Article

The Reaction of Safrole Derivatives with Aluminum Chloride: Improved Procedures for the Preparation of Catechols or their mono-*O*-Methylated Derivatives and a Mechanistic Interpretation.

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Um procedimento otimizado para a preparação de catecóis através da reação de quebra da ponte metilenodioxílica de derivados do safrol com cloreto de alumínio é descrito. Em substratos com grupos substituintes atraentes de elétrons (carboxaldeído e nitro) observou-se a formação regiosseletiva de éteres clorometílicos facilmente isoláveis. A partir desses intermediários foram sintetizados os fenóis mono *O*-metilados (3-hidroxi-4-metoxibenzaldeído, 2-bromo-4-metoxi-5-hidroxibenzaldeído, 2-nitro-4-metoxi-5-hidroxibenzaldeído, e 2-metoxi-4-(2-oxoprop-1-il)-5-nitrofenol). Com base nesses dados experimentais e em cálculos semi-empíricos (MNDO) de orbitais moleculares foi proposta uma racionalização mecanística para explicar as regiosseletividades observadas.

An improved procedure for the "one-pot" preparation of cathecols from methylenedioxy-ring cleavage reaction of safrole derivatives with aluminum chloride is described. In substrates substituted by conjugated electron-withdrawing groups (carboxyaldehyde or nitro groups) the regioselective formation of the easily isolable chloromethyl ether intermediates was observed. From these intermediates the syntheses of mono-*O*-methylated phenols (3-hydroxy-4-methoxybenzaldehyde, 2-bromo-4-methoxy-5-hydroxybenzaldehyde, 2-nitro-4-methoxy-5-hydroxybenzaldehyde, and 2-methoxy-4-(2-oxoprop-1-yl)-5-nitrophenol) were accomplished. Based on these experimental data and semi-empirical (MNDO) molecular orbital calculations, a mechanistic rationale that explains the observed regioselectivities was also proposed.

Keywords: aluminum chloride, 1,3-benzodioxole, safrole, molecular orbitals, MNDO

Introduction

The 3,4-dihydroxyphenyl group **1** and its *O*-substituted analogues **2** and **3** (Figure 1) are commonly found in numerous naturally occurring products¹ and pharmaceutical compounds². For the preparation of these compounds, the availability of starting materials with appropriate patterns of substitution is commonly required. Safrole (**4a**, Figure 1), an abundant phenylpropanoid bearing a methylenedioxy ring, and its easily available derivatives **4b** and **4c** have been used for this aim^{1, 2}. As the cleavage of the methylenedioxy ring is a necessary step to be carried out sometime during the processes of conversion of **4** into products having the structural pattern present in **1**, **2** and **3**, several methods with this purpose have been developed³. Most of them have been used only for preparations of free catechols (1-type products), as exemplified by almost all of the methods mediated by electrophilic reagents³. On the other hand, when the aromatic ring in derivatives of **4** contains electron-withdrawing groups (as in **4b**, **c**), the methylenedioxy ring can be regioselectively cleaved by nucleophilic reagents (RO⁻ or ArO⁻) leading either to *p*alkoxy products (2-type; resulting form *ipso* attack of RO) or to *m*-aryloxymethoxy derivatives (3-type, resulting form attack of ArO⁻ at the methylene carbon)^{3,4}. Similar results have also been reported for some electrophilic reagents, as ether free Grignard reagents^{3,5}, but low regioselectivity has resulted⁵. Furthermore, AlCl₃ reacts with piperonal derivatives **4c** and **4d**, in CH₂Cl₂ at room temperature, followed by treatment with refluxing aqueous HCl/THF/ NaI or KI, leading to the corresponding catechols⁶.

In this paper we describe our results on the reaction of safrole derivatives **4a-h** (Schemes 1 and 2) with $AlCl_3$: (i) an improved procedure to obtain the corresponding catechols

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Figure 1. 3,4-dihydroxyphenyl group 1, its O-substituted analogues (2 and 3) and Safrole derivatives (4)

11b, **e** in a one-pot procedure and mild conditions (Scheme 1), (ii) the use of the chloro ether intermediates **5b-d** and **6e** in the regioselective synthesis of mono-*O*-methylated phenols **9b-d** and **10e** (Scheme 2), and (iii) a theoretical study of the reaction course by semi-empirical (MNDO)⁷ molecular orbital calculations. Based on these new experimental and theoretical data, we were able to propose a mechanistic rationalization for the observed results.

Results and Discussion

Compounds **4c-h** were prepared from **4a** and **4b** as previously described⁸. Substrates (**4a-h**) were reacted with AlCl₃ in CH₂Cl₂ at room temperature and the reaction mixtures were quenched in two different ways: (a) with cold water, followed by overnight stirring at room temperature (Scheme 1) and (b) with glacial AcOH (Scheme 2). When H₂O was used to quench the reaction, the resulting biphase mixture was stirred overnight at room temperature and the catechols **11b**, **e** were isolated as pure compounds (> 95% by ¹H NMR) simply by extraction of the aqueous layer with EtOAc (Scheme 1). This constitutes a one-pot procedure for the preparation of these catechols from **4b**, **e** under mild conditions⁶ and high degree of purity, precluding the isolation of the chloro methyl ether intermediates^{6a,b}.



b- $R^1 = CHO$; $R^2 = H$; **e**- $R^1 = CH_2COCH_3$; $R^2 = NO_2$ [product (yield, %): **11b** (81), and **11e** (69)]

Scheme 1. Conversion of 4b, e to cathecols 11b, e

When glacial AcOH was used (Scheme 2), the relatively

unstable chloro methyl ether intermediates (5 and/or 6) were isolated. In the case of 4f and 4g an almost equimolecular mixture of **5f** and **6f** was obtained^{9a}. We were able to observe that epoxide 4g is converted into ketone 4f faster than the cleavage of the methylenedioxy ring^{9b}. On the other hand, only one regioisomer was observed when 4b-e were used as substrates. Nevertheless, the use of this procedure with 4a and 4h has led to an intractable mixture of products. In order to establish the structure of the regioisomer, the isolated intermediates were methylated under neutral conditions $(CH_2N_2, Et_2O, cat. neutral Al_2O_2)$ and the products, 7 or 8, were smoothly hydrolyzed (AgNO₃, THF, H₂O) to the corresponding o-methoxyphenols (9 or 10; Scheme 2). Based on spectral data and melting points, we were able to unambiguously assign the structures 9b, 9c, and 9d for the o-methoxyphenols formed from 4b, 4c, and 4d, respectively. It was possible to attribute structure **10e** to the product obtained form 4e based on the observed relative change in chemical shifts of the aromatic hydrogen atoms upon acetylation of the free hydroxyl¹⁸. This chemical correlation is confirmed by previous results of Goodman and coworkers on the reaction of 4c,d with AlCl₂⁶.

The regioselectivities observed in the methylenedioxyring opening step of the reaction of **4b-f** with AlCl₃ allowed us to classify these substrates in three groups: (a) those which have only one strong electron-withdrawing substituent in the benzodioxole nucleus, *i.e.* **4b** (CHO), **4d** (CHO), and **4e** (NO₂), and produce only one regioisomer of the chloro methyl ether intermediate (those with the chloromethoxy group located *meta* in relation to the electron-withdrawing substituent, **5b**, **5d**, and **6e**, respectively); (b) those which contain no such groups, **4f** and **4g**, and produce an almost equimolecular amount of regioisomers **5f** and **6f**, and finally (c) **4c**, that contains two such groups (CHO and NO₂) and, surprisingly²⁰, produces only one isomer in which the chloromethoxy group is located *meta* in relation to the formyl substituent (**5c**).

Recent studies on the asymmetric opening reactions of chiral cyclic acetals have shown that site selective complexation by Lewis acid of one of the two oxygen atoms of these acetals plays a fundamental role in such reactions^{21,22}. However, such a simple approach does not seem as reasonable for justifying the regioselectivities observed in the reactions of 1,3-benzodioxole nuclei as for those of chiral alicyclic acetals. In the case of compounds **4b-f** other basic sites are available for complexation by AlCl₃ and our semi-empirical (MNDO) calculations indicate that these coordinations would preferentially occur at the carbonyl groups²³, which is in agreement with experimental Lewis basicity scales²⁴. However, in none of the calculated carbonyl complexes (Figure 2) are the acetalic C-O bond lengths



i - (1) AlCl₃, CH₂Cl₂, N₂, r.t.; (2) glacial AcOH [product (yield, %): **5b** (100), **5c** (90), **5d** (90), and **6e** (80)] ii - CH₂N₂, Et₂O, cat. neutral Al₂O₃ [product (yield, %): **7b** (58), **7c** (100), **7d** (100), and **8e** (80)] iii- AgNO₃, H₂O, THF, r.t., and 12 h [product (yield, %): **9b** (100), **9c** (100), **9d** (100), and **10e** (85)]

Scheme 2. Conversion of 4b-f to o-methoxyphenols 9b-d and 10e

significantly altered with respect to the corresponding noncomplexed substrates²². So we propose that this kind of carbonyl complexation is not sufficient for activating the cleavage of the methylenedioxy ring. Two alternatives are possible: (a) a second complexation by $AlCl_3$ of one of the acetalic atoms would be necessary to promote the reaction, or (b) the methylenedioxy ring cleavage would occur *via* one of the less stable monocoordinated complexes. The first of these assumptions is corroborated by experimental data: an excess of AlCl₃ is essential to convert **4b-g** into the corresponding chloro methyl ether derivatives. The results of our calculations on the 1:2 complexes of **4b-d,f** with AlCl₃ (Figure 3) indicate that the second complexation occurring at the oxygen atoms of the methylenedioxy ring activates the substrates for the cleaving process (significant changes of the acetalic C-O



Figure 2. Acetalic C-O bond lengths (*d*, A) of substrates and carbonyl monocoordinated complexes

Figure 3. Relative energies $(E_r, \text{kcal mol}^{-1})$ and acetalic C-O bond lengths (d, Å) of dicoordinated complexes.



Scheme 3. Calculated (MNDO) barriers of activation and enthalpy changes (kcal mol⁻¹) for the methylenedioxy-ring cleavage reaction of piperonal through its dicoordinated complexes (18b and 19b).

bond lengths of **18,19**, **20**, and **21**; Figure 3)²⁵. Our interpretation is that the product forming selectivity should be controlled in the cleaving step itself of the activated dicoordinated (**18/19** and **20/21**, Figure 3) complexes²⁶.

When the possible transition structures for the reaction of the dicoordinated complexes of piperonal with AlCl₃ were calculated (Scheme 3), the barrier of activation for the reaction *via* the most stable complex (**18b** *via* **27**) is higher (3.8 kcal mol⁻¹ [0.91 kJ mol⁻¹]) than that *via* the less stable one (**19b** *via* **28**), showing a clear parallelism with the thermodynamic stabilities of the corresponding aluminum phenoxides (**31** and **32**, 4.5 kcal mol⁻¹ [1.1 kJ mol⁻¹]). Hence this assumed parallelism between barriers of activation and product thermodynamic stabilities was used to predict the reactivity of dicoordinated complexes. The relative energies of isomeric aluminum phenoxides **23-26** (Figure 4) were calculated: **23a**, **23b**, **23c** and **26** are more stable than **24a**, **24b**, **24c** and **25** (by respectively 3.8, 1.8, 4.3 and 1.3 kcal mol⁻¹ [0.91, 0.43, 1.0 and 0.31 kJ



Figure 4. Relative energies $(E_r, \text{ in kcal mol}^{-1})$ of isomeric aluminum phenoxides.

mol⁻¹, respectively]), which, except for **4f** (*vide infra*), is in agreement with the observed regioselectivities.

From these results, we can conclude that a mechanism where the product forming selectivities are determined by the reaction rates of the dicoordinated complexes (**18b-d** *vs* **19b-d**) and not by their thermodynamic stabilities is operating in the methylenedioxy ring cleavage of **4b-d**. In the case of **4f**, we consider that the stability difference between **25** and **26** (Figure 4) is either overestimated at the semi-empirical theory level of our calculations or do not accurately reflects the difference in activation barriers for their reactions. However, considering that an excess of AlCl₃ is necessary for the reaction of **4f** and that the difference in stability between **25** and **26** is the lowest so far calculated for all the dicoordinated complex products, we can also propose an analogous mechanism for the non-regioselective reaction of **4f**, as well as for the regioselective reaction of **4e**.

Experimental

General

Melting points were measured with a Fisher-Johns (Fisher Scientific Co) apparatus. Flash chromatography was performed using Merck silica gel 60, 230-400 mesh and tlc using Merck silica 60F 254 sheets . ¹H NMR and ¹³C NMR were recorded on a Varian Gemini-200 instrument. Mass spectra were measured with a VG micromass spectrometer in the EI mode at 70 eV. The aluminum trichroride was commercially available and used whitout further purification.

General procedure for the (5b-d, 6e)

To a suspension of AlCl₃ (5.0 mmol) in CH₂Cl₂ (4.0 mL) at room temperature, under N₂, was added dropwise a solution of **4** (1 mmol) in CH₂Cl₂ (5.0 mL). The resulting mixture was stirred for 3 h at room temperature. The mixture was cooled to 0° C and glacial HOAc (0.04 mL, 1mmol) was added. The reaction mixture was poured into brine solution and extracted with EtOAc (2 x 100 mL). The organic layer was washed with brine then dried (Na₂SO₄) and concentrated under reduced pressure to give crude product.

5b (100%) ¹H NMR (CD₃)₂CO (200 MHz) δ 9.87 (s, 1 H), 7.76 (d, 1 H, *J* 1.9 Hz), 7.63 (dd, 1 H, *J* 8.2, *J* 1.9 Hz), 7.13 (d, 1 H, *J* 8.2 Hz), 6.18 (s, 2 H); ¹³C NMR (CD₃)₂CO (50 MHz) δ 190.9 (CH), 154.2 (C), 144.5 (C), 130.5 (CH), 117.4 (CH), 116.4 (CH), 78.8 (CH₂); LRMS (EI) *m/z* 186 (M ⁺), 149 (base), 121. **5c** (90%) ¹H NMR (CD₃)₂SO(200 MHz) δ 10.05 (s, 1 H), 7.72 (s, 1 H), 7.61 (s, 1 H), 6.32 (s, 2 H); LRMS (EI) m/z 231 (M + 1), 195, 165 (base), 120, 107, 79.

5d (90%) ¹H NMR CDCl₃ (200 MHz) δ 10.22 (s, 1 H), 7.75 (s, 1 H), 7.30 (s, 1 H), 6.20 (sl, 1 H), 5.99 (s, 2 H); ¹³C NMR CDCl₃ (50 MHz) δ 189.8 (CH),154.6 (C), 144.1 (C), 126.6 (C), 122.5 (C), 121.4 (CH), 116.8 (CH), 78.4 (CH); LRMS (EI) *m*/*z* 266 (M ⁺), 228 (base), 199.

6e (80 %) ¹H NMR (CD₃)₂SO 200 MHz) δ 8.05 (s, 1H), 6.90 (s, 1H), 6.25 (s, 2H), 4.15 (s, 2H), 2.20 (s, 3H).

Reactions of compounds 4f, g

To a suspension of AlCl₃ (4.0 mmol) in CH₂Cl₂ (4.0 mL) at room temperature, under N₂, was added dropwise a solution of **4f**, **g** (1 mmol) in dry CH₂Cl₂ (2.0 mL). The resulting mixture was stirred for 0.5 h at room temperature. The mixture was cooled to 0° C and glacial HOAc (0.04 mL, 1mmol) was added. The reaction mixture was poured into brine solution and extracted with EtOAc (3 x 50 mL). The organic layer was washed with brine then dried (Na₂SO₄) and concentrated under reduced pressure to give a crude mixture of the very unstable ketones **5f** + **6f** (90%), which could not be further purified without appreciable degradation to the corresponding cathecol. ¹H NMR (CD₃)₂CO (200 MHz) δ 7.05-7.20 (m, 1H), 6.50-6.95 (m, 2H), 6.06 (s, 1H), 6.07 (s, 1H), 3.67 (s, 2H), 2.15 (s, 3H).

General procedure for the preparation of **7b-d** and **8e**

To a solution of CH_2N_2 (5.0 mmol) in Et_2O (8.0 mL) at 0° C was added a catalytic amount of neutral Al_2O_3 (0.01 mmol). After 5 min at 0° C a solution of **5** (1.0 mmol) in Et_2O (2.0 mL) was added dropwise. The cold bath was removed and the resulting solution was allowed to gradually warm to room temperature and stirred for 4 h. The reaction mixture was concentrated *in vacuum*. Chromatography of the product on silica gel, using 4:1 hexane/EtOAc gave the procuct.

7b (100%) mp 80-83°C; ¹H NMR CDCl₃ (200 MHz) δ 10.45 (s, 1 H), 7.78 (s, 1 H), 7.68 (s, 1 H), 6.03 (s, 2 H), 4.10 (s, 3 H); LRMS (EI) *m*/*z* 245 M ⁺), 215, 210, 138 (base).

7d (100%) mp 96-98°C; ¹H NMR CDCl₃ (200 MHz) δ 10.20 (s, 1 H), 7.70 (s, 1 H), 7.15 (s, 1 H), 5. 94 (s, 2 H), 3. 99 (s, 2 H); LRMS (EI) *m*/*z* 280 (M ⁺), 229, 149 (base).

8e (80%) ¹H NMR CDCl₃ (200 MHz) δ 8.08 (s, 1H), 6.75 (s, 1H), 5.95 (s, 2H), 4.13 (s, 2H), 3.98 (s, 3H), 2.38 (s, 3H).

Preparation of o-methoxyphenols 9b-d and 10e

To a solution of the **7** (1 mmol) in THF (10.0 mL) at room temperature, was added a 0.1 mol L⁻¹ solution of AgNO₃ (5.0 mL). The resulting mixture was stirred for 12 h. EtOAc (50 mL) was added and mixture was washed with brine, dried (Na₂SO₄) and evaporated to dryness. Crude product was purified by flash chromatography to give **9b** (70%) mp 112-114° C (lit.¹⁰ 113-115° C); ¹H NMR CDCl₃ (200 MHz) δ 9.83 (s, 1 H), 7.44 (d, 1 H, *J* 2 Hz), 7.42 (dd, 1 H, *J* 2 Hz, *J* 8.7 Hz), 6.96 (d, 1 H , *J* 8.7 Hz), 3.97 (s, 3 H); ¹³C NMR CDCl₃ (50 MHz) δ 190.9 (CH), 151.7 (C), 146.0 (C), 130.5 (C), 124.5 (CH), 113.9 (CH), 110.1 (CH), 56.1 (CH); LRMS (EI) *m*/*z* 152 (M⁺), 151 (base), 137, 123.

9c (100%) mp184-188°C (lit.¹¹ 184-186/186-187°C); ¹H NMR (CD₃)₂SO (200 MHz) δ 11.07 (ls, 1 H), 10.20 (s, 1 H), 7.70 (s, 1 H), 7.22 (s, 1 H), 4.00 (s, 3 H); ¹³C NMR (CD₃)₂SO (50 MHz) δ 188.8 (CH), 151.8 (C), 150.8 (C), 142. 1 (C), 125.7 (C), 113.8 (CH), 108.3 (CH), 56.5 (CH); LRMS (EI) *m*/*z* 197 (M ⁺), 167 (base), 150, 122, 111.

9d (100%) mp 106-110°C (lit.¹²104-108°C); ¹H NMR CDCl₃ (200 MHz) δ 10.18 (s, 1 H), 7.05 (s, 1 H), 5.80 (ls, 1 H), 4.00 (s, 3 H); LRMS (EI) m/z 230 (base) (M ⁺¹), 215, 187, 159, 79.

9e (100%) ¹H NMR (CD₃)₂SO (200 MHz) δ 10.00 (ls, 1H), 7.59 (s, 1H), 7.00 (s, 1H), 4.12 (s, 2H), 3.90 (s, 3H), 2.20 (s, 3H); LRMS (EI) *m/z* 225 (M⁺), 177, 166 (base), 57.

Preparation of catechol 11b

To a suspension of AlCl₃ (5.0 mmol) in CH₂Cl₂ (4.0 mL) at room temperature, under N₂, was added dropwise a solution of **4b** (1 mmol) in CH₂Cl₂ (5.0 mL). The resulting mixture was stirred for 3 h at room temperature. The mixture was cooled to 0°C and cold water (0.04 mL) was added. The resulting mixture was stirred for 12 h at room temperature, under nitrogen. The reaction mixture was poured into brine solution and extracted with EtOAc (2 x 100 mL). The organic layer was washed with brine then dried (Na₂SO₄) and concentrated under reduced pressure to give **11b** in 81% yield; ¹H NMR (CD₃)₂CO (100 MHz) δ 9.78 (s, 1H), 8.65 (ls, 1H), 7.30 - 7.40 (m, 2H), 7.00 (d, 1H, *J* 9 Hz); LRMS (EI) *m/z* 138 (base) (M⁺¹), 109, 81, 53.

Computational methods

In this study we have used the MNDO⁷ Hamiltonian. MNDO, in spite of its well-known shortcomings²⁷, has proven to be more reliable for studying aluminumcontaining compounds than the more recent semi-empirical methods, AM1 and PM3²⁸.

The calculations were performed in a IBM RS/6000, 25T workstation using MOPAC 6.0²⁹ program, and involved three steps. Firstly, the generation of relaxed potential energy maps for reactants (4b-d,f), their coordination complexes with AlCl₃ (4b-d,f.nAlCl₃; n=1 to 3) and aluminum-phenolate products (29 to 32). These potential energy surfaces were determined by stepwise (10 to 30°) variation (reaction coordinate or grid index option) of all available acyclic torsion angles. During these grid searches all the geometrical parameters, except for the fixed pair of torsion angles being searched, were optimized under PRECISE and GNORM=0.5 key-words. Secondly, the local minima of these potential energy maps were determined under optimization of all variables with PRECISE and GNORM=0.01 key-words. When the default gradient norm minimization routine (BFGS)30 of MOPAC was unable to attain a value less than 0.01 kcal⁻¹ deg⁻¹, this could be easily obtained with the eigenvector following (EF)³¹ routine. Finally, the transition structures (TS) for conversion of AlCl3-dicoordinated complexes of piperonal (18b and 19b) into the corresponding phenolate products (27 and 28) were located and refined. This was performed through the reaction coordinate method³², which involved stepwise variations of the C=C-C(H)=O torsion angles, and acetalic C-O or (Al)Cl-C(acetalic) distances. These initial guesses were then refined by a combination of NLLSQ³³ and TS³⁴ optimizers.

All the stationary points in these potential energy surfaces were characterized as minima or saddle points by vibrational frequency analysis.

Conclusions

A one pot procedure for the transformation of **4b**,**e** into catechol derivatives **11b**,**e** was developed. The mild conditions used in the hydrolyses of the chloro methyl ether intermediates and the isolation of pure catechols just by extraction of the aqueous layer represent an improvement of the reaction of AlCl₃ with safrole derivatives. The regioselective preparation of *o*-methoxyphenols **9b-d** and **10e** from the readily isolated chloromethylene derivatives **7b-d** and **8e** was described for the first time and increases the scope of the use of safrole derivatives in organic synthesis. Furthermore, these regioselective transformations have allowed us to unambiguously assign the structures of the chloro methyl ether intermediates. Based on these new experimental data and on semi-empirical (MNDO) molecular orbital calculations, we were able to

propose a mechanistic rationale for the observed selectivities.

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- 23. Complexes at the acetalic oxygen atoms have calculated energies greater (by 16 to 19 kcal mol⁻¹) than that of the carbonyl complexes 16. The nitro complex of 4c has also a calculated energy greater (6 kcal mol⁻¹) than 16c. The energetic, as well as the corresponding structural, data of these complexes are available as supplementary material.

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