# Effect of Processing Route on the Microstructure and Mechanical Properties of Hot Work Tool Steel

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Powder metallurgy is a growing sector in industrial production, as it offers outstanding energy, cost and material savings in comparison with established processing routes such as casting. Hot work toll steels are usually produced by ingot metallurgy, but also by powder metallurgy, namely hot isostatic pressing and powder forging routes. In this paper we investigate the possibility of production of a hot work tool steel (AISI H13) by conventional (die compaction and pressureless sintering) and metal injection molding routes, aiming to reduce cost and production time. The sintering behavior was studied from 1250 °C until 1430 °C and the resulting parts were compared in terms of microstructure, hardness and tensile strength. The results showed that both shaping routes together with pressureless sintering are suitable to produce this alloy. By combining the best shaping approach and a tailored sintering cycle, it was possible to produce samples with 400 HV10 as well as tensile strength of 1 GPa, which are comparable to the ones obtained by powder forging.

**Keywords:** powder metallurgy, steel, dilatometry, hardness measurement, mechanical characterization.

#### 1. Introduction

There are basically three major groups of tool materials: cold work tool steels (CWS), hot work tool steels (HWS) and high speed tool steels (HSS)¹. CWS are used for temperatures up to 200°C and can be furthermore distinguished in three groups containing different amounts of carbon (from 0.4 up to 2.3 wt.%). Depending on the amount of carbon this type of tool steel can contain a high fraction of carbides which improves its wear resistance. HWS are deployed when application temperatures of 200°C and more are required. In order to resist the applied cyclic loading HWS have a higher tenacity than CWS, containing less C. Just like CWS, HWS can be distinguished in three groups. Each group relies on different demands in temperature load. HSS combine the abrasion resistance of CWS with the thermal strength of HWS².

Among the HSW steels is the AISI H13 (also known as X40CrMoV5-1), mainly used for die casting and forging, extrusion moulding as well as pipe and glass-making<sup>3</sup>. It has a high amount of alloy elements as well as an considerable

secondary hardness. Molybdenum (Mo) and vanadium (V) provide the coarsening of carbides which increases the thermal stability<sup>4</sup>. It is desired that HWS do not undergo phase transitions in its application<sup>1</sup>.

The route used to produce the HWS has a major influence in its properties. The majority of HSW are produced by ingot metallurgy. Since the 1980's HWS are also processed using powder metallurgy (PM), being hot isostatic pressing (HIP) the most established method<sup>1,5</sup>, as well as powder forging<sup>6</sup>. In comparison to cast products, PM enables to achieve higher homogeneity, smaller grain and carbides size as well as the prevention of macro-segregation<sup>7</sup>, thus resulting in superior mechanical properties associated with high dimensional accuracy and surface quality<sup>8</sup>.

While the PM conventional route (die compaction and sintering) is already extensively studied for cold work tool steels (CWS)<sup>9,10</sup> and high speed tool steels (HSS)<sup>11-19</sup>, it is rather scarcely used for HWS. At present, there is hardly any literature characterizing HWS using PM methods apart from HIP<sup>20</sup>. In order to use conventional PM, knowledge about

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the sintering behavior as well as mechanical properties characterization has to be generated.

Powder metallurgy also offers the possibility to use HSW in ceramic reinforced composite materials (which is a project future goal), for which MIM becomes a very interesting process, as the compaction of small ceramic powders is very difficult without a granulation step. In the present paper, we studied the possibility to produce a hot work tool steel (AISI H13) by die compaction or powder injection molding combined with pressureless sintering, a less costly and time consuming route when compared with HIP or powder forging. At first it was analyzed the sintering behavior of this steel for temperatures ranging from 1250 °C (typical for metal processing) until 1430 °C (typical for ceramic processing). The influence of processing parameters, namely the shaping route and sintering temperature, in the microstructure, as well as its relation with the mechanical properties, are discussed. Using powder injection molding and pressureless sintering it was possible to achieve mechanical properties values comparable with the ones obtained by the HIP route.

## 2. Experimental Procedure

The AISI H13 gas atomized steel powder was supplied by the company TLS Technik GmbH & Co. Spezialpulver KG. The powder chemical composition is listed in Table 1.

The particle shape of the delivered powder is spherical with particle sizes of  $d_{10} = 5.30 \mu m$ ,  $d_{50} = 12.47 \mu m$  and  $d_{90} = 23.10 \mu m$  (CILAS 990 NASS particle size analyser and Malvern Mastersizer 3000). The powder morphology as well as the particle size distribution are shown at Figure 1.

Table 1. Chemical composition of starting powder in wt.-%.

Fe	C	Cr	Mo	$\mathbf{V}$	Mn	Si
Balance	0.37	5.0	1.3	0.98	0.44	1.0

The samples were processed by powder metallurgy using two different shaping approaches: uniaxial die compaction and powder injection molding (PIM).

For the uniaxial mechanical pressing, the AISI H13 powder needed to be granulated in order to guarantee suitable flowability and compressibility. For granulation, paraffin (2.5 wt.%) was slowly dissolved in 35 ml hexane and then homogenized with the powder in a cylindrical shaped container with continuous rotation. The resulting compound was sieved and granulated in the fraction between 500 µm and 88 µm, which was used for the die compaction. Paraffin was later removed in a thermal debinding cycle.

Compaction by mechanical pressing was executed with a single action uniaxial hand-lever Skay press (product code: 1663). All the compacted specimens were cylindrical shaped with a diameter of 10 mm and an average length of 6.9 mm. To evaluate the compressibility, pressures between 300 MPa and 1000 Mpa were applied for 10 s. After evaluating the compressibility curve, a pressure of 700 Mpa was used for producing the samples, where no delamination was observed.

For powder injection molding a binder system (Table 2) was added to the powder. Each mixture was composed by 1.2 kg of powder and was mixed in a HAAKE Rheomix 3000 Lab Mixer. The internal mixer was equipped with two rotors rotating at 60 RPM compounding the mixture for 60 min at a temperature of 180°C. Thereby, the increased temperature enhanced a homogeneous mixing of the powder material. After cooling down to room temperature in ambient atmosphere the feedstock was pelletized by the Seibt MGHS 3/180. For injection molding, an Arburg Allrounder 320s was used. The barrels' temperature at the injection machine were set to 165°C in the first section with incremental increase by 5 °C up to 185 °C at the nozzle, a hydraulic injection pressure of 100 Mpa and a speed of 0.03 m/s were used within a 13 s cycle. Test samples in form of dog bones (MPIF 10), flat tensile rods (ASTM E8) and cylindrical samples were produced.

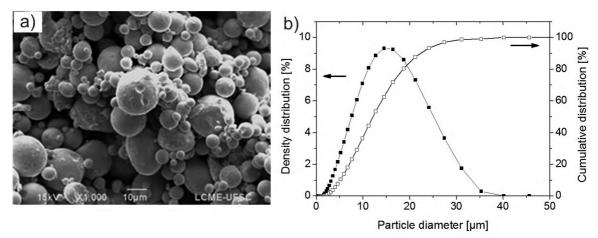


Figure 1. Starting powder a) SEM showing the particle morphology and b) particle size distribution.

**Table 2.** Binder system used in the powder injection molded samples.

	Polypropylene	Paraffin	Ethylene-vinyl acetate	Amide Wax	Anti-Oxidants
Binder system in vol.%	17.5	15.4	6.5	2.0	0.1

For the compacted (pressed) parts thermal debinding was the first step of the sintering cycle, as described later on. On the other hand, injection molded parts were debinded in two stages, a chemical and a thermal one. For chemical debinding, injection molded samples were placed on a metallic sieve above a 3 liters hexane container. In a first stage, hexane was kept for two hours at 55 °C, so the vaporized hexane could enter the samples. The samples were then immersed completely in hexane. The temperature was kept constant at 55 °C for another 10 hours. After a total of 12 hours the samples were taken out still containing a fraction of a backbone polymer which was extracted in a subsequent thermal debinding step.

The thermal debinding process was performed in a reducing gas atmosphere (100% hydrogen, purity 99.999, 200 sccm flow) under 1.33 hPa (1 Torr) in a plasma assisted furnace (PADS-LabMat/UFSC). From room temperature up to 300 °C the temperature was increased by 5 °C/min, followed by 0.5 °C/min to 500 °C and finally 5 °C/min up to 700 °C. After a dwell time of 60 min at 700 °C, the cooling was carried out inside the furnace (heater elements off). The pulse width of the plasma source was increased during heating up to 100  $\mu s$  (microseconds) and reduced to 10  $\mu s$  during cooling.

At first the sintering behavior of the samples was investigated in a push-rod dilatometer (Netzsch DIL 402 C). Using an atmosphere of hydrogen and argon (95% Ar 5% H<sub>2</sub>) at 1 atm (1013.25 hPa) with a heating rate of 10 °C/min to sintering temperatures ranging from 1250 °C to 1430 °C, where the samples were kept for 60 minutes. Titanium chips were used as oxygen gathers, aiming to reduce the oxygen content in the atmosphere<sup>21</sup>. DTA and TG were performed at a heating rate of 10 °C/min until 1500 °C in the same atmosphere. Linear shrinkage was calculated by the dilatometer push rod displacement, while the volumetric shrinkage was calculated though the measurement of samples' dimensions (diameter and height) right before and after sintering. In addition, sintering was performed in a tubular furnace (Institute of Advanced Ceramics) at 1350 °C.

Samples weight (Metler Toledo X205 resolution 0.01 mg) and dimensions (caliper Mitutoyo resolution 0.001 mm) were controlled during the whole processing. For green and sintered samples the density was calculated by the geometrical method. For sintered samples the density was also measured using the Archimedes method (Metler Toledo X205).

After sintering the resulting microstructures were characterized by optical microscopy (Olympus BX60, samples etched by Marble), scanning electronic microscopy

(SEM - JEOL JSM-6390LV), energy-dispersive X-ray spectroscopy (EDS) and Vickers hardness (Zwick 3212), with a test force of 98.07 N. For samples sintered in the tubular furnace the tensile strength was measured according to DIN EN ISO 6892-1 (2009).

#### 3. Results and Discussion

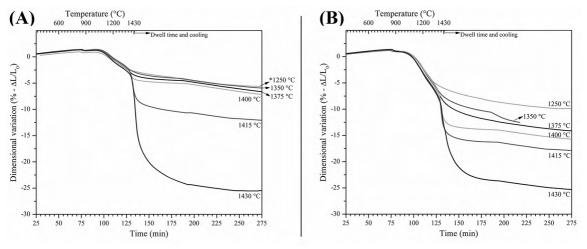
The online dimensional variation of the green compacts, both pressed and injection molded, measured during sintering in a dilatometer is shown in Figure 2.

For the pressed sample (Figure2-A) temperature plays a critical role, in which a 30 °C shift in the sintering temperature (from 1400 to 1430 °C) lead to an increase of 18.5% in the linear shrinkage while a 150 °C shift (1250 to 1400 °C) only lead to a 1.5% increase. This behavior indicates the possible formation of a liquid phase for temperatures above 1400 °C, in agreement with the phase diagram for this alloy (Figure 3) that indicates a liquid phase formation at  $\sim\!1385$  °C. For all the cycles, no indentation of the pushrod into the sample was observed.

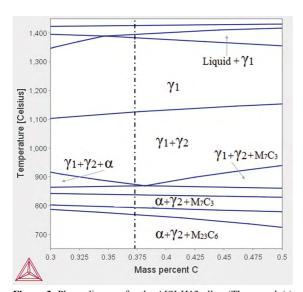
On the other hand, injection molded samples (Figure 2-B) presented a different behavior, where linear shrinkage increased slightly with the temperature (better visualized in Figure 4). Still, for the highest sintering temperature (1430 °C), the same linear shrinkage of the pressed sample (~25%) was observed. Figure 4 (grey square) indicates that the volumetric shrinkage of the samples is reduced at 1400 °C, due to a swelling in the diameter.

The density results (Figure 5) showed that there is no further densification at temperatures higher than 1400 °C (injection molded) and 1375 °C (pressed). This means that even though the samples exhibit an increase in the linear and for some samples also volumetric - shrinkage (Figure 4), a rise to temperature above 1400 °C will not improve the densification. For injection molded samples, sintering at the highest temperature - 1430 °C - led to the highest density obtained, e.g. 96% of theoretical density. While the gain in density between the initial three temperatures is clearly visible, it is slightly increasing from 1400 °C on. As the increase in density between 1400 °C and 1430 °C accounts to only 1.84%.

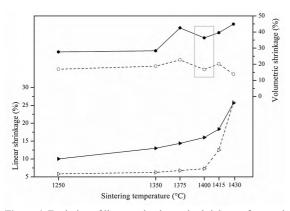
Samples pressed uniaxially at 700 Mpa exhibited a green density of  $75 \pm 0.4\%$  T.D., while in injection molded samples the relative green density is only  $62.2 \pm 0.5\%$  - as predetermined by the amount of binder. This means that the latter exhibit more voids, resulting in a larger shrinkage associated during sintering in the dilatometer. Even though, the injection molded samples had a larger shrinkage (see



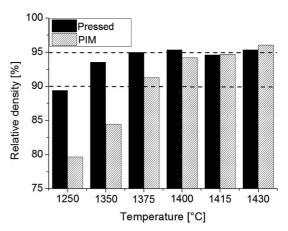
**Figure 2.** Dimensional behavior of (a) pressed and (b) injection molded samples during their sintering in a dilatometer (heating rate of 10 °C/min up to the sintering temperature in a 5% H<sub>2</sub> + Ar gas flow).



**Figure 3.** Phase diagram for the AISI H13 alloy (Thermocalc(r) software) according to the carbon content (wt.%). The dashed line indicates the composition of the samples processed in this study.



**Figure 4.** Evolution of linear and volumetric shrinkage of pressed (dashed line) and injection molded (solid line) samples according to the sintering temperature. The grey square marker indicates the temperature at which swelling occurred.



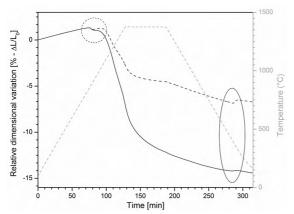
**Figure 5.** Final density for pressed and injection molded samples after sintering at temperatures between 1250°C and 1430°C.

Figure 4, 1350 °C), it achieved lower sintered densities in comparison with the pressed ones, for the same sintering temperature (Figure 5, 1350 °C).

Sintering at temperatures higher than 1400 °C did not lead to a densification higher than 95% of theoretical density (Figure 5). The sintering cycles performed in the dilatometer (Figure 2) showed the possible formation of a liquid phase for sintering temperatures higher than 1375 °C, which caused dimensional instability.

During heating at approximately 80 min (875 °C) all the samples exhibited the transformation of iron from body-centered cubic (bcc, ferrite) alpha crystals to face-centered cubic (fcc, austenite) gamma form (Figure 6, dashed circular marker). During cooling the transformation from austenite to bainite occurred (Figure 6, ellipsoidal marker), which is in agreement with the CTT diagram for this alloy<sup>22,23</sup>.

The major phase in pressed as well as injection molded sintered samples is bainite, indicated by crystalline rod like structures (circle 1 in Figure 7-D). The lighter occurring areas consist of retained austenite (circle 2 in Figure 7-D). The



**Figure 6.** Sintering cycle (monitored in a dilatometer) of injection molded (solid) and pressed (dashed) specimens at 1375°C in an atmosphere of 95% argon and 5% hydrogen.

microstructure of samples sintered at other temperatures mainly resembles those displayed in Figure 7, with small differences in the distribution and amount of austenite. Due to the low densification, injection molded samples sintered at 1250 and 1350 °C presented only few neck formations, with lack of continuity in the microstructure and large partly interconnected pores. For all other samples, pores are predominantly spherical, almost equally sized and distributed without any clusters.

Beside the similarities mentioned above, at temperatures exceeding 1375 °C for injection molded samples and 1350 °C for pressed samples, the microstructure of the surface near region differed from the core region in terms of metallic phase as well as porosity (Figure 8). An EDS analysis (Table 3) shows the chemical composition of both areas, with different C, Mn and V contents. This was caused by decarburization of the samples, which created a border region lacking Mn and C. This is associated to a carbothermal reduction phenomena of surface oxides24, as observed by Danninger et al.25 in Cr pre-alloyed steels, where deoxidation takes place leading to a mass loss and formation of carbon-monoxide and carbondioxide (CO/CO<sub>2</sub>). Note that this carbon loss would cause the dashed line indicated in the phase diagram of the Figure 9 to move left, first increasing the temperature at which liquid phase happens, but for higher carbon losses, decreases it (the phase diagram was constructed based on the alloy composition as function of the carbon content). The carbon potential of the atmosphere is defined by the ratio of CO to CO, or by the dew point<sup>26</sup> or oxygen level at a particular temperature and concentration of CO and H<sub>2</sub><sup>27</sup>. If the water, hydrogen or oxygen content is too high, decarburization by reaction with the atmosphere may occur<sup>26</sup>. In such cases there is also the possibility of Mn oxidation<sup>28</sup>.

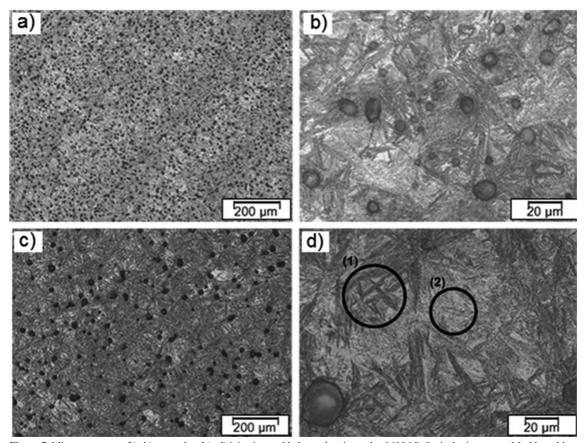
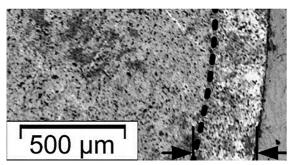


Figure 7. Microstructures of (a-b) pressed and (c-d) injection molded samples sintered at 1400 °C. Optical microscope, Marble etching.

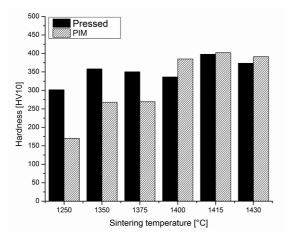


**Figure 8.** Optical microscopy of a pressed sample border sintered at 1350 °C.

**Table 3.** Chemical analysis via EDS of injection molded sample sintered at 1375 °C.

	C	Cr	Mo	V	Mn	Si
Rim	-	4.61	1.21	1.57	-	0.52
Core	4.42	4.61	1.22	1.32	0.25	0.59

In this study, the mechanical behavior was evaluated predominantly by hardness, as this property is significantly influenced by the densification obtained during sintering. For the injection molded samples, an overall raise in hardness (Figure 9) can be observed from 170 HV10 to 402 HV10 when increasing the sintering temperature from 1250 °C to 1430 °C, respectively. The pressed samples, however, exhibit higher hardness at lower sintering temperatures and lower hardness at higher sintering temperatures when compared to the injection molded samples. At 1415 °C, the hardness is within the same range for both shaping methods confirming a comparable densification.



**Figure 9.** Hardness of pressed and injection molded samples after sintering at temperatures between 1250°C and 1430°C.

Tensile rods sintered at high temperatures, e.g. above 1350 °C, have been geometrically distorted during sintering preventing thereby accurate mechanical measurements. Sintering at lower temperatures resulted in a negligible

deformation which allowed testing in as sintered condition and the measured average tensile strength of injection molded samples sintered at 1350 °C of 1 GPa (+/-72 MPa) is comparable to literature existing data for AISI H13 produced by HIP<sup>20</sup>. Therefore, the proposed processing route offers the potential for high mechanical performance even in a low cost production scheme. Future studies, however, should be performed for further optimization and detailed characterization of the mechanical performance.

## 4. Conclusions

AISI H13 pre-alloyed powder was suitable for shaping by injection molding as well as mechanical pressing. Still, both shaping routes are suitable to pressure less sintering up to temperatures of ~1350 °C. Liquid phase formation and dimensional instability occurred for sintering temperatures higher than 1375 °C. Regardless of the chosen shaping technique the microstructure formed was bainite and homogenous in terms of porosity.

Injection molded samples sintered at 1350 °C reached values of 400 HV10 as well as tensile strength of 1GPa, similar to values obtained in other processing routes<sup>20</sup>, but in this study, there was no need for secondary heat treatments.

Therefore, the powder injection molding is the most indicated shaping approach and a sintering temperature of 1350 °C is the one providing homogenous microstructure and good mechanical properties. It is concluded that by adequate choice of the shaping approach and sintering temperature, it is possible to produce AISI H13 steel without any further heat treatment, which reaches mechanical properties as good as the ones obtained in other more expensive or time consuming routes.

# 5. Acknowledgements

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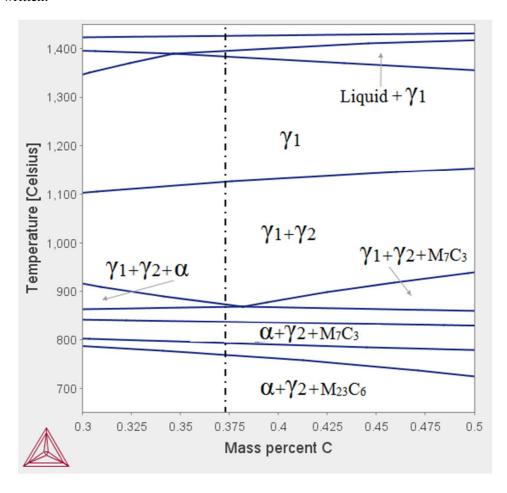
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# **Erratum**

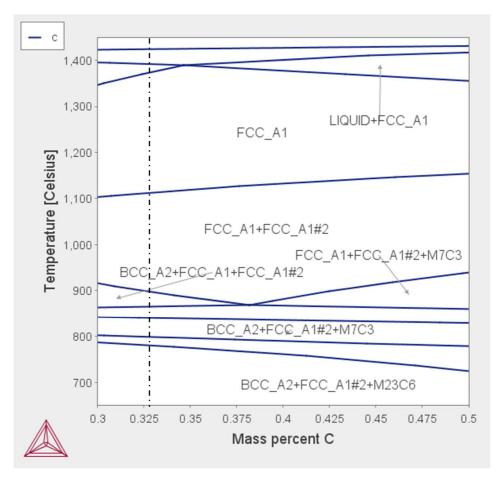
In the article "Effect of Processing Route on the Microstructure and Mechanical Properties of Hot Work Tool Steel", DOI number: http://dx.doi.org/10.1590/1980-5373-MR-2016-0726, published in Materials Research, vol.20(6): 1518-1524, in the page 1521:

# Where was written:



**Figure 3.** Phase diagram for the AISI H13 alloy (Thermocalc(r)software) according to the carbon content (wt.%). The dashed line indicates the composition of the samples processed in this study.

# Should be read:



**Figure 3:** Phase diagram for the AISI H13 alloy (Thermocalc(r) software) according to the carbon content (wt.%). The dashed line indicates the composition of the samples processed in this study.

The diagram presented in Figure 3 had an error concerning the phases nomenclature. In this diagram the phase FCC\_A1 corresponds to the Austenitic phase and the phase indicated by the Thermocalc® software as FCC\_A1# represents a carbide phase, most likely type MC, which has a face-centered cubic structure.

The authors gratefully aknowledge Prof. Hélio Goldenstein for identifying this figure error and inform the authors about it. We also would like to acknowledge Prof. Hélio Goldenstein and Arthur Seiji Nishikawa for checking the original Thermocalc® file and generate new graphs, introduced in this document.