

Mechanical and Wear Properties of 42CrMo Steel by Plasma Nitriding Assisted Hollow Cathode Ion Source

F.S. Zhao^{a,b}, Z.H. Zhang^c, M.H. Shao^d, Y.J. Bi^{a,b}, Y.Q. Zhao^a, Z.W. Wang^{a,b}, Y. Li^{a,*} , H.H. Li^a,
X.G. Xu^a, Y.Y. He^c

^aYantai University, Department of nuclear equipment, Yantai 264005, PR China

^bYantai University, Institute for Advanced Studies in Precision Materials, Yantai, 264005, China

^cTsinghua University, State Key Laboratory of Tribology, Beijing 100084, PR China

^dYantai University, School of Electromechanical Automobile Engineering, Yantai, 264005, China

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Plasma nitriding is a plasma-activated thermochemical method widely used to increase the fatigue strength, hardness and wear resistance of low-alloy steels. In this work, a new structure consisting of a hollow cathode metal plate can produce a hollow cathode discharge and heat the workpiece by radiation. This principle is used to perform hollow cathode source plasma nitriding (HCSPN). Herein, 42CrMo steels were treated by conventional plasma nitriding (CPN) and HCSPN treatments. The 42CrMo steels were nitrided at 525 °C for 6 h in NH₃ atmosphere. The mechanical and dry wear properties were measured by nano-indentation, Vickers micro-indentation and ball-on-disk tribometer, respectively. The H³/E*² ratio was 0.0274 GPa (H=11.51 GPa and E*=235.84 GPa) for the CPN sample and 0.0276 GPa (H=10.87 GPa and E*=215.54 GPa) for the HCSPN sample. Compared with the untreated 42CrMn steel, all the nitrided samples possess increased fracture toughness. Because the workpiece was not used as a discharge cathode, this HCSPN treatment overcame the disadvantages of the conventional CPN treatment. Additionally, results showed that the surface of the HCSPN sample was smoother than that of the CPN sample, and its tribological performance was better.

Keywords: Plasma Nitriding, Hollow Cathodic Source, Hardness, Friction.

1. Introduction

42CrMo steel is often used as the material of hydraulic piston. However, due to the high load and high impact working environment of the hydraulic system, the surface of the plunger is required to have high hardness, good wear resistance and fatigue strength¹⁻³. Plasma nitriding is one of the most widely used surface modification methods to improve the surface hardness and wear resistance of various engineering materials⁴⁻⁷. In the Fe-N system^{8,9}, nitriding low alloy steels may produce the following phases: γ' -Fe₄N (*fcc*), ϵ -Fe₂₋₃N (*hcp*) and a nitrogen solid solution in an α -Fe phase. Additionally, the alloying elements (Cr, V, and Mo) usually dissolve in these nitrides. In most CPN applications the correct adjustment of the discharge parameters avoids the arc regime and the edge effects. However, some special workpieces need auxiliary heating system to reach the required processing temperature¹⁰.

Active screen plasma nitriding technology (ASPN) or cathodic cage plasma nitriding (CCPN) has been extensively studied by many researchers in the past decade¹¹⁻¹⁶. As the cathode of the metal screen is bombarded by ions, iron particles are continuously sputtered and deposited on the workpiece, and nitrogen atoms diffuse into the inner part of the suspended workpiece to avoid the above problems during CPN treatment. Since the plasma is formed by the

glow discharge generated by the active screen, the workpiece should be closer to the active screen¹⁷⁻¹⁹.

This setup leads to the active screen that is used in most experiments being very small, and the distance between the active screen and workpiece is also required to be small, so it cannot be used in large-scale industrial production. When nitriding with an active screen with a large volume, the surface strengthening effect of the workpiece at the floating potential is not obvious. The hollow cathode discharge can be established in the holes and supply electrons, which has been used for etching and nitriding²⁰⁻²³. The plasma density, or electron concentration, increases to approximately 10¹²/cm³⁰. Alves et al.²⁴ designed a cylindrical hollow cathode structure to nitride Ti implants and studied the wettability and roughness of the surface after nitriding. The results showed that there was no geometric change in the main implant after nitriding. Compared with the untreated implant, the surface texture was significantly improved, and the wettability was better. Fietzke and Zimmermann²⁵ found that adding a strong axial magnetic field to the hot hollow cathode electron emitter, a multiplication of ion current density together with the ionization rate is improved. Gallo et al.²⁶ designed a special hollow cathode. The cathode bar and anode bar were inserted into a cylinder, which could concentrate the plasma in the center of the cylinder, thereby obtaining a relatively uniform deposition layer. This structure required

*email: liyanytu@163.com

the chassis to fix the cathode and anode bar, and a specific distance between the bars was strictly required.

In this work, a new structure for a hollow cathode device is designed to be a plurality of metal plates arranged in a furnace during HCSPN treatment. Each metal plate has a plurality of long grooves arranged at intervals, and each groove is provided with holes arranged at intervals. The high ionization rate obtained via the hollow cathode effect resulted in an efficient heating workpiece. Under the same treatment conditions (temperature and time), the CPN and HCSPN experiments are carried out on the same device. This work aims to investigate the mechanical and dry wear properties of the 42CrMo steel by CPN and HCSPN processing.

2. Materials and Methods

The material used in this experiment was 42CrMo steel, and its chemical composition is shown in Table 1. The samples were cut from hot roll plates with a size of $20 \times 20 \times 6 \text{ mm}^3$. The surface hardness of 42CrMo steel is $320 \text{ HV}_{0.1}$. These samples were ground using several grades of SiC papers and then polished with a $3.0 \mu\text{m}$ diamond suspension. Finally, the polished samples were cleaned by alcohol ultrasonication.

CPN and HCSPN treatments were conducted in an LDMC-20 pulsed plasma reactor²⁷. The pulsed frequency of adopted pulse generator was 1000 Hz and pulse duty factor were 65~70%. The 42CrMo steels were nitrided in NH_3 gas using CPN and HCSPN treatments at the cathodic and floating potentials, respectively. Two treatments were conducted at $525 \text{ }^\circ\text{C}$ for 6 h at a pressure of 400 Pa. For the CPN processing, the 42CrMo steels samples were only

heated by bombardment at the cathodic potentials. For the HCSPN processing, the hollow cathode was made up of AISI 1020 steel plates with 10 mm of thickness, and its chemical composition is shown in Table 1. There are uniformly distributed holes (8-mm diameter) in the plates and some of the grooves in the inner wall (Figure 1). The depth and breadth of the grooves were 5 mm and 8 mm, respectively. The hollow cathode discharge was formed by these holes and grooves. There are four metal plates enclosed in a square, which can radiate and heat the workpiece. Hollow cathode discharge occurred when the size of the cathode fall area was the same as the separation distance. The loss of electrons was small because they were repelled by the negative wall of the cathode. The temperature of the substrates was monitored using a thermocouple, and control by computer system. The Voltage between electrodes was 800~850 V and current density was 5~6 A/cm².

X-ray diffraction (BRUKER D8 ADVANCE) was used to identify the structures of the untreated and nitrided samples. All samples were measured in the 2θ scan range of $30^\circ < 2\theta < 90^\circ$ using a Cu $K\alpha$ ($\lambda=1.5406 \text{ \AA}$) radiation source at a scan rate of 5 deg/min, and the phases were identified using JCPDS database. Scanning electron microscopy (SEM) along with energy dispersive X-ray spectroscopy (EDS) were used to observe the microstructures and elemental distribution of the nitride layers, respectively. The composition of the nitrided samples was analyzed by X-ray photoelectron spectroscopy (XPS). XPS measurements were performed on an ESCALAB 250 electron spectrometer using an anodic Al $K\alpha$ X-ray source with excitation energies of 1486.6 eV. Calibration was achieved using the C 1s peak of the surface contamination at 284.6 eV.

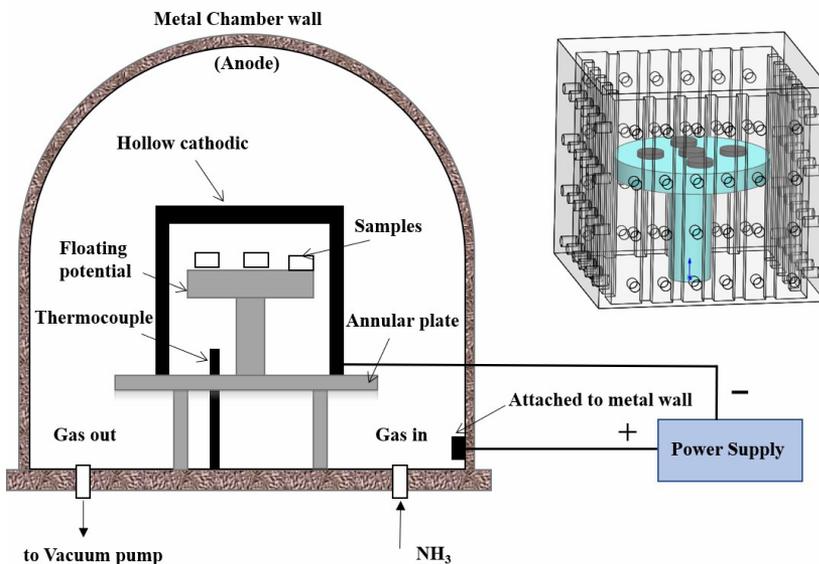


Figure 1. Schematic representation of the HCSPN apparatus.

Table 1. Chemical composition (wt %) of 42CrMo steel and AISI1020 steel used in the present work.

	C	Cr	Mo	Si	Mn	P	S	Fe
42CrMo	0.38~0.43	0.80~1.20	0.15~0.25	0.15~0.35	0.75~1.0	<0.03	<0.03	balance
AISI 1020	0.17~0.23	<0.25	-	0.17~0.37	0.40~0.65	<0.03	<0.03	balance

Nano hardness and Elastic modulus were measured by nano-indentation tester (NHT-2, CSM, Switzerland) with displacement resolution of 0.004 nm. Berkovich-B-T56 diamond indenter was used to apply 10 mN load at the loading and unloading rate of 10 mN/min for 5 s. Each sample was repeated for at least five times. Vickers hardness was measured by using a microhardness tester with a 100 gf (0.98 N) load. A computer-controlled multifunctional tribometer (UMT-4, CETR, USA) was employed to carry out the reciprocating sliding wear tests. The corresponding friction pair was 52100 bearing steel balls (SR=4 mm), and their hardness was 62 HRC. The frequency used in the experiment was 10 Hz, and the load was 10 N, forming a reciprocating 20 mm cycle. Each test was repeated at least 3 times in order to obtain reproducibility results. All the specimens were cleaned after the wear test in an ultrasonicator containing ethanol and observed by SEM. The volume of the wear tracks was

measured a 3D white light interference surface topography (Zygo NexView; Zygo Corporation, Middlefield, CT, U.S.), which has a vertical resolution of 0.1 nm. The 10X lens was used to obtain the surface wear topography. The wear rate (δ) calculation formula is expressed in Equation 1, as follows:

$$\delta = \frac{V}{\sum W} \quad (1)$$

Formula: V is the volume loss (mm³) and $\sum W$ is total work (Nm).

3. Results and Discussion

Figure 2 shows the XRD patterns of the CPN and HCSPN nitrided samples. There are two identical phases in the nitrided layer, including ϵ -Fe_{2.3}N and γ' -Fe₄N. The strength of the ϵ -Fe_{2.3}N phase of HCSPN is higher than that of the CPN phase at 38.3° and 44.2°. Figure 3 shows the surface micrographs of the CPN and HCSPN samples. There are some pits and wrinkles on the surface of the CPN sample. In addition, no edge effect is found on the surface of the HCSPN samples. When the hollow cathode electrode is heated, the 42CrMo steel samples quickly reach the desired temperature by heat conduction and radiation. Plasma forms on the screen instead of plasma forming on the sample. This device can not only heat the workpiece as a high-efficiency heat source but also generate plasma distributed in the discharge space and around the workpiece through diffusion and convection²⁸. The 42CrMo steel can be effectively treated in the floating potential for the HCSPN treatment. For the CPN samples, Figures 3c and 3d show the cross-sectional micrographs of central and edge area to illustrate the measurements of the depth of the nitrided layers. It can be seen that the thickness

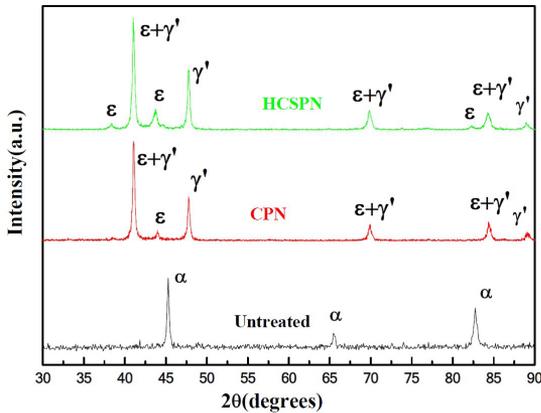


Figure 2. X-ray diffraction patterns of the different samples.

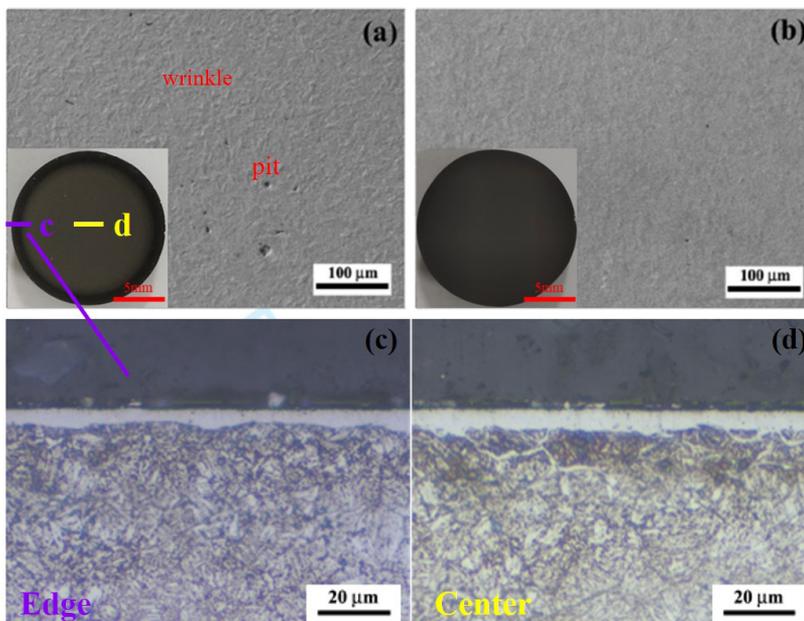


Figure 3. SEM surface topographies and optical photos of the (a)CPN and (b)HCSPN nitrided samples. Cross-sectional micrographs of edge (c) and central (d) area of the CPN nitrided sample.

of edge area is slightly thinner than in the central area. Since the ionization phenomenon is stronger in the edge of the CPN sample due to the edge effect. This results show that the sputtering effect in the edge region is stronger and the compound layer is thinner.

Elemental mappings of the CPN (Figure 4) and HCSPN samples (Figure 5) were carried out by EDS. All nitrified samples show a distribution of nitrogen, oxygen, chromium, manganese and iron from the surface to the matrix. The nitrogen concentration in the compound layer is higher than that in the diffusion zone. Compared with HCSPN samples, a Cr and O rich layer was found on the outermost part of the CPN surface sample by analyzing the elemental mapping. Both CPN and HCPN samples presented a nitrified layer formed by ϵ and γ' phases, it expects a similar composition for them. According to Figure 4 and Figure 5, a thicker 7 μm -nitrified layer was formed on the surface of the CPN sample, whereas a thinner nitride layer ($\sim 4 \mu\text{m}$) was developed on HCPN sample surface.

The surface morphology and roughness of the CPN and HCSPN samples were observed by AFM in contact mode and are shown in Figure 6. The surface roughness (94.0 nm) of the CPN sample is higher than that of the HCSPN sample (73.3 nm). Our previously reported that the microscale surface roughness of the polished sample

is about 6.1 nm²⁷. The highest peak of the CPN sample is approximately 400 nm, the lowest peak is approximately -150 nm, and the maximum peak difference is 550 nm. However, the AFM images show that the HCSPN sample has a homogeneous surface morphology, and most vertical heights are less than 350 nm, as shown in the profiles in Figure 6d. The dissolved nitrogen with higher concentrations strains the crystal lattice and form residual stresses. Very fine and dispersed precipitates are formed in the nitrogen rich region of the CPN modified layer, lead to increase the surface roughness. The surface of the HCSPN sample is smoother than that of the CPN sample. The reason for the change in the surface of the CPN sample is due to the ion bombardment and grain boundary bulging caused by lattice expansion after the solid solution is mixed with the matrix²³.

Figure 7 shows the typical loading–unloading curves of the untreated, CPN and HCSPN samples, from which the quantities such as the hardness (H) and effective Young's modulus (E^*) can be evaluated in Table 2. It should be noted that the hardness ($H=7.31 \text{ GPa}$) and effective Young's modulus ($E^*=250.68 \text{ GPa}$) of the substrate are less than that of the nitrified samples. The H^3/E^{*2} ratio were 0.0274 GPa ($H=11.51 \text{ GPa}$ and $E^*=235.84 \text{ GPa}$) for the CPN sample and 0.0276 GPa ($H=10.87 \text{ GPa}$ and $E^*=215.54 \text{ GPa}$) for the HCSPN sample. Compared with the untreated 42CrMn

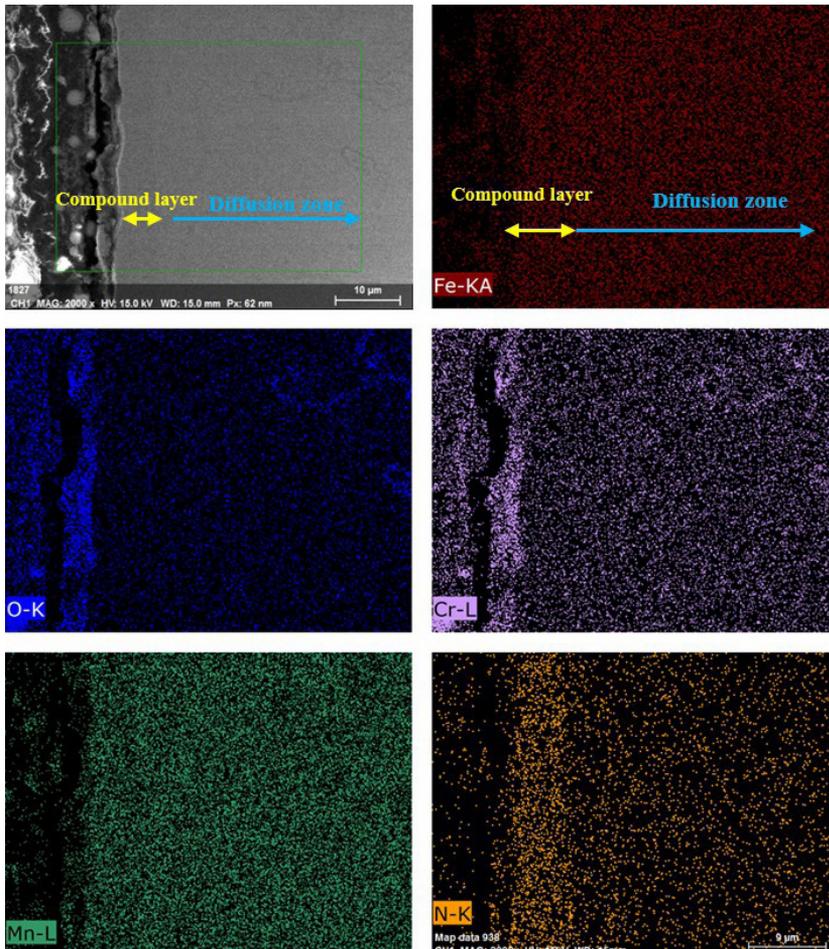
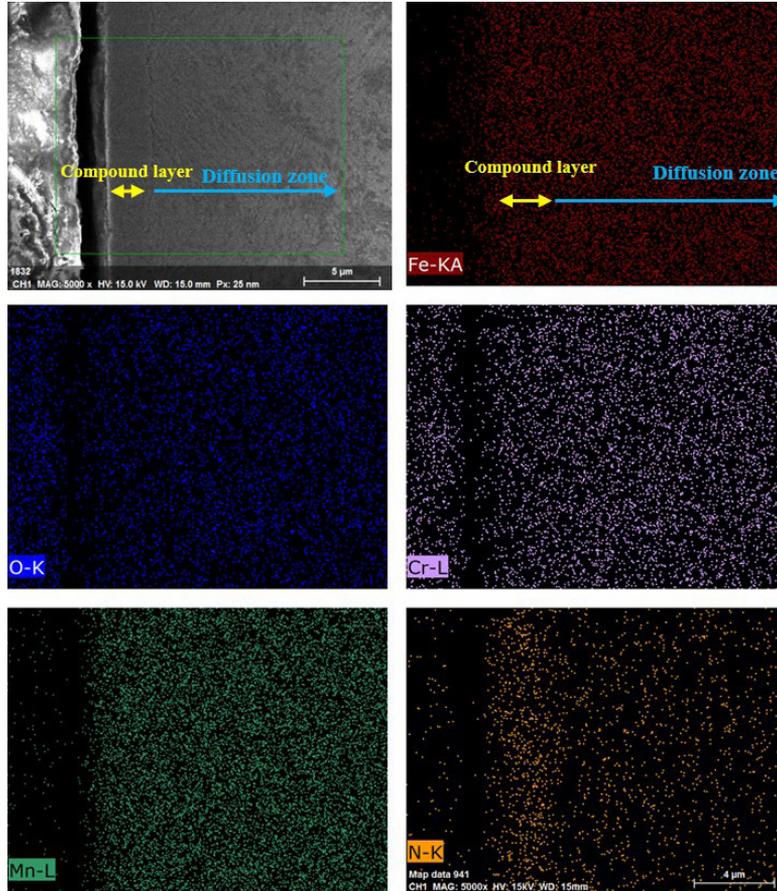


Figure 4. EDS elemental mapping scan along the cross-section of the nitrified layer of the CPN sample.

Table 2. Surface nano-hardness and micro-hardness of the different samples.

Samples	Nano-hardness	Elastic modulus	E*	H/ E	H/ E*	H ³ /E* ²	Micro-hardness
	/GPa	/ GPa				/ GPa	HV _{0.1}
Untreated	7.31	228.12	250.68	0.0320	0.0291	0.0062	320
CPN	11.51	214.61	235.84	0.0536	0.0488	0.0274	960
hcspn	10.87	196.14	215.54	0.0554	0.0504	0.0276	820

**Figure 5.** EDS elemental mapping scan along the cross-section of the nitrided layer of the HCSPN sample.

steel, all the nitrided samples possess increased fracture toughness. According to Leyland and Mathews²⁹, the ratios of H/E^* and H^3/E^{*2} is proportional to the indicators of the resistance against yielding and plastic deformation, respectively. thus, the larger the H^3/E^{*2} ratio is, the toughness of the nitride layer is better.

Figure 8 shows the hardness of 42CrMo steel after CPN and HCSPN treatment. The surface hardness of HCSPN is 820 HV_{0.1}, while that of CPN is 960 HV_{0.1}. It is generally considered that the sum of the matrix hardness value (320 HV_{0.1}) and 50 HV_{0.1} is the thickness of hardened layer. The HCSPN sample reaches a hardness of 370 HV_{0.1} at approximately 160 μm, while the samples treated with CPN reaches this matrix hardness at 230 μm. Under the cathode potential drop, nitrogen positive ions bombard the surface and diffuse to the deeper surface with higher energy for the CPN samples. The increase of N concentration can improve the N concentration gradient between the surface and the

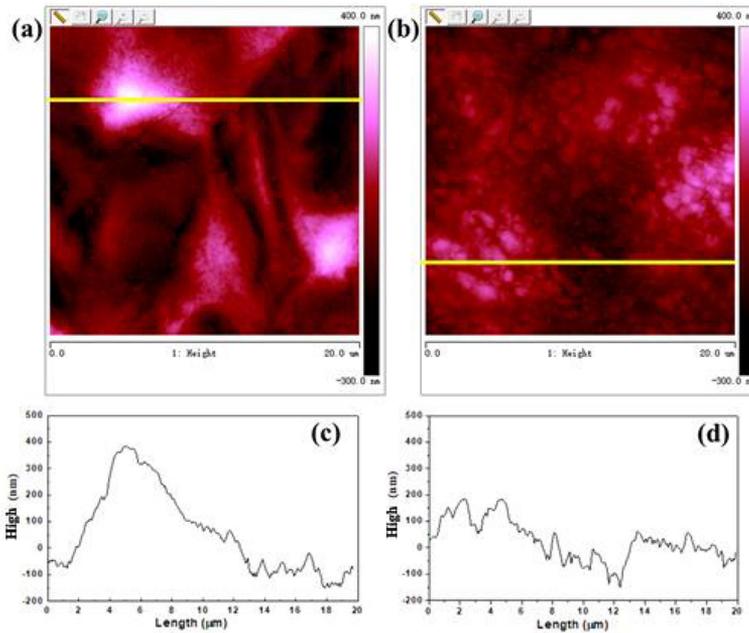
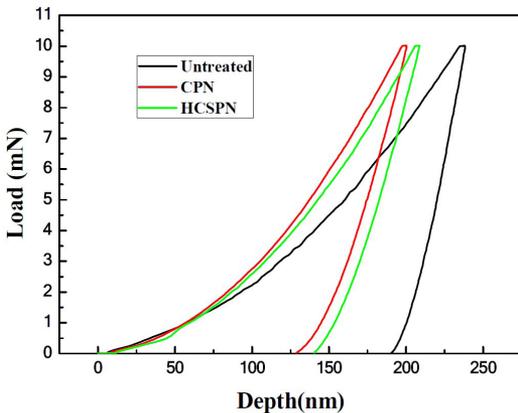
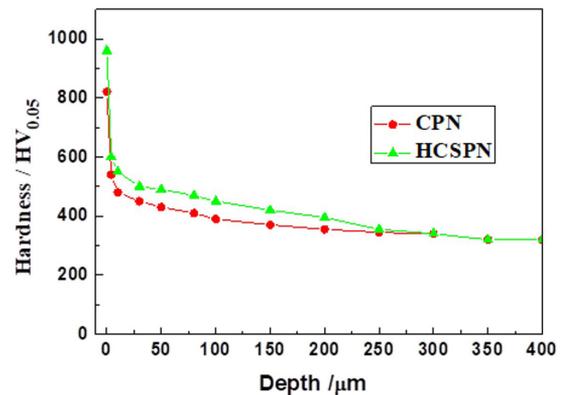
matrix, which must be in favor of the diffusion of N atoms to the α -Fe matrix^{5,30}. The hardness, the SEM and XRD results also show that the thickness and hardness of nitrided layer of the CPN were higher than those of the HCSPN.

Figure 9a shows the friction coefficients of the untreated, CPN, and HCSPN samples. The friction coefficient of the untreated samples changes little over time and remain between 0.7 and 0.8. The friction coefficient of the HCSPN sample is maintained between 0.1 and 0.2 at the initial steps (~100 s). The friction coefficient of the CPN sample is higher than that of the untreated sample after 25 s. The friction coefficient of CPN is the highest at the final stage, which is mainly due to its high roughness. The results of the wear test show that the friction coefficient value decreases in the following order, CPN < untreated < HCSPN.

Figure 10 shows the wear tracks of the untreated and nitrided samples. Table 3 shows the EDS results (at. %) of the untreated and nitrided specimens of the marked area

Table 3. EDS results (at. %) of the untreated and nitrided specimens of the marked area (1-5) on the worn surface from the Figure 10.

Point	C	N	O	Si	Cr	Mn	Fe
1	5.49	-	7.08	0.58	1.24	0.67	85.54
2	2.50	-	59.03	-	-	-	38.47
3	5.24	13.20	5.70	-	1.75	-	74.11
4	0.46	14.81	3.40	0.79	1.07	1.03	78.44
5	0.57	-	48.85	0.79	0.94	0.68	48.17

**Figure 6.** AFM images of the CPN (a) and HCSPN (b) samples.**Figure 7.** Typical loading–unloading curves of the different samples measured by nano-indentation tester.**Figure 8.** Microhardness distributions with depth for CPN and HCSPN samples.

(1-5) on the worn surface from the Figure 10. The largest wear track width ($\sim 520 \mu\text{m}$) is observed on the surface of the untreated sample. It is found that serious plastic deformation and adhesion phenomena occur (Figure 10a), and the adhesive produced by friction continues to damage the surface, resulting in more scratch marks. It is considered that adhesive wear is what mainly occurs with untreated 42CrMo steel. Compared with the untreated sample, the wear

track of the CPN sample is narrower ($\sim 420 \mu\text{m}$) and only shallow traces are observed. Additionally, the EDS spectrum of area 2 in Figure 10e shows that the oxygen content on the wear surface is up to 59.03 at.%. It can be considered that the main wear mechanism of the CPN sample is abrasive and oxidation wear. The HCSPN sample has the shallowest wear trace, with black flakes distributed in the wear trace ($\sim 480 \mu\text{m}$). The EDS spectrum of area 4 in Figure 10f shows

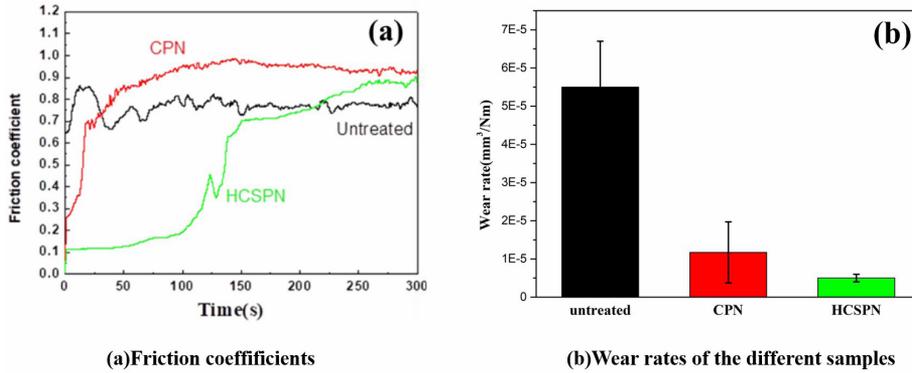


Figure 9. Friction coefficients (a) and wear rates (b) of the samples after the different treatments.

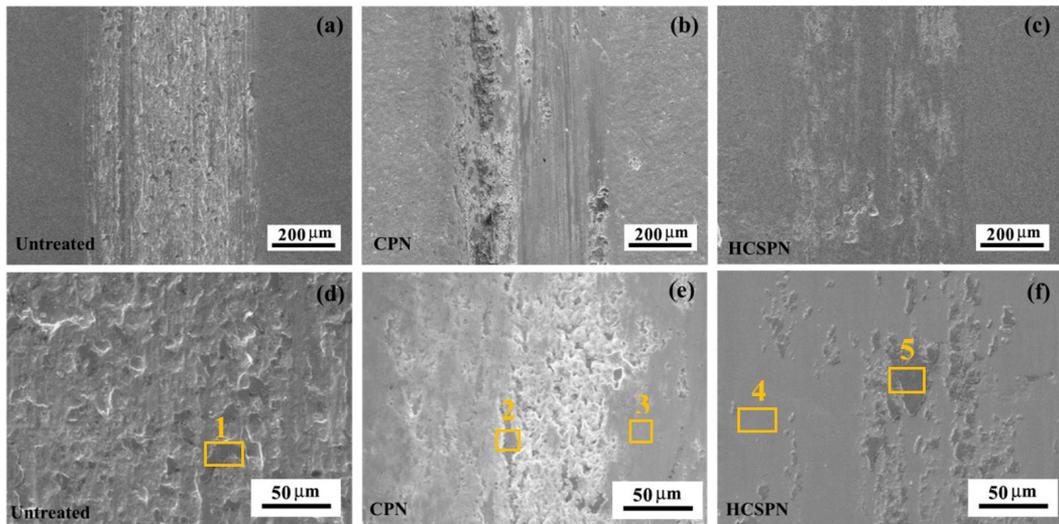


Figure 10. SEM images on the worn surface of the untreated (a and d), CPN (b and e), and HCSPN samples (c and f).

that the main elements on the surface of the HCSPN sample are Fe (78.44 at.%) and N (14.81 at.%), which once again shows that the surface of the HCSPN sample produces a nitrided layer. The EDS spectrum of area 5 (Figure 10f) shows that O (48.85 at.%) replaces N as the main element, outside of Fe (48.17 at.%), which indicates that the nitrided layer is oxidized after the wear test and results in oxidation wear. Figure 9b further shows the wear rates of the different samples. It was found that the wear rate of the untreated samples is 5 times higher than that of the nitrided samples. Consequently, the wear rate of the CPN sample is slightly higher than that of the HCSPN sample. These characteristics enable these nitrided layers to effectively resist against hard AISI 52100 ball and an providing a superior wear resistance compared to untreated substrate. The H^3/E^{*2} parameter of these layer seems statistically similar (CPN=0.0274 and HCSPN=0.0276). The nitrided layers with the lower coefficients and the higher value of H^3/E^{*2} showed the smaller wear rate. The tribological properties of the CPN and HCSPN samples depend on the microstructure and toughness of the nitrided layer (H/E and H^3/E^{*2}). The wear rate of CPN sample was higher than that of HCSPN one. Though the CPN presented

a thicker nitrided compound layer and a deeper diffusion zone, it has a higher surface roughness.

Due to its relatively low hardness, the untreated 42CrMo steel surface is deformed during the wear test and exhibits high volume loss and high friction. The main wear mechanism of the untreated samples is adhesive wear. However, the main wear mechanism of the CPN sample is abrasive wear. The shallow furrow parallel to the sliding direction in the wear mark indicates that there is a slight amount of abrasive wear, and the width of the wear mark varies, mainly due to its high surface hardness.

Figure 11 shows the XPS fitting maps of the O_{1s} , N_{1s} , and Fe $2p_{3/2}$ peaks of the nitrided surface and the wear scars of the HCSPN samples. For the nitrided surface, the existence of oxygen is due to the reaction of oxygen from the air in the nitriding process into the vacuum furnace³¹. The content of iron oxides at the wear scar is significantly higher than that at the initial nitrided surface, which can be proven from the binding energy peak height of the iron oxide binding energy at 529.5 and 530.25 eV³². The existence of carbon-oxygen single bonds and carbon-oxygen double bonds may be due to the existence of certain pollution during the diffusion

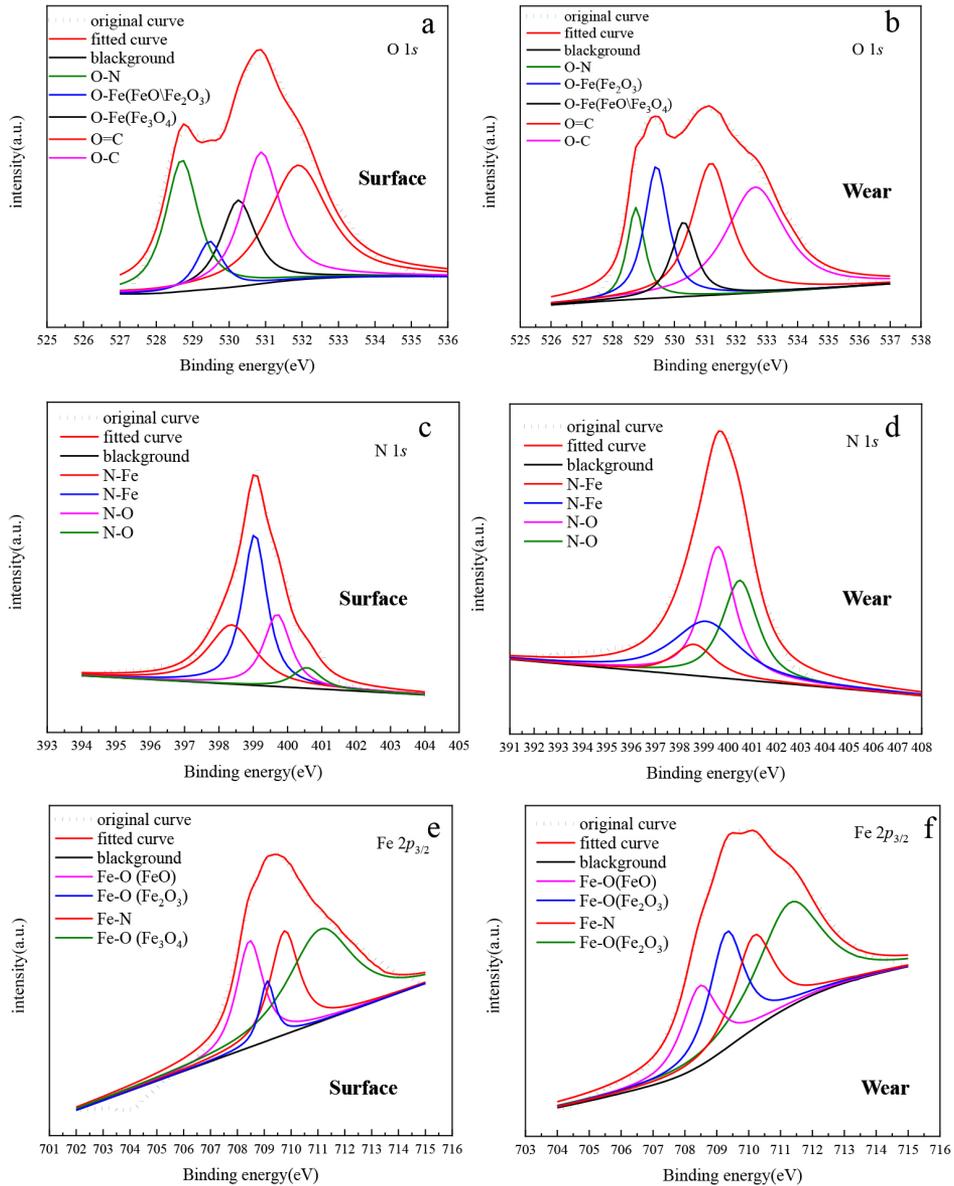


Figure 11. XPS deconvoluted profiles for (A) O 1s, (B) N 1s, (C) Fe 2p_{3/2} peaks on the nitrated surface and the wear scars of the HCSPN samples.

process. The N_{1s} spectrum of the surface of the samples is composed of four peaks, including two strong peaks at 398.38 eV and 399 eV, which correspond to iron nitride, and two weak peaks at 399.8 eV and 400.5 eV, which correspond to nitrated oxides³³. It is also found that the strong peak at the wear scars transfers from iron nitrides to oxynitrides. The decrease in the iron nitride peaks and the increase in the nitrogen oxide peaks prove this. According to the XPS spectrum of Fe 2p_{3/2}, iron on the surface and in the wear scars of the CPN and HCSPN samples mainly exists in the form of iron oxide and iron nitride. The peak of Fe₂O₃ in the wear scars clearly increases, and the peak of FeO decreases. This result indicates that the iron is further oxidized, and

the valence of iron increases during the friction process. The EDS analysis of the HCSPN sample also confirms this phenomenon. The results show that the main components of the wear debris are Fe₂O₃ and Fe₃O₄ under heavy loads. The XPS results (Figure 11f) show that the peak of FeO decreases gradually in the wear mark, while the peak of Fe₂O₃ clearly increases. This result indicates that the HCSPN samples have very slight oxidation wear.

Compared with the CPN samples, the friction coefficient of the HCSPN samples remains at a low level in the early stage until the nitrated surface is worn through after 200 s, and the friction coefficient reaches a relatively stable level, which is closely related to the hardness and uniformity of the surface.

The main reason for oxidation wear is the sharp increase in the contact temperature between the HCSPN surface and friction pair and the oxidation film formed by the reaction of oxygen in the atmosphere with the nitrided surface during friction. Alves et al.³⁴ found that the microhardness magnitude of central area is much bigger in edges area due to thermal gradient in the CPN. On the contrary, the hardness values stayed uniform in the whole ASPN sample. When the floating sample is nitrided by the HCSPN treatment, the nitrided layer corresponds to a lower surface roughness and uniform hardness, which is beneficial for improving the tribological performance.

5. Conclusions

Herein, 42CrMo steels were successfully nitrided at 525 °C for 6 h using CPN and HCSPN treatments at the cathodic and floating potentials, respectively. The new electrode structure can realize a stable and efficient hollow cathode discharge. Additionally, this structure can produce high-density and high-activity plasma while heating the workpiece by radiation. The ϵ -Fe_{2,3}N and γ -Fe₄N phases are found in the nitrided layer of the CPN and HCSPN samples. However, the relative intensity of the ϵ -Fe_{2,3}N phase in the HCSPN sample is higher than that in the CPN sample. The surface hardness of HCSPN is 820 HV_{0.1}, and the thickness of the diffusion layer is 230 μ m, while that of CPN is 960 HV_{0.1} and 300 μ m. The H³/E² ratio are 0.0274 GPa for the CPN sample and 0.0276 GPa for the HCSPN sample, which is higher than that of the substrate (0.0062 GPa). The untreated 42CrMo steel vs. the nitrided samples shows severe surface damage and high wear. The main wear mechanism of the CPN sample is abrasive and oxidation wear, while the main wear mechanism of the HCSPN sample is oxidation wear.

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