of pyrolysis products and its properties as a function of the pyrolysis temperature (Neves et al., 2011). During

pyrolysis, heating is transferred to the biomass particles, increasing temperature locally. The first step of pyrolysis is water evaporation (drying stage) and then, pyrolytic volatiles are progressively released (primary pyrolysis stage) from biomass (Neves et al., 2011), at temperature-dependent quality and intensity. In a simple explanation, biomass pyrolysis generates a complex combination of products from the individual pyrolysis of cellulose, hemicellulose, lignin and extractives, each with proper kinetic characteristics (Mohan et al., 2006). After the temperature increase, pure hemicellulose decomposes between 200 and 260 °C. During a slow wood pyrolysis, after drying and volatile losses, hemicellulose loss sets on in a range of 130-194 °C, most intensely above 180 °C. However, during a fast pyrolysis, the faster decomposition of hemicellulose than of cellulose is not distinguished since the process occurs in a few seconds at a rapid heating rate (Mohan et al., 2006). Cellulose degradation occurs from 240 to 350 °C and produces anhydrocellulose and levo-glucosan. Lignin decomposes at 280-500 °C and yields phenols by cleavage of ether and carbon-carbon linkages. The overall primary pyrolysis stage is completed at relatively low temperatures, around 500 °C (Neves et al., 2011), and yields a C-rich solid: charcoal or biochar, depending on its use. In general, the higher the temperature, the higher will be the pH, C, mineral and ash content, C stability and biochar aromaticity, porosity, and specific surface area, and the lower will be the volatile content and yield (Wu et al., 2012; Zhao et al., 2013; Zhang et al., 2015b).

High pyrolysis temperatures also affect sorptive capacity of biochar, similarly to what occurs in the production of activated carbon, i.e.: with increasing temperature the sorptive capacity of coal tends to increase, and consequently its ability to remove organic contaminants from the environment. This feature should be considered carefully when choosing pyrolysis conditions of biochar, since undesirable interactions with certain products (e.g. systemic pesticides) are possible, reducing the effectiveness and requiring dose adjustments, while on the other hand, biochar can be extremely useful to reduce the environmental impact of contact pesticides and for environmental remediation (Spokas et al., 2009; Wang et al., 2009). Biochar resulting from slow pyrolysis however, can immobilize metals such as  $\text{Cu}^{2+}$  and others heavy metals, due its higher carboxyl content (Uchimiya et al., 2010) and the resulting metal complexation capacity.

### Thermochemical treatment of biomass

There are many different treatment processes of biomass involving heating. The target product of most of them is energy, but some are used in fine chemistry and biorefineries. At large, all processes involve temperatures above 50 °C during a variable residence time. These processes can be performed

with dry or a wet biomass whose moisture content is crucial to define the best process for a given feedstock. Whatever the process, the main product of a thermal biomass treatment can be liquid (tar, biofuel, various hydrocarbons), solid (charcoal -or biochar- and ash) or gas (H, C oxides, N, light hydrocarbons), but some solid amount is always present that can be considered for use as biochar.

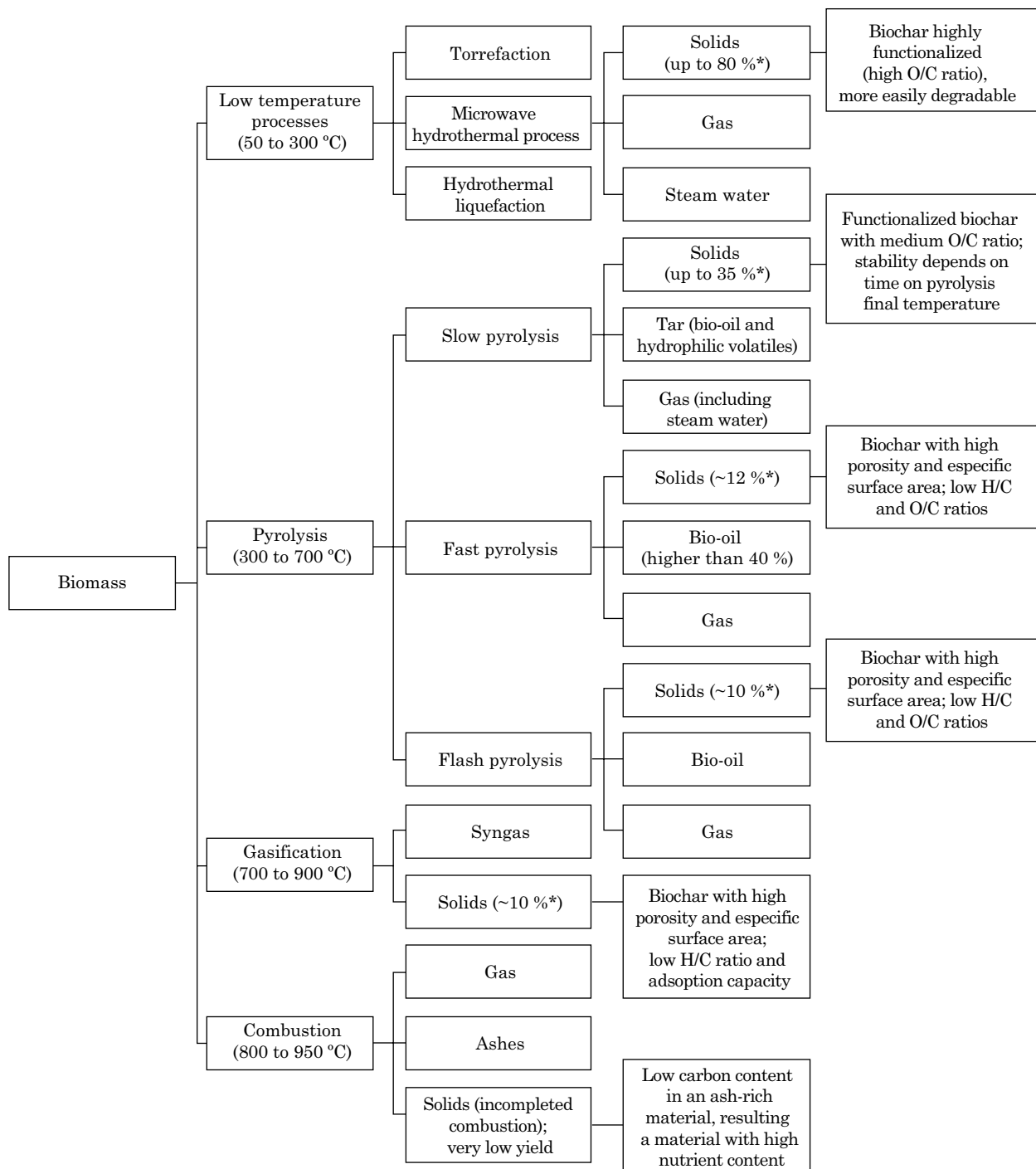
According to the dominant thermochemical reaction (combustion or pyrolysis), thermal processes can be roughly classified in three main groups: pyrolysis, gasification and combustion (Bridgwater, 2003). Liquefaction is another term used in the literature to describe processes of bio-oil production through pyrolytic or hydrothermal treatments (Stevens, 1986). An additional group apart from pyrolysis is thermal processing at milder temperatures, e.g., roasting (torrefaction) and hydrothermal treatments. Figure 2 provides an overview of the main thermochemical processes of biomass production, considering the temperature range.

### Mild temperature processes

Here we describe the processes that do not involve pyrolysis in the *stricto sensu*, but are able to significantly transform the biomass composition, for occurring at temperatures as high as 300 °C or under high pressure and steam. Two important groups of processes can be described in this category: hydrothermal and dry procedures. In the first, conventional or microwave heating is used and the process involves biomass with high moisture content or even water as reaction medium. On the other hand, torrefaction, or roasting, is a process that usually involves dry feedstock.

#### a) Hydrothermal processes

The water content in the feedstock can be a challenge for thermal processing, since drying may be economically unfeasible. Moisture contents in tropical grasses, for example, can be as high as 80-85 % (Akhtar and Amin, 2011). In this case, the best way to treat biomass is to expose it to a hydrothermal treatment. Hydrothermal pyrolysis, hydrothermal carbonization or hydrothermal liquefaction are the terms used to describe the thermal treatment of wet biomass under high pressure (Akhtar and Amin, 2011; Libra et al., 2011; Toor et al., 2011). Hydrothermal processes occur at temperatures (>100 °C) and pressure conditions under which water becomes a subcritical fluid. As such, water has a completely different behavior, switching from a polar to a relative nonpolar molecule, because the shared electron between oxygen and hydrogen atoms tends to circulate more evenly, reducing the oxygen electronegativity (Zhang, 2010). Simultaneously, under hydrothermal conditions, water dissociation increases dramatically ( $K_w \sim 10^{-11}$ ) as temperature increases, creating a



**Figure 2. Thermochemical processes of biomass production, according to the temperature range and predominant biochar characteristics (\*Bridgwater, 2003).**

highly favorable environment for hydrolysis (Pinto and Lanças, 2010; Zhang, 2010).

Hydrothermal processing can be grouped into three different classes:

- hydrothermal carbonization occurs at temperatures below 247 °C, and the main product is hydrochar;
- hydrothermal liquefaction is performed at intermediate temperature ranges (between 247 and 374 °C), resulting in a liquid fuel known as biocrude (a petroleum-like oil);
- in hydrothermal gasification, performed at temperatures above 374 °C, gasification prevails resulting in syn-gas (Elliott et al., 2014).

Therefore, not only the solid yield but also its chemical characteristics can be very different, according to the temperature of the process, different biochars will result from these hydrothermal processes.

A particular technology of hydrothermal treatment is microwave heating (Guiotoku et al., 2012). Mašek et al. (2013a), mention as advantages of microwave heating over conventional heating the controllability and energy and cost efficiency of the process, since microwave heating at 200 °C can induce similar or even stronger chemical alterations than slow pyrolysis at 350 °C. They compared slow (SP) and microwave pyrolysis (MW) of straw and woody biomass and found a comparable stability and similar levels of conversion between biochars but significantly lower char yields from MW pyrolysis than SP. These authors stated that the lower C sequestration potential of MW biochar could be compensated by its higher potential for production of renewable energy, for requiring less heat input. Sun et al. (2014) compared hydrochars to dry-pyrolysis biochars derived from the same feedstock, and found hydrochars more acidic and lower in C contents.

#### **b) Torrefaction**

Torrefaction is carried out in an inert atmosphere, e.g., nitrogen (N<sub>2</sub>) gas. Basically, it reduces moisture, removes low weight organic compounds and, from certain temperature, also leads to depolymerization of long polysaccharide chains (Bridgeman et al., 2008). The result is a biomass with higher energy density and grindability. More recently, torrefaction has been considered as a pre-treatment to boost biomass for energy, since the process improves its bulk and moisture properties, easing aspects as transport and storage. Torrefaction is also a traditional food technology used for nuts or coffee grains. As for biochar, both food or energy torrefaction wastes are common material that could be used as such.

#### **Pyrolysis**

Pyrolysis can be described as the direct thermal decomposition of an organic matrix that yields solid, liquid and gas products (Canabarro et al., 2013). Yield of products resulting from biomass pyrolysis can be maximized as follows: charcoal (a low-temperature, low heating rate process, long residence times), liquid products (low or moderate temperature, high heating rate, short gas residence time), and fuel gas (a high-temperature, low heating rate process, long gas residence time) (Bridgwater, 2012; Canabarro et al., 2013). This facility in combining temperature and residence times makes pyrolysis a very versatile process, which allowed the development of many different technological possibilities. Overall, pyrolysis processes differ among each other in how fast heat is transferred to feedstock particles, the maximum temperature and residence time. They are usually

classified according to these reaction conditions and product yield (Libra et al., 2011). The main pyrolysis processes are described below.

#### **a) Slow pyrolysis**

This method is the most traditional form of charcoal production (carbonization) all over the world and the main process used in Brazil, the largest world charcoal producer. It is probably the most common way to produce biochar at slow heating rates, relatively low temperature (from 300 to 600 °C) and long residence time (hours to days). Slow pyrolysis is recommended for solids production (20 - 40% of charcoal or biochar), once the operational conditions reduce other fractions such as gas and oil. Typically, one third of each fraction is produced when feedstock is slowly pyrolyzed and the higher the temperature, the lower is the charcoal yield. At low temperatures, lignin is partially degraded and some residual cellulose can still be present. Short residence time can lead to the same result, which is incomplete carbonization and also tar residue impregnates the resulting charcoal. For energy, the quality of slow pyrolysis charcoal is low, but for biochar, this depends on the desired function of the final product. In general, low temperature and residence time lead to a highly functionalized biochar, since the incomplete degradation and insufficient tar draining preserve part of the original structure of carbohydrates and phenols, maintaining a high content of hydroxyl and carboxyl groups. These characteristics are desirable when the main intended function of biochar is to enhance the soil cationic exchange capacity (CEC).

#### **b) Fast pyrolysis**

Fast pyrolysis is the main process used for bio-oil production. The process involves faster heating rates and much shorter residence times (seconds) than slow pyrolysis. Under the right conditions, the liquid yield can increase as much as 75 %. A good homogenization of the feedstock (Libra et al., 2011), ground to around 2 mm, and drying to less than 10 % moisture (Bridgwater, 2003), are required to reach high yields. In spite of its particular importance for bio-oil, fast pyrolysis produces 10 to 15 % of solids, which can be used as biochar, raw or pelleted charcoal. Since fast pyrolysis is usually performed at high temperatures (above 500 °C), the aromaticity of the resulting biochar is generally higher (Brewer et al., 2009; Kim et al., 2012) and O/C atomic ratio lower than by slow pyrolysis for the same residence time. The O/C ratio is an important indicator of stability and functionality of biochar and can range from 0.2 (highly stable) to 0.6 (highly functional) (Spokas, 2010).

#### **c) Flash pyrolysis**

Flash pyrolysis is performed at an even shorter reaction time (only a few seconds) than fast pyrolysis and so the heating rate is very high. The process requires a special reactor configuration (parallel

screw reactor, for example) and a heat conductor (as fluidized bed) must be present to receive the feedstock. The fine particles of feedstock are burned by flash fires. This process is used mainly to produce bio-oil. Temperatures ranging from 400 to 950 °C promote rapid depolymerization and feedstock cracking, resulting in bio-oil with viscosity comparable to diesel oil (Canabarro et al., 2013). Examples of this process are flash hydro-pyrolysis, which involves hydrogen atmosphere at pressures up to 20 MPa and vacuum flash pyrolysis, which occurs under negative pressure to remove condensable products from the hot reaction zone (Canabarro et al., 2013).

### Gasification

Gasification represents the large group of different technologies that transform biomass into fuel-gas. Kirubakaran et al. (2009) describe gasification by the three predominant reactions on biomass degradation: drying, devolatilization (pyrolysis) and gasification. The first step of gasification is drying followed by pyrolysis. The process produces gas, vaporized tars and oils and a char residue, consisting of typically 5 to 10 % of the original feedstock mass (Brewer et al., 2009).

Gasification can be a partial oxidation or a pyrolytic process (Bridgwater, 2003) at high temperatures, typically around 800-900 °C (McKendry, 2002a). In the first case, the oxidizing agent can be pure oxygen or air. At large, the gases produced are hydrogen (H<sub>2</sub>), carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), but nitrogen (N<sub>2</sub>) and methane (CH<sub>4</sub>) can also be present (McKendry, 2002a; Bridgwater, 2003).

There are basically two gasifier types: the fixed-bed and fluidised-bed, with variations of each (McKendry, 2002b). The first type consists of the traditional gasification technology and is run at temperatures as high as 1,000 °C. Fixed-bed gasifiers have a simpler design and produce a low calorific value (CV) gas (syngas) with a high tar content (McKendry, 2002b). Typically, the composition of syngas is 40-50 % N<sub>2</sub>, 15-20 % H<sub>2</sub>, 10-15 % CO, 10-15 % CO<sub>2</sub> and 3-5 % CH<sub>4</sub>, with a net CV of 4-6 MJ N<sup>-1</sup> m<sup>-3</sup> (McKendry, 2002b).

Two groups of gasification, with a different number of steps, can be described: direct biomass gasification and gasification after biomass pyrolysis. The latter group has recently attracted attention as a way to reduce costs (transport and storage) and improve efficiency, since the feedstock consists of high energy-density material (charcoal or bio-oil).

Brewer et al., (2011) stated that biochar from gasification can produce a suitable material in terms of C stability but recommends paying attention to some concerns, e.g., the ash content. As the process can involve such high temperatures, gasification is

used to produce biochars with a high concentration of aromatic structures. Brewer et al. (2009), comparing chemical properties of chars produced by different processes, showed that gasification char had similar aromaticity to slow-pyrolysis char, but a larger cluster size of the fused ring systems. According to these authors, aromatic cluster size seems to be more controlled by the reaction temperature than by duration. These features of biochar from gasification enhance the concern with regard to the polycyclic aromatic hydrocarbons (PAH) content, so the presence of aromatic contaminants must be monitored. One last aspect about biochars produced at high temperature, as by gasification, is the specific surface area and porosity, which are usually higher under these conditions than in slow-pyrolysis biochar.

### Combustion

When biomass is burnt in an oxygen atmosphere, the main reaction is combustion and the final products will be CO<sub>2</sub>, water, and ashes. Combustion can reach temperature as high as 800 to 950 °C (Neves et al., 2011). An inefficient or incomplete combustion process can result in a charcoal-rich material with high ash content. This material, when used as biochar, has to be specifically analyzed for the liming effect, since biomass ashes contain relevant amounts of Ca, Mg, K, and Na oxides. Biochars derived from wood and other lignocellulosic materials usually have smaller acid-neutralizing capacity than biochar from animal wastes (Kookana et al., 2011).

### Designer biochar

Enough knowledge is already available about biomass thermo-treatments to direct the pyrolysis conditions to obtain specific properties. Instead of analyzing which biochar results from certain production conditions, it is already possible to define which biochar is desired and then fit the production conditions to obtain it (Mašek and Brownsort, 2010; Singh et al., 2010; Kim et al., 2012; Novak et al., 2014).

### Quantification of pyrogenic C

The quantification of pyrogenic C is of paramount importance to evaluate its role in soil C stocks and its fate in the environment, but is a great methodological challenge (Derenne and Largeau, 2001; Masiello, 2004; Simpson and Hatcher, 2004; Novotny et al., 2006, 2007; Hammes et al., 2007). The reason is that, first of all, the pyrogenic C pool must be considered in a continuum model of carbonization (Figure 3) (Masiello, 2004), which is very complex and comprises different products, ranging from slightly charred, degradable biomass to highly condensed, refractory soot, with gradual changes in properties and structures, in which the co-existence of several of the continuum products is common.

According to Masiello (2004), pyrogenic C measurement techniques could be grouped into six general classes: microscopic; optical; thermal; chemical; spectroscopic; molecular marker; and also combinations of these six techniques. Microscopic measurements are based on the identification and counting of charcoal pieces visible under an optical microscope; optical techniques measure the mass absorption coefficient (light absorption) at a specific visible wavelength (usually 500-650 nm) of atmospheric aerosols collected in filters (Clarke et al., 1987) or in solid residues after oxidation of non-pyrogenic material by Thermal/Optical Transmittance and Reflectance (TOT/R) (Hammes et al., 2007); thermal and chemical methods quantify pyrogenic C remaining after oxidation by heating and chemical oxidation, respectively; spectroscopic techniques identify signals of specific functional groups (i.e. polycondensed aromatic rings) associated with carbonized products, usually after oxidative (chemical-; thermal- or photo-oxidation) removal of operationally defined non-pyrogenic material, however the use of multivariate mathematical tools in spectroscopic data, e.g. Multivariate Curve Resolution, can also provide interesting results about C speciation - pyrogenic and non-pyrogenic C (Novotny et al., 2009) without requiring the removal of non-pyrogenic material. Molecular marker techniques, in turn, quantify specific compounds produced during biomass carbonization, e.g. levoglucosan (Elias et al., 2001) or benzene polycarboxylic acids (BPCA), generated by chemical oxidation of polycyclic aromatic structures (Glaser et al., 1998). In this way, the pyrogenic C content can be estimated by extrapolation, assuming a constant BPCA/pyrogenic C mass ratio (Glaser et al., 1998).

Other authors (Schmidt and Noack, 2000) suggested the grouping of these analytical approaches in four main categories: thermal, chemical, optical, and indirect evidence (i.e. molecular markers and magnetic susceptibility).

Except for the microscopic and molecular marker techniques, all other methods involve the removal of non-pyrogenic material by chemical, thermal or photo-oxidation and quantification of the remaining C in the residue. However, aside from pyrogenic C, the residue can contain recalcitrant biopolymers, such as acid-insoluble components of plant waxes and lipids resistant to chemical oxidation (Knicker et al., 2008a), and therefore, this quantification of residual C alone could lead to an overestimation of pyrogenic C. To overcome this limitation, the characterization of the residues by spectroscopic methods, such as  $^{13}\text{C}$  Nuclear Magnetic Resonance (Simpson and Hatcher, 2004; Knicker et al., 2008a) and infrared spectroscopy (Smith et al., 1975) can provide reliable results.

In spite of what was stated in the literature (Masiello, 2004), even the  $^{13}\text{C}$  Nuclear Magnetic

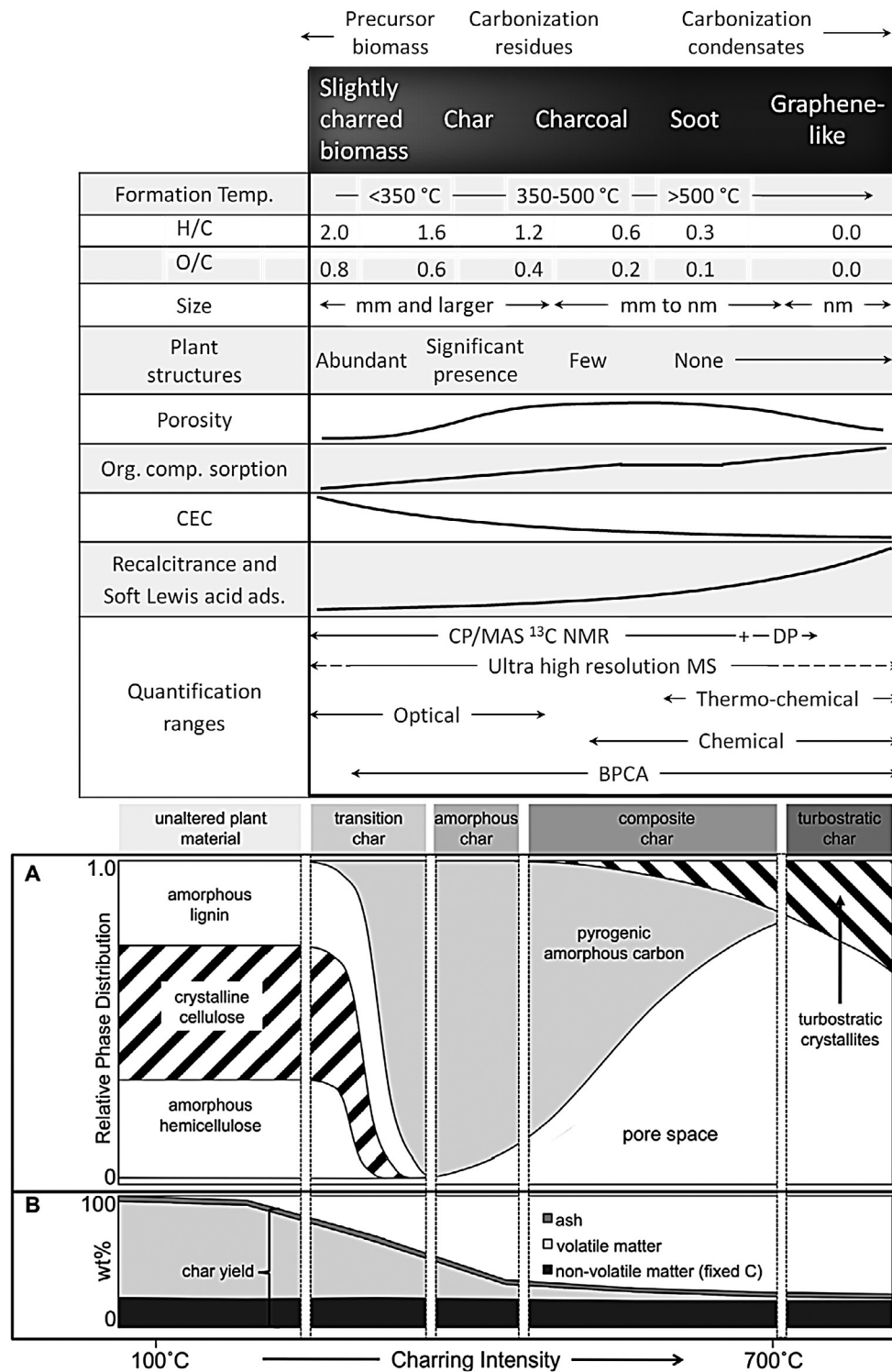
Resonance spectroscopy under “quantitative” conditions (i.e. direct polarization), when performed with high magnetic field equipment ( $>1.76\text{ T}$ ), as is usual, underestimates highly condensed aromatic structures (soot and graphitic structures). This is a result of the high heterogeneity in local magnetic susceptibility and/or chemical shift anisotropy, which is not completely averaged out at the usual rates of magic angle sample spinning (Freitas et al., 1999, 2001; Novotny et al., 2006). The underestimation is even worse for the cross-polarization technique (Figure 4), due to the inefficient H-C cross polarization (very low H content).

On the other hand, methods of molecular markers are non-stoichiometric for all species of pyrogenic C, since the method of Elias takes only levoglucans into account, or C loss due to the breaking of the polycondensed aromatic structure, which is tentatively offset by a correction factor (Glaser et al., 1998). This correction however assumes that the mass ratio of BPCA/pyrogenic C is constant, which was not properly evaluated for a broad spectrum of charred materials or weathered coal, which is already partially oxidized to aromatic carboxylic acids (Glaser et al., 2002; Kramer et al., 2004; Novotny et al., 2007, 2009; Maia et al., 2011; Linhares et al., 2012; Araujo et al., 2014).

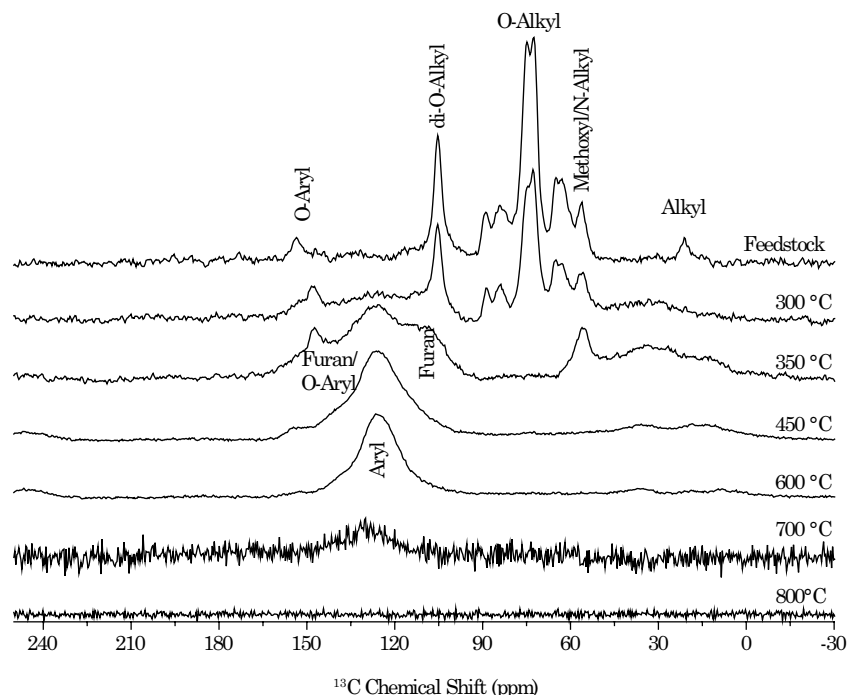
Aside from these methods, a very interesting technique, not usually cited in quantification studies and reviews (Glaser et al., 1998; Schmidt et al., 2001; Masiello, 2004; Simpson and Hatcher, 2004; Brodowski et al., 2005; Hammes et al., 2007; Koide et al., 2011; Wiedemeier et al., 2015), is the C stable isotopic ratio ( $\delta^{13}\text{C}$ ) (Bird and Ascough, 2012), which is widely used in studies on biochar mineralization and priming (Hamer et al., 2004; Cross and Sohi, 2011; Keith et al., 2011; Luo et al., 2011; Fang et al., 2015; Rittl et al., 2015b), but still uncommon for pyrogenic C quantification. The application of biochar with isotopically distinct  $\delta^{13}\text{C}$  values of the native soil organic matter (distinct photosynthetic pathway) would provide a powerful tool, aside from tracing the fate of pyrogenic C in the environment, as reference method to study quantification and stability (e.g. biochar half-life). This technique would be particularly interesting if the emitted  $\text{CO}_2$  is quantified and its source determined by  $\delta^{13}\text{C}$  measurement of the emitted  $\text{CO}_2$  (Rittl et al., 2015b), thus allowing to estimate the real mineralization of the applied biochar, since important losses of pyrogenic C occur via dissolution and transport to rivers and sea (Jaffé et al., 2013), aside from losses by runoff (Major et al., 2010a) and bioturbation (Elmer et al., 2015).

And, last but not least, it is evident that each of these analytical approaches measures a different region of carbonization continuum (Figure 3), which can partly explain the discrepant results (by up to two orders of magnitude) of pyrogenic C content obtained by different methods for the same samples





**Figure 3. Model of carbonization continuum and associated key properties and functions.** Formation Temp.: peak heat treatment temperature; H/C and O/C: atomic ratios; Org. comp. sorption: sorption capacity for polar and nonpolar compounds; CEC: cation exchange capacity; Soft Lewis acid ads.: adsorption capacity for soft Lewis acids (e.g. some heavy metals such as  $\text{Hg}^{2+}$ ,  $\text{Hg}_2^{2+}$ ,  $\text{Cd}^{2+}$ ); CP/MAS  $^{13}\text{C}$  NMR: cross polarization by carbon-13 nuclear magnetic resonance with magic angle sample spinning, DP: direct polarization MAS  $^{13}\text{C}$  NMR, the quantification range of DP covers a broader range than CP; BPCA: benzene polycarboxylic acids (carbonization molecular markers) analysis. Source: Adapted from Hedges et al. (2000), Masiello (2004), Hammes et al. (2007), Bird and Ascough (2012). Bottom figure (a and b), source: Keiluweit et al. (2010) Reprinted. Copyright© (2010) American Chemical Society.



**Figure 4. Cross polarization with magic angle sample spinning  $^{13}\text{C}$  nuclear magnetic resonance of *Eucalyptus grandis* wood feedstock and its biochar at different highest heat temperature treatments (1 h carbonization). Spectra from 600 to 800 °C represent acid-insoluble coffee husk residues (Rambo et al., 2014).**

(Schmidt et al., 2001). For example, microscopic counting techniques only take relatively large pieces of C into account, excluding any colloidal C, soot and coal degradation products; on the other hand, the methods that quantify the residue after removal by thermal oxidation, chemical and photochemical oxidation of non-pyrogenic C depend on the oxidation intensity, which is overall lowest in the case of photo-oxidation (Schmidt et al., 2001). A fraction of pyrogenic C, especially at the beginning of the carbonization continuum, may be oxidized and therefore underestimated (Masiello, 2004; Hammes et al., 2007; Knicker et al., 2007); while spectroscopic techniques of molecular markers, in turn, focus on the chemical signature of carbonization, and thus fulfill the expectation of detecting the broadest spectrum of carbonization continuum (Masiello, 2004), although the limitations of these techniques have already been pointed out above. However, Hammes et al. (2007) can be cited as follows: “The ultimate value of the various BC [Black C, pyrogenic C] quantification methods is not how they compare to one another, but whether they provide useful information for the application for which they are used. BC quantification is not an end in itself, but rather a means to an end”.

### Characterization of Biochar

Roughly speaking, all analytical techniques employed in studies on environmental organic matter and charcoal can be useful for biochar characterization

as well. However, since the objective of this critical review is not an exhaustive bibliographic review but to present some highlights of biochar research, the determination of only two key parameters is detailed here: aromaticity and degree of aromatic condensation.

The basic chemical structure of carbonized biomass consists of the polycondensed aromatic units. The aromaticity and degree of aromatic condensation is governed mainly by the highest heat treatment temperature - HTT (Keiluweit et al., 2010; Zimmerman, 2010; McBeath et al., 2011), although other pyrolysis parameters, such as: residence time (Knicker et al., 2005; Melligan et al., 2012; Rutherford et al., 2012);  $\text{O}_2$  availability (Ascough et al., 2008); and pressure (Melligan et al., 2011), as well as the precursor biomass (Wiedemeier et al., 2015), can also significantly affect these fundamental chemical properties of biochar. These properties can be assessed by several analytical methods, described and evaluated by Wiedemeier et al. (2015): chemical (elemental; BPCA; and lipid analyses); spectroscopic (Infrared; NEXAFS; and  $^{13}\text{C}$  NMR spectroscopies); and physical (helium pycnometry: skeletal density, which is the sample mass divided by its skeletal volume, where skeletal volume is the volume occupied by the solid sample and any pores not accessible to the gas analysis). The only techniques that provide, concomitantly, the aromaticity and degree of aromatic condensation, are BPCA analysis and  $^{13}\text{C}$  NMR spectroscopy (Figure 3 in Wiedemeier

et al., 2015). For the others, the combination of two techniques is required, for example: elemental analysis (aromaticity) and helium picnometry (degree of aromatic condensation) (Wiedemeier et al., 2015), although both are indirect measurements.

Apart from the extensively studied changes in the chemical structure of biomass by carbonization (Figure 4), major physical changes occur beyond these, and associated to changes in porosity and surface area. In this sense, Keiluweit et al. (2010) proposed a physical multi-phase model of carbonization continuum, with four char categories (Figures 3a and 3b). In this model, gradual changes occur with increasing carbonization intensity: from transition chars, that partially preserve the crystalline character of the precursor materials (e.g. crystalline/amorphous cellulose); past a region dominated by amorphous chars, where heat-altered biomacromolecules and incipient small clusters of aromatic polycondensates (fewer than six aromatic rings) are randomly mixed (Knicker et al., 2005, 2008b); to a region in which amorphous and turbostratic chars coexist (composite chars); ending in turbostratic chars, which are dominated by disordered graphitic crystallites, mainly between planes disorders.

This model shows important environmental implications concerning biochar persistence in the environment as well as its performance as sorbent (Keiluweit et al., 2010).

The multicomponent character of biochar, with a labile fraction that mineralizes as fast as ordinary soil organic matter, is well-documented (Hilscher et al., 2009; Smith et al., 2010; Bruun et al., 2011; Calvelo Pereira et al., 2011; Zimmerman et al., 2011; Rittl et al., 2015b). This labile fraction is mainly residual cellulose (O-alkyl and di-O-Alkyl groups; see figure 4) from incomplete carbonization (Hilscher et al., 2009; Bruun et al., 2011) and alkyl groups (Hilscher et al., 2009; Rittl et al., 2015b), that correspond to the transition chars in the multi-phase model. However, amorphous and composite chars, which are also part of the aromatic structure, are prone to oxidation in the first days of incubation (Hilscher et al., 2009). Finally, some condensates adsorbed to the biochar (amorphous char) can also decompose quickly (Smith et al., 2010).

In general, a selective degradation of part of the biochar "blend" is observed: if the carbonization process was mild, with residual plant biomacromolecules such as cellulose and lignin (Figure 4), these structures will be mineralized first; in case of biomass rich in aliphatic structures, such as fatty acids and waxes (Rittl et al., 2015b) or peptides (Hilscher et al., 2009), and if the carbonization is moderate, with partial thermal decomposition of cellulose and lignin, the microbial community is able to decompose these aliphatic structures as well. In other words, similarly to ordinary soil organic matter, according to the input,

the microbial community will first deplete the most labile fraction. If no very labile compounds (e.g. cellulose) are available, the ecosystem will adapt (ecological succession) to consume the available organic material (Maia et al., 2013), which may even ultimately be very recalcitrant C forms.

But in general, despite the fast decomposition of these labile fractions, the net result is C sequestration (Hilscher et al., 2009; Smith et al., 2010; Bruun et al., 2011; Zimmerman et al., 2011; Rittl et al., 2015b), probably due to the expected long residence time of the turbostratic chars, even when positive priming occurs in the mineralization of native soil organic matter (Jones et al., 2011). Besides, the interaction between soil type and biochar structure and composition, allows to "design" the biochar (Novak et al., 2014) even for C sequestration aims (Steinbeiss et al., 2009).

Alternatively, the labile fraction of biochar can also be determined by simple and indirect methods such as thermal decomposition (Benites et al., 2005; Calvelo Pereira et al., 2011) and chemical oxidation (Calvelo Pereira et al., 2011) or a combination of both (Mašek et al., 2013b).

Concerning sorption, the multi-phase model of Keiluweit and cols fits very well to the results and discussion, for example, of the excellent paper of Chen et al. (2008). Similar to humic substances, that have a different sorption (by partition) capacity, correlated with the content of amorphous poly-(methylene) structures, but poorly correlated with polarity and aromaticity indices (Mao et al., 2002), the sorption of polar and nonpolar aromatic contaminants by biochar occurs by different mechanisms (partition and adsorption), each with specific transitions (Chen et al., 2008).

According to Chen et al. (2008), the partition mechanism evolves from partition to an amorphous aliphatic domain, in biochar produced at low temperature (up to 300 °C - transition/amorphous chars in the multi-phase model of Keiluweit and cols), to a condensed aromatic core with higher pyrolytic temperature (400-600 °C - composite/turbostratic chars). Simultaneously, the adsorption contribution produces a transition from a surface polarity-selective effect (biochar produced at 200-400 °C - transition/amorphous chars) to a porosity-selective effect (pyrolysis temperature of 400-600 °C - composite/turbostratic chars). These results corroborate with Chun et al. (2004), who described adsorption on surfaces for highly carbonized chars and concomitant adsorption and partition into residual biomacromolecule phases in low temperature chars.

Again, the importance of mechanistic in addition to empirical studies becomes evident, with a view to develop designed biochars, to address specific

functions in the soil (Chen et al., 2008; Novak et al., 2014).

### Standard methods to qualify biochar

Biochar as a soil amendment has to be analyzed as for its agronomic and environmental properties. A series of methods and procedures are available for the physical chemical characterization of biochar. In addition to other methods of particular interest, American and European scientific communities have already established guidelines to standardize methods and allow safe comparisons of biochar samples (EBC 2012, 2014; IBI, 2014). The chemical and physical characterization of biochar required both by IBI and EBC (EBC, 2014) includes:

- a. Proximate analysis: gravimetric analysis to determine moisture, ash and volatiles;
- b. CHNS elemental analysis by dry-combustion: the recommended method to determine pyrogenic C due to its high stability; sulfur is especially important for feedstock where this element is expected to be high, as for example in kraft-paper mill residues. Oxygen is estimated by the difference. With this analysis, aside from total N and C, it is also possible to calculate H/C and O/C ratios, which indicate biochar stability, the latter is required only by EBC;
- c. Inorganic carbon: necessary when carbonates are present in considerable amounts. Recommended method is the CO<sub>2</sub> evolved determination after HCl attack. Organic C can be then calculated by difference from Total C;
- d. Total P, K, Ca and Mg: important to evaluate the potential for save fertilizers, an optional determination for IBI;
- e. pH and electro-conductivity: different methods are recommended by IBI (H<sub>2</sub>O) and EBC (CaCl<sub>2</sub>);
- f. Liming equivalence: if pH is higher than 7, liming effect of biochar must be estimated and considered especially if apply in massive amounts (t ha<sup>-1</sup>). The parameter is not required by EBC;
- g. Bulk density: required only by EBC;
- h. Particle size distribution: not required by EBC;
- i. Surface area: the recommended method is N<sub>2</sub> adsorption (BET method, Brunauer et al., 1938); this determination is optional for IBI;
- j. Heavy metals determination: Pb, Cd, Cu, Ni, Hg, Zn and Cr are required by both IBI and EBC; IBI also requires other elements as Co, Mo, B, As, Se, Cl and Na;
- k. Organic pollutants: polyaromatic hydrocarbons (PAH) and polyaromatic chlorides (PCB), polychlorinated dibenzodioxins (PCDDs) and

polychlorinated dibenzofurans (PCDFs) after Soxhlet extraction with toluene;

- l. Germination inhibition: not required by EBC.

Apart from these recommended tests, the determination of carboxyl and phenolic acidity is also useful to understand or forecast biochar behavior in the soil and the Boehm method is the most commonly used for this purpose in biochar studies (Fidel et al., 2013). However attention should be given to materials with high ash and/or soluble C contents, since the assumption that the Boehm's reactants only interact with organic surface functional groups is violated (Cheng et al., 2006). Cationic exchangeable capacity can also be estimated by using the biochar contents of extractable Ca<sup>2+</sup>, Mg<sup>2+</sup>, Na<sup>+</sup> and K<sup>+</sup>, after removing the ashes. Ash-rich biochars, either from partial combustion processes or from ash-rich feedstock can be applied, particularly when liming effect is desired, although the ash quality must be analyzed and its base content (mainly of Ca and Mg) well-determined. Some biochars with high ash content sometimes are silica-rich (Si, as in some grass) or Al or Fe-rich (if the feedstock was contaminated by soil or sediments). Ash content of biochar is very variable and depends not only on the feedstock but also on the process temperature.

Earthworm tests are the most common to evaluate the ecotoxicity of biochar and, for this purpose, aging is an important approach. Seemingly, the main impact caused by biochar on earthworms is due to pH, which can change responses according to the biochar application time (Weyers and Spokas, 2011).

### Important Environmental and Agronomic Properties of Biochar

In conclusion, figure 3 shows the relationship of several functions and properties of biochar according to the intensity of carbonization, for example:

- a. The stability and C sequestration potential depend mainly on: the chemical structure and composition; aromaticity and degree of aromatic condensation; the content of labile, aliphatic compounds and volatile matter etc (references cited above);
- b. The nutrient supply and liming potential depend on: the source composition (Atkinson et al., 2010) such as P in bone biochar (Novotny et al., 2012); and ash content (DeLuca et al., 2009; Gwenzi et al., 2015);
- c. The CEC depends mainly on: the content and properties of volatile matter for low carbonized biochar (Mukherjee et al., 2011), however due to the lability of this fraction, this effect is probably transient; the cooling of biochar with O<sub>2</sub> supply can oxidize it, generating carboxylic functionalities (Spokas et al., 2012); the aging

of biochar also generates these functionalities (Cheng et al., 2006; Novotny et al., 2007, 2009; Heitkötter and Marschner, 2015). On the other hand, the anionic exchange capacity decreases with aging (Cheng et al., 2008);

- d. Sorption of nutrients and heavy metals (Beesley et al., 2011; Kookana et al., 2011; Melo et al., 2013): the adsorption of hard Lewis acids (e.g. light alkali ions, and some heavy metals such as  $\text{Cr}^{3+}$  and  $\text{Cr}^{6+}$ ) occur predominantly via ion exchange, mainly from carboxylic functionalities (see item c), while the adsorption of soft Lewis acids occurs predominantly via cation- $\pi$  bonding mechanisms (Harvey et al., 2011),  $\pi$  electron density increases with the aromatic condensation degree and consequently with carbonization intensity;
- e. Sorption of pesticides and other organic compounds: the Freundlich sorption coefficient ( $K_f$ ) for biochar can be several orders of magnitude higher than for humic substances (Kleineidam et al., 1999) and nonlinear isotherms (Freundlich exponent,  $n > 1$ ); and both Freundlich parameters are directly proportional to carbonization intensity (Chen et al., 2008), the sorption ability is related to the surface area, aromaticity and porosity (Beesley et al., 2011; Kookana et al., 2011);
- f. The water-holding capacity depends mainly on: porosity and surface area, however the pores can be blocked by recondensation of volatile matter at low-temperature biochar (Atkinson et al., 2010; Beesley et al., 2011); and biochar hydrophilicity (Rizhiya et al., 2015), which depends on the pyrolysis temperature (Mohan et al., 2014) and technique, for example: hydrothermal are more hydrophilic than thermal biochars (Sun et al., 2011);
- g. Biota interactions depend mainly on: presence of hazardous and beneficial compounds (Sun et al., 2015); pH; sorption properties; porosity; and surface area (Atkinson et al., 2010; Beesley et al., 2011; Lehmann et al., 2011).

## AGRONOMIC POTENTIAL OF BIOCHAR AS A SOIL AMENDMENT IN CROPPING SYSTEMS

### Research on biochar and crop production

According to Lehmann and Joseph (2009), biochar can be considered a promising material that can represent a response to a wide range of grave challenges as, for example: soil degradation

and food insecurity, climate change, sustainable energy generation, and waste management (Figure 5a). In recent years, research on biochar as a soil amendment to improve crop production has increased (Figure 5b). Two developments have sparked particular interest in using biochar. Firstly, the discovery of the Indian Black Earths (*Terra Preta de Índio*) suggests that one of the reasons for the extraordinary fertility of these soils is the high C content (up to 15 %), mainly in the form of pyrogenic C, as a result of ancient human activity in the Amazon (Madari et al., 2003; Novotny et al., 2009). A key process in the formation of *Terra Preta* was pyrolysis, which catalysed the transformation of organic material, “locking” the C in the form of charcoal. Secondly, the production of bioenergy via carbonization of organic residues or biomass has opened the possibility of amplifying the use of biochar, a by-product in this process (Lehmann, 2007).

The first studies on usefulness of biochar in agriculture/forest soils were conducted in Japan, where intensive agriculture has been practiced since ancient times (Ogawa and Okimori, 2010). In Europe, there are reports on the use of peat-charcoal for agricultural purposes by Davy (1856), and in USA, Tryon (1948) reported on the effect of wood biochar on the properties of forest soils. Recent studies suggest that application of biochar as a soil amendment can potentially increase crop productivity on weathered tropical soils (Glaser et al., 2002; Lehmann et al., 2003; Steiner et al., 2007; Major et al., 2010b; Petter et al., 2012). This is in agreement with a meta-regression analysis by Crane-Droesch et al. (2013), who found that soil CEC and organic C were strong predictors of yield response in the presence of biochar. Soils with a low CEC on crop yields with different types of biochar amendment and low C were associated with positive crop yield response to biochar application. Moreover, a meta-analysis on the impact of biochar on crop production showed a generally positive effect (~ 10 % increment on crop yields with different types of biochar amendment) (Jeffery et al., 2011; Biederman and Harpole, 2013; Liu et al., 2013). However, the extrapolation of these results to field applications is problematic because most studies were conducted over short periods and under environmentally controlled conditions. For example, according to the meta-analysis by Liu et al. (2013), greater responses were found in pot than in field experiments. In addition, Jeffery et al. (2011) showed that biochar effects on crop yields could vary with crop species. It is not always clear whether positive effects stem from a direct fertilization effect, an increased water-holding capacity, or a combination of effects. It is also uncertain for how long the effects of biochar application are likely to last in a cropping system. Both Jeffery et al. (2011) and Liu et al. (2013) found that crop responses to biochar amendment were

greater for dry land crops on acid and sandy-textured soils. Research that addresses the potential of biochar as a soil amendment in crop production systems under field conditions from a multiple year perspective is therefore required.

### Determining factors and potential effects of biochar on soil, plant and atmosphere relationships

The International Biochar Initiative (IBI, 2013) states that biochar can be used as a product for its own sake or as an ingredient in a blended product, with a range of applications: as agent for soil improvement, improved resource use efficiency, remediation and/or protection against particular environmental pollution, and as a strategy for greenhouse gas emission reduction. However, the large range of biochar types, resulting from different feedstock and pyrolysis processes, diversifies the efficacy of biochars as soil amendment. For example, the higher the temperature of pyrolysis, the greater the surface area, pH and capacity to exchange cations and the lower the percentage of C recovery (Lehmann, 2007). Biochar types with high ash contents can reduce soil acidity, increase soil pH and concentration of essential elements such as Ca, Mg and K and decrease Al availability (Deenik et al., 2011; Deal et al., 2012) while high-surface-area biochar can improve soil water retention capacity (Gray et al., 2014). Biochar is mainly composed of C. A major part of this C is recalcitrant (also known as pyrogenic C, char, black C), and a smaller part is labile, which can affect the soil C/N ratio. In periodically burned open Savannah, fire-derived organic matter is an important component of the soil organic C (SOC) (Novotny et al., 2009). Liming and fertilization effects promoted by ash can persist for at least 2 years in the Brazilian Savannah also known as *Cerrado* (Pivello et al., 2010). For instance,

in the topsoil of a 23-year-old pasture of the Brazilian Savannah, Roscoe et al. (2001) observed that 50 % of the total SOC was resilient due to charred material. Jantalia et al. (2007) estimated that up to 40 % of total SOC in a Ferralsol under soybean-based crop rotation was pyrogenic. The addition of biochar to *Cerrado* soils is likely to construct more resilient and fertile cropping systems by improvements and maintenance of SOC, increased soil pH and the capacity to exchange ions and retain water.

Several studies have reported the potential role of biochar in improving soil water retention capacity. Table 1 shows that more studies tested the effect of biochar on the soil water retention capacity of sandy (14) than of clay soils (eighth) and others (two). The majority of these studies were conducted under artificially controlled conditions (16). A variety of types and a wide range of amounts of biochar have been tested, including wood biochar (16). Overall, most studies applied unfeasibly high amounts of biochar (15 studies with rates of  $\geq 2$  % w/w) rather than more realistic amounts (nine with rates of  $\leq 2$  % w/w). On sandy soils, positive effects were observed in almost all studies, whereas on clay soils neutral (zero) effects were reported more often. The positive effects were generally related to the high specific surface area due to the porous structure of biochar, which behaves as additional capillaries, favoring water retention by the soil. However, only 33 % of the studies in table 1 addressed this characteristic. This brief review points out that positive effects of biochar on water retention capacity will depend not only on the soil type but also on biochar type and rate.

According to Glaser et al. (2002), the physical and chemical composition of slow-pyrolysis wood biochar can increase the soil water-holding capacity and positively affect soil chemical properties. Additionally, its alkaline pH can

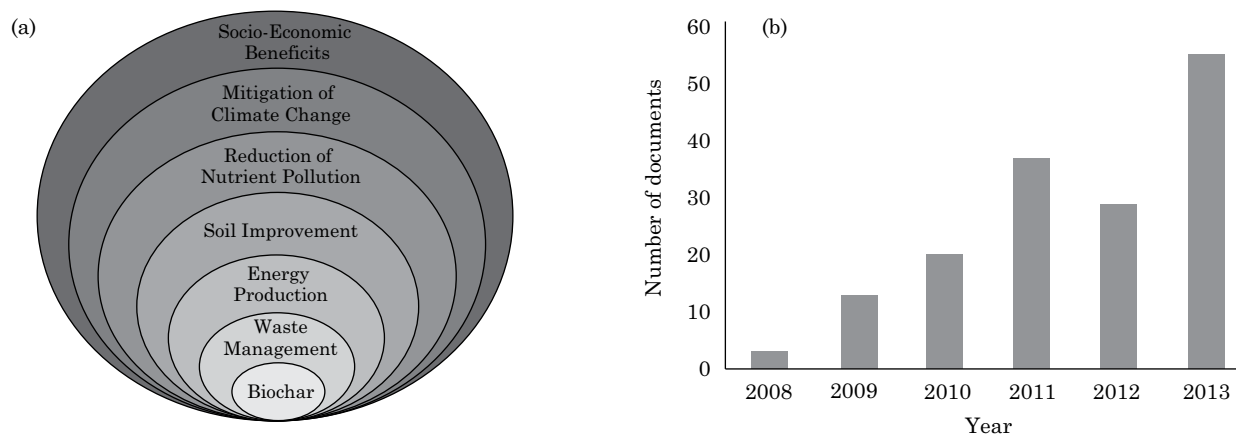


Figure 5. The functionality of biochar (a) and number of scientific articles per year for the query [(TITLE-ABS-KEY(biochar and crop production))] (b). Source: Adapted from Lehmann and Joseph (2009) (a); and Scopus, 157 results, search on 18 June 2014 (b).

**Table 1. The effect of biochar on soil water retention capacity (WRC) and bulk density (BD) under different soil types and experimental conditions**

Reference	Feedstock for biochar production	SSA	Rate <sup>(1)</sup>	Soil type	Set	BD	WRC
		m <sup>2</sup> g <sup>-1</sup>	% (w/w)				
Abel et al. (2013)	Maize, maize silage and beech wood	nd	1, 2.5, 5	Sandy and clay	F/L	-	+
Asai et al. (2009)	Wood	nd	0.3, 0.6, 1.2 <sup>(2)</sup>	Clay/silt loam	F	nd	0/+
Basso et al. (2013)	Red oak	nd	3, 6	Sandy loam	L	-	+
Beck et al. (2011)	Shells and car tire	nd	7	Green roof soil	L	nd	+
Brewer et al. (2012)	Corn stove	4.5-8.5	0.5	Loamy fine sandy	L	nd	0
Brockhoff et al. (2010)	Switch grass	21,6	5, 10, 15, 20, 25	Sandy	L	nd	+
Chen et al. (2010)	Bagasse of sugarcane and agricultural sewage	nd	3, 1	Heavy clay	F	nd	+
Dempster et al. (2012)	Eucalyptus	273	1.8	Sandy soil	L	nd	+
Devereux et al. (2012)	Wood	nd	1.5, 2.5, 5	Sandy loam	L	-	+
Fellet et al. (2011)	Prune residues	141	1, 5, 10	Clay	L	nd	+
Ibrahim et al. (2013)	Wood	nd	0.5, 1, 1.5, 2	Sandy loam	L	nd	+
Jones et al. (2010)	Green waste	nd	2.4, 4.6	Sandy	L	-	+
Karhu et al. (2011)	Hardwood	3.6	0.3	Silt loam	F	nd	0
Laird et al. (2010)	Wood	130-153	0.5, 1, 2	Fine-loamy	L	-	+
Lei and Zhang (2013)	Dairy manure and woodchip	14-124	5	Loamy	L	-	+
Liu et al. (2012a)	Residues of commercial production	nd	0.3, 0.6, 1.2 <sup>(2)</sup>	Loamy sand	F	nd	+
Major et al. (2012)	Wood	nd	3	Clay	F	-	0
Pereira et al. (2012)	Wood	nd	6, 12, 24	Sandy	L	-	+
Tammeorg et al. (2013)	Wood	nd	0.4, 0.8	Sandy clay	F	0	0
Tryon (1948)	Pine wood/Oak wood	nd	15, 30, 45 <sup>(3)</sup>	Clay loam/sandy loam	L	nd	-/+
Ulyett et al. (2014)	Wood	nd	3	Sandy loam	L	nd	+
Uzoma et al. (2011)	Cow manure	nd	0.4, 0.7, 0.9	Sandy	F	nd	+
Ventura et al. (2012)	Wood	nd	1.2, 2.4	Clay loam	F	-	0
Zheng et al. (2013)	Grass	2.84	1, 2, 5	Silt loam	L	nd	+

SSA: specific surface area of biochar; L: laboratory; F: field conditions; - and +: negative and positive effect of biochar, respectively; 0: not effect; nd: not determined. <sup>(1)</sup> All studies have included a control treatment, soil without biochar. <sup>(2)</sup> Biochar application rate transformed to a dry mass basis (w/w) considering a soil bulk density of 1.3 kg dm<sup>-3</sup> for clay and silt loam soils and 1.6 kg dm<sup>-3</sup> for sandy soils in a soil depth of 10 cm (when not specified). <sup>(3)</sup> Percentages of rate in a volume basis (v/v).

decrease soil acidity. If soil acidity decreases, the capacity of soil to exchange ions increases. As a result, biochar can improve nutrient use efficiency. Because wood biochar can improve soil chemical and physical properties, higher yields are expected. Apart from the type of biochar, soil type, crop and amount of biochar applied, the time after incorporation of biochar into the soil is a relevant factor for its effect on soil properties and plant production. The residence time of biochar in soils can be significant (McHenry, 2011; Schmidt et al., 2011), which is a desirable characteristic under conditions favorable for mineralization, as in tropical Savannahs. Yet, biochar can interact with the soil matrix and undergo a weathering process that can change its original physical and

chemical characteristics, a process known as “aging” (Kookana et al., 2011).

Another relevant factor regarding the use of biochar as a soil amendment is its availability. In developed regions of the world, e.g., in Europe, carbonization of domestic waste and organic residues is already a common practice. Biochar can be the link between biomass/residue management and energy/food production. A network to study the impact of the use of biochar in agriculture systems is already under way, i.e., the European Biochar Research network, running from 2012 to 2016. Programs such as the “Interreg IVB project Biochar: climate saving soils” are launching first final reports of the last four years of extensive

research on a number of questions related to biochar production and biochar applications in seven North Sea countries (<http://www.biochar-interreg4b.eu/>). In Brazil, bioenergy production from biomass is increasing. Production of biodiesel and ethanol from sugarcane plantations is the most widely acknowledged. Further, the area covered with timber plantations specifically for energy production has also increased: in 2010, 35 % of the wood from forest plantations was destined for charcoal production (ABRAF, 2011). Wood charcoal, sugarcane straw, crop residues and even organic waste could be used as energy sources in the production of gas, oil and solid by-products, such as biochar. Waste-to-energy plants could deliver both energy and carbonized biomass, which could in turn be used as a soil amendment.

The biochar research in Brazil was initiated about 10-15 years ago (Maia et al., 2011). In an Amazon Ferralsol, Steiner et al. (2007) showed a promising positive effect of wood biochar combined with organic fertilization on aerobic rice production. In the *Cerrado*, the effect of biochar has been studied since 2006 in different soil types (Maia et al., 2011). Initially, pot experiments showed significant effects of wood biochar on decreasing acidity and increasing soil nutrient availability of a clay soil and consequently improving aerobic rice growth and biomass production, as shown by Madari et al. (2006). Later, Pereira et al. (2012) demonstrated the significant effect of wood biochar on increasing the capacity of sand to hold water. In a field trial, Petter et al. (2012) also reported positive effects of biochar on soil chemical properties and outstanding positive effects on aerobic rice yields on a sandy loam soil immediately and one year after application.

According to Laird et al. (2008), the so-called “win-win-win” scenario of biochar application refers to the simultaneous use of biochar for bioenergy production, C sequestration, and improvement of soil and water quality. The implementation of this scenario requires the establishment of long-term effects of biochar on soil properties under real farming conditions, which depends, as described above, on a multitude of factors. There is also an urgent need to determine the environmental impact of biochar on cropping systems, such as its effect on N use efficiency. Biochar potentially has influence on the rates of N cycling in soil systems by affecting nitrification rates and adsorption of ammonia, and increasing ammonium storage by enhancing CEC in soils (Clough and Condron, 2010). Its influence on these processes may have further implications in terms of reducing nitrate leaching and gaseous N losses, for example of nitrous oxide (N<sub>2</sub>O), a potent greenhouse gas. However, excessive applications of biochar and synthetic N in soil could provide energy in the form of labile C and ammonium for nitrate production by nitrifying bacteria. Under intermittent

aerobic/anaerobic conditions, denitrifying bacteria can transform nitrate into N<sub>2</sub>O/N<sub>2</sub>.

Numerous studies have reported a potential suppressive effect of biochar on N<sub>2</sub>O emissions (Lehmann et al., 2006; Spokas et al., 2009; Atkinson et al., 2010; Cayuela et al., 2010; Sohi et al., 2010; Zhang et al., 2010b), as well as some evidence of a neutral effect (Karhu et al., 2011; Scheer et al., 2011). Reductions in soil bulk density, increased soil aeration and possible immobilization of N are among the causes for reduced N<sub>2</sub>O fluxes due to biochar application. However, data on the effect of biochar on greenhouse gas emissions are contradictory (Mukherjee and Lal, 2014). Conflicting results for the effect of biochar amendment on N<sub>2</sub>O fluxes seem to be not only related to differences in soil texture and biochar types, but mainly to variations in the soil moisture state. Clearly, the interactions between biochar and synthetic N fertilization and their effect on N<sub>2</sub>O fluxes in cropping systems need further investigation.

### **Effects of wood biochar application on soil properties and crop performance in a sandy and a clay soil types of the *Cerrado* - main results of a field study in Brazil**

Knowing that the effect of biochar on soil properties and plant productivity depends on the physical-chemical characteristics and environmental conditions, the main findings of a comprehensive field study carried out with two soil types, a clay Rhodic Ferralsol and a sandy loam Haplic Plintossol, in the Brazilian *Cerrado*, are presented here. Our research question was whether biochar can be used in an agronomically beneficial and sustainable manner to increase the productivity of cropping systems in a tropical Savannah. The effect of a single application of different rates (0; 8; 16; and 32 Mg ha<sup>-1</sup>) of wood biochar on soil chemical and physical properties and on crop yields over four to five growing seasons was studied. The wood biochar was a by-product of slow pyrolysis (~450 °C) of eucalyptus wood, a potentially available resource in the Central West region of Brazil, where the studies were carried out. Pieces of wood charcoal smaller than 8 mm are worthless for domestic or industrial uses and could be applied as soil amendment. Wood charcoal pieces were ground, sieved through 2 mm mesh and incorporated in the 0-15 cm soil layer.

Table 2, adapted from Carvalho (2015), summarizes the main effects of wood biochar (WB) on key soil properties, crop yield and N<sub>2</sub>O emissions during the growing seasons. The effect of WB on soil water-holding capacity varied according to the soil type. On sandy soil, WB increased the water retention capacity 2 and 3 yr after its application (Carvalho et al., 2014). Conversely, WB amendment decreased the water retention capacity of the clay soil 1.5 and 2.5 yr after its application (Carvalho, 2015). Many



other studies reported an increased water retention capacity of sandy soils after biochar application. However, these findings were mostly results of pot experiments (Table 1). Some field studies also described positive effects of biochar on the water retention capacity of sandy loam soils. Liu et al. (2012a), for example, reported a twofold increment in water retention capacity of a sandy soil treated with biochar and compost.

The negative effect of WB on water retention capacity of the clay soil was probably a consequence of the intrinsic low bulk density of the material and the disaggregation of the clay soil due to biochar incorporation (Carvalho, 2015). These findings are in agreement with Tryon (1948). To our best knowledge, this is the first time such an effect was confirmed in a field study. So far, most reports on effects of biochar on water retention capacity of a clay soil mention the absence of an effect or a positive response (Table 1). The response seems to be strongly related to the amount of biochar added and the conditions under which biochar is applied to the soil, i.e., tillage or no-tillage, pot or field experiment.

In the clay soil, a significant “liming” effect was observed up to 1.5 yr after WB application and in the sandy soil even up to 3 yr after WB application; however, this effect decreased over the seasons and was greater at higher WB rates (Carvalho, 2015). As liming, the effect of WB application seems to be temporary, requiring repeated applications to ensure an adequate soil pH for crop production. This effect of WB is especially important for tropical Savannah soils, which require pH adjustment to become agronomically productive. The technique of adjusting the soil pH in the Brazilian Savannah was revolutionary, enabling a production of over 40 % of the nation’s current total production of major crops in that region (IBGE, 2014). Our findings show that WB can act as a source of K, Ca and Mg, increasing the soil pH, benefitting many crops (Carvalho, 2015). A comprehensive economical comparison between the use of WB and conventional Ca and Mg sources will show whether biochar is a viable alternative.

The crop response to WB application varied according to the changes observed in soil chemical

and physical properties and to the weather conditions throughout the seasons. On the clay soil, WB application rates had no effect on common bean yields, immediately after application. Similarly, WB amendment had no effect on aerobic rice yields, 0.5 yr after biochar application (Carvalho et al., 2013a). One and a half and two and a half years after WB application, rice yields decreased with WB rates and depended on N fertilization: WB increased rice yield only when more than 60 kg ha<sup>-1</sup> of N was applied. Three and a half years after WB application, no effect on rice yield was observed. The negative effect of WB on rice yield most probably arises from the negative effects on water retention capacity as well as on soil N availability in the clay soil (Carvalho, 2015). On the sandy soil, WB had no effect on grain yield 2 and 3 yr after its application, contrary to the very positive effects observed immediately and 1 yr after biochar application. Interestingly, these first two seasons reported by Petter et al. (2012) were very dry and the latest two seasons studied by Carvalho et al. (2014) were very wet. Due to this unfortunate sequences of dry and wet seasons it was impossible to directly test whether the positive effects observed by Petter et al. (2012) were due to fertilization (nutrients added via WB) or to improved water retention, or maybe to both. Therefore, our analysis of the soil water retention curves was valuable for providing the missing link. There was strong indirect evidence that the positive WB effect on rice yields was (at least partially) due to increased water retention. Nevertheless, WB application to sandy loam soils seems to be a strategic option to avoid yield losses and even maintain yield stability in case of dry spells or seasons. Overall, on less fertile sandy soils, biochar can increase crop yields because it represents a source of readily available nutrients (fertilizer), such as K, and enhances the water retention capacity. On a more fertile clay soil, the effect of biochar on crop yields is likely to be related to N availability, particularly in no-tillage and crop rotation systems.

The soil organic matter increased with WB rate, from 2.5 yr after WB application in the clay soil (Carvalho, 2015). The application of WB, in combination with complementary annual N

**Table 2. Overview of effects of wood biochar amendment on key soil properties and grain yield throughout four (on sandy soil) and five (on clay soil) main growing seasons in the Brazilian Savannah, adapted from Carvalho (2015)**

	Sandy		Clay	
	First 2 seasons	Last season	First 2 seasons	Last season
Soil water retention capacity	Not investigated	Increased	Not investigated	Decreased
Soil organic matter	Increased	No effect	No effect	Increased
Soil acidity	Decreased	Decreased	Decreased	Decreased
Grain yield	Increased	No effect	No effect	Decreased
N <sub>2</sub> O emission	Not investigated	Not investigated	No effect	No effect

fertilization and fresh organic matter (added via crop residues) seem to be the key components to build up soil organic matter in the clay soil. Liu et al. (2012a) and Schulz et al. (2013) reported the importance of combining biochar with organic fertilization or composting biochar to improve soil fertility. Schmidt (2008) argues that biochar is not a fertilizer, but rather a nutrient carrier and a habitat for microorganisms and that it has to be charged first to be biologically active and efficient in soil-enhancing properties.

Concerning the effect of biochar on N<sub>2</sub>O emissions, no effect of WB amendment (maximum rate of 32 Mg ha<sup>-1</sup>) on N<sub>2</sub>O fluxes from clay soil was observed (Carvalho, 2015). Mineral N fertilization increased N<sub>2</sub>O emissions, as expected. Gradual changes in soil organic matter and water-filled pore space seem to have a greater impact on the magnitude of N<sub>2</sub>O fluxes over seasons. The absence of a significant effect of WB amendment on N<sub>2</sub>O fluxes was probably linked to the physical structure of the clay Ferralsol and the aerobic nature of the cropping system. Under well-drained conditions, there is no accumulation of water on the soil surface and the major part of the mineral N applied as top dressing could be lost by ammonia volatilization (Carvalho et al., 2013b). Verhoeven and Six (2014) observed no effect of biochar on N<sub>2</sub>O fluxes under aerobic conditions either. According to Sanchez-Garcia et al. (2014), under aerobic conditions, where most of the N<sub>2</sub>O fluxes are produced in the process of nitrification, biochar application may fail to reduce N<sub>2</sub>O fluxes. On the contrary, these could even be intensified by biochar application. Conversely, under anaerobic conditions, such as in flooded rice systems where N<sub>2</sub>O fluxes are produced mainly due to denitrification, biochar could reduce N<sub>2</sub>O emissions. Liu et al. (2012b) and Zhang et al. (2012) observed this reduction of emission in rice paddy field experiments. It is known that during the flooding period, when the soil solution reaches highly reductive conditions, the NO<sub>3</sub><sup>-</sup> concentration is reduced (Yu et al., 2007). Under these conditions, denitrifying bacteria use N<sub>2</sub>O as N source and reduce it to molecular nitrogen (N<sub>2</sub>) (Chapuis-Lardy, 2007), a process, that may even result in influx (negative flux) of N<sub>2</sub>O. Biochar could play a role in this process, for example by immobilizing nitrate from the soil solution.

Finally, the effect of WB on reducing rice blast infestation is a promising finding and calls for further investigation (Carvalho et al., 2013a). Elad et al. (2010) and Harel et al. (2012) reported on positive effects of biochar on decreasing foliar fungal infections in tomatoes, pepper and strawberry. Improved plant responses to diseases can be yet another benefit of applying biochar to soil (Elad et al., 2011).

In conclusion, WB could improve soil fertility by reducing soil acidity and increasing nutrient

availability in the weathered soils of the Brazilian Savannah. Obviously, the best opportunities for increases in crop yield were confirmed on sandy soil. Economic evaluations of biochar as a substitute for lime should clarify the prospects of the use of this by-product as a soil amendment by farmers in this region. Our findings show the great importance of longer-term field experimentation addressing effects on both chemical and physical components of soil fertility, with a view to estimate the value of biochar for farming nowadays.

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