

Environmental drivers of leaf phenology in trees of the tropical species *Ficus obtusifolia*

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ABSTRACT

Vegetative as well as reproductive phenology of tropical trees frequently occur synchronously at the end of the dry season. It has been repeatedly observed on two individuals of the tropical species *Ficus obtusifolia* growing in Caracas that they lose their entire foliage in a period of 48 h during the dry season and renew it within the next 72 h. In order to gain knowledge on the mechanisms that govern leaf exchange in *F. obtusifolia*, we followed in these two individuals the seasonal changes in leaf and fruit phenology, water relations and environmental variables, and in another 25, phenology only. Although foliar exchange was always observed before the rainy season, it also occurred near the end of the rainy season. An increase in leaf turgor potential of mature leaves and a low sap flow velocity coincided with leaf fall. Foliar exchange in all 27 individuals, growing in locations with different elevation and, possibly, access to underground water, was bi-modal and occurred in a period of approximately one month around both equinoxes. The presence of fruits was also bi-modal, occurring after leaf renewal. The sequence of phenological events repeated itself in all the individuals studied, although with inter-annual and individual variations in date of foliar exchange. Leaf fall was independent of leaf water status and significantly correlated with, in decreasing order, day length, minimum air temperature, rainfall, maximum air temperature and total radiation. We conclude that in *F. obtusifolia* high nocturnal temperature, increasing daytime temperature and radiation, and low cloud cover apparently drove foliar exchange. Day length showed a strong correlation with leaf renewal possibly due to the significant relationships between day length and environmental variables. Direct effects of day length alone were not evidenced, as foliar exchange took place during a long time-span around the equinoxes.

Key words: brevi-deciduous, day length, leaf fall, sap flow velocity, water relations

INTRODUCTION

Vegetative as well as reproductive phenology of tropical trees frequently occurs synchronously at the end of the dry season (Borchert, 1994a). Leaf age, water deficit and changes in day length (DL) have been related to the control of vegetative phenology and partially explain leaf abscission in tropical trees (Borchert et al., 2002; Munné-Bosch and Alegre, 2004). In tropical trees, phenological changes occur in a synchronous manner for relatively short periods during the equinoxes, independently of the seasonal rainfall pattern (Reich and Borchert, 1984). The long-term observation of

many forest species in Costa Rica (ca. 10° N) indicates that leaf fall and bud emergence take place during the driest and warmest part of the year, coinciding with the spring equinox (Borchert, 1994a; Borchert and Rivera, 2001).

Mean leaf flush dates in all 26 species of *Ficus* examined in Panamá fell within the first three months of the dry season; however, trees also flushed throughout the year (Windsor et al., 1989). In contrast, in trees of *F. variegata* growing in a seasonally wet tropical forest, leaf flushing was highly seasonal and correlated with rainfall; trees were annually deciduous, with a pronounced leaf drop and a pulse of new

growth during the August-September drought (Spencer et al., 1996). These observations were interpreted as suggesting that leaf phenology is determined, rather than by seasonal changes in temperature and DL, by the annual rainfall and its seasonal distribution (Spencer et al., 1996).

Synchronicity in the foliar exchange of *F. thonningii*, *F. citrifolia* (Damstra et al., 1996) and *F. variegata* (Spencer et al., 1996) was interpreted, based on estimations of water status through rainfall values, as due to water deficit during the dry season. In contrast, Pereira et al. (2007) concluded that measured rainfall and estimated soil water storage probably did not accurately reflect the actual water status of trees of *F. citrifolia*, in which no relationship was found between the abovementioned variables and leaf exchange.

Efforts to understand the effect of seasonality on phenology in tropical climates have focused almost entirely on the rainfall pattern and/or DL, having ignored the effect of season on solar radiation (van Schaik et al., 1993). Although solar radiation is considered a limiting factor instead of an environmental signal that can synchronize phenological events due to great diurnal fluctuations in cloud cover (Renner, 2007), in trees of *Hevea brasiliensis* growing near the equator and in the subtropics, flowering was closely related to an increase in solar radiation within an annual cycle (Yeang, 2007). The hypothesis that DL alone is the cue to phenology has been defended several times (e.g. Borchert et al., 2005; Borchert and Rivera, 2001) but more recently Calle et al. (2009) suggested that insolation near the autumn equinox, not DL, is the driver of flower phenology.

Usually, it is not possible to separate the effect on leaf abscission of water deficit or DL under natural conditions, because abscission intensifies when water deficit increases and DL declines (Borchert et al., 2002). Nevertheless, in trees of different functional ecotypes, leaf exchange during an irregular drought caused by El Niño between June and August obeyed the regular pattern observed in non-El Niño years, suggesting that leaf fall is triggered by an unknown signal unrelated to the seasonal variation in plant water status (Borchert et al., 2002).

During the past 20 years, non-systematic observations in trees of *Ficus obtusifolia* Kunth (Moraceae), a native species (Berg and Simonis, 2000) growing in the Instituto de Biología Experimental (IBE), suggest that leaf fall occurs

synchronously within individuals during March-April, near the spring equinox, followed by leaf flushing as early as 72 h after shedding, which indicates that this species is brevi-deciduous. This species is monoecious and individuals produce at least one fruit harvest per year. The synchronicity of leaf exchange in *F. obtusifolia* has two components of interest: first, it takes place during a short period, and second, it occurs between April and May after three or four months of drought.

Figs are an interesting group in that they are found in all tropical habitats, have exceptionally numerous congeners in almost any mainland tropical forest, and show intra-population intra-tree asynchronous flowering, yet strong individual synchronous flowering (Janzen, 1979). Flowering in figs should be synchronous within a tree, asynchronous between trees, random in the yearly cycle, and irregular from year to year (Damstra et al., 1996). Trees of *F. thonningii* growing in a watered, a non-watered and an intermediate habitat were all generally deciduous between April and August. Watered trees conformed to the general model, but half the trees in the seasonally dry habitat flowered synchronously in the cool dry season (Damstra et al., 1996).

The interplay between environmental variables and DL and their effect on leaf as well as flower phenology is a matter of controversy. In view of the abundant literature supporting or refuting the hypotheses of regulation of phenology by DL, water availability or radiation, we proposed to characterize the vegetative and reproductive phenology of *F. obtusifolia* and elucidate what environmental drivers influence leaf exchange in this species. In order to do this, we followed for three years the phenology, water relations, including turgor potential (ψ_T) and sap flow velocity (V , as a measure of transpiration rate) of two trees growing in the gardens of the IBE and the phenology of 25 other individuals in nearby locations, and analyzed data in relation to environmental variables.

MATERIALS AND METHODS

Study area and plant material: The study area, located in Caracas (10° 30' N - 66° 55' W) and of approximately 10 km², included eight locations at elevations of 865 (two locations), 868 (two), 888 (one), 1,005 (two, including IBE) and 1,030 m (one). At the lower elevations, the water table was possibly higher due to the proximity of the Guaire river and/or garden hosing during the dry season; at the highest

locations, which included the gardens of IBE, trees were not hose-watered. Trees were between 5- and 20-m-high. The trees examined in one of the lower locations were planted, whereas the rest most probably grew from naturally dispersed seeds. Phenological and physiological measurements began during the dry season before the estimated leaf fall date and continued until the end of the year. Rainfall, air temperature (T) and total radiation (TR) data were collected by a weather station mod. Vantage Pro (Davies Instruments, Hayward, CA, USA) at IBE. Rainfall data were also obtained from the weather station in the main campus of Universidad Central de Venezuela (878m, 10°29' N, 66°53' W). Monthly cloudiness was estimated as the frequency of days with integrated TR 70 % or higher than the yearly maximum.

Phenology: During 2003, 2004 and 2006, quantitative weekly records were made of the number of young and mature leaves and fruits in branches (n=10, five branches per tree) labelled 1 m from the distal end in the two trees growing in IBE. In the other 25 trees growing in the other locations, qualitative weekly records were taken in 2006 of the presence of fruits and of young and mature leaves, assigning the following values to leaf age: 0, leafless; 1, young leaves; 2, mature leaves; 3, mixture of different-aged leaves and 4, senescent leaves.

Water relations: Leaf water potential of the two trees at IBE was measured at dawn in disks of different leaves (n=6) placed in C52 chambers connected to an HR33T microvoltmeter operated in the dew point mode (Wescor Inc., Logan, UT). Osmotic potential was measured in the same manner after freezing the disks in liquid nitrogen. The ψ_T was calculated as the difference between water and osmotic potential. Sap flow velocity in the two trees at IBE was measured during 2004 and 2006 as previously described (Herrera et al., 2008) with thermal dissipation probes mod. TDP-30 (30-mm long) connected to a DL2e data logger (Dynamax Inc., Houston, TX, USA). Five sensors were inserted in the main trunk of each tree at approximately 2 m from the ground. For access reasons, all sensors faced SW. Voltage was adjusted to give an average maximum temperature difference between the heated, downstream part of the sensor and the reference, upstream thermocouple of approximately 8°C. Maximum V was calculated as the maximum value for each sensor on a given date (n=5).

Statistics: Values are mean \pm SE. Correlations were done using the Oriana 3 and the Sigmaplot 7.0 ($p < 0.001$) packages. Dates (day of year) were converted into angles to draw the circular diagrams of leaf fall and presence of fruits using the Oriana 3 package.

RESULTS

During the years of study monthly courses of maximum TR (TR_{max}), maximum and minimum T (T_{max} and T_{min} , respectively), their difference (ΔT) and minimum relative humidity (RH_{min}) were similar and marked changes were observed in all variables near the equinoxes (Figure 1). Also shown are values of TR calculated after Jones (1983) considering the angle of incidence of the sun and the latitude of Caracas. Incident TR on clear days is 98-100 % of the yearly maximum between March and September; nevertheless, TR_{max} was significantly closer to the calculated monthly maximum in March and September than in June and July, which coincided with the lowest estimated cloudiness in March and September (Figure 1).

Figure 2 shows the seasonal changes in leaf phenology, water relations of trees and rainfall at IBE. Trees showed a first peak of foliar exchange between April and May 2003 and 2004, and between May and July 2006, when both age classes coexisted, and a second peak between October and November 2004 and 2006; in November 2003, only 50 % of leaves were shed. Leaf exchange occurred both before the beginning and towards the end of the rainy season, with the exception of exchange in July 2006. Flushing without significant shedding occurred in May 2006 and coincided with fruiting. Leaf exchange took place in five out of six events between 3 and 43 days after the equinoxes. Fruits appeared in 2003 and 2004 two and 2.5 months after shedding, respectively, at the beginning of, but not during the rainy season; fruit production not related to foliar exchange was observed in April 2006.

The ψ_T of mature leaves measured around the dates of foliar exchange was higher just before leaf shedding than before (Figure 2). In young leaves ψ_T increased with time (2003), was lower than in mature leaves (2004) or was similar to that of mature leaves (2006). Sap flow rate was low before leaf shedding and, at the beginning of rains, it increased 5.5, 1.5 and 11 times in 2003, 2004 and 2006, respectively (Figure 2).

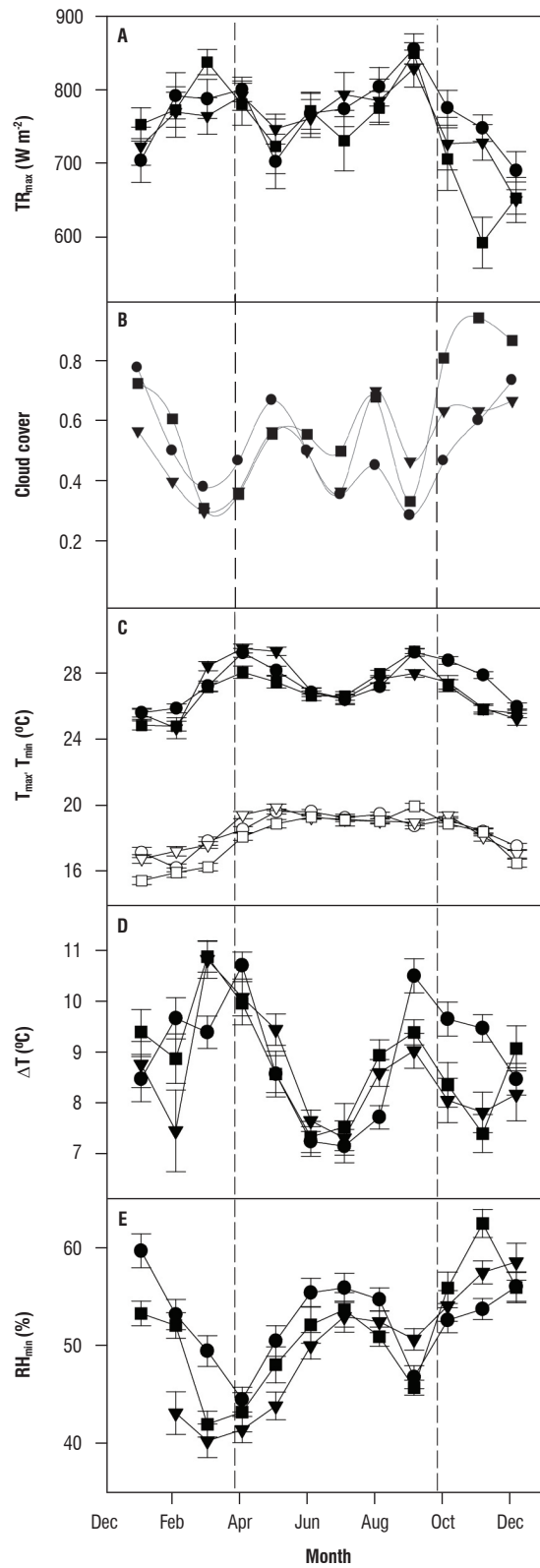


Figure 1. Monthly changes in: A, calculated (no symbols) and measured (symbols) maximum total radiation; B, estimated cloud cover; C, maximum (closed symbols) and minimum (open symbols) air temperature; D, their difference and E, minimum relative humidity for 2003 (triangles), 2004 (squares) and 2006 (circles). Values are mean \pm SE (n=30). The vertical broken lines indicate the equinoxes.

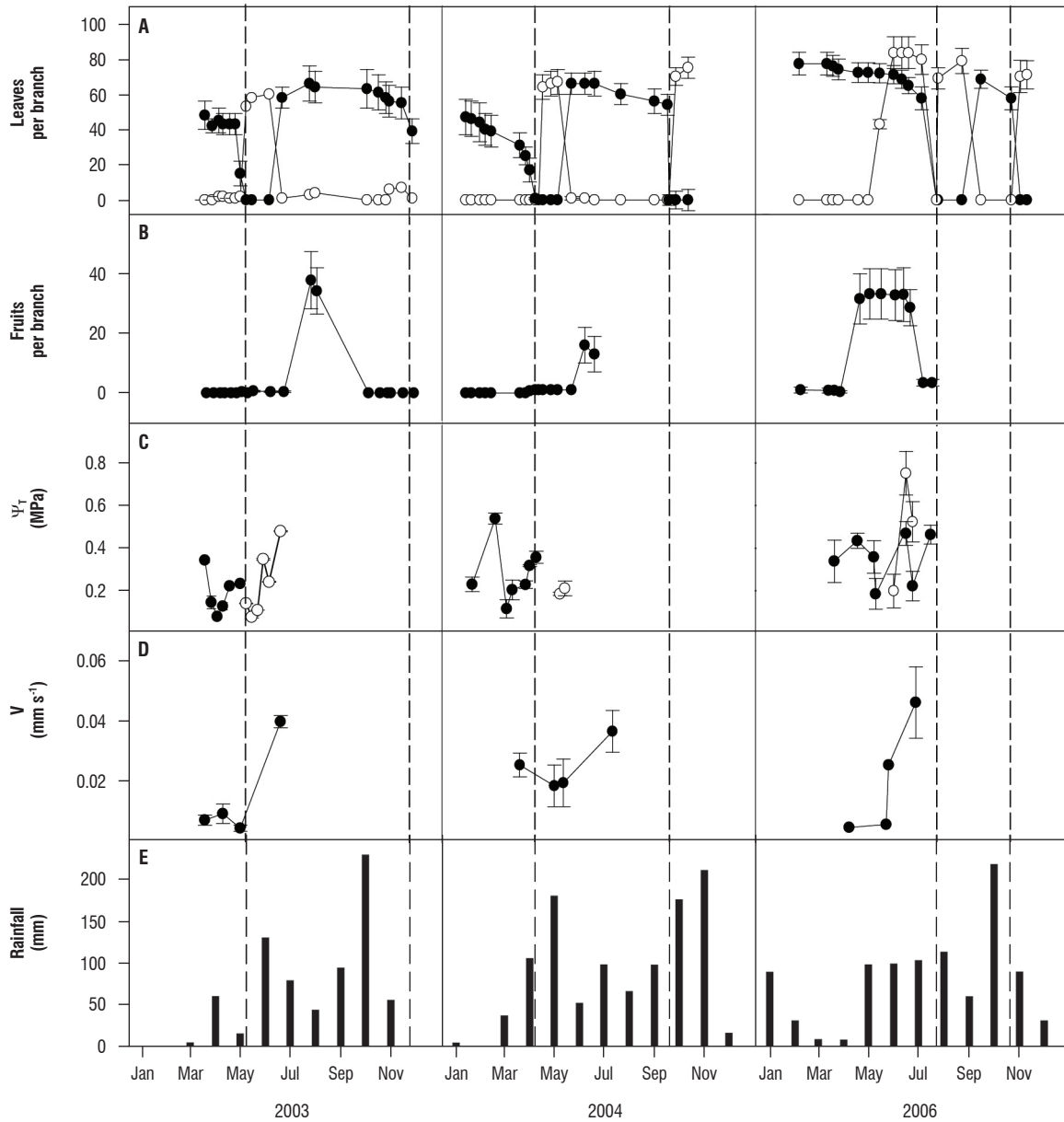


Figure 2. Seasonal changes in leaves of plants of *Ficus obtusifolia* growing in the gardens in: (A), number of mature (closed circles) and young (open circles) leaves per labelled branch; (B), number of fruits per branch; (C), turgor potential of mature (closed circles) and young (open circles) leaves; (D), maximum sap flow velocity, and (E), monthly rainfall. Values are mean \pm SE ($n=10$, five branches per two trees, (A, B); $n=6$, three leaves per two trees, (C); and $n=6$, three sensors in two trees, (D)). Broken vertical lines serve as guides for time of leaf fall.

In the whole study area, shedding was concentrated between March and April and between September and October 2006; based on the presence of young leaves alone, exchange

apparently occurred from April to May and from September to October (Figure 3). Peaks of fruit production were observed in February, August and October (Figure 3).

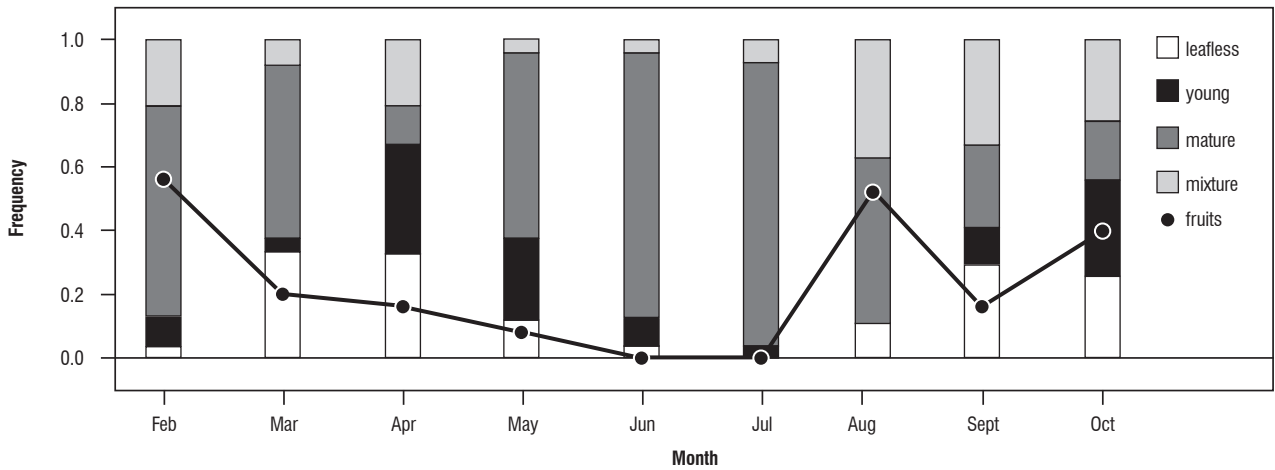


Figure 3. Seasonal changes in *Ficus obtusifolia* in the frequency of trees bearing no leaves (open bars), young leaves (closed bars), mature leaves (hatched bars) and a mixture of young and mature leaves (cross-hatched bars), and fruit-bearing trees (circles). Symbols as indicated. (n=27 trees).

When dates of leaf fall and presence of fruits for all 27 trees were converted to angles and a circular analysis was performed, clearly bi-modal distributions were found (Figure 4).

The environmental variables examined during the three years of study showed high correlations with DL, all but T_{min} having marked changes at the equinoxes; values of T_{min} lay on a plateau between equinoxes (Figure 5).

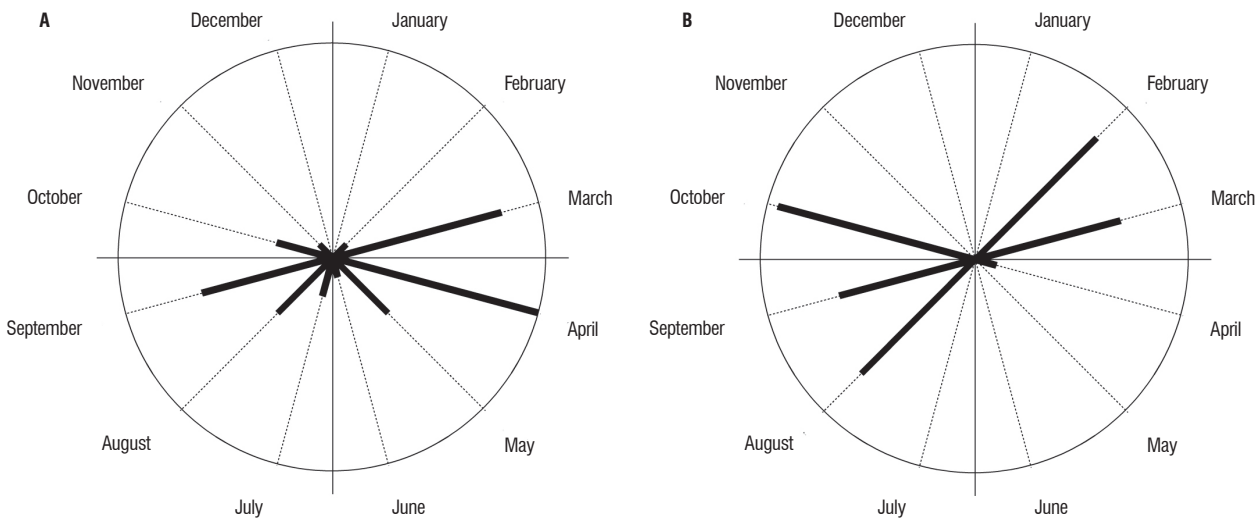


Figure 4. Dates in 27 trees of *Ficus obtusifolia* growing in Caracas for: (A), leaf shedding, and (B), presence of fruits.

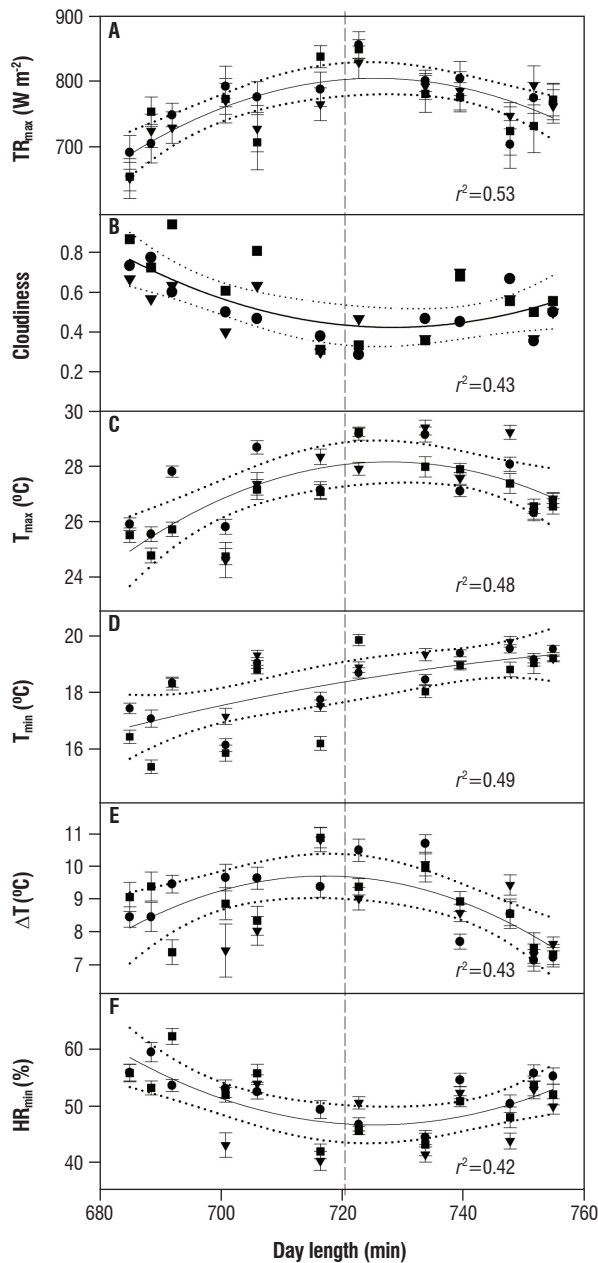


Figure 5. Changes with day length in: (a), maximum total radiation; (b), cloudiness; (c), maximum air temperature; (d), minimum air temperature; (e), temperature difference, and (f), minimum relative humidity, for 2003 (triangles), 2004 (squares) and 2006 (circles). Values are mean \pm SE ($n=30$ days of the month), except for cloudiness, where values are means. The regression line (solid), 99 % confidence intervals (dotted lines) and determination coefficients ($p<0.05$) are shown. The vertical broken line indicates the equinoxes.

Circular-linear correlations between leaf fall and environmental variables done using Kuiper's test were highly significant for all variables (Table 1).

Table 1. Circular-linear correlations between date of leaf shedding and environmental variables, including rainfall during the previous month, done using Kuiper's test. Values of r and P are indicated.

Variable	r	P
DL	0.91	0
T_{min}	0.87	0
Rainfall	0.6	0
T_{max}	0.49	0
DT	0.42	0
TR_{max}	0.42	0
RH_{min}	0.31	0.01

Complete leaf shedding took place at critical values of all variables, except for DL, for which shedding occurred after the equinoxes (Table 2).

Table 2. Mean values of day length, minimum and maximum air temperature, temperature difference, maximum total radiation, and minimum relative humidity on dates with closed canopy and at complete shedding.

Variable	closed canopy	complete shedding
DL (min)	710 \pm 6	740 \pm 6*
T_{min} (°C)	17.8 \pm 0.3	18.7 \pm 0.1*
T_{max} (°C)	25.4 \pm 0.2	27.1 \pm 0.0**
DT (°C)	9.8 \pm 0.2	10.6 \pm 0.1*
TR_{max} (W m ⁻²)	732 \pm 8	799 \pm 3**
RH_{min} (%)	57 \pm 1	50 \pm 0**

Values are mean \pm SE ($n = 11$). Significance after a one-way ANOVA comparing values at closed canopy and at shedding is indicated as *, $P < 0.03$; **, $P < 0.01$.

DISCUSSION

Pattern of leaf and flower/fruit phenology: The individuals of *Ficus obtusifolia* showed a brevi-deciduous behaviour, one of the four functional ecotypes identified in tropical forests based on vegetative phenology (details in Borchert, 1994a). This behaviour was observed in the entire study area, leaf fall being generally massive, and flushing almost immediate and synchronous in each tree, in spite of possible differences in soil water availability (season, water-table height and irrigation) and, in the case of the individuals at IBE, at a high leaf water status. No synchronicity among individuals was observed, although foliar exchange occurred in all trees at approximately the same time of the year.

The bi-modal vegetative phenology in *F. obtusifolia* in our study site resembles that in *F. racemosa* in southwest Asia

(21° N), in which leaf exchange occurred during both the dry and the rainy season (Zhang et al., 2006). In contrast, a marked pattern of foliar exchange in *F. obtusifolia* was not found in Barro Colorado Island (Milton, 1991). Our results coincide with Milton's (1991), in that leaf fall occurred massively. Mean leaf flush dates in all 26 species of *Ficus* examined in Panamá fell within the first three months of the dry season; however, trees also flushed throughout the year (Windsor et al., 1989), coinciding with the flushing recorded by us in May 2006. Similarly, in trees of *F. obtusifolia* (this study) and *F. citrifolia* (Pereira et al., 2007) leaf flushing sometimes overlapped leaf shedding.

Flushing without shedding during May 2006 in *F. obtusifolia* was unexpected and related to earlier fruiting with a denser canopy. Inter-annual differences in rainfall accumulated up to May do not help explain inter-annual phenological differences, since rainfall was similar between 2004 and 2006 and much higher than in 2003 (an El Niño, drier year). Rather, our phenological observations support those that indicate that flushing and flowering are synchronous in an individual fig but asynchronous in populations (Janzen, 1976; Damstra et al., 1996).

Foliar exchange in *F. obtusifolia* during the rainy season had not been observed by us before; it may have passed unnoticed before this study or else was due to inter-annual changes in rainfall. Anomalies in tree performance with respect to the hypothesis of Calles et al. (2009) that trees sense daily TR can be explained by regular climatic events, such as seasonality of rains (Lüttge and Hertel, 2009). In the present study, rainfall up to April was in 2006 1.4 times that in 2003 or 2004. The high correlation observed by us between shedding and rainfall is intriguing, since leaves fell during both the dry and the rainy season. Shedding occurred at times with lowest cloud cover, which allowed the occurrence of the highest yearly TR_{max} .

In *F. thoningii*, *F. citrifolia* (Damstra et al., 1996) and *F. variegata* (Spencer et al., 1996), synchronicity in the foliar exchange was attributed to water deficit during the dry season. In contrast, Pereira et al. (2007) did not find any relationship in trees of *F. citrifolia* between measured rainfall, or estimated soil water storage, and leaf exchange.

Fruits were produced in *F. obtusifolia* twice a year, when the canopy was constituted mostly by mature leaves. Such

synchronicity and bi-modality in fruit production was also observed in *F. variegata*, which is also a monoecious species. In *F. racemosa*, another monoecious species, on the contrary, fruits were produced asynchronously and this was interpreted as an adaptive trait to avoid self-fertilization (Zhang et al., 2006).

Water relations and foliar exchange: Neither drought nor low leaf water status were apparently requisites for leaf shedding, since leaves fell during both the dry and the rainy season, and at a high ψ_T during the dry season. The increase in ψ_T observed in mature leaves before shedding could have been due to the water conservation exerted through low transpiration rates, suggested by low V . Increases in ψ_T as well as V observed after foliar exchange were evidently a consequence of rains. In deciduous species, water potential measured under drought remained close to or above the values of the rainy season due to the joint diminution of stomatal conductance and total leaf area, which revealed a poor or null relation between leaf fall and water status (Choat et al., 2006).

The independence of foliar exchange from water status in *F. obtusifolia* occurred as previously reported for brevideciduous species, in which new leaves expand using water stored in the trunk and branches that re-hydrate due to the reduction in transpirational water loss brought about by shedding (Borchert, 1994b). Leaf exchange in trees of different functional types in a seasonally dry forest was independent from xylem water potential, regardless of proximity to a readily accessible water source (Valdez-Hernández et al., 2008).

Environmental variables and foliar exchange: Shedding occurred around the equinoxes when TR_{max} , T_{max} and ΔT increased. The DL and the angle of incidence on the Earth surface determine the amount of solar radiation reaching the atmosphere (Jones, 1988) and therefore air temperature, but cloud cover related to rainfall also affects measured incident TR. The effects of annual changes in TR and DL are concurrent and additive. In *F. citrifolia* at 23° S, leaf shedding occurred during the dry season after the autumn equinox at the lower T_{max} and T_{min} (Pereira et al., 2007) when TR was half the value at closed canopy (TR obtained as indicated in Calles et al., 2009), suggesting a correlation between shedding and declining TR. In contrast, flushing events in *Enterolobium cyclocarpum* at 10° N were highly correlated with higher dry-season temperature (Rojas-Jiménez et al., 2007).

Leaf exchange in *F. obtusifolia* took place after the equinoxes but the time-span was on occasions too long to enable the conclusion that there was a direct effect of DL on leaf phenology. The effect of DL on shedding observed by us in *F. obtusifolia* and by Yeang (2007) in *H. brasiliensis* around the equinoxes does not necessarily imply photomorphogenic effects of DL on phenology; near the equator, total TR received or the ability to sense daily changes in TR are essential for annual rhythms and may operate in a way independent from circadian clocks entrained by the phytochrome (Lüttge and Hertel, 2009). Flowering in *H. brasiliensis* near the equinoxes was attributed to high insolation (Yeang, 2007); in contrast, in two tropical tree species growing between 4 and 28° N, flowering, which occurred twice a year, coincided with declining insolation (Calle et al., 2009). Controlled experiments in *F. obtusifolia* varying TR and DL could help clarify the possible photoperiodic control of leaf phenology in this species.

In the present study, TR was actually measured, in contrast to the above studies (Yeang, 2007; Calle et al., 2009), allowing for a more direct estimation of its effect on leaf phenology. In addition, since values of TR, rainfall and RH were available, we were able to find a relationship between leaf exchange and rainfall through its relation with cloud cover.

CONCLUSIONS

Leaf phenology in trees of *F. obtusifolia* was bi-modal, with peaks of foliar exchange occurring during both the dry and the rainy season. The sequence of phenological events was repeated in all the individuals studied, although with inter-annual and individual variations in date of foliar exchange. In *F. obtusifolia* high nocturnal temperature, increasing daytime temperature and radiation, and low cloud cover apparently drove foliar exchange. Day length showed a strong correlation with leaf renewal possibly due to its significant relationships with environmental variables. Direct effects of DL alone were not evidenced, as foliar exchange took place during a long time-span around the equinoxes.

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