

## Application of the Hybrid-Maize model for limits to maize productivity analysis in a semiarid environment

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**ABSTRACT:** Effects of meteorological variables on crop production can be evaluated using various models. We have evaluated the ability of the Hybrid-Maize model to simulate growth, development and grain yield of maize (*Zea mays* L.) cultivated on the Loess Plateau, China, and applied it to assess effects of meteorological variations on the performance of maize under rain-fed and irrigated conditions. The model was calibrated and evaluated with data obtained from field experiments performed in 2007 and 2008, then applied to yield determinants using daily weather data for 2005-2009, in simulations under both rain-fed and irrigated conditions. The model accurately simulated Leaf Area Index, biomass, and soil water data from the field experiments in both years, with normalized percentage root mean square errors < 25 %. Gr.Y and yield components were also accurately simulated, with prediction deviations ranging from -2.3 % to 22.0 % for both years. According to the simulations, the maize potential productivity averaged 9.7 t ha<sup>-1</sup> under rain-fed conditions and 11.53 t ha<sup>-1</sup> under irrigated conditions, and the average rain-fed yield was 1.83 t ha<sup>-1</sup> less than the average potential yield with irrigation. Soil moisture status analysis demonstrated that substantial potential yield may have been lost due to water stress under rain-fed conditions.

**Keywords:** crop simulation, maize model, potential productivity, water stress, spring maize

### Introduction

Maize (*Zea mays* L.) has become a major crop on the Loess Plateau, China, in the last ten years, and now covers ca. 27.3 of the agricultural area in the region (Xue et al., 2008). The increase of its cultivation has been prompted by agricultural advances, such as improvements in crop rotations in conjunction with improvements in uses of agricultural equipment and human resources, and increases in maize prices (Xue et al., 2008). However, the area is mostly located in a semiarid region of China, where the annual precipitation ranges from 150–300 mm in the north to 500–700 mm in the south (Li and Xiao, 1992), and water availability for crop production is often sub-optimal due to both overall shortages and uneven distributions of water supplies during the year. Hence, drought has long been the primary factor limiting crop production in the area (Kang et al., 2002; Wang et al., 2009; Zhang et al., 2009). However, there is a lack of robust information regarding effects of meteorological variations on maize yields, although there has been some investigation of their effects during the maize growing season (Liu et al., 2010a, b).

Sharply rising global demands for biofuel (in conjunction with continuing increases in food and feed requirements) are expected to lead to further substantial increases in maize production (Cassman et al., 2003), but potential maize yields have increased little in the last three decades (Duvick and Cassman, 1999; Tollenaar and Lee, 2002). Any crop productivity is directly related to its uptake of resources, e.g. light and water, and its efficiency of utilizing them to generate biomass (Azam-Ali et al., 1994; Yang et al., 2004; Liu et al., 2010a). Analyses

of relationships between potential maize yields and environmental factors have shown that light, temperature and water availability are crucial yield determinants (Cirilo and Andrade, 1994).

Several authors have attempted to quantify yield potential and its variation at a regional scale using both observed data (Duncan et al., 1973) and modeling (Muchow et al., 1990; Löffler et al., 2005; Tojo Soler et al., 2007; Grassini et al., 2009). The models used, e.g. CROPGRO and CERES have deficiencies in simulating maize production (Sadler et al., 2000), despite continuing attempts to improve them and extend their range of applicability (Pedersen et al., 2004; Sau et al., 2004; Lizaso et al., 2001). However, in all of the cited studies, yield of maize crops have been evaluated using mean values of meteorological variables for full growing seasons, rather than during specific growth phases when crops are most strongly affected by environmental factors. In addition, the applied practices may not have been optimal for maximizing yields at the study sites in some cases. Nevertheless, maize yields (measured and simulated) seem to be substantially lower than potential yields (Grassini et al., 2009).

The Hybrid-Maize model (Yang et al., 2004, 2006) is a process-based model for simulating the development and growth of maize with daily time steps, assuming no growth limitations due to nutrient deficiency, toxicity, diseases, insect pests or weeds. It incorporates functions simulating: temperature effects of maize development; photosynthesis (in vertically integrated canopy layers); growth-related, organ-specific respiration; and temperature-dependent maintenance respiration. Due to the inclusion of photosynthesis and respiration (growth and

maintenance) the model may be more sensitive to variations in environmental conditions than other models, e.g. CERES-Maize (Jones and Kiniry, 1986; Jones et al., 2003), and the Muchow-Sinclair-Bennett model (Muchow et al., 1990), which utilize radiation-use efficiency to integrate effects of assimilation and respiration processes. Therefore, to address gaps in knowledge regarding maize productivity and its variability, we used the Hybrid-Maize model (Yang et al., 2004) to assess limits of maize total aboveground biomass (tDM) production and grain yield (Gr.Y) on the Loess Plateau. The primary objectives were to: (i) evaluate the performance of the Hybrid-Maize model for simulating maize growth, development, and yield on the Loess Plateau; (ii) to apply the model to evaluate the impact of meteorological variables on maize yield under irrigated and rain-fed conditions.

## Materials and Methods

### Field experiment design

Data used to evaluate and apply the model were acquired from field experiments at sites on flat farmland in 2007 and 2008 at the Changwu Agroecological Station (35.2° N, 107.8° E, 1,200 m above sea level), on the Loess Plateau, Shaanxi Province, China. The station is located in the Changwu tableland-gully region on the southern part of the Loess Plateau, northwest China (Figure 1). The average annual precipitation from 1990 to 2008 was  $578 \pm 69$  mm, with 55 % falling between July and September, and the annual average temperature  $9.1 \pm 2.3$  °C. According to the Chinese Soil Taxonomy, the soils are Cumuli-Ustic Isohumosols, and contained  $300 \text{ g kg}^{-1}$  clay,  $660 \text{ g kg}^{-1}$  silt and  $40 \text{ g kg}^{-1}$  sand with a gravimetric field capacity of 22 %, wilting point of 8 %, and stable water content of 15 %. The main soil physical and chemical properties (0-20 cm depth) of the site are as follows: bulk density  $1.30 \text{ g cm}^{-3}$ , pH 8.4, organic matter  $11.8 \text{ g kg}^{-1}$ , total nitrogen  $0.87 \text{ g kg}^{-1}$ , inorganic nitrogen  $3.15 \text{ mg kg}^{-1}$ , available phosphorus  $14.4 \text{ mg kg}^{-1}$ , available potassium  $144.6 \text{ mg kg}^{-1}$ . In most of the region,



Figure 1 – Location of Changwu Agro-ecological Experiment Station.

a single wheat or maize crop is produced per year, by rain-fed agriculture.

Two experimental treatments were applied in this study. In one, designated "rain-fed", the only water supplied to the crops was natural rainfall. In the other, designated "irrigated", furrow irrigation (using tap water) was applied to maintain the water content of the soil at 70–85 % of field capacity by raising it to 85 % whenever it fell below 70 %. The field was irrigated in this manner with irrigation depths, on each occasion, of 33.7 mm. These treatments were applied to plots measuring  $7.8 \text{ m} \times 6.5 \text{ m}$ , with four replicates. The maize used in the experiment was 'pioneer 335', a spring cultivar that is widely used by farmers in the region. Following a base fertilizer dose of nitrogen ( $110 \text{ kg ha}^{-1}$ ), phosphorus ( $50 \text{ kg ha}^{-1}$ ), and potassium ( $100 \text{ kg ha}^{-1}$ ), the maize was sown in 5 cm holes spaced 20 cm apart in rows spaced 60 cm apart on April 20 in both years. Water (300 mL) was poured into each seed hole before backfilling, to promote germination and seedling emergence. Additional nitrogen, in the form of urea, was applied using a hole-sowing machine in the furrows at the jointing and tasseling stages, at rates of  $80 \text{ kg N ha}^{-1}$  and  $90 \text{ kg N ha}^{-1}$ , respectively, following a nutrient management plan aimed to achieve a final maize yield of  $14 \text{ t ha}^{-1}$ .

### Sampling and measurements

During vegetative stages, the phenological development of the maize was monitored by: counting daily leaf collars; recording silking when silks extended beyond the husks of half the plants in each plot; and determining physiological maturity, defined by "the presence of black layers at the base of the grains" (Tojo Soler et al., 2007), by sampling five cobs in each plot regularly. In addition, estimates of aboveground biomass and leaf area were obtained by harvesting plants from 1 m of the central row of each plot at the 6<sup>th</sup> leaf, 12<sup>th</sup> leaf, silking and milk stages, the dough stage (in 2008, but not 2007), and physiological maturity. The areas used for sampling on each occasion were spaced in order to avoid sampling plants that may have been affected by earlier samplings. The width and length of each collected leaf were manually measured and its area was estimated by multiplying length by width using a factor of 0.75, following McKee (1964). The Leaf Area Index (LAI) of each plant was then estimated by dividing its total leaf area by the available soil surface per plant. Samples were weighed after oven-drying at 70 °C (with circulating air) until constant weight.

At physiological maturity, maize cobs were manually harvested when they were ripe, from 11 to 13 September in 2007 and from 16 to 18 September in 2008, from the four central rows of each plot, covering  $9.6 \text{ m}^2$  ( $4 \text{ m} \times 2.4 \text{ m}$ ). Grain and stover were separated, weighed, and aliquots (from three randomly selected plants) were dried at 70 °C to constant weight to determine their dry matter contents. Harvest index (HI) was calculated as Gr.Y in  $\text{t ha}^{-1}$  divided by tDM in  $\text{t ha}^{-1}$ . The water con-

tent of the upper 30 cm soil layer was monitored volumetrically, at five points in each plot, using a TDR probe at intervals of 2–3 days.

### Evaluation of the Hybrid-Maize model

In simulations of maize growth and potential (fully irrigated) yields, when there is no need for estimates of irrigation requirements, inputs for three daily meteorological variables are required to run the Hybrid-Maize model: total solar radiation, and the maximum and minimum temperatures ( $T_{high}$  and  $T_{low}$ , respectively). In simulations of growth under an optimal water regime, according to estimates of irrigation requirements, or rain-fed conditions, data on three further daily variables – rainfall, relative humidity, and reference evapotranspiration (ET) – are needed (Yang et al., 2004, 2006). We obtained data for these variables (from 2005 to 2009) from an automatic weather station located at the Changwu experimental station, close to the field experimental sites.

Data acquired from the field experiments described above were used to calibrate and evaluate the Hybrid-Maize model. In the calibration, cultivar coefficients were sequentially obtained, starting with the phenological parameters as dates of silking and maturity (Yang et al., 2004, 2006). A detailed description of the phenological development parameters used by the Hybrid-Maize model is presented in Table 1. To evaluate the model, the LAI and biomass, yield and yield component outputs were compared to observed values, and mean prediction errors (MPEs) and normalized root mean square errors (RMSEs) were calculated following Loague and Green (1991) using Eqs. (1) and (2).

$$MPE = \frac{1}{n} \sum_{i=1}^n (O_i - P_i) \quad (01)$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i)^2}{n}} \times \frac{100}{M} \quad (02)$$

where  $P_i$  and  $O_i$  are the predicted and observed values of the tested variables (e.g., LAI, biomass and soil water content), respectively, and  $M$  is the mean of the observed variable. Normalized RMSE values provide a measure (%) of relative differences between simulated and observed data. A simulation is considered to be excellent, good, fair, and poor if the normalized RMSE is < 10 %, ≥ 10 % but less than 20 %, ≥ 20 % but less than 30 %, and ≥ 30 %, respectively (Jamieson et al., 1991).

For both the yield and yield components, percentage prediction deviations (PDs) were also calculated. Negative and positive deviations indicate under- and over-prediction, respectively.

## Results

### Evaluation of the Hybrid-Maize model – Phenological development parameters

To calibrate the Hybrid-maize model, the phenological development parameters related to silking and maturity dates were used (see Table 1 for a detailed description of these parameters) obtained from the 2007 and 2008 field experiments. Significant between-treatment phenological variations of the crop in both years were detected. Irrigation resulted in rapid growth during the seedling and jointing stages, presumably because growth-promoting water conditions were maintained (Çakir, 2004). Hence, the silking stage was reached 6–7 days later in rain-fed plots than in irrigated plots, despite the thermal time  $10\text{ }^{\circ}\text{C}$  ( $TT_{10\text{ }^{\circ}\text{C}}$ ) for the entire growth period (Total  $TT_{10\text{ }^{\circ}\text{C}}$ ) in the two treatments being very similar (irrigated,  $1414\text{ }^{\circ}\text{C}$  in 2007,  $1387\text{ }^{\circ}\text{C}$  in 2008; rain-fed,  $1403\text{ }^{\circ}\text{C}$  in 2007,  $1373\text{ }^{\circ}\text{C}$  in 2008). This was presumably due to the better water conditions in irrigated plots. The  $TT_{10\text{ }^{\circ}\text{C}}$  from planting to silking ( $TT_{10\text{ }^{\circ}\text{C}}$  to silking) was much higher in rain-fed plots ( $815\text{ }^{\circ}\text{C}$  in 2007 and  $813\text{ }^{\circ}\text{C}$  in 2008, on average) than in irrigated plots ( $742\text{ }^{\circ}\text{C}$  in 2007 and  $741\text{ }^{\circ}\text{C}$  in 2008, on average). The results suggested that the maize required more days to reach its required TT for silking under rain-fed conditions. Yields were also highly sensitive to the time of silking (Cassman et al., 2003), which highlights the importance of accurately specifying or estimating  $TT_{10\text{ }^{\circ}\text{C}}$  to silking in order to obtain reliable yield estimates from maize growth models (Yang et al., 2004). Hence, in this study, simulations were based on the actual soil type, and actual crop sowing, silking, and physiological maturity dates under both irrigated and rain-fed conditions (Table 1); no further adjustments were made to model parameters and simulation results.

### Biomass and leaf area index (LAI) simulation

The evaluation of the Hybrid-Maize model simulations of maize production using data from the 2007 and 2008 field experiments showed that there was good correspondence between simulated and observed biomass values under both irrigated and rain-fed conditions (Figure 2). The normalized RMSEs for this parameter were 16.80 % and 17.15 % for irrigated maize in 2007 and 2008, respectively, with corresponding values of 20.32 %

Table 1 – Phenological development parameters used as inputs for the Hybrid-maize model.

Experiment	Planting Date	Silking Date	Maturity Date	Total $TT_{10\text{ }^{\circ}\text{C}}$	$TT_{10\text{ }^{\circ}\text{C}}$ to silking
2007 irrigated	4-20	7-10	9-12	1414	742
2008 irrigated	4-20	7-12	9-17	1387	741
2007 rain-fed	4-20	7-16	9-10	1403	815
2008 rain-fed	4-20	7-19	9-15	1373	813

and 21.88 % for rain-fed maize (Figure 2). However, the MPEs were negative, for both years and for both irrigated and rain-fed conditions, this is presumably because of unavoidable competition from weeds and damage by insect pests during growth periods.

The Hybrid-maize model simulated LAI fairly well for both irrigated and rain-fed conditions. Normalized RMSE ranged from 11.08 % to 22.06 % for both years. The most accurate prediction for the maize in the 2008 was for the rain-fed plots (Figure 2). Good correspondence between simulated and observed LAI values was obtained for the maize in irrigated plots in 2007, and the rain-fed plots in 2007 and 2008, with normalized RMSEs ranging from 10 % to 20 %. However, for the maize in the irrigated plots in 2008, LAI was only fairly well predicted, with a normalized RMSE of 22.06 % (Figure 2).

### Simulation of yield and yield components

Irrigation increased ( $p < 0.05$ ) maize Gr.Y and tDM values, relative to the rain-fed treatment, by 31.3 % and 28.0 % in 2007, and by 36.4 % and 29.3 % in 2008, respectively. In addition, yield and yield components were accurately simulated by the Hybrid-Maize model for both years (Table 2). It simulated the Gr.Y and tDM of plants in irrigated plots very well, providing low PD values for both parameters (-2.3 % and -5.7 % for Gr.Y, -5.2 % and -6.6 % for tDM in 2007 and 2008, respectively). However, it over-predicted the Gr.Y and tDM of plants in rain-fed plots, giving higher PDs, ranging from 6.9 % to 20.0 %. The hybrid-maize model might over predict, slightly, the plant Gr.Y and tDM when the crop experienced water deficit at its early growing stages. The PDs for HI were small in all cases, with values ranging from -9.9 % to 3.0 %.

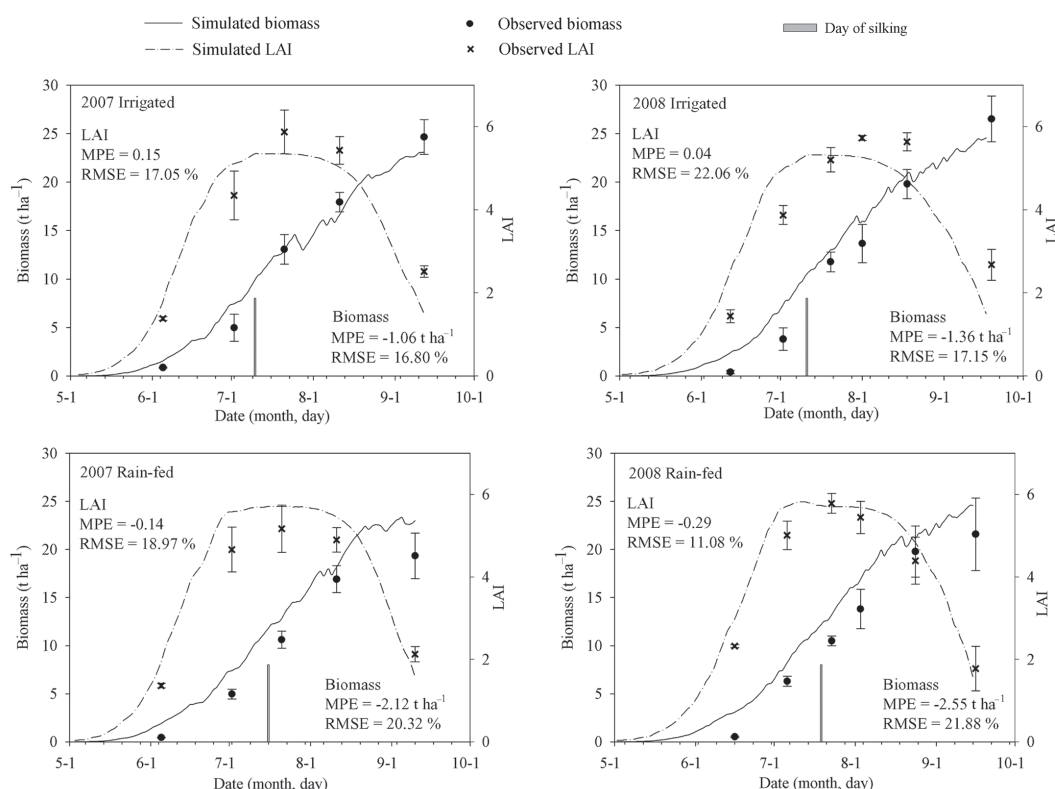


Figure 2 – Observed and simulated leaf area index (LAI) and biomass under irrigated and rain-fed conditions during the growth seasons in 2007 and 2008. Error bars of the observed values are twice the standard error of the mean; MPE = mean prediction errors; RMSE = root mean square errors.

Table 2 – Observed and simulated grain yield (Gr.Y), total aboveground biomass (tDM) and harvest index (HI) under rainfed and irrigated conditions during the growth seasons in 2007 and 2008.

Experiment	Gr.Y (t ha <sup>-1</sup> )		PD %	tDM (t ha <sup>-1</sup> )		PD %	HI		PD %
	Simulated	Observed		Simulated	Observed		Simulated	Observed	
2007 irrigated	12.3	12.6 ± 0.8	-2.3	23.4	24.7 ± 1.8	-5.2	0.53	0.51 ± 0.02	3.0
2008 irrigated	12.8	13.5 ± 1.5	-5.7	24.8	26.5 ± 3.4	-6.6	0.52	0.51 ± 0.03	1.0
2007 rain-fed	10.3	9.6 ± 0.8	6.9	22.9	19.3 ± 2.4	18.6	0.45	0.50 ± 0.03	-9.9
2008 rain-fed	11.1	9.9 ± 1.2	11.7	24.6	20.5 ± 3.8	20.0	0.45	0.48 ± 0.04	-7.0

Observed values are given as means ± standard error of means (n = 4).

### Soil water content simulation

The water content in the soil profile of the irrigated plots was maintained at 70–85 % of the field water capacity (FWC) in both 2007 and 2008 to ensure that the crops received adequate water supplies throughout the entire growing season (Figure 3). In addition, the simulated soil water content data (for the 0-30 cm layer) agreed well with observed values, with normalized RMSEs of 19.45 % and 11.03 % for 2007 and 2008, respectively.

Soil water content was very well simulated for the rain-fed experiment and low values were obtained for the normalized RMSE (normalized RMSE < 20 %). The seasonal variation in soil water content for the rain-fed experiment showed several differences when compared

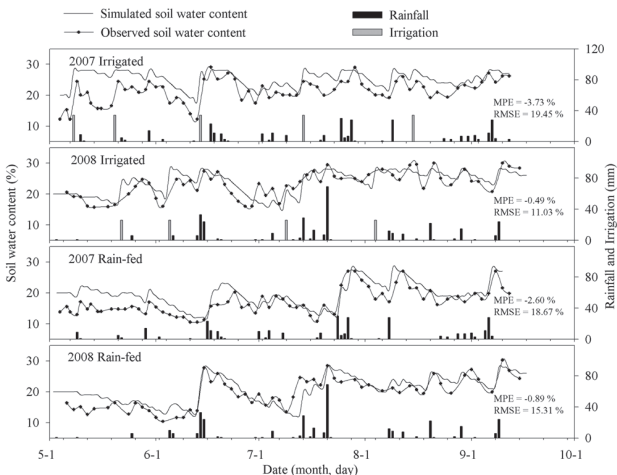


Figure 3 – Observed and simulated soil water content under irrigated and rain-fed conditions during the growth seasons in 2007 and 2008.

to the irrigated experiment. It was generally low at the crop seedling stage (during May and the first half of June) because high soil evaporation removed water from the upper soil profile, and increased after the jointing stage (from mid-June to July) due to precipitation and enhanced canopy shading (Figure 3).

### Analysis of yield determinants in past cropping seasons

The field experiment results presented between-treatment variations in the phenological development of the crop in both 2007 and 2008 (Table 1). In the simulations we used mean values of total  $TT_{10\text{ }^{\circ}\text{C}}$  and  $TT_{10\text{ }^{\circ}\text{C}}$  to silking for irrigated and rain-fed conditions, calculated from the results of the field experiments in 2007 and 2008. Standard practices in the area are: planting around April 20 with 0.6 m row spacing and a final population of 85,000 plants  $\text{ha}^{-1}$ . Pioneer 335 hybrids grown in this environment require 1400  $TT_{10\text{ }^{\circ}\text{C}}$  and 742  $TT_{10\text{ }^{\circ}\text{C}}$  from planting to maturity and from planting to silking, respectively, under full irrigated conditions, with corresponding requirements of 1388  $TT_{10\text{ }^{\circ}\text{C}}$  and 814  $TT_{10\text{ }^{\circ}\text{C}}$  under rain-fed conditions.

Under irrigated conditions, the model predicts an average yield potential of about 11.53  $\text{t ha}^{-1}$ , ranging from 10.56 to 12.93  $\text{t ha}^{-1}$  during the 5-year period for which weather data are available (Table 3). The average temperature during the grain-filling period (rTmean) was 20.7  $^{\circ}\text{C}$ , and the lowest predicted yield was for 2006, when the rTmean was considerably higher (23.1  $^{\circ}\text{C}$ ). In contrast, the highest predicted yield was in 2008, when the rTmean was only 19.5  $^{\circ}\text{C}$ , hence both the grain-filling and total growth (vegetative + reproductive) periods were long (68 and 142 days, respectively). These results indicate that high temperatures during the reproductive, grain-filling stages may reduce potential yields under currently recommended

Table 3 – Simulated grain yield, total aboveground biomass, harvest index and environmental factors computed for the total growing season (t), the vegetative (v) or reproductive (r) stages under irrigated and rain-fed conditions for the years from 2005 to 2009.

Year	Gr.Y	tDM	HI	vDays	rDays	V+R	tSola	Tmean	vTmean	rTmean	tRain
Irrigated											
2005	11.58	22.77	0.51	73	57	130	2359	20.4	19.6	21.5	313
2006	10.56	21.84	0.48	72	50	122	2273	21.1	19.8	23.1	155
2007	11.76	23.12	0.51	70	61	131	2315	20.4	20.2	20.6	299
2008	12.93	25.05	0.52	74	68	142	2688	19.6	19.6	19.5	328
2009	10.82	21.47	0.5	73	75	148	2231	19.2	19.6	18.8	370
mean	11.53	22.85	0.5	72	62	135	2373	20.1	19.8	20.7	293
Rain-fed											
2005	9.38	21.91	0.43	79	50	129	2342	20.4	19.8	21.4	313
2006	8.59	20.84	0.41	77	44	121	2270	21.2	20	23.1	155
2007	9.84	22.51	0.44	75	54	129	2279	20.4	20.3	20.6	299
2008	11.26	24.98	0.45	81	60	141	2678	19.6	19.6	19.5	328
2009	9.44	19.7	0.48	79	67	146	2193	19.2	19.8	18.5	370
mean	9.70	21.99	0.44	78	55	133	2352	20.2	19.9	20.6	293

Gr.Y = grain yield (or grain dry matter),  $\text{t ha}^{-1}$ ; tDM = total aboveground dry matter (leaves, stalks, cobs, grain),  $\text{t ha}^{-1}$ ; HI = harvest index (grain dry matter/total aboveground dry matter); vDays = days from emergence to silking (i.e., length of vegetative stage); rDays = days from silking to maturity (i.e., length of reproductive stage); V+R = days from emergence to maturity (i.e., total length of growing period); tSola = total cumulative solar radiation from emergence to maturity, Langley or  $\text{MJ m}^{-2}$ ; Tmean = mean daily average temperature from emergence to maturity,  $^{\circ}\text{C}$ ; vTmean = mean daily average temperature from emergence to silking,  $^{\circ}\text{C}$ ; rTmean = mean daily average temperature from silking to maturity,  $^{\circ}\text{C}$ ; tRain = total rainfall from emergence to maturity, mm.

planting regimes, and are consistent with the findings by Muchow et al. (1990) that low temperatures increase maize yields by prolonging the time that plants can intercept radiation. Similarly, Badu-Apraku et al. (1983) found that low temperatures increase the duration of the grain-filling phase, which is often correlated with Gr.Y. The simulated potential yield for 2009 was 10.82 t ha<sup>-1</sup> under irrigated conditions; significantly lower than the average yield with similar management. This may have been because the total incident solar radiation during the growing season in 2009 amounted to 2231 MJ m<sup>-2</sup>, 142 MJ m<sup>-2</sup> less than the 5-yr average, and was particularly low during the grain-filling period (data not shown). Werker and Jaggard (1998) and Lecoecur and Ney (2003) have shown that the abundance of dry matter produced by crops when conditions are non-limiting, is almost linearly correlated to the photosynthetically active solar radiation (PAR) intercepted by their green leaves.

According to the simulations, during 2005-2009, under rain-fed conditions attainable yields averaged 9.7 t ha<sup>-1</sup>, ranging from 8.59 to 11.26 t ha<sup>-1</sup> at the Changwu experimental station, and the average rain-fed yield was 1.83 t ha<sup>-1</sup> less than the average potential yield with irrigation (Table 3). In these years the rainfall during the growing season (tRain) varied from 155 mm in 2006 to 328 mm in 2008 (when simulated yields were lowest and highest, respectively). However, although rainfall during the growing season (tRain) was also high in 2009 (tRain = 370 mm), the Gr.Y was rather low, probably largely due to the lower solar radiation for 2009.

#### Analysis of soil moisture status under rain-fed condition

Potential maize yields on the Loess Plateau are high because of the moderate elevation (about 1,200 m), high solar radiation and a generally dry climate. Provided that crops can be well irrigated, adequate quantities of nutrients can be supplied to meet crop requirements, and pests can be controlled. Mean annual rainfall amounts to ca. 578 mm, but varies strongly from year to year.

To evaluate the severity of water stress in this region, we simulated the soil moisture status without irrigation, based on actual rainfall and ET data. Rainfall, soil moisture, and the crop water stress coefficients for the years from 2005 to 2009 are shown in Figure 4. Rainfall during the growing season averaged 290 mm, it was unevenly distributed, water deficits occurred during the crop growth period every year, and (as shown in the simulations, see Table 3) yields from rain-fed plots were lower and considerably more variable than those from irrigated plots. The results indicate that water stress may cause substantial yield losses. 2006 was a dry, hot year in many parts of the Loess Plateau (Figure 4), six periods of moisture stress affected crop growth and development, and yields were significantly reduced due to a lack of rainfall during the growing season, particularly during the reproductive growth stage. High temperatures during grain-filling may also have contributed to low yields in that year.

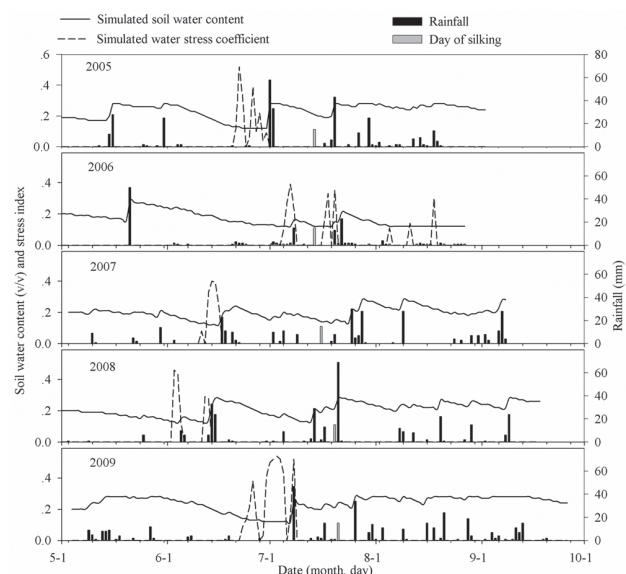


Figure 4 – Rainfall events and their effects on predicted soil water contents and water stress coefficient under rain-fed conditions for the years from 2005 to 2009.

## Discussion

Accurate information on the time to silking is essential for reliable Gr.Y predictions (Yang et al., 2004). In CERES-Maize, the model requires inputs for two parameters to predict the time of silking, both of which are difficult to measure and unavailable for most commercial hybrids (Jones and Kiniry, 1986; Jones et al., 2003). In contrast, Hybrid-Maize requires only one hybrid-specific parameter to simulate aboveground phenological development as defined by tassel initiation, silking, grain filling, and physiological maturity. In fact, however, in both experimental seasons (2007 and 2008) in this study, the irrigated treatment significantly advanced maize silking, and markedly increased the durations of the reproductive stage compared to the rain-fed treatment. Therefore, using actual planting, silking and maturity dates in our study, the Hybrid-Maize model was able to accurately simulate yield and yield components of the maize growing at our experimental site on the Loess Plateau.

The model also simulated LAI, total biomass and soil water contents reasonably well, especially for irrigated conditions. However, there was a certain overestimation of the biomass, although there was no significant difference between the simulated and observed values. Similar findings have been observed in other studies where crop simulation models have been used to determine regional yields (Grassini et al., 2009). This is presumably because unavoidable competition by weeds, and damage by insect pests, during growth periods inhibit the growth and development of maize in the field, and hence decrease the observed biomass values.

Relative to the rain-fed treatment, irrigation increased ( $p < 0.05$ ) the maize Gr.Y and tDM. This was because the improvements in water status provided by

irrigation often result in taller, more robust plants, larger leaf areas (NeSmith and Ritchie, 1992), and increases in vegetative dry matter (Claassen and Shaw, 1970), and promote the emergence of leaf tips and tassels, silking, and the onset of grain-filling (NeSmith and Ritchie, 1992). Supplying sufficient water during reproductive stages (after silking) can also reduce the period between silking and pollen shedding (Herrero and Johnson, 1981) and prolong the grain-filling period (Westgate, 1994).

The Hybrid-Maize model is a promising tool for analyzing yield determinants in previous cropping seasons. The analysis of yield determinants with the model indicated that its explanatory power is increased by using separate sets of meteorological data for the vegetative and reproductive stages rather than estimates for the whole growing season. Applying this approach, potential yields of aboveground biomass were found to be highest in years with long growing seasons. High cumulative solar radiation and warm temperatures during vegetative growth phases are also correlated with high potential biomass yields—presumably due to associated increases in photosynthetic rates and/or the speed of leaf area expansion, which determines the timing of canopy closure (Liu et al., 2010a).

Further research is required to develop and apply this modeling approach to make practical recommendations for the field management of maize growing in different locations. Further calibration and evaluation of the model may also be required to adjust to the frequent changes in varieties used by farmers.

## Conclusion

The Hybrid-Maize model accurately simulated LAI, biomass, and soil water data from the field experiments in both 2007 and 2008 years. Gr.Y as well as yield components were also accurately simulated. Highest potential Gr.Y are expected at locations where the length of the post-silking phase is maximized, keeping temperatures over the optimum range for kernel growth and carbon net assimilation. Substantial potential yield may have been lost due to water stress under rain-fed conditions. This information should be useful for both farmers and decision-makers.

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